



# **In-Home and Residential Long-Term Supports and Services for Persons with Intellectual or Developmental Disabilities: Status and Trends Through**

# **2013**



## **Residential Information Systems Project (RISP)**

Research and Training Center on Community Living  
Institute on Community Integration/UCEDD

UNIVERSITY OF MINNESOTA  
**Driven to Discover<sup>SM</sup>**





## In-Home and Residential Long-Term Supports and Services for Persons with Intellectual or Developmental Disabilities: Status and Trends Through

# 2013

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**2016**

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This report is available online in an interactive format at: [z.umn.edu/risp2013](http://z.umn.edu/risp2013)  
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This project is funded through a cooperative agreement from the Administration on Community Living, U.S. Department of Health and Human Services Grant #90DN0297 with supplemental support from the National Institute on Disability and Independent Living Rehabilitation Research Grant #H133B130006. Grantees undertaking projects under government sponsorship are encouraged to express freely their findings and conclusions. Points of view or opinions do not therefore necessarily represent official ACL or NIDRR policy.

**The recommended citation for this report is:**

Larson, S.A., Hallas-Muchow, L., Aiken, F., Taylor, B., Pettingell, S., Hewitt, A., Sowers, M., & Fay, M.L. (2016). *In-Home and Residential Long-Term Supports and Services for Persons with Intellectual or Developmental Disabilities: Status and trends through 2013*. Minneapolis: University of Minnesota, Research and Training Center on Community Living, Institute on Community Integration.

Report layout and design by Karen Sheahan.

Project Technical Staff: Kristin Dean, Jonathan Waltz, Shawn Lawler, and John Westerman

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## ACRONYMS AND DEFINITIONS

<b>AHCA</b>	American Health Care Association
<b>AIDD</b>	Administration on Intellectual and Developmental Disabilities (formerly ADD)
<b>ACL</b>	Administration on Community Living
<b>APDDA</b>	Association of Professional Developmental Disabilities Administrators
<b>CASPER</b>	Certification and Survey Provider Enhanced Reporting (Medicaid)
<b>CEHD</b>	College of Education and Human Development (University of Minnesota)
<b>CMS 64</b>	Centers for Medicare & Medicaid Services Quarterly Expense Report
<b>CMS</b>	Centers for Medicare & Medicaid Services
<b>FFP</b>	Federal Financial Participation
<b>FISP</b>	Supporting Individuals and Families Information Systems Project (University of MN)
<b>FMAP</b>	Federal Medical Assistance Percentage
<b>FY</b>	Fiscal Year (FY 2013 refers to July 1, 2012 to June 30, 2013)
<b>HCBS</b>	Home and Community-based Services (Medicaid)
<b>HSRI</b>	Human Services Research Institute
<b>ICF/IID</b>	Intermediate Care Facility for Individuals with Intellectual Disabilities (formerly ICF/MR)
<b>ICI</b>	Institute on Community Integration (University of MN)
<b>IDD</b>	Intellectual and Developmental Disabilities
<b>IDEA</b>	Individuals with Disabilities Education Act
<b>LTSS</b>	Long-term supports and services
<b>MA</b>	Medical Assistance
<b>MCO</b>	Managed Care Organization
<b>MFP</b>	Money Follows the Person
<b>NASDDDS</b>	National Association of State Directors of Developmental Disabilities Services
<b>NASUAD</b>	National Association of States United for Aging and Disabilities
<b>NIDILRR</b>	National Institute on Disability, Independent Living, and Rehabilitation Research
<b>OBRA-87</b>	Omnibus Budget Reconciliation Act of 1987
<b>OSCAR</b>	Online Survey, Certification and Reporting System (Medicaid)
<b>PASRR</b>	Preadmission Screening and Resident Review
<b>QIDP</b>	Qualified Intellectual Disability Professional
<b>RISP</b>	Residential Information Systems Project (University of MN)
<b>RTC</b>	Research and Training Center on Community Living (University of MN)
<b>SNF</b>	Skilled Nursing Facilities
<b>SSA</b>	Social Security Administration
<b>UCEDD</b>	University Centers of Excellence in Developmental Disabilities

## ACKNOWLEDGEMENTS

This report is based primarily on statistics gathered and analyzed as part of the National Residential Information Systems Project on Residential Services (RISP) and the Supporting Individuals and Families Information Systems Project (FISP). We are indebted to the more than 300 state and individual facility respondents who provided information for this report. This report would not be possible without their knowledge, expertise, and generous assistance. Some of these talented individuals are listed below.

The National Association of State Directors of Developmental Disabilities Services (NASDDDS) and its research committee assist with identifying state contacts, reviewing survey instruments, and reviewing and providing feedback on drafts of this report. The Association of Professional Developmental Disabilities Administrators (APDDA) assists in identifying and contacting respondents for survey, presents results survey results to its membership,

and provides input regarding changes to the survey instrument. We thank all of the people who provided data for the RISP project FY 2013 surveys.

Thanks to all members of the RISP and FISP teams for their contributions to gathering and editing data, preparing the report, and providing technical support for the project website and other dissemination activities

The authors thank the Administration on Intellectual and Developmental Disabilities (AIDD), its Commissioner, Aaron Bishop and our Project Officer, Katherine Cargill-Willis, for ongoing support of these projects and their various activities. This project, co-sponsored through the Research and Training Center on Community Living is also greatly assisted by the National Institute on Disability, Independent Living, and Rehabilitation Research, and our Project Officer, Dawn Carlson.

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## IN REMEMBRANCE

THE RISP AND FISP TEAMS WISH TO HONOR THE MEMORIES OF RETIRED RISP STAFF MEMBERS

ROBERT PROUTY WHO PASSED AWAY ON JANUARY 8, 2014, AND

BARBARA POLISTER WHO PASSED AWAY ON MAY 19, 2015.

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## CROSS LISTING INDICES FOR THE RISP FY 2012 AND FY 2013 TABLES AND FIGURES

These indices compare the table and figure numbers for this FY 2013 RISP report with the FY 2012 RISP report. Revised tables and figures are noted with an *r* after the FY 2012 table or figure number. Tables

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FISP-The Supporting Individuals and Families Information Systems Project (Anderson, et al., 2015)

## EXECUTIVE SUMMARY

On June 30, 2013, there were an estimated 6.2 million people in the United States with Intellectual or Developmental Disabilities (IDD). Of those people, an estimated 1,308,676 were known to state IDD agencies and 1,134,193 received long-term supports and services (LTSS) through the IDD system. Of the people not receiving LTSS through the IDD system, an estimated 8.6% lived alone or with a roommate, 6.7% lived with a spouse, and 84.7% lived in the home of a relative (Larson, et al., 2001). Of the people receiving LTSS through the IDD system, 56% lived in the home of a family member, 11% lived in a home they owned or leased, 5% lived with a host or foster family, and 28% lived in a group IDD setting.

This report describes the status of people served by state IDD agencies between July 1, 2012 and June 30, 2013 (FY 2013). In-home and residential long-term supports and services (LTSS) are described along five main dimensions: the type of setting in which the person lived, setting size, funding authority, state versus nonstate operation, and age of the service recipient. The report also describes trends in LTSS for people with IDD.

This executive summary highlights key policy questions and national findings for FY 2013. The body of the report describes national and state status and trends in in-home and residential supports for people with IDD, and provides facility level information for state-operated IDD facilities serving 16 or more people on June 30, 2013.



## Section 1: In-Home and Residential Supports

*Section 1 describes the types and sizes of residential settings in which people with IDD received services in FY 2013.*

### KEY POLICY QUESTIONS

- What proportion of the people getting IDD services are supported to live in their own homes or in the homes of family members?
- In how many non-family settings do people with IDD who receive services live and what are the size of those settings?
- Of the people who don't live with a family member, what proportion live in their own home, a host or foster home, or a group setting shared by three or fewer people with IDD?
- How many people with IDD lived in large IDD institutions, nursing homes or psychiatric facilities on June 30, 2013?
- What proportion of IDD service recipients lived in a state operated setting?
- How many people with IDD were waiting to get needed Medicaid funded long-term supports or services on June 30, 2013, and how many were getting targeted case management services while they waited?

### KEY FINDINGS

- On June 30, 2013 an estimated 1,134,193 people with IDD received supports in settings known to state IDD agencies. Of those individuals, an estimated:
  - » 630,367 (56%) lived in the home of a family member
  - » 287,931 (26%) lived in a group setting providing supports to people with IDD
  - » 127,664 (5%) lived in setting they owned or leased (with or without roommates)
  - » 63,059 (5%) lived in a host home or with a foster family
  - » 25,172 (2%) lived in a nursing home or state-operated psychiatric facility
- While 56% of LTSS recipients with IDD lived in the home of a family member overall, state percentages ranged from 16% to 85%.
- An estimated 51% of LSSS recipients with IDD not living in the home of a family member lived in their own home, a host or foster family home or group setting shared by three or fewer people (state averages ranged from 8% to 95%).
- An average of 151 people with IDD received LTSS in settings other than the home of a family member per 100,000 of the US population (state averages ranged from 54 people per 100,000 to 369 people per 100,000).
- Service recipients with IDD lived in an estimated 213,296 non-family settings including 171,193 homes shared by three or fewer people with IDD, 34,743 shared by four to six people, 6,210 shared by seven to fifteen people, and 1,150 IDD facilities shared by 16 or more people (of those 167 were state-operated and 983 were nonstate facilities).
- An estimated 48,903 people lived in an IDD facility with 16 or more people, 24,021 lived in a state or nonstate nursing home, and 1,151 lived in a state psychiatric facility.
- On June 30, 2013 an estimated 232,204 people with IDD were waiting to receive requested Medicaid funded LTSS. Of those, 107,959 were waiting to move to a setting other than the home of a family member. An estimated 42,385 of those waiting received Medicaid State Plan-funded Targeted Case Management services while they waited.
- To serve all of the people waiting through the Medicaid Waivers or Intermediate Care Facilities for Individuals with Intellectual Disabilities, those programs would have to expand an average of 29%.

## Section 2: Medicaid Recipients and Expenditures

*Section 2 describes Medicaid funded Long-Term Supports and Services for People with in FY 2013.*

### KEY POLICY QUESTIONS

- In what types of settings do Medicaid Waiver recipients with IDD live?
- How many people with IDD live in state and nonstate ICF/IID settings of different sizes?
- How many people with IDD per 100,000 of the population receive Medicaid Waiver supports or live in an ICF/IID?
- What proportion of Medicaid Waiver or ICF/IID recipients are children and youth and what proportion are adults?
- What proportion of Medicaid LTSS recipients with IDD receive Waiver funded supports in home and community-based settings rather than live in an ICF/IID?
- How do annual per person Medicaid LTSS expenditures differ by funding authority (Medicaid Waiver versus ICF/IID), and by recipient age?

### KEY FINDINGS

- Of the estimated 711,974 Medicaid Waiver recipients with IDD, 365,537 (51%) lived in the home of a family member, 98,257 (14%) lived in a home they own or leased, 57,560 (8%) lived in the home of a host or foster family, and 347,098 (27%) lived in a state or nonstate group setting.
- An estimated 76% of all Medicaid Waiver recipients with IDD were ages 22 years or older (state averages ranged from 37% to 99%).
- On June 30, 2013, an estimated 81,149 people lived in an ICF/IID including 19,739 in settings of 6 or fewer people, 19,524 in settings of 7 to 15 people, and 41,887 in settings with 16 or more people.

- On June 30, 2013, there were an estimated 6,479 total ICF/IID settings in the United States of which 3,775 served 6 or fewer people, 2,178 served 7 to 15 people, and 526 served 16 or more people. Of the ICF/IID settings serving 16 or more people, 160 were state-operated and 366 were nonstate operated.
- An estimated 93% of all ICF/IID residents were 22 years or older (state averages ranged from 82% to 100%).
- Total Waiver expenditures in 2013 were estimated to be \$30,410,731,083. Total ICF/IID expenditures were estimated to be \$11,550,758,821.
- Nationally, 72% of Medicaid Waiver plus ICF/IID expenditures were for Waiver-funded supports, while 90% of Medicaid LTSS recipients received Waiver funded supports.
- Average annual Waiver expenditures per year end recipient with IDD were \$42,713 (state averages ranged from \$14,858 to \$92,656) in FY 2013.
- Average annual ICF/IID expenditures per year end recipient were \$79,876 (state averages ranged from \$71,034 to \$549,904) in FY 2013.
- Average annual per person Medicaid expenditures for people with IDD ages 21 years or younger were \$19,310 for Waiver recipients and \$117,250 for ICF/IID residents.
- Average annual per person Medicaid expenditures for people with IDD ages 22 years or older were \$42,492 for Waiver recipients and \$151,943 for ICF/IID residents.

## Section 3: Trends in Long-Term Supports and Services

*Section 3 describes trends and changes across time in the number of people with IDD served, Medicaid Waiver and ICF/IID expenditures (nationally and by state), size and type of places LTSS recipients with IDD live, and the use of state-operated residential services.*

### KEY POLICY QUESTIONS

How has deinstitutionalization changed the types and sizes of places in which people with IDD receive long-term supports and services?

- How has the number of LTSS recipients with IDD changed since 1998?
- How have the size and operational entity (state or nonstate) of non-family residences in which people with IDD live changed since 1977?
- How have the number of Medicaid Waiver and ICF/IID recipients changed since 1977 (by state and nationally)?
- How have total expenditures for Medicaid Waiver and ICF/IID recipients changed since 1977 (by state and nationally)?
- How has the proportion of total Medicaid expenditures allocated to supporting people with IDD through Medicaid Waiver or ICF/IID funding authorities changed since 1980?

### KEY FINDINGS

- Between 1998 and 2013 the number of people receiving Medicaid Waiver funded supports while living in the home of a family grew from 80,799 to 364,876. The number of people known to state IDD agencies but not receiving Medicaid Waiver funded supports while living in the home of a family member grew from 244,851 to 265,491.
- Between 1998 and 2013 the number of people with IDD living in a non-family setting shared by 3 or fewer people grew from 125,948 to 249,781.
- Between 1998 and 2013 the number of people with living in IDD settings with 4 to 6 people grew from 73,658 to 122,262 and the number in IDD settings with 7 to 15 people grew from 53,940 to 57,507. The number of people with IDD in IDD facilities with 16 or more people, nursing homes or state psychiatric facilities declined from 114,495 to 74,075.
- The total number of non-family settings in which LTSS recipients with IDD lived increased from 11,008 in 1977 to 213,296 in 2013.
- Between 1977 and 2013 the average number of people with IDD sharing a residential setting other than the home of a family member declined from 22.5 to 2.2.
- In 2013, 478,654 people with IDD lived in settings other than the home of a family member; 371,815 lived in settings shared with 6 or fewer people, 57,937 lived in settings shared by 7 to 15 people and 48,903 lived in facilities with 16 or more residents.
- In 2013, 7% of all people with IDD getting services in settings other than the home of a family member lived in a state-operated setting compared with 63% in 1977.
- In 2013, an estimated 443,052 people lived in settings operated by a nonstate entity compared with 25,602 who lived in state operated IDD settings. Overall, 1% of people in settings of 1 to 6 people were living in a state operated setting as were 11% of people in settings of 7 to 15 people.
- In 2013 for the first time there were fewer people living in state-operated IDD facilities of 16 or more people (49%) than were living in nonstate IDD facilities of 16 or more people.
- From 1977 to 1995 more people with IDD lived in ICF/IID certified facilities than received Medicaid Waiver funded supports. Between 1995 and 2013, the proportion of people getting Medicaid Waiver rather than ICF/IID services grew from 53% to 90%.
- In 1980, \$1.74 billion was expended for Medicaid Waiver or ICF/IID services for people with IDD out of total Medicaid expenditures of \$14.55 billion (11.9%). In 2013, \$42.96 billion was expended for Medicaid Waiver or ICF/IID supports for people with IDD out of total Medicaid expenditures of \$429.95 billion (10.0%).

## Section 4: Status of State-Operated IDD Settings

*Section 4 describes state-operated residential settings and service recipients with IDD in FY 2013. It also describes service recipients; admissions, discharges and deaths in state IDD facilities serving 16 or more people, and average daily cost per person served.*

### KEY POLICY QUESTIONS

- How many state-operated IDD facilities were operating in 2013; how big were they; and how were they funded?
- How has the number of people with IDD living in state-operated IDD or psychiatric facilities of 16 or more people changed between 1926 and 2013?
- What are the average annual per person expenditures for people with living in state-operated ICF/IID settings of 16 or more people?
- How many state-operated IDD facilities serving 16 or more people closed, downsized to fewer than 16 residents or converted from a state-operated facility to a nonstate facility in FY 2013? How many were expected to close between FY 2014 through FY 2020?
- Which states had no state-operated IDD facilities serving 16 or more people on June 30, 2013?

### KEY FINDINGS

- Of the 35,602 people living in state-operated IDD facilities on June 30, 2013, 830 (2%) lived in settings shared by three or fewer people, 4,487 (13%) lived in settings of 4 to 6 people, 6,431 (15%) lived in settings of 7 to 15 people, and 23,854 (67%) lived in settings with 16 or more people.
- Of the people living in state-operated IDD facilities, 10,298 (29%) received Waiver funded supports, 24,655 (69%) lived in an ICF/IID, and 649 (2%) lived in a setting funded by another source.
- Average annual per person expenditures for state operated settings of 16 or more people were \$161,695 for Waiver funded facilities, \$260,975 for people in an ICF/IID, and \$152,570 for people in settings funded by another source.

- The number of people living in state IDD facilities of 16 or more people declined 12% between July 1, 2012 and June 30, 2013 (from 26,981 to 23,854). During FY 2013, an estimated 1,130 people were admitted to large state IDD facilities, 2,288 people were discharged, and 620 died. An additional 887 people were admitted for a short term stay for assessment, respite, or for temporary emergency housing.
- On June, 30, 2013 11 states (Alabama, Alaska, Hawaii, Indiana, Maine, Michigan, Minnesota, New Hampshire, New Mexico, Oregon, and Vermont) and the District of Columbia reported having no state-operated IDD facilities serving 16 or more people. An additional 10 states reported having only one state-operated IDD facility serving 16 or more people.
- A total of 211 state-operated IDD facilities with 16 or more residents closed between 1960 and 2012, and 8 closed during FY 2013. Projected closures included 5 in FY 2014, 4 in FY 2015, 5 in FY 2016, 3 in FY 2017, 1 in FY 2018 and 1 in FY 2020.
- Between 2010 and 2014, 38 large state IDD facilities and units closed, converted to non-IDD use or were privatized (the highest number for a five year period since 1995-1999).
- The average daily population of state-operated IDD facilities with 16 or more residents declined from 194,650 in 1967 to 23,724 in FY 2013. The number of people with IDD living in state psychiatric facilities declined from 33,850 in 1967 to 803 on June 30, 2013.
- In 2013 dollars, average annual per person expenditures for state-operated IDD facilities with 16 or more people increased from \$208,537 in FY 2010 to \$265,161 in FY 2013.

