



**FY 2021 (July 1, 2020 – June 30, 2021)
Residential Information Systems Projects (RISP) Survey**

January 21, 2022

About: The University of Minnesota's Residential Information Systems Project (RISP) is funded by the Administration on Community Living as a Project of National Significance, National Longitudinal Study. The study began in 1977 and tracks Medicaid and state-funded long-term supports and services for people with intellectual and developmental disabilities (IDD). This annual survey of State IDD Agencies is fielded in collaboration with the National Association of State Directors of Developmental Disabilities Services (NASDDDS). This survey is the basis for state and national profiles published in the annual RISP report and on the RISP website (risp.umn.edu).

Timeline: FY 2021 surveys are due April 30, 2022. Late responses may not be included in our FY 2021 annual report. Questions reference June 30, 2021 or the period from July 1, 2020 through June 30, 2021.

For information on how to complete the survey, please see the [RISP User Guide](#).

Your assigned RISP project staff member is available by phone or email and will contact you during the editing process if we find missing or questionable values or notice a change from previous years that has not been explained in your comments. Thank you for your ongoing support of this Administration on Community Living Longitudinal Data Project of National Significance.

You can reach all three data team members at risp@umn.edu.

Sherri Larson <i>University of Minnesota</i>	Jon Neidorf <i>University of Minnesota</i>	Jon Neidorf <i>University of Minnesota</i>	Brittany van der Salm <i>HSRI</i>	Brittany van der Salm <i>HSRI</i>
Virginia	Alabama Arkansas Delaware Florida Georgia Iowa Illinois Louisiana Maryland Massachusetts Michigan Minnesota Mississippi Michigan	Nebraska Nevada New Hampshire Ohio Oklahoma Pennsylvania Rhode Island South Carolina South Dakota Tennessee West Virginia Wisconsin	Alaska Arizona California Colorado Connecticut District of Columbia Hawaii Idaho Indiana Kansas Kentucky Maine Missouri	Montana New Jersey New Mexico New York North Carolina North Dakota Oregon Texas Utah Washington Wyoming

FY 2021 (July 1, 2020 – June 30, 2021) Residential Information Systems Projects (RISP) Survey

Funding Authorities

This section asks about federal and state **Funding Authorities** used to finance **long-term supports and services** for people with IDD as of June 30, 2021.

- Please respond “yes” to indicate **Funding Authorities** for which you had expenditures in FY 2021 for people with IDD.
- Respond “no” if the **Funding Authority** was not used to fund LTSS for people with IDD in FY 2021. If you say “no,” you will not be able to enter recipients, settings, or expenditures for that authority anywhere in the survey. If you selected “no” by mistake, simply change your “no” to a “yes” to enable data entry in other parts of the survey.
- If you are unsure, please consult with your **State IDD Agency Director** or Medicaid office.

Did your state use the listed **Funding Authority** to fund LTSS for people with IDD on June 30, 2021?

		Yes/No
Medicaid Waiver	1115 Demonstration Waiver	
Medicaid Waiver	1915 (a), (b), or (b/c) Managed Care Waiver with LTSS	
Medicaid Waiver	1915 (c) Home and Community-based Services Waiver	
Medicaid State Plan Home and Community-based Services (HCBS)	1915 (i) State plan Home and Community-based Services	
Medicaid State Plan Home and Community-based Services (HCBS)	1915 (k) Community First Choice	
Medicaid State Plan Home and Community-based Services (HCBS)	Targeted Case Management	
Medicaid State Plan Home and Community-based Services (HCBS)	Other Medicaid State Plan Community LTSS: Community supported living arrangements; home and community-based services; personal care services; adult day care; private duty nursing; speech, occupational, physical or other habilitation or rehabilitation therapies; and other services as approved by DHHS.	
Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IID)	Medicaid-funded services in an ICF/IID certified or licensed facility or unit	
State (Non-Medicaid)	State funding not matched with federal Medicaid funds	

Part 1: State-Operated IDD Recipients and Settings on June 30, 2021

State-Operated Settings are residential settings staffed by people employed and paid directly by the state

- Include state-operated IDD facilities and ICF/IID certified units in hospitals, nursing homes or psychiatric facilities.

FY 2021 (July 1, 2020 – June 30, 2021) Residential Information Systems Projects (RISP) Survey

- Multiple units, cottages, or homes located on a single campus should be counted as a single setting. Units, cottages, or homes located on different campuses should be counted separately.
- Setting size is based on number people with IDD living in the facility/on the campus as of June 30. Do not count “empty beds” in determining setting size (for example, a campus licensed to serve up to 20 people that has 12 people in residence on June 30 should be listed in the 7-15 people category).