## Section 5: State IDD Facilities Serving 16 or More People

*Section 5 describes the results of the FY 2013 Public Residential Facility Survey returned by 104 facilities. It describes state operated IDD facilities serving 16 or more people and lists facilities that had closed. It also describes change in the age of people in those settings over time.*

### KEY POLICY QUESTIONS

- How many children and youth with IDD birth to 21 years lived in state-operated IDD facilities serving 16 or more people on June 30, 2013?
- How has the number of children and with IDD birth to 21 years old in state-operated IDD facilities changed over time?

### KEY FINDINGS

- On June 30, 2013 state-operated IDD facilities with 16 or more residents served an estimated 122 children 14 years old or younger, 332 youth ages 15 to 18 years old, and 491 young

adults 19 to 21 years old. They also served an estimated 4,562 people 22 to 39 years old, 9,036 people 40 to 54 years old, 5,789 people 55 to 62 years old, and 5,331 people 63 years old or older.

- In 1965, 91,502 (48.9%) of the 194,650 people living in state-operated IDD facilities serving 16 or more people were 21 years old or younger. By June 30, 2013 only 651 (2.7%) of the 23,724 people in those settings were 21 years old or younger, a decline of 99%.

## Section 6: FY 2013 State Profiles and Notes

*Section 6 contains individual profiles for each state and for the United States as a whole summarizing key findings for FY 2013. Figures show changes in the number of Waiver and ICF/IID recipients between 1977 and 2013, average per person Waiver and ICF/IID expenditures for FY 2013, and living arrangements for service recipients with IDD as of June 30, 2013. The table summarizes longitudinal changes in living arrangements, waiting lists, and ICF/IID and Waiver utilization and expenditures.*



## Introduction

**FY 2013**



## INTRODUCTION

Community living and participation is a complex and evolving construct. In the 1970's, community living and participation simply meant, "not living in an institution." Over time the conceptualization of community living has broadened to include an array of lifelong community support alternatives for people with IDD and other disabilities. However for people with IDD "living in the community" does not necessarily mean that a person is fully included in their communities through participation in activities, events, and organizations, interactions with family and friends and/or working in a job earning at least minimum wage alongside people without disabilities. However, funders of services are increasingly seeking evidence of such outcomes in important domains of community living.

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*COMMUNITY LIVING AND PARTICIPATION FOR  
PEOPLE WITH IDD IS INFLUENCED BY THE  
AVAILABILITY AND COMPETENCE OF THOSE  
INDIVIDUALS WHO PROVIDE THE ONGOING SUPPORT  
THAT THEY REQUIRE, THE DESIGN AND FUNDING OF  
THE SERVICE DELIVERY SYSTEM AND STATE POLICIES  
REGARDING THE OVERSIGHT, OPERATION AND  
FUNDING OF LTSS.*

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Today, community living and participation are conceptualized as having many critical elements such as:

- 1) where and with whom a person lives;
- 2) where a person works and how he or she earns money;
- 3) what a person does during the day;
- 4) the quality of relationships developed with others;
- 5) what and with whom a person does things of personal interest;
- 6) an individual's health (physical and emotional);
- 7) where and with whom they worship;
- 8) their interest and opportunity to engage in learning and personal growth; and
- 9) their ability to make informed decisions about their lives (Hewitt, 2014).

Community living and participation for people with IDD is influenced by the availability and competence of those individuals who provide the ongoing support that they require, the design and funding of the service delivery system and state policies regarding the oversight, operation and funding of LTSS. The needs of a specific individual is influenced by personal characteristics and circumstances including cultural and linguistic variations (Hewitt, 2014).

This report describes long-term supports and services managed through or under the auspices of State Intellectual and Developmental Disabilities Agencies during FY 2013 (July 1, 2012 through June 30, 2013). *The Residential Information Systems Project* (RISP) gathers and reports information about the progress states are making in changing the systems that fund and deliver services to support people with IDD to achieve their community living and participation goals. Since 1977, the project has provided policy level information about the places people with IDD live and in which they receive long-term supports and services. The RISP report describes the progress states are making in helping people move from institutional settings into settings that better facilitate full inclusion and participation experiences for people who receive government funded supports. It also describes national and state level impacts of federal Medicaid policy on the extent to which states provide LTSS directly versus through non-state entities, the types and sizes of places LTSS recipients live, and the authorities through which services are funded. A sister project, the *Supporting Individuals and Families Information Systems Project* (FISP) which began in 2011, publishes a companion report describing services provided to people living in homes of their own or with family members in more detail, and comparing service utilization and expenditures by age (comparing children and youth through age 21 with adults ages 22 or older).

### Current Policy Environment

**The 2014 HCBS Rule.** In January 2014, the Centers for Medicare and Medicaid Services (CMS) issued new Home and Community-based Services (HCBS) Rules establishing new guidelines for services and supports that may be financed through several Medicaid long-term supports and services programs. (Final Regulation CMS-2249-F/CMS-2296-F; see [www.Medicaid.gov/HCBS](http://www.Medicaid.gov/HCBS)). This rule is sometimes

# 2013

referred to as the "HCBS Settings Rule." These regulations affect Medicaid funded supports authorized under sections 1915(c) home and community-based services waivers, 1915(i) State plan home and community-based services, and 1915(k) Community First Choice options. Under the new rule, to be eligible for federal financial participation, home and community-based services must be provided in settings that focus the needs of the individual as articulated in their person-centered support plan. The rule requires that services funded through Medicaid HCBS funding authorities must:

- Be integrated in and provide support for full access to the greater community, including opportunities to seek employment and work in competitive integrated settings, engage in community life, control personal resources, and receive services in the community, to the same degree as individuals not receiving Medicaid HCBS.
- Be selected by the individual from among setting options including non-disability specific settings and an option for a private unit in a residence. The setting options are identified and documented in the person-centered service plan and are based on the individual's needs, preferences, and, for residential settings, resources available for room and board.
- Ensure an individual's rights of privacy, dignity and respect, and freedom from coercion and restraint.

- Optimize individual initiative, autonomy, and independence in making life choices, including but not limited to, daily activities, physical environment, and with whom to interact.
- Facilitate individual choice regarding services and supports, and who provides them.
- In a provider-owned or controlled residential setting, the following additional conditions must be met:
  - » The unit or dwelling must be a specific physical place that can be owned, rented, or occupied under a legally enforceable agreement by the individual receiving services, and the individual has, at a minimum, the same responsibilities and protections from eviction that tenants have under the landlord/tenant law of the State, county, city, or other designated entity. Where landlord tenant laws do not apply, the State must ensure that a lease, residency agreement or other form of written agreement will be in place for each HCBS participant, and that the document provides protections that address eviction processes and appeals comparable to those provided under the jurisdiction's landlord tenant law.
  - » Each individual has privacy in their sleeping or living unit including:
    - Entrance doors can be locked by the individual, with only appropriate staff having keys.



- Individuals sharing units have a choice of roommates within that setting.
- Individuals have the freedom to furnish and decorate their sleeping or living units to the extent allowed by the lease or other agreement.
- » Ensure individuals have the freedom and support to control their own schedules and activities, and have access to food at any time.
- » Ensure individuals are able to have visitors of their choosing at any time.
- » Be physically accessible to the individual.
- » Any modification of the additional conditions specified above, must be supported by a specific assessed need and justified in the person-centered service plan.

The Rule defines settings that are not home and community-based to include nursing facilities, Institutions for Mental Diseases, Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IID), hospitals and other locations that have qualities of an institutional setting, as determined by the Secretary.

While the HCBS Rule became effective March 17, 2014, states have been afforded a transition period to come into compliance with the settings portion of the rule. States were required to submit transition plans for approval by CMS that describe the state's approach to ensuring that all settings in which HCBS are provided meet the requirements of the rule related to community integration, opportunities for autonomy, choice and privacy, as well as support to seek employment. As of January 2016, all states had submitted transition plans, describing to CMS their strategy for complying with the HCBS regulation within a five year period, but none of those plans had been approved by CMS. The submitted plans will require significant systems change in some states, which could result in large changes in the settings in which services are offered.

**Olmstead Enforcement.** The United States Department of Justice's (DOJ) enforcement activities related to the Supreme Court's Olmstead Decision continue to shape state activities related to the availability and delivery of HCBS throughout the national. Within the past three years, pivotal settlement agreements were reached in several states that are shaping the state's service delivery

system for individuals with IDD. Notably, Rhode Island and Virginia settlement agreements contain very specific requirements related to the nature and settings of services across the state. These settlement agreements can be found at [http://www.ada.gov/olmstead/olmstead\\_enforcement.htm](http://www.ada.gov/olmstead/olmstead_enforcement.htm)

### **State Level Litigation and Legislative Initiatives.**

In addition to DOJ enforcement activities, some states have been subject to specific suits from individuals or parties within their states that influence state practice and policy. Such filings may be related to reimbursement rates for Medicaid services or related to the availability of certain services and supports. These cases influence state decisions regarding resources management, as well as the nature of services to be provided.

State legislation or initiatives also influence service delivery options. For example, some states are considering or moving actively toward a managed care model for long-term supports and services for people with IDD

States are learning to be more explicit regarding expectations for person-centered planning and service delivery. States are finding that they must consider, early on, the data that they will require from the managed care entity to ensure that the state has the information necessary to provide oversight, and to understand how services are impacting individuals and their families.

### **Study Background**

#### ***Residential Information Systems Project (RISP).***

The RISP project at the University of Minnesota is an Administration on Intellectual and Developmental Disabilities (AIDD) Project of National Significance (PNS) that maintains longitudinal records of Medicaid-funded long-term supports and services (LTSS) for people with IDD. RISP reports chronicle the history of institutionalization, deinstitutionalization, and the development of community-based LTSS for people with IDD in the 50 US states plus the District of Columbia. The RISP project began collecting national data on residential supports for people with IDD in 1977 and references historical records dating back to the 1880 U.S. Census.

In 1977 and 1982, the University of Minnesota conducted a census of all state and nonstate residential facilities for people with IDD (Bruininks, Hill & Thorsheim, 1982; Hauber, et al., 1984). Annual

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reports describing large state residential facilities have been published since 1983. In 1986 RISP data collection expanded to include state-operated settings with fewer than 15 residents and nonstate settings in which people with IDD received supports. Individual state profiles summarizing the status and trends in residential and community supports were added to the annual reports in 1995. The report was reorganized in 2012 to accommodate new information about funding authorities and the age of service recipients. For 2013, information about services provided in family homes or in a person's own home, and descriptions of age related differences for Medicaid Waiver recipients were moved to the Supporting Individuals and Families Information Systems report.

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*IN 1848, THE FIRST STATE INSTITUTION UNIT FOR PERSONS WITH IDD WAS FOUNDED IN A MASSACHUSETTS STATE SCHOOL FOR CHILDREN WHO WERE BLIND. THE NUMBER OF PEOPLE LIVING IN STATE INSTITUTIONS GREW STEADILY REACHING A PEAK OF 228,500 PEOPLE IN 1967.*

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The RISP project is housed at the University of Minnesota's Institute on Community Integration in the Research and Training Center on Community Living. Employees of the University of Minnesota and our partners at HSRI and NASDDDS staff these projects. The Association of Professional Developmental Disabilities Administrators (APDDA) assists with the ongoing longitudinal study of large state-operated IDD facilities. The NASDDDS Research Committee advises both projects.

## Methodology

### Survey of State Directors of IDD Services

The annual RISP survey of State IDD Directors is fielded in partnership with the National Association of State Directors of Developmental Disabilities Services. The annual RISP survey has retained a core set of longitudinal data elements throughout its history. Periodic updates were made as Federal Medicaid policy and state implementation practices changed. In 2012, the survey was expanded to include questions about the age, living arrangements and expenditures for Waiver Recipients to

accommodate the information needed for the FISP project. Questions about ICF/IID expenditures by age were also added.

For FY 2013, RISP data collection was moved to an enhanced web-based platform. Survey items were added to capture information about the use of eight Medicaid and State funding authorities. Questions were expanded to ask about total expenditures for Medicaid State Plan funding authorities, and state-only programs by age. The waiting list question was divided into three questions: the number of people waiting for Medicaid Funded services, the number of people waiting who were receiving Targeted Case Management services and the number of people who had requested funding to move to a setting other than the home of a family member. Finally, questions about nursing homes and psychiatric facilities were expanded to capture both state and nonstate settings.

While some state respondents have been reporting data for the RISP project for many years, approximately one-third of the respondents are new to the project each year. A webinar was held describing changes to the survey and the survey platform before the FY 2013 surveys were fielded. The data collection team assisted state respondents to complete the survey, clarify survey responses, and decide how to handle missing data elements. Because there were changes to both the survey content and the computer platform hosting the server, project staff made many more calls to support states with regard to the FY 2013 survey than in previous years. The new project data base automatically tracks survey responses, updates and clarifications throughout the data collection process. It also has built in proofing tools to assist states to identify inconsistencies in the information they provide.

### Survey of Administrators of State Residential Facilities

Separate surveys of administrators of state residential facilities serving 16 or more people with IDD have been conducted annually since 1977 in cooperation with the Association of Professional Developmental Disabilities Administrators (APDDA). Each year data on current census, admissions, discharges and deaths are collected. Every other year (in even numbered Fiscal Years) a longer survey asks for demographic, diagnostic, functional and



behavioral characteristics of the people served, numbers of people moving in and out of the facilities, prior and post-discharge setting types, and administrative characteristics (e.g., expenditures, services offered to non-residents, wages and benefits and employee job classifications).

The Public Residential Facility (PRF) survey sample includes large state-operated IDD residential facilities and IDD units (typically ICF/IID certified) within state psychiatric or other "mixed use" residential facilities with 16 or more residents with IDD. Facilities that closed, were repurposed and no longer serve people with IDD, or were downsized to fewer than 16 people with IDD as of June 30, 2013 were not surveyed. A few PRFs that opened after the PRF survey sample frame was established are not surveyed.

The FY 2013 short form survey included questions about facility closures and planned closures, number of people in residence on June 30, 2013, admissions, readmissions, discharges and deaths during FY 2013, average daily per person expenditures, and age of people in residence. Survey data were analyzed using SPSS 21.0 software. Missing or inconsistent responses were clarified in follow-up phone calls and/or emails.

## Other Data Sources

In addition to the RISP longitudinal data set, longitudinal data are derived from the following sources: 1) data on state IDD and psychiatric facilities for the years 1950 to 1968 come from the National Institute of Mental Health's surveys of "Patients in Institutions;" 2) data on state IDD facilities for FYs 1969 and 1970 come from surveys conducted by the Office on Mental Retardation Coordination, now the Administration on Intellectual and Developmental Disabilities; 3) data on large state IDD facilities for 1971 through 1977 come from the surveys of the National Association of Superintendents of Public Residential Facilities for People with Mental Retardation, now the APDDA; and 4) data on psychiatric facilities for 1969 to 1977 come from the National Institute of Mental Health's surveys of "Patients in State and County Mental Hospitals." Longitudinal data about ICF/IID and Waiver expenditures are from a series of reports from the Truven Group (Eiken, 2015).

Missing data on nursing home residents was derived from analyses of CMS CASPER data sets (American Health Care Association, 2015a, 2015b, and 2015c). Missing FY 2013 expenditure data for HCBS and ICF/IID services were drawn from analysis of the Centers



for Medicare and Medicaid Services Quarterly Expense Reports by the Truven Group (Eiken et al., 2015). National and state populations, tax revenue, cost of living adjustments and federal financial participation rates for states were downloaded from US Census Bureau or CMS [Medicaid.gov](http://Medicaid.gov) sources (Chantrill, 2015; US Census Bureau Population Division, 2014). The prevalence of adults with IDD was drawn from a study of the 1994/1995 National Health Interview Survey Disability Supplement (Larson, et al., 2001). Prevalence rates for children were drawn from a Centers for Disease Control analyses of NHIS survey data for 2014 (Zablotsky, et al, 2015). Table footnotes indicate data drawn from a source other than the state IDD agency survey.

## Methodological Notes

**Table Footnotes.** Table footnotes designate instances when the state provided an estimated value, used data from another year or date, or provided additional information to explain their reported value. If complete information was not available for a state for a particular item, the table shows DNF - Did Not Furnish.

**State Notes.** In a few states, the statistical systems were not wholly compatible with the operational definitions used for the RISP survey. Setting type categories were defined in cooperation with state agencies to permit, to the extent possible, comprehensive annual data collection congruent with state administrative data sets. However, states have hundreds of different names for residential programs with sometimes subtle differences from

similarly named programs in other states. A few state data systems do not permit the requested breakdowns and some settings must be subsumed in the statistics of another setting type. States have considerable flexibility in how the administer Medicaid Waiver funded services which creates gaps, variations or unique explanations for their state residential services data. Notes in the State Profile section of the report describe caveats for interpreting state data. The FY 2013 RISP survey and operational definitions documents are also included in the appendix.

**Missing Data.** In most states substantial state effort is required to compile the requested data, sometimes including separate surveys of sub-state regions. Occasionally the demands of such data collection activities preclude a state's reporting completely for a particular year.

With the permission of states, data from the previous year are used to substitute for missing data when possible and appropriate. Footnotes indicate when a data element from another year of data collection. In the most instances, data from FY 2012 were substituted for missing FY 2013 data. Because data collection for FY 2013 and 2014 overlapped, and FY 2014 data were used substituted for missing FY 2013 data. A footnote indicates that the data are from a different date, and the state notes indicate instances in which FY 2014 data were used.

If data were not furnished by a state for two or more years in a row, "Did Not Furnish" (DNF) is noted rather than substituting previous year's data. A DNF is also used when the state was not able to respond to a particular item and the FY 2012 data were deemed not appropriate to use as an estimate.

When a state did not use a particular program, setting, or funding source an N/A (not applicable) is indicated (e.g., on the average daily cost of large state-operated institutions table, states that had closed all of their large facilities have an N/A indicated).

**US Estimated Totals.** Estimated US totals were computed using historical trends, national distributions of settings sizes, and other information provided by the state such as the number of settings by funding authority. Estimated US totals are used in the narrative throughout the report. State level estimates for missing data are only shown where specifically noted.

**Types of Residential Settings.** The FY 2013 survey categorized residential or in-home services settings as follows:

**Family Home:** A residence of person(s) with IDD which is also the home of related family members in which the person(s) with IDD and/or their family members receive supportive services (e.g. respite care, homemaker services, personal assistance).

**Host home/Foster Family:** A home owned or rented by an individual or family service provider in which they live and provide care for one or more unrelated persons with IDD.

**Group Home:** A residence of any size owned, rented or managed by the residential services provider, or the provider's agent, to provide housing for persons with IDD in which staff provide care, instruction, supervision, and other support for residents with IDD.

**Intermediate Care Facilities for individuals with Intellectual Disability (ICF/IID):** an optional institutional Medicaid benefit that enables States to provide comprehensive and individualized health care and rehabilitation services to individuals to promote their functional status and independence. Although it is an optional benefit, all States offer it, if only as an alternative to home and community-based services waivers for individuals at the ICF/IID level of care (Medicaid.gov).

**Nursing home:** A Medicaid-funded institutional setting offering skilled nursing or medical care and related services; rehabilitation supports needed due to injury, disability, or illness; and/or long-term care including health-related care and services (above the level of room and board) not available in the community, needed regularly due to a mental or physical condition.

**Own home:** A home owned or rented by one or more persons with IDD as the person(s)' own home in which personal assistance, instruction, supervision and other support is provided as needed. In settings classified as Own Home, the service recipient is able to remain in the home if the provider of services changes whereas in provider owned or operated facilities, changing the service provider requires the recipient to move to a new setting.

**Psychiatric Facilities:** state residential facilities designed for persons with a primary diagnosis of a psychiatric disabilities, (for example a mental health facility) in which one or more residents with a primary or dual diagnosis of IDD lives.

**Other state-operated settings:** state-operated facilities or units within facilities that are specifically designated to serve people with IDD that are funded with resources other than the ICF/IID or the Medicaid HCBS Waiver programs.

**Other nonstate-operated residence:** residential settings not staffed by state employees in which a person with IDD lives but that is not designated as a facility for persons with IDD (e.g., board care facilities, group homes serving other populations, provider owned housing with supports facility, or assisted living facilities).

## Report Features

For FY 2013, several tables have been modified or added to incorporate new or revised information, some were omitted (including the tables describing the results from the long-form of the Public Residential Facility Survey which is only fielded in even numbered fiscal years), and the data elements reported in the state profiles were modified. The Appendix includes a guide for long-term readers to show changes in table and figure numbers, the survey, and operational definitions in use for FY 2013.

**Other Reports.** RISP annual reports for 1988 through 2012 are posted on the RISP website at [RISP.umn.edu](http://RISP.umn.edu). A 2015 report summarizing LTSS for people with IDD in the United States Territories of American Samoa, Guam, Northern Mariana Islands, Puerto Rico, and the United States Virgin Islands is also on the website. Other resources available on the website include slides from RISP and FISP conference presentations, a 1985 report analyzing the ICF/IID program, and a 1979 report describing the population of large state IDD facilities from 1880 through 1978. FY 2013 RISP State Profiles can be downloaded individually from the RISP website.





**SECTION ONE**

**In-Home and**

**Residential Supports**

**FY 2013**



## SECTION 1: IN-HOME AND RESIDENTIAL SUPPORTS

Section 1 provides an overview of the number of people with IDD served by or known to state IDD agencies and where those individuals lived on June 30, 2013.

### Estimated Number of People with IDD in the United States.

The United States population in 2013 was 73,585,872 children ages birth to 17 and 242,542,967 adults ages 18 years or older (U.S. Census Bureau, Population Division, 2014). The estimated prevalence of intellectual or developmental disabilities (IDD) was 5.76% for children 3 to 17 years (Zablotsky, Black, Maenner, Schieve, & Blumberg, 2015), and 0.79% for adults (Larson, et al, 2001). Using these prevalence rates (assuming the prevalence rate was the same for all children 17 years or younger), we estimate that there were a total of 6,154,636 people with IDD in the US in 2013 (4,238,546 children and 1,916,089 adults).

### Number of People with IDD Known to State IDD Agencies.

On June 30, 2013, of the 6.2 million people with IDD, an estimated 1,308,676 (21%) were known to or receiving services under the auspices of state IDD agencies including 1,134,193 people who received at least one long-term support or service (LTSS; See **Table 1.1**).

### Residential Settings for LTSS Recipients with IDD.

On June 30, 2013, an estimated 630,367 LTSS recipients with IDD lived in the home of a family member<sup>1</sup>, 127,664 lived in a home they owned or leased, and 63,059 lived in a family foster home or in a host home. An additional 252,329 people lived in an IDD group home or facility operated by a nonstate entity, 35,602 lived in an IDD group home or facility operated by a state agency, 1,151 people lived in a state-operated psychiatric facility, and 24,021 lived in a nursing home.

Overall, three quarters of all LTSS recipients with IDD lived with a family member (56%), in a home they owned or leased (11%), a host or foster family home (5%), or a group home shared by three or fewer people with IDD (5%; See **Figure 1.1**). Another 21%

lived in an IDD group facility shared by four or more people including 12% who lived with 4 to 6 people, 5% who lived with 7 to 15 people, and 4% who lived in an IDD facility with 16 or more residents. Only 2% lived in a nursing home, or a state psychiatric facility.

*LIVING ARRANGEMENTS FOR LTSS RECIPIENTS WITH IDD VARIED DRAMATICALLY BY STATE. IN 32 STATES, THE MOST COMMONLY REPORTED LIVING ARRANGEMENT WAS THE HOME OF A FAMILY MEMBER. IN 12 STATES IT WAS A NONSTATE IDD GROUP HOME. IN FOUR STATES IT WAS A HOME OWNED OR LEASED BY A PERSON WITH IDD.*

Living arrangements for LTSS recipients with IDD varied dramatically by state. In 32 states, the most commonly reported living arrangement was the home of a family member. In 12 states it was a nonstate IDD group home. In four states it was a home owned or leased by a person with IDD (Own Home), including Colorado (3,884 people), Idaho (1,286), North Dakota (1,159), and Tennessee (3,807). In three states it was a Host Family or foster home including New Mexico (1,915), New Hampshire (1,194), and Texas (11,090). In Mississippi the most common living arrangement was a state-operated Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID; 1,782).

States with the highest proportion LTSS recipients with IDD by setting type were:

- Family Home (Arizona, 85%, California, 71%, Florida, 68%, Ohio, 69%, and South Carolina, 72%)
- Own Home (Idaho, 49%, Michigan, 55%, Missouri, 54%, Nevada, 85%, and Tennessee, 64%)
- Host/Foster (Massachusetts, 23%, New Hampshire, 40%, New Mexico, 53%, Texas, 25% and Vermont, 37%)
- Nonstate group setting other than an ICF/IID (Alabama, 47%, Alaska, 50%, Kansas, 57%, Kentucky, 44%, and Montana, 52%)
- Nonstate ICF/IID (District of Columbia, 17%, Illinois, 20%, Indiana, 21%, Louisiana, 19%, and Oklahoma, 17%).

<sup>1</sup> On the FY 2013 survey, a few states reported the total number of people living with family members without regard to whether those individuals were receiving one or more long-term support or service. This may have artificially inflated the total number of people in this living arrangement in those states.

**Table 1.1** Living Arrangement for People with IDD Receiving One or More Funded Long-Term Supports or Service Under the Auspices of State IDD Programs as of June 30, 2013

	Family home	Own home	Host Family / Foster	Nonstate Group (Not ICF/IID)	Nonstate ICF/IID	Nonstate Other	State Group (Waiver)	State ICF/ IID	State Other	State Psychiatric	Nursing Home	Total LTSS Setting Reported	Total Caseload
<b>AL</b>	2,324	110	205	3,209	14	0	0	0	0	0	901	6,763	9,246
<b>AK</b>	332 <sup>ed</sup>	205	165	415	14 <sup>*</sup>	0	0	0	0	0	0	1,131	2,477
<b>AZ</b>	28,198	456	1,543 <sup>e</sup>	2,626	35	10	171	0	0	0	40	33,079	33,079
<b>AR</b>	2,044	633	568	1,111	520	0 <sup>ed</sup>	0	934	0	0 <sup>ed</sup>	614 <sup>e</sup>	6,424	DNF
<b>CA</b>	145,176	23,763	4,160 <sup>e</sup>	21,386	7,038	0	0	1,567 <sup>e</sup>	0	0	1,060	204,150	231,836
<b>CO</b>	2,580	3,884	2,460	865	18	937	135	334	0	0 <sup>ed</sup>	185 <sup>a</sup>	11,398	21,833
<b>CT</b>	1,416	1,292	469	3,568	362	0	363	555	0	4	388	8,417	15,918
<b>DE</b>	1,630 <sup>*</sup>	18	135	954	0	0	13	61	1 <sup>*</sup>	2	7	2,821	3,679
<b>DC</b>	727	18	104	904	352	15	0	0	0	0	5	2,125	2,120
<b>FL</b>	37,424	5,872 <sup>e</sup>	323	7,334	2,005	976	0	685	214	35	336	55,204	55,442
<b>GA</b>	2,925	1,151 <sup>e</sup>	1,186 <sup>e</sup>	2,792 <sup>e</sup>	0	14 <sup>e</sup>	0	0 <sup>2</sup>	293	0 <sup>ed</sup>	1,095 <sup>*</sup>	9,456	8,308
<b>HI</b>	2,202 <sup>ed</sup>	123 <sup>ed</sup>	669 <sup>ed</sup>	155 <sup>ed</sup>	79 <sup>ed</sup>	0 <sup>ed</sup>	0	0	0	0 <sup>ed</sup>	61 <sup>*</sup>	3,289	3,281
<b>ID</b>	1,182 <sup>ed</sup>	1,286	627	238	438 <sup>ed</sup>	0	0	51	0	0 <sup>ed</sup>	98 <sup>*</sup>	3,920	5,100
<b>IL</b>	10,416	767	260	9,150	5,750	0	0	1,810	0	1	1,094 <sup>*</sup>	29,248	50,859
<b>IN</b>	7,850	3,778 <sup>e</sup>	204	593 <sup>e</sup>	3,760	0	0	0	0	74	1,527	17,786	19,294
<b>IA</b>	DNF <sup>e</sup>	DNF <sup>e</sup>	5	680	1,626	0	64	429	0	0 <sup>ed</sup>	664 <sup>a</sup>	3,468	14,588
<b>KS</b>	2,811 <sup>ed</sup>	82 <sup>e</sup>	82 <sup>ed</sup>	5,004 <sup>ed</sup>	175 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	327 <sup>e</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	262 <sup>*</sup>	8,743	9,045
<b>KY</b>	1,599 <sup>e</sup>	327 <sup>e</sup>	767 <sup>ed</sup>	2,678 <sup>e</sup>	149 <sup>ed</sup>	0	0	203	0	151	191	6,065	DNF
<b>LA</b>	14,938	2,420	0 <sup>e</sup>	0 <sup>ed</sup>	4,309	0	0	495	0	2 <sup>e</sup>	549 <sup>e</sup>	22,713	11,399
<b>ME</b>	1,575 <sup>e</sup>	400 <sup>e</sup>	753 <sup>e</sup>	2,100 <sup>e</sup>	182	75 <sup>e</sup>	0	0	5	0 <sup>ed</sup>	200 <sup>a</sup>	5,290	5,648
<b>MD</b>	2,215 <sup>ed</sup>	2,195	213	5,759	0 <sup>d</sup>	2,249	0	153	124	0	0	12,908	24,480
<b>MA</b>	19,000 <sup>de*</sup>	2,696 <sup>de*</sup>	9,447 <sup>de*</sup>	8,396 <sup>d*</sup>	0 <sup>d*</sup>	0 <sup>de*</sup>	1,119 <sup>de</sup>	518	0 <sup>d</sup>	0 <sup>ed</sup>	253 <sup>e</sup>	41,429	34,447
<b>MI</b>	20,431 <sup>e</sup>	6,412 <sup>e</sup>	177	5,050	0	57	0	0	0	0	609 <sup>*</sup>	32,736	DNF
<b>MN</b>	11,003	2,716	1,168	8,265	1,582	5,815	366	87	0	18	154	31,174	31,104
<b>MS</b>	1,680 <sup>e</sup>	26 <sup>ed</sup>	0 <sup>ed</sup>	234 <sup>e</sup>	732 <sup>ed</sup>	0 <sup>e</sup>	213 <sup>e</sup>	1,782	0	0 <sup>ed</sup>	371 <sup>*</sup>	5,038	DNF
<b>MO</b>	8,955	4,131	208	2,500	75	0	215	463	0	259	1,078 <sup>*</sup>	17,884	31,369
<b>MT</b>	750 <sup>e</sup>	100 <sup>e</sup>	45 <sup>e</sup>	1,142 <sup>e</sup>	0	0	0	53	0	0 <sup>ed</sup>	89 <sup>*</sup>	2,179	3,367
<b>NE</b>	1,347	1,330	708	1,693	265	0	8	124	0	0	205	5,680	8,537
<b>NV</b>	3,879	1,597	71	0	54	115	0	46	0	0	87	5,849	5,744
<b>NH</b>	849	485	1,194	388	25	0	0	0	6	0 <sup>ed</sup>	60 <sup>a</sup>	3,007	4,415
<b>NJ</b>	16,275 <sup>*</sup>	134	907	7,313	622	412	144	2,413	0	61	1,070	29,351	43,500
<b>NM</b>	1,160	70	1,915	72	225	0	65	4	0	DNF	96	3,607 <sup>c</sup>	11,534
<b>NY</b>	81,178 <sup>e</sup>	9,481 <sup>e</sup>	2,178	22,060	5,561	0 <sup>e</sup>	6,925	545	0	0 <sup>ed</sup>	1,326 <sup>b</sup>	129,254	128,271
<b>NC</b>	13,765 <sup>ed</sup>	1,536 <sup>ed</sup>	1,400 <sup>ed</sup>	1,842 <sup>ed</sup>	2,001 <sup>e</sup>	0 <sup>e</sup>	8 <sup>e</sup>	1,272	0	76	752 <sup>a</sup>	22,652	21,138
<b>ND</b>	1,159	1,159	23	317	457	0	0	87	0	8	108	3,318	4,175
<b>OH</b>	69,358	14,837	2,309	2,741	5,726	4,776	0	952	0	0 <sup>d</sup>	1,962 <sup>*</sup>	102,661	100,699
<b>OK</b>	2,383	1,732	399	774 <sup>e</sup>	1,218 <sup>ed</sup>	0 <sup>e</sup>	0	203	0	DNF	597 <sup>*</sup>	7,306 <sup>c</sup>	14,347
<b>OR</b>	10,483	757	3,272 <sup>e</sup>	3,183	0	0	108	0	0	0	0	17,803	22,074
<b>PA</b>	27,880 <sup>e</sup>	4,761 <sup>ed</sup>	1,589 <sup>e</sup>	11,284 <sup>e</sup>	2,206	4,409 <sup>e</sup>	0	1,041	0	66	1,549 <sup>*</sup>	54,785	53,170
<b>RI</b>	1,760	466	229	1,258	21 <sup>ed</sup>	0 <sup>ed</sup>	245	21	0 <sup>ed</sup>	0 <sup>ed</sup>	99	4,099	3,641
<b>SC</b>	12,361	662 <sup>*</sup>	159	2,793	540	0	0	721	0	0 <sup>ed</sup>	220 <sup>a</sup>	17,456	31,474
<b>SD</b>	1,656	569	4	1,799	64	0	0	127	0	0	96	4,315	4,219
<b>TN</b>	2,788	3,807	336	742	740	22	0	267	6	5 <sup>ed</sup>	646 <sup>*</sup>	9,359	20,624
<b>TX</b>	9,645	4,316	11,090	7,826	5,572 <sup>d</sup>	0	0	3,557	0	0 <sup>ed</sup>	1,934 <sup>*</sup>	43,940	DNF
<b>UT</b>	1,828	1,006	278 <sup>e</sup>	1,414 <sup>e</sup>	580	0	0	206	0	0 <sup>ed</sup>	92	5,404	6,512
<b>VT</b>	1,774	283	1,307	142	6	0 <sup>e</sup>	0	0	0	0	32	3,544	4,245
<b>VA</b>	1,445 <sup>ed</sup>	209	1,285	3,918	326	0 <sup>ed</sup>	0 <sup>ed</sup>	779	0 <sup>ed</sup>	312 <sup>d</sup>	935 <sup>*</sup>	9,209	10,018
<b>WA</b>	10,075 <sup>e</sup>	6,307 <sup>e</sup>	891 <sup>e</sup>	2,217 <sup>e</sup>	54	284	136 <sup>e</sup>	808 <sup>*</sup>	0	37	0	20,809	39,937
<b>WV</b>	3,027	0 <sup>ed</sup>	105	1,392	512 <sup>e</sup>	0	0	512 <sup>e</sup>	0	31 <sup>ed</sup>	268 <sup>*</sup>	5,847	4,524
<b>WI</b>	13,904	5,444	5,367	2,596	504	6	0	373	0	0	34	28,228	30,765
<b>WY</b>	932 <sup>e</sup>	202 <sup>d</sup>	100 <sup>e</sup>	681 <sup>e</sup>	0	110 <sup>e</sup>	0	90	0	9	21 <sup>*</sup>	2,145	2,035
<b>Reported US Total</b>	622,294	126,011	63,059	175,553	56,494	20,282	10,298	24,655	649	1,151	24,021	1,124,467	1,203,517
<b>Estimated US Total</b>	630,367	127,664	63,059	175,553	56,494	20,282	10,298	24,655	649	1,151	24,021	1,134,193	1,308,676

<sup>a</sup>Includes only state operated nursing home <sup>b</sup>Includes only nonstate nursing homes <sup>c</sup>Assumes no people with IDD living in state operated psychiatric facilities <sup>d</sup>Other date (Usually June 30, 2012) <sup>e</sup>Estimate \*See state notes in Appendix \*\*Data Source: AHCA (2015) <sup>1</sup>Total caseload includes people for whom living arrangement was unknown and people waiting for but not getting LTSS through the state IDD agency. In some states people in nursing homes and psychiatric facilities are included in the caseload but in others they are not. <sup>2</sup>GA State ICF/IID recipients based on reported recipients by Age.