1A. How many State-Operated Settings served people with IDD on June 30, 2021 (by Facility Size and Funding Authority)?

Funding Authority	1-3	4-6	1-6 Total	7-15	16+	Size Unknown	Total Settings
Medicaid Waiver 1115; 1915 (a), (b), (b/c); and 1915 (c)							
Medicaid ICF/IID*							
Other state-operated IDD Settings**							
Total							

*Include ICF/IID Certified units in nursing homes or psychiatric facilities. **Do not include any other nursing home or psychiatric facility here.

1B. How many people with IDD lived in State-Operated IDD Settings on June 30, 2021 (by Setting Size and Funding Authority)?

Funding Authority	1-3	4-6	1-6 Total	7-15	16+	Size Unknown	Total People
Medicaid Waiver 1115; 1915 (a) (b) (b/c); and 1915 (c)							
Medicaid ICF/IID *							
Other state-operated IDD Settings**							
Total							

*Include people in ICF/IID Certified units in nursing homes or psychiatric facilities. **Report all other people in nursing homes or psychiatric facilities in Part 5.

1C. Describe people with IDD and daily costs in State-Operated IDD Settings serving 16 or more people (Public Residential Facilities, PRFs) for the Year ending June 30, 2021 by Funding Authority

State Operated IDD Settings serving 16 or more people with IDD	Medicaid Waiver	Medicaid ICF/IID*	Other IDD Settings**	Total
ADMISSIONS/READMISSIONS between July 1, 2020 and June 30, 2021 (Do not include short-term respite or crisis admissions of 90 days or less or transfers between PRFs)				
SHORT-TERM respite or crisis ADMISSIONS to PRFs (for stays of 90 days or less)				
DISCHARGES number of people who moved out of the facility between July 1, 2020 and June 30, 2021 (excluding short-term respite or crisis stays and transfers between PRFs)				
DEATHS People who died between July 1, 2020 and June 30, 2021 while living in a PRF.				
AVERAGE DAILY RESIDENTS between July 1, 2020 and June 30, 2021.				
PER DIEM (average daily per person cost of care). Enter N/A if there were no PRFs in a Funding Authority category on June 30, 2021.				

FY 2021 (July 1, 2020 – June 30, 2021) Residential Information Systems Projects (RISP) Survey

*Include people in ICF/IID Certified units in nursing homes or psychiatric facilities. **Report all other people in nursing homes or psychiatric facilities in Part 5.

Part 1 Data date if not June 30, 2021:

Respondent Name:

Phone:

Email:

Part 2: Nonstate-Operated LTSS Recipients and Settings on June 30, 2021

Nonstate-Operated settings – LTSS settings staffed by employees of an entity other than the state.

Setting Size Number of LTSS recipients living in the setting as of June 30, 2021.

- Do not count empty beds. For example, a campus licensed to serve 20 people with 12 people in residence on June 30 should be counted in the 7-15 size category.
- If all settings of a particular type have six or fewer people but you are unable report separately for settings of 1 to 3 people versus settings of 4 to 6 people, please provide the total in the 1-6 category.
- Report on all other settings of unknown size in the size unknown category.

Setting Type: Nonstate-Operated Living Arrangements

Type I: **ICF/IID**. Medicaid Intermediate Care Facilities for Individuals with Intellectual Disabilities.

Type II: **IDD Group Home**. A residence **owned, rented, or managed by the residential services provider, or the provider's agent**, to provide housing for persons with IDD in which staff provide care, instruction, supervision, and other support for residents with IDD. Include corporate foster care settings and provider-owned or controlled housing.

Type III: **Host/ Foster Family Home**. A home owned or rented by an individual or family in which they live and provide care for one or more unrelated persons with IDD.

Type IV: **Own Home**. A home **owned or leased by one or more persons with IDD** as the person(s)' own home, in which they receive personal assistance, instruction, supervision, and other supports.

- Do not include family homes, provider-owned or leased homes or host/foster family homes.
- Include settings in which people with IDD
 - live alone or share a home with a spouse/partner or roommate(s) they have chosen.
 - hold the title or lease in their own name; or is named on the lease,
 - can continue to live after discontinuing services from a particular provider, and
 - can substitute services from a different provider at any time.

Type V: **Family Home**. A residence of a person with IDD that is also the home of a related family member in which the person receives one or more long-term support or service such as respite care, personal assistance, day habilitation, or in-home supports in addition to case management services.

Type VI: **Other Settings**. Other residential settings such as assisted living facilities, residential schools, correctional facilities, board and care facilities, farmsteads, intentional, or gated communities. **Do not** report on psychiatric facilities or nursing homes here (report on them in Part 5 of the survey).