- Other nonstate setting (Colorado, 8%, Maryland, 17%, Minnesota, 19%, Pennsylvania, 8%, and Wyoming, 5%)
- State ICF/IID (Arkansas, 15%, Mississippi, 35%, New Jersey, 8%, Texas, 8%, and West Virginia, 9%)
- State Waiver funded group home (Connecticut, 4%, Massachusetts, 3%, Mississippi, 4%, New York, 5%, and Rhode Island, 6%)
- State psychiatric facility (Virginia, 3%, and Missouri, 1%)
- Nursing home (Alabama, 13%, Arkansas, 10%, Georgia, 12%, Indiana, 9%, and Virginia, 10%)

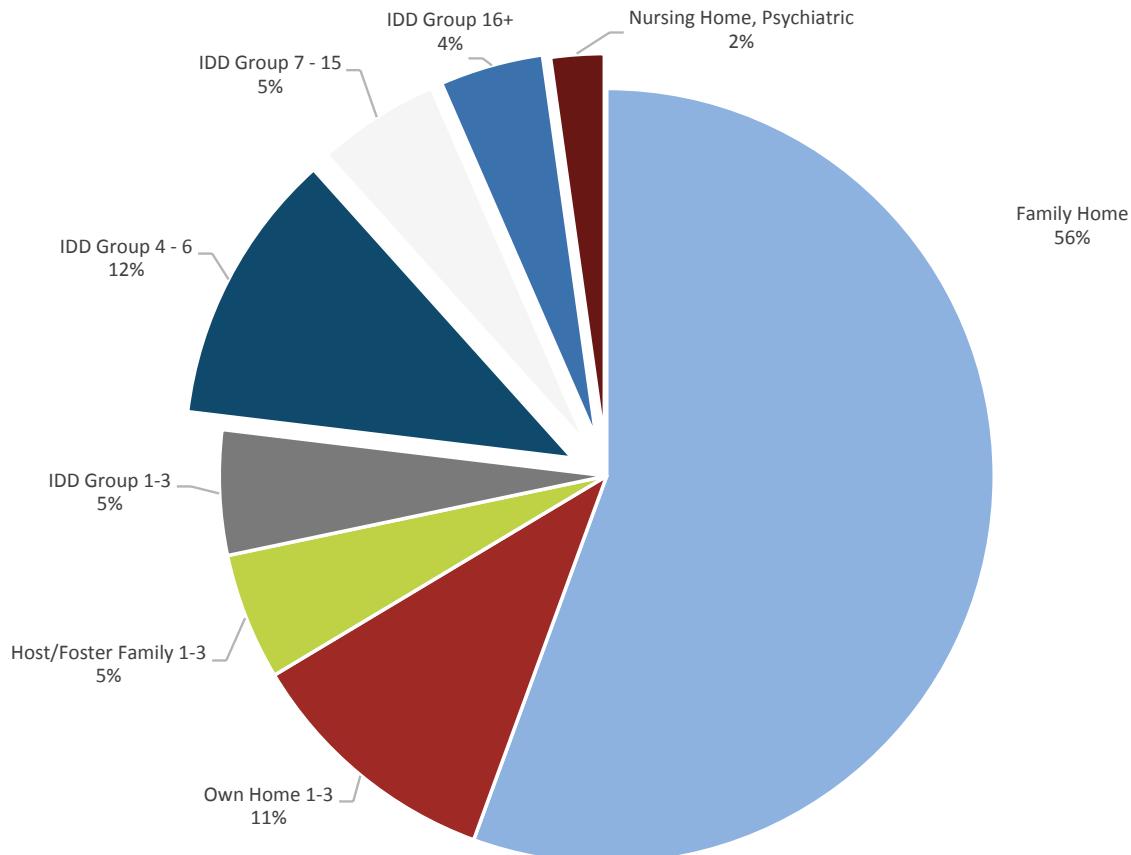
## Home of a Family Member

On June 30, 2013, an estimated 630,067 LTSS recipients with IDD lived in the home of a family member (see **Table 1.2**). States reporting the largest number of recipients living in the home of a family member were California (145,176), New York (81,178), Ohio (69,358), Florida (37,424), and Pennsylvania

(27,880). States reporting the fewest recipients living in the home of a family member were Montana (750), Wyoming (932), the District of Columbia (727), New Hampshire (849), and Alaska (32). Some people living with a family member received LTSS funded by a Medicaid Waiver, but others received supports through other funding mechanisms such as Medicaid State Plan personal care attendant or home health aide services, or through non-Medicaid funding sources such as from the state general fund.

Overall, 56% of all LTSS recipients lived in the home of a family member. However, the proportion ranged from a low of 16% in Virginia to a high of 85% in Arizona (See **Figure 1.2**). States reporting the greatest proportion people with IDD in the homes of family members were Arizona (85%), California (71%), South Carolina (71%), Ohio (68%), and Florida (68%). Hawaii, Louisiana, Nevada, Iowa, Michigan, New York and North Carolina also reported more than 60% of LTSS recipients with IDD in the home of a family member. States reporting the smallest proportion of

**Figure 1.1** Residence Type and Size for People with IDD Known to State IDD Agencies on June 30, 2013 (Estimated Totals)



**Table 1.2** Number and Percent of People with IDD Living in the Home of a Family Member on June 30, 2013

State	Estimated Total in LTSS Settings	People Living with a Family Member	% With Family Member
<b>N States</b>	<b>51</b>	<b>50</b>	<b>50</b>
<b>AL</b>	<b>6,763</b>	<b>2,324</b>	<b>34%</b>
<b>AK</b>	<b>1,131</b>	<b>332<sup>ed</sup></b>	<b>29%</b>
<b>AZ</b>	<b>33,079</b>	<b>28,198</b>	<b>85%</b>
<b>AR</b>	<b>6,424</b>	<b>2,044</b>	<b>32%</b>
<b>CA</b>	<b>204,150</b>	<b>145,176</b>	<b>71%</b>
<b>CO</b>	<b>11,398</b>	<b>2,580</b>	<b>23%</b>
<b>CT</b>	<b>8,417</b>	<b>1,416</b>	<b>17%</b>
<b>DE</b>	<b>2,821</b>	<b>1,630<sup>*</sup></b>	<b>58%</b>
<b>DC</b>	<b>2,125</b>	<b>727</b>	<b>34%</b>
<b>FL</b>	<b>55,204</b>	<b>37,424</b>	<b>68%</b>
<b>GA</b>	<b>9,456</b>	<b>2,925</b>	<b>31%</b>
<b>HI</b>	<b>3,289</b>	<b>2,202<sup>ed</sup></b>	<b>67%</b>
<b>ID</b>	<b>3,920</b>	<b>1,182<sup>ed</sup></b>	<b>30%</b>
<b>IL</b>	<b>29,248</b>	<b>10,416</b>	<b>36%</b>
<b>IN</b>	<b>17,786</b>	<b>7,850</b>	<b>44%</b>
<b>IA</b>	<b>13,194</b>	<b>DNF<sup>e</sup></b>	<b>DNF</b>
<b>KS</b>	<b>8,743</b>	<b>2,811<sup>ed</sup></b>	<b>32%</b>
<b>KY</b>	<b>6,065</b>	<b>1,599<sup>e</sup></b>	<b>26%</b>
<b>LA</b>	<b>22,713</b>	<b>14,938</b>	<b>66%</b>
<b>ME</b>	<b>5,290</b>	<b>1,575<sup>e</sup></b>	<b>30%</b>
<b>MD</b>	<b>12,908</b>	<b>2,215<sup>ed</sup></b>	<b>17%</b>
<b>MA</b>	<b>41,429</b>	<b>19,000<sup>de*</sup></b>	<b>46%</b>
<b>MI</b>	<b>32,736</b>	<b>20,431<sup>e</sup></b>	<b>62%</b>
<b>MN</b>	<b>31,174</b>	<b>11,003</b>	<b>35%</b>
<b>MS</b>	<b>5,038</b>	<b>1,680<sup>e</sup></b>	<b>33%</b>
<b>MO</b>	<b>17,884</b>	<b>8,955</b>	<b>50%</b>
<b>MT</b>	<b>2,179</b>	<b>750<sup>e</sup></b>	<b>34%</b>
<b>NE</b>	<b>5,680</b>	<b>1,347</b>	<b>24%</b>
<b>NV</b>	<b>5,849</b>	<b>3,879</b>	<b>66%</b>
<b>NH</b>	<b>3,007</b>	<b>849</b>	<b>28%</b>
<b>NJ</b>	<b>29,351</b>	<b>16,275<sup>*</sup></b>	<b>55%</b>
<b>NM</b>	<b>3,607</b>	<b>1,160</b>	<b>32%</b>
<b>NY</b>	<b>129,254</b>	<b>81,178<sup>e</sup></b>	<b>63%</b>
<b>NC</b>	<b>22,652</b>	<b>13,765<sup>ed</sup></b>	<b>61%</b>
<b>ND</b>	<b>3,318</b>	<b>1,159</b>	<b>35%</b>
<b>OH</b>	<b>102,661</b>	<b>69,358</b>	<b>68%</b>
<b>OK</b>	<b>7,306</b>	<b>2,383</b>	<b>33%</b>
<b>OR</b>	<b>17,803</b>	<b>10,483</b>	<b>59%</b>
<b>PA</b>	<b>54,785</b>	<b>27,880<sup>e</sup></b>	<b>51%</b>
<b>RI</b>	<b>4,099</b>	<b>1,760</b>	<b>43%</b>
<b>SC</b>	<b>17,456</b>	<b>12,361</b>	<b>71%</b>
<b>SD</b>	<b>4,315</b>	<b>1,656</b>	<b>38%</b>
<b>TN</b>	<b>9,359</b>	<b>2,788</b>	<b>30%</b>
<b>TX</b>	<b>43,940</b>	<b>9,645</b>	<b>22%</b>
<b>UT</b>	<b>5,404</b>	<b>1,828</b>	<b>34%</b>
<b>VT</b>	<b>3,544</b>	<b>1,774</b>	<b>50%</b>
<b>VA</b>	<b>9,209</b>	<b>1,445<sup>ed</sup></b>	<b>16%</b>
<b>WA</b>	<b>20,809</b>	<b>10,075<sup>e</sup></b>	<b>48%</b>
<b>WV</b>	<b>5,847</b>	<b>3,027</b>	<b>52%</b>
<b>WI</b>	<b>28,228</b>	<b>13,904</b>	<b>49%</b>
<b>WY</b>	<b>2,145</b>	<b>932<sup>e</sup></b>	<b>43%</b>
<b>US Total</b>	<b>1,134,193</b>	<b>630,367</b>	<b>56%</b>

<sup>a</sup> Other date (Usually June 30, 2012) <sup>b</sup> Estimate <sup>c</sup> DNF Did not furnish \* See state notes in Appendix

LTSS recipients with IDD living in the home of a family member were Virginia (16%), Connecticut (17%), and Maryland (17%). Some states that reported high proportions of people living in the family home also reported higher proportions of the people served by their state IDD agency were children. Some states that reported low proportions of LTSS recipients living in the home of a family member served primarily adults through their IDD agency (children were served through other agencies). See Section 2 for more information about age and funding differences across states.

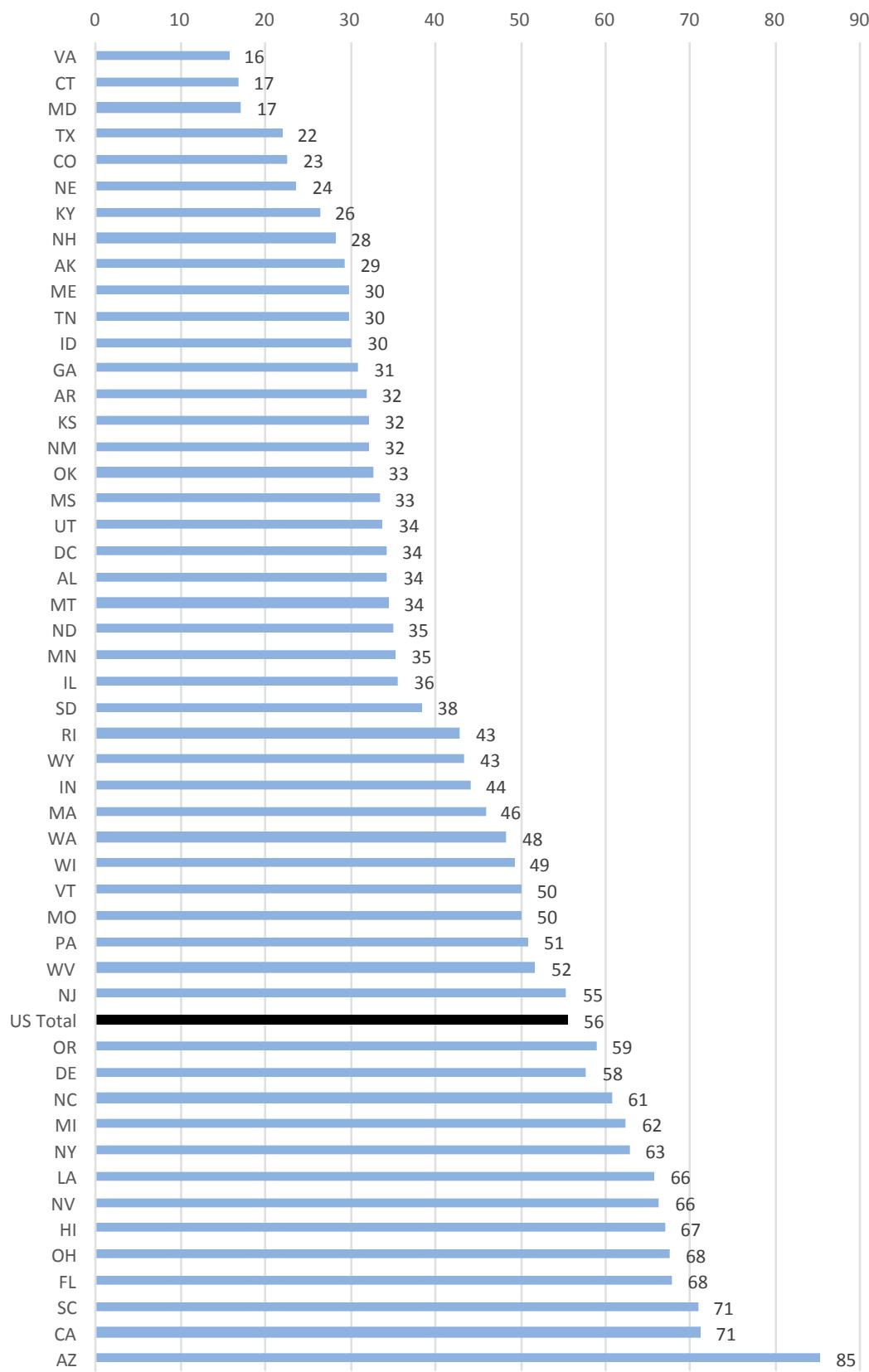
## Own Home

"Own Home" settings are residences owned or rented by one or more persons with IDD as the person(s)' own home in which personal assistance, instruction, supervision and other support is provided as needed. For FY 2013, all states were able to report the number of people living in Own Home settings (See **Table 1.3**). However, only 37 states were able to report whether people in an Own Home Setting lived alone or with one or two other people with IDD, or whether they lived in a home shared by four to six people with IDD.

On June 30, 2013, an estimated 127,664 (24%) of the 503,826 people with IDD receiving LTSS while living in a setting other than the home of a family member lived in Own Home settings. Fewer than 5% of LTSS recipients with IDD not living with family members lived in Own Home settings in Alabama (3%), Delaware (2%), the District of Columbia (1%), Illinois (4%), Kansas (1%), Mississippi (1%), New Jersey (1%), New Mexico (3%), Virginia (3%), and West Virginia (0%). In contrast, more than half of the LTSS recipients with IDD not living in the home of a family member lived in Own Home settings in Michigan (55%), Missouri (54%), Nevada (85%), North Dakota (57%), Tennessee (64%), and Washington (59%).

In states that reported the number of people sharing a home, 96% of the people living in Own Home settings lived alone or shared their home with one or two other people with IDD. Only 4% (an estimated 4,838 people) lived in Own Home settings shared by four to six people with IDD. Twenty-five states reported not serving any people with IDD in Own Home settings shared by four or more people.

**Figure 1.2** Percent of LTSS Recipients with IDD Who Live in the Home of a Family Member June 30, 2013



**Table 1.3** Number and Percent of People with IDD Living in Homes They Own or Lease by State on June 30, 2013

State	Setting Size			Percent of	
	1 to 3	4 to 6	Total	Non-Family Total	Non-Family In Own Home
<b>N States</b>	<b>37</b>	<b>37</b>	<b>50</b>	<b>51</b>	<b>50</b>
<b>AL</b>	106	4	110	3,538	3
<b>AK</b>	205	0	205	799	26
<b>AZ</b>	456	0	456	4,841	9
<b>AR</b>	607	26	633	3,766	17
<b>CA</b>	23,763	0	23,763	57,914	41
<b>CO</b>	DNF	DNF	3,884	8,633	45
<b>CT</b>	1,292	0	1,292	6,609	20
<b>DE</b>	18	0	18	1,182	2
<b>DC</b>	18	0	18	1,393	1
<b>FL</b>	5,872	0 <sup>e</sup>	5,872 <sup>e</sup>	17,409	34
<b>GA</b>	1,128 <sup>e</sup>	23 <sup>e</sup>	1,151 <sup>e</sup>	5,436	21
<b>HI</b>	123 <sup>ed</sup>	0 <sup>ed</sup>	123 <sup>ed</sup>	1,026	12
<b>ID</b>	DNF	DNF	1,286	2,640	49
<b>IL</b>	DNF	DNF	767	17,737	4
<b>IN</b>	3,491 <sup>e</sup>	287 <sup>e</sup>	3,778 <sup>e</sup>	8,335	45
<b>IA</b>	DNF	DNF	DNF <sup>e</sup>	4,457	DNF
<b>KS</b>	DNF	DNF	82 <sup>e</sup>	5,670	1
<b>KY</b>	DNF	DNF	327 <sup>e</sup>	4,124	8
<b>LA</b>	2,420	0	2,420	7,224	33
<b>ME</b>	DNF	DNF	400 <sup>e</sup>	3,515	11
<b>MD</b>	2,182	13	2,195	10,693	21
<b>MA</b>	DNF	DNF	2,696 <sup>de*</sup>	22,176	12
<b>MI</b>	343 <sup>0</sup>	165 <sup>0</sup>	6,412 <sup>e</sup>	11,696	55
<b>MN</b>	2,716	0	2,716	19,999	14
<b>MS</b>	26 <sup>ed</sup>	0 <sup>ed</sup>	26 <sup>ed</sup>	2,987	1
<b>MO</b>	4,131	0	4,131	7,592	54
<b>MT</b>	100 <sup>e</sup>	0 <sup>e</sup>	100 <sup>e</sup>	1,340	7
<b>NE</b>	1,215	115	1,330	4,128	32
<b>NV</b>	946	651	1,597	1,883	85
<b>NH</b>	485	0	485	2,098	23
<b>NJ</b>	134	0	134	11,945	1
<b>NM</b>	DNF	DNF	70	2,351	3
<b>NY</b>	9,481 <sup>e</sup>	0	9,481 <sup>e</sup>	46,750	20
<b>NC</b>	DNF	DNF	1,536 <sup>ed</sup>	8,059	19
<b>ND</b>	1,159	0	1,159	2,043	57
<b>OH</b>	14,048	789	14,837	31,341	47
<b>OK</b>	1,680	52	1,732	4,326	40
<b>OR</b>	757	0	757	7,320	10
<b>PA</b>	DNF	DNF	4,761 <sup>ed</sup>	25,290	19
<b>RI</b>	466	0	466	2,240	21
<b>SC</b>	662 <sup>*</sup>	0	662 <sup>*</sup>	4,875	14
<b>SD</b>	569	0	569	2,563	22
<b>TN</b>	3,807	0	3,807	5,920	64
<b>TX</b>	DNF	DNF	4,316	32,361	13
<b>UT</b>	1,006	0	1,006	3,484	29
<b>VT</b>	283	0	283	1,738	16
<b>VA</b>	201	8	209	6,517	3
<b>WA</b>	6,178 <sup>e</sup>	129 <sup>e</sup>	6,307 <sup>e</sup>	10,697	59
<b>WV</b>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	2,521	0
<b>WI</b>	DNF	DNF	5,444	14,290	38
<b>WY</b>	DNF	DNF	202 <sup>d</sup>	1,183	17
<b>Reported US Total</b>	92,074	2,262	126,011	478,654	26
<b>Estimated US Total</b>	122,800	4,864	127,664	503,826	24

<sup>d</sup> Other date (Usually June 30, 2012) <sup>e</sup>Estimate <sup>DNF</sup> Did not furnish

\* See state notes in Appendix



States reporting the largest numbers of LTSS recipients with IDD living in Own Home settings were California (23,763), Ohio (14,837), New York (9,481), and Michigan (6,412). States reporting the fewest LTSS recipients with IDD living in Own Home settings were Delaware (18), the District of Columbia (18), New Mexico (70), Mississippi (26) and West Virginia (0).