Type VII: **Unknown Setting Type**. The type of setting in which a LTSS recipient with IDD lives is not known

- People known to be homeless should be counted as living in an unknown setting.
- All people on the IDD Agency caseload who receive one or more LTSS in addition to case management, and who live in a non-state setting, should be accounted for on Table 2B except those living in a nursing home or psychiatric facility.
- If left blank, this question will be recoded to 0.

FY 2021 (July 1, 2020 – June 30, 2021) Residential Information Systems Projects (RISP) Survey

2A. In how many different Nonstate-Operated settings did LTSS Recipients with IDD live on June 30, 2021 (By Setting Size and Setting Type)?

Residence Type	1-3	4-6	1-6 Total	7-15	16+	Size Unknown	Total Settings
I. ICF/IID							
II. IDD Group Home							
III. Host/ Foster Family Home							
IV. Own Home							
V. Family Home							
VI. Other Settings*							
Total							

*Do not report on people living in nursing homes or psychiatric facilities. (Report them in Part 5 of the survey.)

2B. How many LTSS recipients with IDD on the caseload of the state IDD agency lived in nonstate-operated settings on June 30, 2021 (By Setting Size and Residence Type)?

Residence Type	1-3	4-6	1-6 Total	7-15	16+	Size Unknown	Total People
I. ICF/IID							
II. IDD Group Home							
III. Host/ Foster Family Home							
IV. Own Home							
V. Family Home							
VI. Other Setting*							
VII. Unknown Setting Type							
Total							

*Do not report on people living in nursing homes or psychiatric facilities. (Report them in Part 5 of the survey.)

2C. Of the people with IDD living in nonstate settings of each type, how many received services funded by the listed Medicaid Funding Authorities

	3) Medicaid Waiver 1115; 1915 (a) (b) (b/c); 1915 (c)	b) Medicaid State Plan 1915 (i) or 1915 (k) (optional)	c. Unduplicated Total
I. ICF/IID		Not applicable	
II. IDD Group Home			
III. Host/ Foster Family Home			
IV. Own Home			
V. Family Home			
VI. Other Setting*			
VII. Unknown Setting Type			

*Do not report on people living in nursing homes or psychiatric facilities. (Report them in Part 5 of the survey.)

Part 2 Data date if not June 30, 2021:

Respondent Name: _____ Phone: _____ Email: _____

FY 2021 (July 1, 2020 – June 30, 2021) Residential Information Systems Projects (RISP) Survey

Part 3: IDD Agency Caseload, LTSS Recipients, and Expenditures

This section asks about Federal and State Medicaid **LTSS** expenditures, and state **LTSS** expenditures for people on the **State IDD Agency Caseload** by **Funding Authority**, age, and living arrangement (family home or other).

This is what you reported in other parts of the survey (for those using the online survey):

LTSS Recipients Living in State-Operated Settings (Part 1B)	Total Part 1B
LTSS Recipients in Non-State Settings (Part 2B)	Total Part 2B
LTSS Recipients in Nursing Homes (Part 5A)	Total Part 5A
LTSS Recipients in Psychiatric Facilities (Part 5B)	Total Part 5B
Total LTSS Recipients	LTSS recipients

State IDD Agency Caseload includes people with IDD known to the state agency who are eligible for or receiving services through the state IDD agency regardless of whether they currently receive LTSS.

Include

- People with IDD known to the State IDD Agency who receive one or more long-term support or service in addition to case management,
- People receiving only case management or service coordination services,
- People waiting for services, and
- People known by the **State IDD Agency** to be eligible for LTSS who do not current receive LTSS.

Do not include people whose eligibility for services has not been determined

3.1. How many people with IDD were on the **State IDD Agency Caseload** on June 30, 2021 (By Age)?

Provide an unduplicated total.

State IDD Agency Caseload	21 years or younger	22 years or older	Total All Ages
Number of People			

3.2. How many people with IDD on the **State IDD Agency Caseload** died between July 1, 2020 and June 30, 2021?

	21 years or younger	22 years or older	Total All Ages
Number of Deaths			

LTSS Recipients with IDD and Expenditures by Recipient Age and Living Arrangement

Report recipients and expenditures for FY 2021 (July 1, 2020 through June 30, 2021) for each **Funding Authority**.

- For Medicaid funding authorities report both federal and state/local expenditures.
- Report total recipients and total expenditures if you are unable to separate expenditures by age.
- Include expenditures for both state-operated and non-state operated settings.