## Host Home/Family Foster Home

Host Home/Family Foster Homes are homes owned or rented by an individual or family in which they live and provide care for one or more unrelated persons with IDD. All states reported the total number of LTSS recipients with IDD living in Host Home/Family Foster Homes. However, only 43 states described the size of those settings and only 40 states reported the total number of Host Home/Family Foster Home settings.

On June 30, 2013, an estimated 63,059 people with IDD lived in 49,634 Host Home/Family Foster Home (See **Table 1.4**). The average Host Home/Family Foster Home served 1.3 people with IDD. An estimated 60,079 lived in Host Home/Family Foster Homes shared by three or fewer people with IDD while 2,752 people with IDD lived homes shared by four to six people with IDD, and 288 lived in homes shared by seven to fifteen people with IDD. The number of people with IDD per home ranged from 1.0 in Alaska, Arkansas, Iowa, North Dakota, Oklahoma, Rhode Island and Utah to 2.0 or more in Colorado, Florida, Missouri, Oregon, and South Dakota.

States with the largest number of Host Home/Family Foster Homes were Colorado (1,230), New York (1,234), Ohio (2,021), Oregon (1,372), and Pennsylvania (1,292). States serving the greatest number of people with IDD in Host Family/Family Foster Homes were California (4,160), Massachusetts (9,447), Oregon (3,772), Texas (11,090), and Wisconsin (5,367).

**Table 1.4** Host Home/Family Foster Homes and Residents by State and Setting Size on June 30, 2013

State/ Setting Size	Number of Settings					Number of Residents					People per site
	1-3	4-6	1-6	7-15	Total	1-3	4-6	1-6	7-15	Total	
<b>N States</b>	<b>40</b>	<b>42</b>	<b>40</b>	<b>44</b>	<b>40</b>	<b>43</b>	<b>43</b>	<b>47</b>	<b>47</b>	<b>51</b>	<b>40</b>
<b>AL</b>	154	1	155	1	156	194	4	198	7	205	1.3
<b>AK</b>	165	0	165	0	165	165	0	165	0	165	1.0
<b>AZ</b>	960	0	960	0	960	1,543	0	1,543	0	1,543	1.6
<b>AR</b>	568	0	568	0	568	568	0	568	0	568	1.0
<b>CA</b>	DNF	0	DNF	0	DNF	DNF	DNF	4,160	0	4,160	DNF
<b>CO</b>	1,230	0	1,230	0	1,230	2,460	0	2,460	0	2,460	2.0
<b>CT</b>	317	2	319	0	319	457	12	469	0	469	1.5
<b>DE</b>	117	0	117	0	117	135	0	135	0	135	1.2
<b>DC</b>	71	7	78	0	78	96	8	104	0	104	1.3
<b>FL</b>	61	86	147	3	150	76	176	252	71	323	2.2
<b>GA</b>	931	0	931	0	931	1,186	0	1,186	0	1,186	1.3
<b>HI</b>	306	145	451	0	451	525	144	669	0	669	1.5
<b>ID</b>	396	29	425	0	425	563	64	627	0	627	1.5
<b>IL</b>	DNF	DNF	DNF	0	DNF	DNF	DNF	260	0	260	DNF
<b>IN</b>	142	2	144	0	144	196	8	204	0	204	1.4
<b>IA</b>	5	0	5	0	5	5	0	5	0	5	1.0
<b>KS</b>	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	82	DNF
<b>KY</b>	DNF	0	DNF	0	DNF	767	0	767	0	767	DNF
<b>LA</b>	0	0	0	0	0	0	0	0	0	0	N/A
<b>ME</b>	667	2	669	0	669	741	12	753	0	753	1.1
<b>MD</b>	201	0	201	0	201	213	0	213	0	213	1.1
<b>MA</b>	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	9,447	DNF
<b>MI</b>	DNF	DNF	DNF	DNF	DNF	54	99	153	24	177	DNF
<b>MN</b>	1,091	4	1,095	0	1,095	1,152	16	1,168	0	1,168	1.1
<b>MS</b>	0	0	0	0	0	0	0	0	0	0	N/A
<b>MO</b>	59	0	59	0	59	208	0	208	0	208	3.5
<b>MT</b>	30	0	30	0	30	45	0	45	0	45	1.5
<b>NE</b>	612	3	615	0	615	695	13	708	0	708	1.2
<b>NV</b>	61	0	61	0	61	71	0	71	0	71	1.2
<b>NH</b>	934	1	935	1	936	1,184	4	1,188	6	1,194	1.3
<b>NJ</b>	529	0	529	0	529	907	0	907	0	907	1.7
<b>NM</b>	DNF	DNF	DNF	0	DNF	DNF	DNF	1,915	0	1,915	DNF
<b>NY</b>	1,036	198	1,234	0	1,234	1,565	613	2,178	0	2,178	1.8
<b>NC</b>	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	1,400	DNF
<b>ND</b>	23	0	23	0	23	23	0	23	0	23	1.0
<b>OH</b>	2,004	13	2,017	4	2,021	2,216	56	2,272	37	2,309	1.1
<b>OK</b>	399	0	399	0	399	399	0	399	0	399	1.0
<b>OR</b>	836	533	1,369	3	1,372	DNF	DNF	3,272	0	3,272	2.4
<b>PA</b>	1,292	0	1,292	0	1,292	1,589	0	1,589	0	1,589	1.2
<b>RI</b>	229	0	229	0	229	229	0	229	0	229	1.0
<b>SC</b>	132	0	132	0	132	159	0	159	0	159	1.2
<b>SD</b>	2	0	2	0	2	4	0	4	0	4	2.0
<b>TN</b>	263	0	263	0	263	336	0	336	0	336	1.3
<b>TX</b>	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	11,090	DNF
<b>UT</b>	278	0	278	0	278	278	0	278	0	278	1.0
<b>VT</b>	1,151	0	1,151	0	1,151	1,307	0	1,307	0	1,307	1.1
<b>VA</b>	DNF	DNF	DNF	DNF	DNF	1,256	26	1,282	3	1,285	DNF
<b>WA</b>	614	90	704	0	704	780	111	891	0	891	1.3
<b>WV</b>	DNF	DNF	DNF	DNF	DNF	105	0	105	0	105	DNF
<b>WI</b>	DNF	0	DNF	0	DNF	5,367	0	5,367	0	5,367	DNF
<b>WY</b>	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	100	DNF
<b>Reported US Total</b>	17,866	1,116	18,982	12	18,994	29,819	1,366	40,792	148	63,059	
<b>Estimated US Total</b>	47,624	1,992	49,617	17	49,634	60,079	2,752	62,831	228	63,059	1.3

<sup>a</sup> Other date (Usually June 30, 2012) <sup>b</sup> Estimate <sup>DNF</sup> Did not furnish \* See state notes in Appendix

## IDD Group Homes and Facilities

IDD Group Homes and Facilities include:

- Intermediate Care Facilities for Individuals with Intellectual Disabilities,
- Group homes or residences owned, rented, or managed by a residential services provider, or the provider's agent, to provide housing for persons with IDD in which staff provide care, instruction, supervision, and other support for residents with IDD
- Other non-family settings in which people with IDD who receive at least one publicly funded long-term support or service live.

They do not include own home, host home/family foster home settings, nursing homes, or psychiatric facilities. A total of 33 states reported the number of IDD Group Homes and Facilities by size, and 38 states reported the number of people living in those settings by size (See **Table 1.5**).

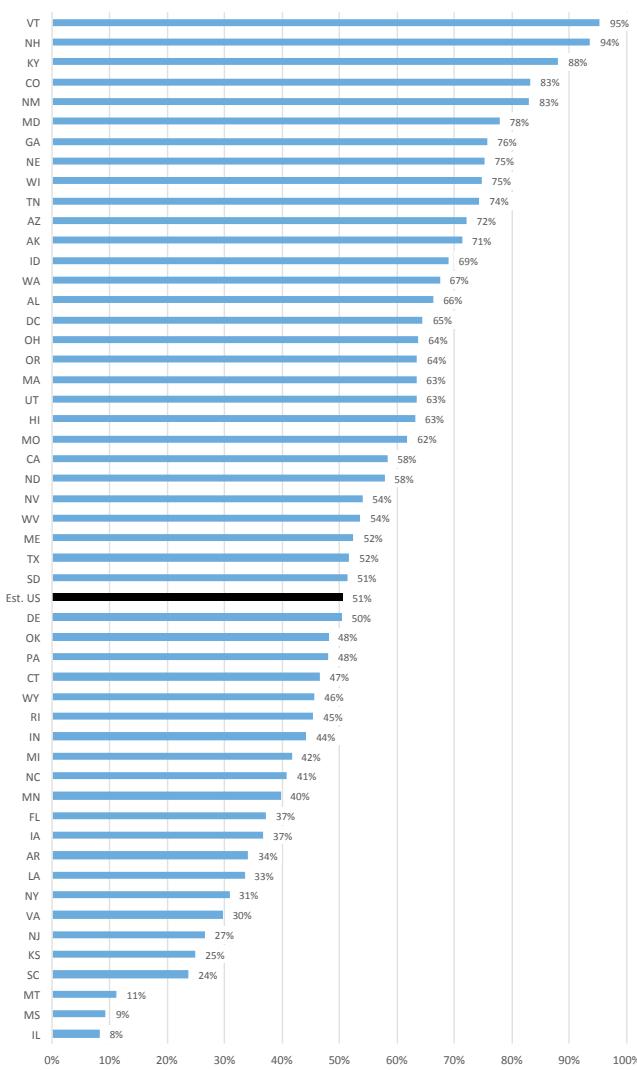
On June 30, 2013, an estimated 287,931 people with IDD lived in IDD group homes or facilities. Of these, an estimated 59,058 people (21%) lived in homes with three or fewer residents, 122,262 people (42%) lived in homes with 4-6 residents, 57,709 people (20%) lived in facilities with 7 to 15 residents, and 48,903 people (17%) lived in facilities with 16 or more residents. There were an estimated 32,378 settings with three or fewer residents, 31,328 settings with four to six residents, 6,193 settings with 7 to 15 residents, and 1,150 settings with 16 or more residents.

The proportion of people living in IDD Group Homes shared by 6 or fewer people ranged from just 7% in Arkansas and 14% in Mississippi to 99% in the District of Columbia, 97% in Hawaii, and a 100% in Vermont. Five states reported not serving any people with IDD in group settings with 7 to 15 people (Delaware, the District of Columbia, Kentucky, Nevada, and Vermont). The proportion in IDD group settings with 7 to 15 people exceeded 50% in four states (Indiana, 59%; Montana, 53%; New York, 53%; and North Dakota, 56%). Four states reported no people living in IDD group settings of 16 or more people (Alabama, Hawaii, New Mexico, and Vermont). Three states reported that more than 50% of people in non-family IDD group facilities lived in settings shared by 16 or more people with IDD (Arkansas, 57%; Mississippi, 66%; and Nevada, 79%).

## All Non-Family IDD Settings

**Tables 1.6** through **1.9** and **Figures 1.3** and **1.4** include LTSS recipients with IDD who live in Own Home, Family/Foster Family Homes, or IDD Group Homes and Facilities of all sizes but do not include people with IDD living in Nursing Homes or Psychiatric Facilities. **Table 1.6** and **Figure 1.3** include state level estimates of the total number of IDD settings, the total number of people in those settings, and the proportion in settings of 3 or fewer or 6 or fewer people for states that provided incomplete information.

**Figure 1.3** Percent of People Living in Non-Family Settings with 3 or Fewer People with IDD by State on June 30, 2013



**Table 1.5** State and Nonstate IDD Group Homes and Facilities by Residence Size on June 30, 2013<sup>1</sup>

State	Number of Settings						Number of Residents					
	1-3	4-6	1-6	7-15	16+	Total	1-3	4-6	1-6	7-15	16+	Total
<b>N States</b>	<b>35</b>	<b>34</b>	<b>34</b>	<b>37</b>	<b>37</b>	<b>33</b>	<b>40</b>	<b>38</b>	<b>41</b>	<b>41</b>	<b>41</b>	<b>41</b>
<b>AL</b>	876	114	990	89	0	1,079	2,047	467	2,514	709	0	3,223
<b>AK</b>	205	162	367	14	10	391	200	150	350	50	15	415
<b>AZ</b>	647	265	912	3	2	917	1,494	1,182	2,676	25	141	2,842
<b>AR</b>	103	20	123	98	24	245	112	56	168	936	1,461	2,565
<b>CA</b>	DNF	DNF	5,566	122	82	5,770	DNF	DNF	25,618	1,181	3,192	29,991
<b>CO</b>	469	179	648	2	2	652	937	1,018	1,955	166	168	2,289
<b>CT</b>	570	525	1,095	38	6	1,139	1,330	2,678	4,008	288	552	4,848
<b>DE</b>	199	123	322	0	1	323	443	525	968	0	61	1,029
<b>DC</b>	462	97	559	0	9	568	785	471	1,256	0	15	1,271
<b>FL</b>	DNF	DNF	DNF	DNF	DNF	DNF	534	6,198	6,732	1,606	2,876	11,214
<b>GA</b>	963	242	1,205	1	3	1,209	1,805	990	2,795	11	293	3,099
<b>HI</b>	0	58	58	1	0	59	0	227	227	7	0	234
<b>ID</b>	2	29	31	78	36	145	4	DNF	DNF	DNF	DNF	DNF
<b>IL</b>	DNF	DNF	DNF	DNF	DNF	DNF	470	3,931	4,401	7,193	5,116	16,710
<b>IN</b>	0	333	333	335	4	672	0	1,527	1,527	2,554	272	4,353
<b>IA</b>	6	108	114	90	25	229	18	493	511	908	1,380	2,799
<b>KS</b>	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
<b>KY</b>	234	12	246	0	7	253	2,547	131	2,678	0	352	3,030
<b>LA</b>	0	300	300	212	12	524	0	1,728	1,728	1,675	1,401	4,804
<b>ME</b>	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
<b>MD</b>	3,779	433	4,212	29	3	4,244	5,936	1,853	7,789	219	277	8,285
<b>MA</b>	1,467	1,440	2,907	79	3	2,989	2,430	6,435	8,865	652	516	10,033
<b>MI</b>	DNF	DNF	DNF	DNF	DNF	DNF	492	3,634	4,126	640	341	5,107
<b>MN</b>	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
<b>MS</b>	145	39	184	64	13	261	254	158	412	605	1,944	2,961
<b>MO</b>	136	258	394	128	13	535	355	1,197	1,552	1,126	575	3,253
<b>MT</b>	2	84	86	79	1	166	6	504	510	632	53	1,195
<b>NE</b>	832	98	930	10	6	946	1,198	447	1,645	100	345	2,090
<b>NV</b>	0	18	18	0	55	73	0	45	45	0	170	215
<b>NH</b>	174	19	193	2	1	196	296	80	376	18	25	419
<b>NJ</b>	1,066	1,070	2,136	114	DNF	DNF	2,133	4,292	6,425	945	3,534	10,904
<b>NM</b>	DNF	DNF	DNF	DNF	0	DNF	DNF	DNF	246	120	0	366
<b>NY</b>	1,870	2,342	4,212	2,042	60	6,314	3,366	11,784	15,150	18,533	1,408	35,091
<b>NC</b>	319	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
<b>ND</b>	0	48	48	60	2	110	0	258	258	486	117	861
<b>OH</b>	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
<b>OK</b>	0	DNF	DNF	DNF	DNF	DNF	0	DNF	DNF	DNF	DNF	DNF
<b>OR</b>	285	474	759	28	15	802	765	2,259	3,024	231	36	3,291
<b>PA</b>	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
<b>RI</b>	168	205	373	25	3	401	324	957	1,281	210	54	1,545
<b>SC</b>	127	526	653	110	5	768	335	2,107	2,442	891	721	4,054
<b>SD</b>	507	82	589	69	2	660	744	406	1,150	649	191	1,990
<b>TN</b>	122	139	261	86	3	350	259	609	868	656	253	1,777
<b>TX</b>	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
<b>UT</b>	624	73	697	19	14	730	925	353	1,278	162	760	2,200
<b>VT</b>	47	16	63	0	0	63	67	81	148	0	0	148
<b>VA</b>	DNF	DNF	DNF	DNF	DNF	DNF	477	2,523	3,000	1,060	952	5,012
<b>WA</b>	DNF	541	DNF	88	134	DNF	257	1,866	2,123	284	1,092	3,499
<b>WV</b>	DNF	DNF	DNF	104	4	DNF	1,248	310	1,558	780	78	2,416
<b>WI</b>	DNF	DNF	DNF	4	9	DNF	DNF	DNF	2,602	31	846	3,479
<b>WY</b>	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
<b>Reported US Total</b>	16,406	10,472	31,584	4,223	569	33,783	34,652	90,385	127,466	46,339	39,061	212,866
<b>Estimated US Total</b>	32,378	31,245	63,156	6,193	1,150	70,500	59,058	122,262	181,320	57,709	48,903	287,931

DNF Did not furnish <sup>1</sup> Includes ICF/IID, HCBS Waiver and other funded State and Nonstate IDD group settings of all sizes. It excludes people living with family members, in a host/foster setting, home they own or lease, nursing homes and psychiatric setting.

**Table 1.6** Estimated People in Any Non-Family IDD Setting and Proportion in Settings with 3 or Fewer, or 6 and Fewer People on June 30, 2013

State	Estimated Total		% in Settings with		
	IDD <sup>1</sup> Settings	People	People Per Setting	3 or Fewer People	6 or Fewer People
AL	1,325	3,538	2.7	66%	80%
AK	761	799	1.0	71%	90%
AZ	2,163	4,841	2.2	72%	97%
AR	1,426	3,766	2.6	34%	36%
CA	27,029	57,914	2.1	58%	92%
CO	4,734	8,633	1.8	83%	96%
CT	2,712	6,609	2.4	47%	87%
DE	458	1,182	2.6	50%	95%
DC	663	1,393	2.1	65%	99%
FL	6,294	17,409	2.8	37%	74%
GA	3,126	5,436	1.7	76%	94%
HI	633	1,026	1.6	63%	99%
ID	1,514	2,640	1.7	69%	79%
IL	2,188	17,737	8.1	8%	31%
IN	3,742	8,335	2.2	44%	66%
IA	1,435	4,457	3.1	36%	49%
KS	1,331	5,670	4.3	25%	75%
KY	1,213	4,124	3.4	88%	91%
LA	2,330	7,224	3.1	33%	57%
ME	1,885	3,515	1.9	52%	95%
MD	6,470	10,693	1.7	78%	95%
MA	12,975	22,176	1.7	63%	95%
MI	5,379	11,696	2.2	42%	91%
MN	15,136	19,999	1.3	40%	89%
MS	273	2,987	10.9	9%	15%
MO	2,246	7,592	3.4	62%	78%
MT	266	1,340	5.0	11%	49%
NE	2,621	4,128	1.6	75%	89%
NV	998	1,883	1.9	54%	91%
NH	1,617	2,098	1.3	94%	98%
NJ	2,952	11,945	4.0	27%	63%
NM	1,754	2,351	1.3	83%	95%
NY	14,623	46,750	3.2	31%	57%
NC	3,833	8,059	2.1	41%	63%
ND	1,292	2,043	1.6	58%	70%
OH	16,774	31,341	1.9	64%	75%
OK	1,748	4,326	2.5	48%	73%
OR	2,739	7,320	2.7	64%	96%
PA	10,041	25,290	2.5	48%	77%
RI	1,096	2,240	2.0	45%	88%
SC	967	4,875	5.0	24%	67%
SD	1,210	2,563	2.1	51%	67%
TN	2,549	5,920	2.3	74%	85%
TX	15,698	32,361	2.1	52%	81%
UT	1,925	3,484	1.8	63%	74%
VT	1,482	1,738	1.2	95%	100%
VA	2,406	6,517	2.7	30%	69%
WA	5,098	10,697	2.1	67%	87%
WV	634	2,521	4.0	54%	66%
WI	9,098	14,290	1.6	75%	94%
WY	433	1,183	2.7	46%	79%
Estimated US Total	213,296	478,654	2.2	51%	78%

<sup>a</sup> Other date (Usually June 30, 2012) <sup>b</sup> Estimate <sup>DNF</sup> Did not furnish \* See state notes in Appendix <sup>c</sup>Excludes people in family homes, nursing homes, and psychiatric settings. Estimates are used for all missing data on this table.

On June 30, 2013, an estimated 478,654 LTSS recipients with IDD lived in 213,309 Non-Family IDD Settings (an average of 2.2 people per setting; See **Table 1.6**). Overall, 51% of all people Non-Family IDD Settings lived in settings of three or fewer people (See **Figure 1.3**). The proportion living in Non-Family IDD Settings with three or fewer people varied by state from greater than 90% in New Hampshire (94%) and Vermont (95%), to fewer than 12% in Montana (11%), Mississippi (9%), and Illinois (8%).

Overall, 78% of people in Non-Family IDD Settings lived in settings shared by six or fewer people with IDD. In seven states more than 95% of the people in Non-Family IDD Settings lived in settings shared by six or fewer people (Vermont, 100%; Hawaii, 99%; the District of Columbia, 99%; New Hampshire, 98%; Arizona, 97%; Oregon, 96%; and Colorado, 96%). By contrast, in five states fewer than 50% of people in Non-Family IDD Settings lived in places shared by six or fewer people (Montana, 49%; Iowa, 49%; Arkansas, 36%, Illinois, 31%; and Mississippi, 15%).

## Size of State and Nonstate Non-Family IDD Settings

The next several tables compare **Non-Family IDD Settings** by whether the people providing LTSS were state employees "State-Operated" or employed by a nonstate entity ("Nonstate"). While 49 states reported both the number and size of state-operated IDD settings, only 25 states reported both the number and size of all types of nonstate IDD settings (see the first row of **Table 1.7**). Many states reported the size of some types of settings but not others (e.g., they reported the size of ICF/IID certified and Group IDD facilities but not size of Host Home/Family Foster settings). If the any of the size information was missing a DNF (Did not furnish) was noted.

**Number of Facilities.** Of the estimated 213,309 Non-Family IDD Settings on June 30, 2013, 80% (171,193) served three or fewer people with IDD, 16% (34,743) served 4 to 6 people, 3% (6,210) served 7 to 15 people, and 0.5% (1,150) served 16 or more people. Only 2,192 (1%) Non-Family IDD Settings were state-operated while 211,104 (99%) Non-Family IDD Settings were operated by a nonstate entity.

While there were more nonstate than state-operated settings in each size category, the distribution of settings by size was dramatically different for state-operated versus nonstate settings.

**Table 1.7** State and Nonstate Non-Family IDD Settings<sup>1</sup> for Persons with IDD on June 30, 2013

State Size	Nonstate Residential Settings					State Residential Settings					Total Settings							
	1-3	4-6	1-6	7-15	16+	Total	1-3	4-6	1-6	7-15	16+	Total	1-3	4-6	1-6	7-15	16+	Total
<b>N States</b>	<b>27</b>	<b>31</b>	<b>26</b>	<b>35</b>	<b>37</b>	<b>25</b>	<b>49</b>	<b>50</b>	<b>49</b>	<b>51</b>	<b>51</b>	<b>49</b>	<b>26</b>	<b>31</b>	<b>25</b>	<b>35</b>	<b>37</b>	<b>24</b>
<b>AL</b>	1,119	116	1,235	90	0	1,325	0	0	0	0	0	0	1,119	116	1,235	90	0	1,325
<b>AK</b>	575	162	737	14	10	761	0	0	0	0	0	0	575	162	737	14	10	761
<b>AZ</b>	1,886	260	2,146	0	1	2,147	7	5	12	3	1	16	1,893	265	2,158	3	2	2,163
<b>AR</b>	1,278	26	1,304	98	19	1,421	0	0	0	0	5	5	1,278	26	1,304	98	24	1,426
<b>CA</b>	DNF	DNF	DNF	122	77	DNF	0	0	0	0	5	5	DNF	DNF	DNF	122	82	DNF
<b>CO</b>	DNF	DNF	DNF	0	0	DNF	0	2	2	2	2	6	DNF	DNF	DNF	2	2	DNF
<b>CT</b>	2,127	425	2,613	24	0	2,637	14	41	55	14	6	75	2,141	466	2,668	38	6	2,712
<b>DE</b>	332	121	453	0	0	453	2	2	4	0	1	5	334	123	457	0	1	458
<b>DC</b>	550	32	654	0	9	663	0	0	0	0	0	0	550	32	654	0	9	663
<b>FL</b>	DNF	DNF	DNF	DNF	DNF	DNF	0	0	0	0	5	5	DNF	DNF	DNF	DNF	DNF	DNF
<b>GA</b>	2,875	247	3,122	1	0	3,123	0	0	0	0	3	3	2,875	247	3,122	1	3	3,126
<b>HI</b>	429	186	632	1	0	633	0	0	0	0	0	0	429	186	632	1	0	633
<b>ID</b>	DNF	DNF	DNF	78	35	DNF	0	1	1	0	1	2	DNF	DNF	DNF	78	36	DNF
<b>IL</b>	DNF	DNF	DNF	DNF	DNF	DNF	0	0	0	0	7	7	DNF	DNF	DNF	DNF	DNF	DNF
<b>IN</b>	2,996	219	3,403	335	4	3,742	0	0	0	0	0	0	2,996	219	3,403	335	4	3,742
<b>IA</b>	DNF	DNF	DNF	90	23	DNF	6	12	18	0	2	20	DNF	DNF	DNF	90	25	DNF
<b>KS</b>	DNF	DNF	DNF	DNF	DNF	DNF	0	0	0	0	2	2	DNF	DNF	DNF	DNF	DNF	DNF
<b>KY</b>	DNF	322	DNF	0	4	DNF	0	0	0	0	3	3	DNF	322	DNF	0	7	DNF
<b>LA</b>	DNF	0	DNF	210	10	DNF	0	2	2	2	2	6	DNF	2	DNF	212	12	DNF
<b>ME</b>	DNF	DNF	DNF	DNF	DNF	DNF	4	0	4	0	0	4	DNF	DNF	DNF	DNF	DNF	DNF
<b>MD</b>	6,002	436	6,438	29	0	6,467	0	0	0	0	3	3	6,002	436	6,438	29	3	6,470
<b>MA</b>	DNF	DNF	DNF	DNF	0	DNF	33	199	232	23	3	258	DNF	DNF	DNF	DNF	3	DNF
<b>MI</b>	DNF	DNF	DNF	DNF	DNF	DNF	0	0	0	0	0	0	DNF	DNF	DNF	DNF	DNF	DNF
<b>MN</b>	DNF	DNF	DNF	DNF	DNF	DNF	10	105	115	0	0	115	DNF	DNF	DNF	DNF	DNF	DNF
<b>MS</b>	83	15	98	4	7	109	74	24	98	60	6	164	157	39	196	64	13	273
<b>MO</b>	1,781	249	2,030	128	6	2,164	66	9	75	0	7	82	1,847	258	2,105	128	13	2,246
<b>MT</b>	102	84	186	79	0	265	0	0	0	0	1	1	102	84	186	79	1	266
<b>NE</b>	2,481	118	2,605	9	2	2,616	0	0	0	1	4	5	2,481	118	2,605	10	6	2,621
<b>NV</b>	767	167	943	0	54	997	0	0	0	0	1	1	767	167	943	0	55	998
<b>NH</b>	1,593	19	1,612	3	1	1,616	0	1	1	0	0	1	1,593	20	1,613	3	1	1,617
<b>NJ</b>	1,727	1,063	2,790	101	DNF	DNF	2	7	9	13	7	29	1,729	1,070	2,799	114	DNF	DNF
<b>NM</b>	DNF	DNF	DNF	DNF	0	DNF	DNF	DNF	DNF	0	0	DNF	DNF	DNF	DNF	DNF	0	DNF
<b>NY</b>	DNF	2,038	DNF	1,505	31	DNF	69	418	487	537	29	1,053	DNF	2,456	DNF	2,042	60	DNF
<b>NC</b>	DNF	DNF	DNF	DNF	DNF	DNF	0	2	2	0	4	6	DNF	DNF	DNF	DNF	DNF	DNF
<b>ND</b>	1,182	17	1,230	60	1	1,291	0	0	0	0	1	1	1,182	17	1,230	60	2	1,292
<b>OH</b>	DNF	DNF	DNF	DNF	DNF	DNF	0	0	0	0	10	10	DNF	DNF	DNF	DNF	DNF	DNF
<b>OK</b>	1,514	134	DNF	DNF	DNF	DNF	0	0	0	0	2	2	1,514	134	DNF	DNF	DNF	DNF
<b>OR</b>	DNF	984	DNF	31	15	DNF	0	23	23	0	0	23	DNF	1,007	DNF	31	15	DNF
<b>PA</b>	DNF	DNF	DNF	DNF	DNF	DNF	0	0	0	0	5	5	DNF	DNF	DNF	DNF	DNF	DNF
<b>RI</b>	837	179	1,016	22	1	1,039	26	26	52	3	2	57	863	205	1,068	25	3	1,096
<b>SC</b>	326	526	852	110	0	962	0	0	0	0	5	5	326	526	852	110	5	967
<b>SD</b>	1,057	82	1,139	69	1	1,209	0	0	0	0	1	1	1,057	82	1,139	69	2	1,210
<b>TN</b>	2,321	45	2,434	86	1	2,521	0	26	26	0	2	28	2,321	71	2,460	86	3	2,549
<b>TX</b>	DNF	DNF	DNF	DNF	DNF	DNF	0	2	2	0	13	15	DNF	DNF	DNF	DNF	DNF	DNF
<b>UT</b>	1,819	73	1,892	19	13	1,924	0	0	0	0	1	1	1,819	73	1,892	19	14	1,925
<b>VT</b>	1,466	15	1,482	0	0	1,482	0	0	0	0	0	0	1,466	15	1,482	0	0	1,482
<b>VA</b>	DNF	DNF	DNF	DNF	DNF	DNF	0	0	0	0	5	5	DNF	DNF	DNF	DNF	DNF	DNF
<b>WA</b>	4,181	631	4,819	88	130	5,037	DNF	21	DNF	0	4	DNF	DNF	652	DNF	88	134	DNF
<b>WV</b>	DNF	DNF	DNF	DNF	2	DNF	0	16	16	52	2	70	DNF	DNF	DNF	DNF	4	DNF
<b>WI</b>	DNF	DNF	DNF	4	6	DNF	0	0	0	0	3	3	DNF	DNF	DNF	4	9	DNF
<b>WY</b>	DNF	DNF	DNF	DNF	DNF	DNF	0	0	0	0	1	1	DNF	DNF	DNF	DNF	DNF	DNF
<b>Reported US Total</b>	43,406	8,991	47,865	3,410	463	46,604	313	944	1,236	710	167	2,109	39,416	9,596	43,378	4,045	569	42,021
<b>Estimated US Total</b>	170,830	33,791	204,620	5,500	983	211,104	363	952	1,315	710	167	2,192	171,193	34,743	205,935	6,210	1,150	213,296

<sup>a</sup> Other date (Usually June 30, 2012) <sup>b</sup> Estimate <sup>c</sup> DNf Did not furnish \* See state notes in Appendix 1 This table excludes people in family homes, nursing homes, and psychiatric settings. It includes people in Nonstate ICF/IID, Group homes, Host homes, own home, and other settings. Size information was not collected for state "other" settings. Totals include people with IDD in state "other" IDD settings.

**Table 1.8** People in State and Nonstate Non-Family IDD Residential Settings<sup>1</sup> by Setting Size and State Operation June 30, 2013