FY 2021 (July 1, 2020 – June 30, 2021) Residential Information Systems Projects (RISP) Survey

3A. Medicaid Waiver Recipients and Expenditures by Age for FY 2021

Medicaid Waiver 1115, 1915 (a),(b), (b/c), or 1915(c)	21 years and younger	22 years and older	Total All Ages	Recipients Parts 1 & 2
Recipients				
Expenditures				
Expenditures per person				

3B. Medicaid Waiver Recipients and Expenditures by Living Arrangement and Age for FY 2021

Medicaid Waiver	1115, 1915 (a), (b), (b/c), or 1915 (c)	21 years and younger	22 years and older	Total All Ages
Home of a Family Member	Recipients			
Home of a Family Member	Expenditures			
Home of a Family Member	Expenditures per person			
Other (non-family) Setting	Recipients			
Other (non-family) Setting	Expenditures			
Other (non-family) Setting	Expenditures per person			

3C. Medicaid State Plan Home and Community-based Services Recipients and Expenditures by Age

Medicaid State Plan	21 years and younger	22 years and older	Total All Ages
1. State Plan Section 1915 (i) or 1915 (k) HCBS			
Recipients			
Expenditures			
Expenditures per person			
2. State Plan Targeted Case Management			
Recipients			
Expenditures (Optional)			
Expenditures per person (Optional)			
3. Other State Plan LTSS*			
Recipients			
Expenditures (Optional)			
Expenditures per person (Optional)			

* Community supported living arrangements; home and community-based services; personal care services; adult day care; private duty nursing; speech, occupational, physical or other habilitation or rehabilitation therapies; or other services as approved by DHHS (includes LTSS authorized under 1905(a) and 1915(g))

3D. Medicaid Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IID) Recipients and Expenditures by Age (Include State-Operated and Non-state Operated ICF/IID)

Medicaid ICF/IID	21 years and younger	22 years and older	Total All Ages	Recipients (Parts 1 and 2)
Recipients				
Expenditures				
Expenditures per person				

FY 2021 (July 1, 2020 – June 30, 2021) Residential Information Systems Projects (RISP) Survey

3E. State-Funded Non-Medicaid LTSS Recipients and Expenditures by Age

State-Funded Non-Medicaid	21 years and younger	22 years and older	Total All Ages
Recipients			
Expenditures			
Expenditures per person			

3F. People with IDD on the State IDD Agency Caseload but not receiving funded LTSS on June 30, 2021

No Medicaid or State-Funded LTSS	21 years and younger	22 years and older	Total All Ages
People with IDD			

Part 3 Data date if not June 30, 2021:

Respondent Name: Phone: Email:

Part 4: Medicaid Waiver Waiting List

4. How many people with IDD living with a Family Member, or in Own Home settings, were waiting for any type of Medicaid Waiver-funded long-term supports and services on June 30, 2021?

Include people who

- Lived with a family member or in their own home AND
- Received State-funded or Medicaid State Plan funded services or supports who were waiting for Medicaid Waiver-funded supports

Do not include people

- Living in an ICF/IDD, or in another non-family setting
- Already receiving Medicaid Waiver 1115, 1915 (a),(b), (b/c), or 1915 (c) funded supports who are waiting for additional funding

People Waiting for Medicaid Waiver 1115, 1915 (a),(b), (b/c), or 1915 (c) funding	Number of People
How many people with IDD living in their own home or the home of family member were waiting for (but not receiving) Medicaid Waiver-funded LTSS on June 30, 2021?	
a. Of the people waiting, how many were receiving case management (including Targeted Case Management) services?	
b. Of the people waiting, how many were waiting to move to a setting other than the home of a family member?	

Part 4 Data date if not June 30, 2021:

Respondent Name: Phone: Email:

FY 2021 (July 1, 2020 – June 30, 2021) Residential Information Systems Projects (RISP) Survey

Part 5: Psychiatric Facilities and Nursing Homes

This section includes all people with IDD in your state whether they are served by the [State IDD Agency](#) or not.

5. How many people with IDD lived in state-operated or non-state-operated [Nursing Homes](#) or [Psychiatric Facilities](#) on June 30, 2021? Of those, how many were on the caseload of the IDD agency?

	Number of people with IDD	21 years and younger	22 years and older	Total	On IDD Caseload
5A	Nursing Homes				
5B	Psychiatric Facilities				

5C. Of the people with IDD in [Psychiatric Facilities](#) (in question 5B) how many were in a state-operated facility?

Number of people with IDD in a state-operated psychiatric facility

Part 5	Data date if not June 30, 2021:				
	Respondent Name:		Phone:		Email:

Thank you for completing the RISP survey. Please inform your RISP contact that you have completed the survey. The project team will review your responses. We will contact you if we have any questions. Contact your assigned RISP staff member individually or the RISP project team at (RISP@umn.edu) if you have questions.