State	Nonstate Settings						State Settings						All Settings					
	Size	1-3	4-6	1-6	7-15	16+	Total	1-3	4-6	1-6	7-15	16+	Total	1-3	4-6	1-6	7-15	16+
<b>N States</b>	33	32	38	40	41	38	51	51	51	51	51	51	33	32	38	40	41	38
AL	2,347	475	2,822	716	0	3,538	0	0	0	0	0	0	2,347	475	2,822	716	0	3,538
AK	570	150	720	50	15	785	0	0	0	0	0	0	570	150	720	50	15	785
AZ	3,476	1,159	4,635	0	35	4,670	17	23	40	25	106	171	3,493	1,182	4,675	25	141	4,841
AR	1,287	82	1,369	936	527	2,832	0	0	0	0	934	934	1,287	82	1,369	936	1,461	3,766
CA	DNF	DNF	53,541	1,181	1,625	56,347	0	0	0	0	1,567	1,567	DNF	DNF	53,541	1,181	3,192	57,914
CO	DNF	DNF	8,164	0	0	8,164	0	135	135	166	168	469	DNF	DNF	8,299	166	168	8,633
CT	3,047	2,464	5,511	180	0	5,691	32	226	258	108	552	918	3,079	2,690	5,769	288	552	6,609
DE	591	516	1,107	0	0	1,107	5	9	14	0	61	75	596	525	1,121	0	61	1,182
DC	899	479	1,378	0	15	1,393	0	0	0	0	0	0	899	479	1,378	0	15	1,393
FL	6,482	6,374	12,856	1,677	1,977	16,510	0	0	0	0	899	899	6,482	6,374	12,856	1,677	2,876	17,409
GA	4,119	1,013	5,132	11	0	5,143	0	0	0	0	293	293	4,119	1,013	5,132	11	293	5,436
HI	648	371	1,019	7	0	1,026	0	0	0	0	0	0	648	371	1,019	7	0	1,026
ID	DNF	DNF	DNF	DNF	DNF	DNF	0	5	5	0	46	51	DNF	DNF	DNF	DNF	DNF	DNF
IL	DNF	DNF	5,428	7,193	3,306	15,927	0	0	0	0	1,810	1,810	DNF	DNF	5,428	7,193	5,116	17,737
IN	3,687	1,822	5,509	2,554	272	8,335	0	0	0	0	0	0	3,687	1,822	5,509	2,554	272	8,335
IA	DNF	DNF	DNF	908	951	DNF	18	46	64	0	429	493	DNF	DNF	DNF	908	1,380	DNF
KS	DNF	DNF	DNF	DNF	DNF	DNF	0	0	0	0	327	327	DNF	DNF	DNF	DNF	DNF	DNF
KY	DNF	DNF	3,772	0	149	3,921	0	0	0	0	203	203	DNF	DNF	3,772	0	352	4,124
LA	2,420	1,720	4,140	1,656	933	6,729	0	8	8	19	468	495	2,420	1,728	4,148	1,675	1,401	7,224
ME	DNF	DNF	DNF	DNF	DNF	DNF	5	0	5	0	0	5	DNF	DNF	DNF	DNF	DNF	DNF
MD	8,331	1,866	10,197	219	0	10,416	0	0	0	0	277	277	8,331	1,866	10,197	219	277	10,693
MA	DNF	DNF	DNF	DNF	0	DNF	90	858	948	173	516	1,637	DNF	DNF	DNF	516	DNF	DNF
MI <sup>4</sup>	889	3,898	10,691	664	341	11,696	0	0	0	0	0	0	889	3,898	10,691	664	341	11,696
MN	DNF	DNF	DNF	DNF	DNF	DNF	23	430	453	0	0	453	DNF	DNF	DNF	DNF	DNF	DNF
MS	143	73	216	44	732	992	137	85	222	561	1,212	1,995	280	158	438	605	1,944	2,987
MO	4,514	1,162	5,676	1,126	112	6,914	180	35	215	0	463	678	4,694	1,197	5,891	1,126	575	7,592
MT	151	504	655	632	0	1,287	0	0	0	0	53	53	151	504	655	632	53	1,340
NE	3,108	575	3,683	92	221	3,996	0	0	0	8	124	132	3,108	575	3,683	100	345	4,128
NV	1,017	696	1,713	0	124	1,837	0	0	0	0	46	46	1,017	696	1,713	0	170	1,883
NH	1,965	78	2,043	24	25	2,092	0	6	6	0	0	6	1,965	84	2,049	24	25	2,098
NJ	3,168	4,251	7,419	848	1,121	9,388	6	41	47	97	2,413	2,557	3,174	4,292	7,466	945	3,534	11,945
NM	DNF	DNF	2,162	120	0	2,282	31	38	69	0	0	69	DNF	DNF	2,231	120	0	2,351
NY	14,243	10,370	24,613	13,696	971	39,280	169	2,027	2,196	4,837	437	7,470	14,412	12,397	26,809	18,533	1,408	46,750
NC	DNF	DNF	DNF	DNF	DNF	DNF	0	8	8	0	1,272	1,280	DNF	DNF	DNF	DNF	DNF	DNF
ND	1,182	258	1,440	486	30	1,956	0	0	0	0	87	87	1,182	258	1,440	486	117	2,043
OH	DNF	DNF	DNF	DNF	DNF	DNF	0	0	0	0	952	952	DNF	DNF	DNF	DNF	DNF	DNF
OK	2,079	DNF	DNF	DNF	DNF	DNF	0	0	0	0	203	203	2,079	DNF	DNF	DNF	DNF	DNF
OR	DNF	DNF	6,945	231	36	7,212	0	108	108	0	0	108	DNF	DNF	7,053	231	36	7,320
PA	DNF	DNF	DNF	DNF	DNF	DNF	0	0	0	0	1,041	1,041	DNF	DNF	DNF	DNF	DNF	DNF
RI	983	807	1,790	163	21	1,974	36	150	186	47	33	266	1,019	957	1,976	210	54	2,240
SC	1,156	2,107	3,263	891	0	4,154	0	0	0	0	721	721	1,156	2,107	3,263	891	721	4,875
SD	1,317	406	1,723	649	64	2,436	0	0	0	0	127	127	1,317	406	1,723	649	191	2,563
TN	4,402	508	4,910	656	81	5,647	0	101	101	0	172	273	4,402	609	5,011	656	253	5,920
TX	DNF	DNF	DNF	DNF	DNF	DNF	0	10	10	0	3,547	3,557	DNF	DNF	DNF	DNF	DNF	DNF
UT	2,209	353	2,562	162	554	3,278	0	0	0	0	206	206	2,209	353	2,562	162	760	3,484
VT	1,657	81	1,738	0	0	1,738	0	0	0	0	0	0	1,657	81	1,738	0	0	1,738
VA	1,934	2,557	4,491	1,063	173	5,727	0	0	0	0	779	779	1,934	2,557	4,491	1,063	952	6,506
WA	7,134	2,051	9,185	284	284	9,753	81	55	136	0	808	944	7,215	2,106	9,321	284	1,092	10,697
WV	1,353	227	1,580	390	39	2,009	0	83	83	390	39	512	1,353	310	1,663	780	78	2,521
WI	DNF	DNF	DNF	31	473	DNF	0	0	0	0	373	373	DNF	DNF	DNF	31	846	DNF
WY	DNF	DNF	DNF	DNF	DNF	DNF	0	0	0	0	90	90	DNF	DNF	DNF	DNF	DNF	DNF
<b>Reported US Total</b>	92,508	49,453	225,798	39,540	15,207	278,182	830	4,487	5,317	6,431	23,854	35,602	93,171	52,302	229,622	45,798	31,583	303,322
<b>Estimated US Total</b>	241,106	125,391	366,498	51,506	25,049	443,052	830	4,487	5,317	6,431	23,854	35,602	241,936	129,878	371,815	57,937	48,903	478,654

<sup>DNF</sup> Did not furnish <sup>1</sup>This table excludes people in family homes, nursing homes, and psychiatric settings. It includes people in Nonstate ICF/IID, Group homes, Host homes, own home, and other settings.

Nonstate entities operated 99.8% of the settings with 1 to 3 people, 97% of the settings with 4 to 6 people, 89% of the settings with 7 to 15 people, and 86% of the settings with 16 or more people.

Overall, 17% of state-operated settings served three or fewer people, 43% served 4 to 6 people, 32% served 7 to 15 people, and 8% served 16 or more people. By contrast, 81% of the nonstate facilities served three or fewer people, 16% served 4 to 6 people, 3% served 7 to 15 people, and less than 1% served 16 or more people.

### People Living in Non-Family IDD Settings.

An estimated 478,654 people with IDD lived in a Non-Family IDD Setting on June 30, 2013 (See **Table 1.8**). Of those people 443,052 (92%) lived in nonstate settings and 35,602 (8%) lived in state-operated settings.

Overall, an estimated 241,963 people lived in Non-Family IDD Settings with 1 to 3 people (51%), 129,852 lived in settings with 4 to 6 people (27%), 57,937 lived in settings with 7 to 15 people (12%), and 49,035 lived in settings with 16 or more people (10%).

Of the people in nonstate settings, 54% lived in settings with three or fewer people, 28% lived in settings shared by 4 to 6 people, 12% lived in settings shared by 7 to 15 people, and 6% lived in settings shared by 16 or more people with IDD. By comparison, 2% of the people in state-operated settings lived in settings with 3 or fewer people, 13% lived in settings shared by 4 to 6 people, 18% lived in settings shared by 7 to 15 people, and 67% lived in settings shared by 16 or more people with IDD.

**IDD Settings serving 16 or more people.** While the national average proportion of people with IDD in Non-Family Settings serving 16 or more people with IDD was 10% there were wide differences for state-operated versus nonstate settings, and for different states.

Four states reported no people living in Non-Family IDD Settings of 16 or more people (Alabama, Hawaii, New Mexico, and Vermont). In three states, more than 30% of the people in Non-Family IDD Settings were in settings of 16 or more people including Arkansas (39%), Mississippi (65%), and New Jersey (30%). In seven states, between 10% and 29% of people in Non-Family IDD Settings were in settings of 16 or more people including Florida (17%), Illinois (29%), Louisiana (19%), South Carolina (15%), Utah

(22%), Virginia (15%) and Washington (10%). In the remaining 26 states, 10% or fewer people were in settings of 16 or more people.

States with the largest combined number of people with IDD in settings of 16 or more people were California (3,192), Florida (2,876), Illinois (5,116), Mississippi (1,944) and New Jersey (3,534). Together those five states served 34% of all people with IDD in settings of 16 or more.

**State-Operated Settings.** Overall, 67% of people living in state-operated IDD settings lived in settings of 16 or more people. States with the largest number of people in state-operated settings of 16 or more people were California (1,567), Illinois (1,810), New Jersey (2,413) North Carolina (1,272) and Texas (3,547).

Twenty states reported serving no one with IDD in state-operated settings of 16 or more. In 12 states, 100% of the people in state-operated IDD settings were in settings of 16 or more (Alabama, Arkansas, Florida, Georgia, Illinois, Kansas, Kentucky, Maryland, Montana, Nevada, North Dakota, Ohio, Oklahoma, Pennsylvania, South Carolina, South Dakota, Utah, Wisconsin, and Wyoming). In 14 states between 61% and 99% of the people in state-operated IDD settings were in settings of 16 or more people. Finally, in 5 states between 6% and 36% of people in state-operated IDD settings were in settings of 16 or more people.

**Nonstate Settings.** Overall, 6% of the people with IDD in nonstate IDD settings lived in settings of 16 or more people. States reporting the largest number of people living in nonstate settings of 16 or more people included California (1,625), Florida (1,977), Illinois (3,306), New Jersey (1,121), and New York (971).

In Mississippi, 74% of people with IDD living in nonstate IDD settings were in settings of 16 or more people. In 6 states between 10% and 21% of people in nonstate IDD settings were in settings of 16 or more (Arkansas, Florida, Illinois, Louisiana, New Jersey, and Utah). In 20 states least one person but fewer than 10% were in settings of 16 or more people. In 11 states, no one with IDD lived in nonstate IDD settings of 16 or more people.

### People with IDD Living in Nursing Facilities or State Psychiatric Facilities

For 2013, states were asked to separate both psychiatric facilities and nursing homes into state-

**Table 1.9** Number and Estimated Proportion of People with IDD Living in Psychiatric Facilities and Nursing Homes on June 30, 2013

State	State Psychiatric Facilities	Nursing Homes (NH)			Estimated Total People		
		State	Nonstate	Est. Total <sup>1</sup>	Non-Family IDD Settings	IDD + NH + State Psych	% in Nursing Home
<b>N States</b>	<b>49</b>	<b>34</b>	<b>32</b>	<b>51</b>	<b>51</b>	<b>51</b>	<b>51</b>
AL	0	0	901	901	3,538	4,439	20
AK	0	0	0	0	799	799	0
AZ	0	0	40	40	4,841	4,881	1
AR	0 <sup>ed</sup>	52 <sup>e</sup>	562 <sup>e</sup>	614 <sup>e</sup>	3,766	4,380	14
CA	0	0	1,060	1,060	57,914	58,974	2
CO	0 <sup>ed</sup>	DNF	185	185 <sup>a</sup>	8,633	8,818	2
CT	4 <sup>0</sup>	0	388	388	6,609	7,001	6
DE	2	7	0	7	1,182	1,191	1
DC	0	0	5	5	1,393	1,398	0
FL	35	0	336	336	17,409	17,780	2
GA	0 <sup>ed</sup>	DNF	DNF	1,095 <sup>**</sup>	5,436	6,531	17
HI	0 <sup>ed</sup>	DNF <sup>d</sup>	DNF	61 <sup>**</sup>	1,026	1,087	6
ID	0 <sup>ed</sup>	DNF	DNF	98 <sup>**</sup>	2,640	2,738	4
IL	1	0	DNF	1,094 <sup>**</sup>	17,737	18,832	6
IN	74	0	1,527	1,527	8,335	9,936	15
IA	0 <sup>ed</sup>	DNF	664	664 <sup>b</sup>	4,457	5,121	13
KS	0 <sup>ed</sup>	DNF	DNF	262 <sup>**</sup>	5,670	5,932	4
KY	151	191	0	191	4,124	4,466	4
LA	2 <sup>e</sup>	6 <sup>e</sup>	543 <sup>e</sup>	549 <sup>e</sup>	7,224	7,775	7
ME	0 <sup>ed</sup>	DNF	200 <sup>e</sup>	200 <sup>b</sup>	3,515	3,715	5
MD	0	0	0	0	10,693	10,693	0
MA	0 <sup>ed</sup>	23 <sup>d</sup>	230 <sup>de</sup>	253 <sup>e</sup>	22,176	22,429	1
MI	0	276	DNF	609 <sup>**</sup>	11,696	12,305	5
MN	18	0	154	154	19,999	20,171	1
MS	0 <sup>ed</sup>	DNF	DNF	371 <sup>**</sup>	2,987	3,358	11
MO	259	DNF	DNF	1,078 <sup>**</sup>	7,592	8,929	12
MT	0 <sup>ed</sup>	DNF	DNF	89 <sup>**</sup>	1,340	1,429	6
NE	0	0	205	205	4,128	4,333	5
NV	0	0	87	87	1,883	1,970	4
NH	0 <sup>ed</sup>	DNF	60	60 <sup>a</sup>	2,098	2,158	3
NJ	61	0	1,070	1,070	11,945	13,076	8
NM	DNF	11	85	96	2,351	2,447	4
NY	0 <sup>ed</sup>	1,326	DNF	1,326 <sup>a</sup>	46,750	48,076	3
NC	76	180	DNF	752 <sup>b</sup>	8,059	8,887	8
ND	8	0	108	108	2,043	2,159	5
OH	0 <sup>d</sup>	0	DNF <sup>d</sup>	1,962 <sup>**</sup>	31,341	33,303	6
OK	DNF	0	DNF	597 <sup>**</sup>	4,326	4,923	12
OR	0	0	0	0	7,320	7,320	0
PA	66	DNF	DNF	1,549 <sup>**</sup>	25,290	26,905	6
RI	0 <sup>ed</sup>	0	99 <sup>d</sup>	99	2,240	2,339	4
SC	0 <sup>ed</sup>	DNF	220	220 <sup>b</sup>	4,875	5,095	4
SD	0	0	96	96	2,563	2,659	4
TN	5 <sup>ed</sup>	0	DNF	646 <sup>**</sup>	5,920	6,571	10
TX	0 <sup>ed</sup>	DNF	DNF	1,934 <sup>**</sup>	32,361	34,295	6
UT	0 <sup>ed</sup>	0	92	92	3,484	3,576	3
VT	0	0	32	32	1,738	1,770	2
VA	312 <sup>d</sup>	DNF	DNF	935 <sup>**</sup>	6,517	7,764	12
WA	37	0	0	0	10,697	10,734	0
WV	31 <sup>ed</sup>	DNF	DNF	268 <sup>**</sup>	2,521	2,820	10
WI	0	0	34	34	14,290	14,324	0
WY	9	DNF	DNF	21 <sup>**</sup>	1,183	1,213	2
<b>Reported US Total</b>	<b>1,151</b>	<b>2,072</b>	<b>8,983</b>				
<b>Estimated US Total</b>				<b>24,021</b>	<b>478,654</b>	<b>502,675</b>	<b>5</b>

<sup>1</sup> The source for estimated state total people with IDD in nursing homes were <sup>a</sup> Nonstate data only, <sup>b</sup> State data only \*\*Estimated from AHCA (2013) <sup>d</sup> Other date (Usually June 30, 2012) <sup>DNF</sup> Did not furnish <sup>e</sup> Estimate

operated or nonstate-operated settings. While 49 states reported the number of people in state psychiatric settings, only 19 reported the number of people in nonstate psychiatric settings (See **Table 1.9**). We did not have a secondary source for the number of people with IDD in nonstate psychiatric settings and have therefore included only the responses about state-operated psychiatric settings.

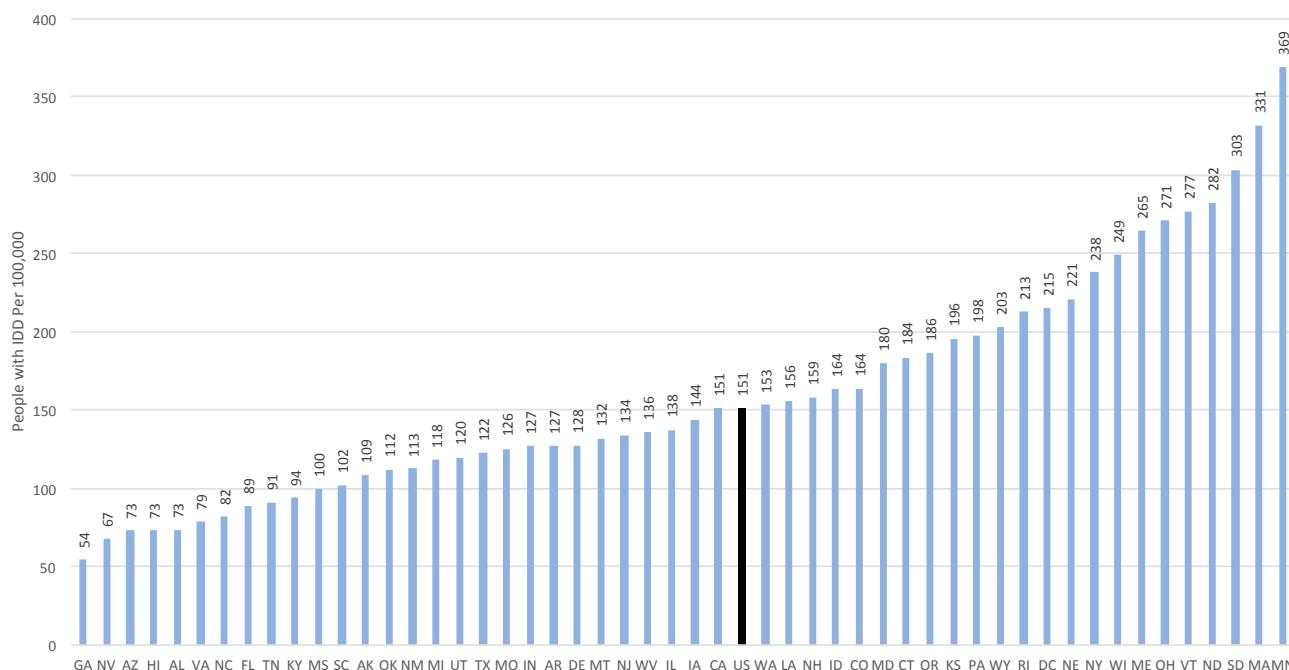
On June 30, 2013, an estimated 1,200 people with IDD lived in state-operated psychiatric facilities in 18 states (31 states reported no people with IDD in state-operated psychiatric facilities). States reporting more than 100 people with IDD living in state-operated psychiatric facilities included Kentucky (151 people), Missouri (259) and Virginia (312).

Overall, 34 states reported the number of people with IDD living in state-operated nursing homes, and 32 states reported the number of people in nonstate nursing homes (24 states reported both). The estimated totals column includes estimates from the American Health Care Association (AHCA) based on their March, 2013 analysis of CMS's Online Survey, Certification and Reporting (OSCAR) data for states that did not furnish nursing home estimates.

An estimated total of 24,021 people with IDD were living in state or nonstate-operated nursing homes on June 30, 2013. Four states reported no people with IDD living in nursing homes (Alaska, Maryland, Oregon, and Washington). Six states (Arizona, Delaware, the District of Columbia, Vermont, Wisconsin, and Wyoming) reported fewer than 50 people living in nursing homes. States with the largest number of people with IDD living in nursing homes were Indiana (1,527), New York (1,326), Ohio (1,962), Pennsylvania (1,549), and Texas (1,934).

An estimated total of 502,675 people with IDD lived in non-family IDD settings, nursing homes or psychiatric facilities. Of those people, 5% lived in a state or nonstate nursing home. The percentage living in nursing homes ranged from 0% in Alaska, Maryland, Oregon, and Washington to 20% in Alabama. Between 10% and 20% of people with IDD in non-family settings lived in nursing homes in Arkansas (14%), Georgia (17%), Indiana (15%), Iowa (13%), Mississippi (11%), Missouri (12%), and Oklahoma (12%).

**Figure 1.4** People with IDD Per 100,000 of the Population Living in a Non-Family IDD Setting on June 30, 2013



## Utilization of Non-Family IDD Settings, State Psychiatric Facilities and Nursing Homes per 100,000 of the State Population

Indexing the number of people with IDD who lived in Non-Family IDD Settings, State Psychiatric Facilities, or Nursing Homes to each state's total population (in 100,000's) allows state-to-state comparisons that account for differences in total state populations (See **Table 1.10** and **Figure 1.4**).

**Non-Family IDD Settings.** On June 30, 2013, an estimated 151.5 people with IDD per 100,000 of the US population lived in Non-Family IDD Settings (117.6 in settings with six or fewer people, 18.3 in settings with 7 to 15 people, and 15.5 in settings of 16 or more people). Overall utilization per 100,000 ranged from 55.7 in Georgia to 369.0 in Minnesota (See Figure 1.4). Besides Georgia, other states with utilization rates of less than 75 per 100,000 were Alabama (73.2), Arizona (73.1), Hawaii (73.1), and Nevada (67.5). Besides Minnesota, other states with utilization rates of more than 275 per 100,000 included Massachusetts (331.3), North Dakota (282.4), South Dakota (303.4), and Vermont (277.4)

Utilization of Non-Family IDD Settings serving 16 or more people ranged from zero people per 100,000 of a state's population in Alabama, Hawaii, New Mexico and Vermont to 65 in Mississippi. States with between 25 and 50 people with IDD per 100,000 living in Non-Family IDD Settings with 16 or more people included Arkansas (49.4), Illinois (39.7), Iowa (44.7), Louisiana (30.3), New Jersey (39.7) and Utah (26.2).

**State Psychiatric Facilities.** On June 30, 2013, an estimated 0.4 people with IDD per 100,000 lived in state psychiatric facilities. Most states reported no people with IDD living in state-operated psychiatric facilities. States reporting the highest utilization of state psychiatric facilities for people with IDD were Kentucky (3.4 per 100,000), Missouri (4.3), and Virginia (3.8).

**Nursing Homes.** On June 30, 2013, an estimated 7.6 people with IDD per 100,000 of the US population lived in state or nonstate nursing homes. Four states reported no people with IDD in nursing homes (Alaska, Maryland, Oregon, and Washington). States with the highest utilization rates for nursing homes included Alabama (18.6 people per 100,000), Arkansas (20.7), Indiana (23.2), Iowa (21.5), and Missouri (17.8)

## Persons Waiting for Residential Supports and Services

States reported the number of people with IDD who were waiting for Medicaid-funded long-term supports and services (LTSS) on June 30, 2013. They also reported how many of the people waiting for services were receiving Targeted Case Management Services (TCM) and how many were waiting to live in a setting other than the home of a family member.

Instructions given to states about who to count as being on a waiting list included:

- a.) **Do not** include people with IDD already living in an ICF/IDD facility or in another non-family setting
- b.) **Do** report people who were living in homes of their own or with a family member who were on waiting lists for in-home supports or residential services to live outside the family home
- c.) **Include** people with IDD who are not currently receiving but who are waiting for one or more type of Medicaid funded long-term support or service.

**Total waiting for Medicaid Funded LTSS.** An estimated 233,204 people with IDD were waiting for Medicaid-funded LTSS on June 30, 2013 (See **Table 1.11**). Eleven states reported not keeping waiting lists or having no people waiting for Medicaid funded LTSS (California, Delaware, District of Columbia, Hawaii, Idaho, Iowa, Massachusetts, North Dakota, Oregon, Rhode Island, and South Dakota). States with the largest waiting lists were Florida (22,432), Illinois (22,999), Louisiana (10,756), Ohio (41,484), and North Carolina (9,900).

**Receiving Targeted Case Management Services while waiting.** Thirty-six states reported the number of people waiting for Medicaid funded LTSS who received Medicaid State Plan Targeted Case Management (TCM) services. An estimated 42,385 (18%) of the people with IDD waiting for Medicaid funded LTSS received TCM services while they waited. Eleven states with waiting lists did not provide TCM to people who were waiting. States reporting the most people getting TCM while waiting for Medicaid funded LTSS were Maryland (4,197), Ohio (18,280), and Pennsylvania (2,805). States reporting that more than 90% of the people on their Medicaid LTSS waiting lists were receiving TCM services included Connecticut (90%), Maine (97%), Montana (100%), Nevada (100%), and Wyoming (100%).

**Table 1.10** Persons Living in Non-Family IDD Settings, State Psychiatric Facilities and Nursing Homes Per 100,000 of State Population by Residence Size, June 30, 2013

State	*State Population (100,000)	Non-Family IDD Residence Size				Estimated Totals	
		1-6	7-15	16+	IDD Settings	State Psychiatric	Nursing Homes <sup>2</sup>
<b>N States</b>	<b>51</b>	<b>38</b>	<b>40</b>	<b>41</b>	<b>51</b>	<b>49</b>	<b>51</b>
AL	48.3	58.4	14.8	0.0	73.2	0.0	18.6
AK	7.4	97.9	6.8	2.0	108.7	0.0	0.0
AZ	66.3	70.5	0.4	2.1	73.1	0.0	0.6
AR	29.6	46.3	31.6	49.4	127.3	0.0	20.7
CA	383.3	139.7	3.1	8.3	151.1	0.0	2.8
CO	52.7	157.5	3.2	3.2	163.9	0.0	3.5
CT	36.0	160.4	8.0	15.4	183.8	0.1	10.8
DE	9.3	121.1	0.0	6.6	127.7	0.2	0.8
DC	6.5	213.2	0.0	2.3	215.5	0.0	0.8
FL	195.5	65.7	8.6	14.7	89.0	0.2	1.7
GA	99.9	51.4	0.1	2.9	54.4	0.0	11.0
HI	14.0	72.6	0.5	0.0	73.1	0.0	4.3
ID	16.1	DNF	DNF	DNF	163.8	0.0	6.1
IL	128.8	42.1	55.8	39.7	137.7	0.0	8.5
IN	65.7	83.8	38.9	4.1	126.8	1.1	23.2
IA	30.9	DNF	29.4	44.7	144.2	0.0	21.5
KS	28.9	DNF	DNF	DNF	195.9	0.0	9.0
KY	44.0	85.8	0.0	8.0	93.8	3.4	4.3
LA	46.3	89.7	36.2	30.3	156.2	0.0	11.9
ME	13.3	DNF	DNF	DNF	264.6	0.0	15.1
MD	59.3	172.0	3.7	4.7	180.4	0.0	0.0
MA	66.9	DNF	DNF	7.7	331.3	0.0	3.8
MI	99.0	108.0	6.7	3.4	118.2	0.0	6.2
MN	54.2	DNF	DNF	DNF	369.0	0.3	2.8
MS	29.9	14.6	20.2	65.0	99.9	0.0	12.4
MO	60.4	97.5	18.6	9.5	125.6	4.3	17.8
MT	10.2	64.5	62.3	5.2	132.0	0.0	8.8
NE	18.7	197.1	5.4	18.5	220.9	0.0	11.0
NV	27.9	61.4	0.0	6.1	67.5	0.0	3.1
NH	13.2	154.8	1.8	1.9	158.5	0.0	4.5
NJ	89.0	83.9	10.6	39.7	134.2	0.7	12.0
NM	20.9	107.0	5.8	0.0	112.7	DNF	4.6
NY	196.5	136.4	94.3	7.2	237.9	0.0	6.7
NC	98.5	DNF	DNF	DNF	81.8	0.8	7.6
ND	7.2	199.1	67.2	16.2	282.4	1.1	14.9
OH	115.7	DNF	DNF	DNF	270.9	0.0	17.0
OK	38.5	DNF	DNF	DNF	112.3	DNF	15.5
OR	39.3	179.5	5.9	0.9	186.3	0.0	0.0
PA	127.7	DNF	DNF	DNF	198.0	0.5	12.1
RI	10.5	187.9	20.0	5.1	213.0	0.0	9.4
SC	47.7	68.3	18.7	15.1	102.1	0.0	4.6
SD	8.4	203.9	76.8	22.6	303.4	0.0	11.4
TN	65.0	77.1	10.1	3.9	91.1	0.1	9.9
TX	264.5	DNF	DNF	DNF	122.4	0.0	7.3
UT	29.0	88.3	5.6	26.2	120.1	0.0	3.2
VT	6.3	277.4	0.0	0.0	277.4	0.0	5.1
VA	82.6	54.4	12.9	11.5	78.9	3.8	11.3
WA	69.7	133.7	4.1	15.7	153.4	0.5	0.0
WV	18.5	89.7	42.1	4.2	136.0	1.7	14.5
WI	57.4	DNF	0.5	14.7	248.8	0.0	0.6
WY	5.8	DNF	DNF	DNF	203.0	1.5	3.6
<b>US Estimate</b>	<b>3161.3</b>	<b>117.6</b>	<b>18.3</b>	<b>15.5</b>	<b>151.4</b>	<b>0.4</b>	<b>7.6</b>

DNF Did not furnish <sup>1</sup>Excludes people in family homes, nursing homes, and psychiatric settings. Includes State and Non-State ICF/IID, Group Homes, Host/Foster, Own home and other group settings. <sup>2</sup>Estimates were used for states providing incomplete information.

**Table 1.11** People Waiting for Medicaid LTSS, Getting Targeted Case Management While Waiting, or Waiting for Non-Family Residential Services on June 30, 2013

State	People with IDD Waiting for LTSS			Waiver + ICF/IID Recipients	Total Waiting of Medicaid IDD Recipients (%)
	Total Waiting	Gets Targeted Case Management	Waiting for non-family residence		
<b>N States</b>	<b>45</b>	<b>36</b>	<b>25</b>	<b>51</b>	<b>45</b>
<b>AL</b>	2,975	0	1,567	6,261	48%
<b>AK</b>	613	0	423	1,865	33%
<b>AZ</b>	414	DNF	3	25,896	2%
<b>AR</b>	2,580	DNF	DNF	5,615	46%
<b>CA</b>	0	0	0	111,476	0%
<b>CO</b>	3,712	0	3,372	8,087	46%
<b>CT</b>	618 <sup>*</sup>	559	163 <sup>*</sup>	9,901	6%
<b>DE</b>	0	0	0	1,045	0%
<b>DC</b>	0	0	0	1,929	0%
<b>FL</b>	22,432	DNF	DNF	31,706	71%
<b>GA</b>	7,074	0	DNF	8,316	85%
<b>HI</b>	0 <sup>ed</sup>	0	DNF	2,623	0%
<b>ID</b>	0	0	0	5,587	0%
<b>IL</b>	22,999	0	7,000 <sup>e</sup>	27,860	83%
<b>IN</b>	5,109	0	DNF	17,677	29%
<b>IA</b>	0	0	DNF	16,643	0%
<b>KS</b>	DNF	DNF	DNF	8,304	DNF
<b>KY</b>	DNF	DNF	DNF	12,409	DNF
<b>LA</b>	10,756	872 <sup>e</sup>	DNF	16,604	65%
<b>ME</b>	725 <sup>e</sup>	700 <sup>e</sup>	49	4,413	16%
<b>MD</b>	5,276	4,197	4,298	8,901	59%
<b>MA</b>	0 <sup>d</sup>	0 <sup>d</sup>	0 <sup>d</sup>	21,867	0%
<b>MI</b>	DNF	DNF	DNF	36,600	DNF
<b>MN</b>	3,630	1,519	DNF	19,792	18%
<b>MS</b>	DNF	DNF	DNF	4,522	DNF
<b>MO</b>	1,113	931	191	12,716	9%
<b>MT</b>	676	676	DNF	2,753	25%
<b>NE</b>	1,775	483	DNF	5,078	35%
<b>NV</b>	620	620	620	1,765	35%
<b>NH</b>	242	DNF	DNF	4,204	6%
<b>NJ</b>	3,932	DNF	DNF	13,775	29%
<b>NM</b>	6,248	DNF	DNF	4,446	141%
<b>NY</b>	DNF	0	6,683	86,618	DNF
<b>NC</b>	9,900	0	DNF	16,179	61%
<b>ND</b>	0	0	0	4,539	0%
<b>OH</b>	41,484	18,280	DNF	39,531	105%
<b>OK</b>	7,044	0	DNF	5,439	130%
<b>OR</b>	0	0	0	17,735	0%
<b>PA</b>	5,814 <sup>e</sup>	2,805	3,329 <sup>e</sup>	32,260	18%
<b>RI</b>	0	0	0	3,979	0%
<b>SC</b>	9,050	DNF	220	9,783	93%
<b>SD</b>	0	0	0	3,553	0%
<b>TN</b>	7,165	0	DNF	8,702	82%
<b>TX</b>	DNF	DNF	DNF	38,964	DNF
<b>UT</b>	1,886	0	1,495	4,556	41%
<b>VT</b>	367	DNF	0	2,776	13%
<b>VA</b>	6,672	DNF	DNF	8,203	81%
<b>WA</b>	1,081	0	125	13,031	8%
<b>WV</b>	832	DNF	DNF	5,057	16%
<b>WI</b>	2,252	78	DNF	28,194	8%
<b>WY</b>	587	587	DNF	2,115	28%
<b>Reported Total</b>	197,653	32,307	29,538		
<b>Est US total</b>	232,204	42,385	107,959	791,850	29%

<sup>d</sup> Other date (Usually June 30, 2012) <sup>e</sup> Estimate DNF Did not furnish \* See state notes in Appendix

## Waiting for Medicaid LTSS in a Non-Family

**Setting.** An estimated 108,665 (46%) people with IDD of the 232,204 people who were waiting for Medicaid LTSS were waiting for non-family residential services on June 30, 2013. Illinois with 7,000 and New York with 6,683 people reported the largest numbers of people waiting for non-family residential services. In the 15 states reporting people waiting for non-family residential services the proportion of those waiting who had requested non-family residential services was less than 10% in Arizona (1%), Maine (7%), and South Carolina (2%). States reporting that more than 80% of people waiting for Medicaid LTSS were waiting for non-family residential services included Colorado (91%), Maryland (81%) and Nevada (100%).

## Growth Required to Serve All People Waiting for Medicaid funded LTSS.

On June 30, 2013, 791,850 people with IDD received Medicaid ICF/IID or Waiver funded supports. An estimated 232,204 people were waiting for Medicaid funded LTSS. Assuming that the people who are waiting are already accessing the Medicaid State Plan and the non-Medicaid funding LTSS services they are eligible for, the Medicaid ICF/IID or Waiver funded programs would have to grow by an estimated 29% recipients nationally to meet the needs of those waiting.

The number of ICF/IID and Medicaid Waiver recipients that would need to be added to meet the needs of people with IDD on waiting lists ranged from 2% in Arizona to 141% in New Mexico. Five states would need to increase the number of ICF/IID or Medicaid Waiver recipients with IDD by between 5% and 10% to meet the needs of people who were waiting (Connecticut, Missouri, New Hampshire, Washington, and Wisconsin). In addition to New Mexico, eleven states would need to expand their Medicaid ICF/IID or Waiver programs by more than 50% to meet the needs of the people waiting. Those states include Florida (71% growth required), Georgia (85%), Illinois (83%), Louisiana (65%), Maryland (59%), North Carolina (61%), Ohio (105%), South Carolina (93%), Tennessee (82%), and Virginia (81%).



## SECTION TWO

# Recipients and Expenditures by Funding Authority

**FY 2013**



## SECTION 2: MEDICAID RECIPIENTS AND EXPENDITURES BY FUNDING AUTHORITY

Section 2 describes the evolution of the Medicaid program, the Medicaid Authorities through which long term supports and services for people with IDD are funded, and the status of Medicaid funded LTSS for people with IDD in FY 2013.

### Evolution of the Medicaid Program<sup>1</sup>

In 1848, the first state institution unit for persons with IDD was founded in a Massachusetts state school for children who were blind (White et al, 1992). At the beginning of the baby boom generation in 1946, an estimated 115,928 people lived in large state-operated IDD facilities. By 1967, three years after the Baby Boom ended, the number of people living in state IDD facilities had exploded to 228,500 people (Lakin, 1979).

Before 1965, there was no federal funding for LTSS for persons with IDD. In 1965, Medicaid was enacted as Medical Assistance, Title XIX of the Social Security Act. Medicaid is a state-federal partnership in which states cover a portion of the total costs of providing

services. Initially covered services were long-term medical supports offered in places such as Skilled Nursing Facilities (SNF) for people who met income standards and who were elderly, blind, disabled, or dependent people 21 years old or younger and their families. States responded by shifting people with IDD from state funded facilities into Medicaid-certified nursing facilities.

Shortly after the introduction of federal reimbursement for skilled nursing care in 1965, government officials noted rapid growth in the number of people living in SNFs. Much of the increase was for people who were receiving far more medical care than they actually needed, at a greater cost than was needed, largely because of the incentives of placing people in facilities for which half or more of the costs were reimbursed through the federal Title XIX program. The advocacy community also asserted that many more people with IDD were living in nursing homes than were appropriately served in them. These concerns prompted a series of Medicaid reforms.

<sup>1</sup>Text describing the history of Medicaid was initially published in previous RISP annual reports. Those reports are available for download at <https://risp.umn.edu/publications>.



**Intermediate Care Facilities.** In 1967, a less medically oriented and less expensive form of long-term supports, the "Intermediate Care Facility" (ICF) program for elderly and disabled people 22 years or older, was authorized under Title XI of the Social Security Act. In 1971, the SNF and ICF programs were combined under Title XIX. Within the legislation combining the two programs was a hardly noticed, scarcely debated amendment that for the first time

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*GIVEN ITS FLEXIBILITY AND POTENTIAL FOR PROMOTING INDIVIDUALIZATION OF SERVICES, THE MEDICAID PROGRAM HAS EMERGED AS THE PRIMARY SOURCE OF FUNDING COMMUNITY SERVICES AS AN ALTERNATIVE TO INSTITUTIONAL CARE.*

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authorized Federal Financial Participation (FFP) for "intermediate care" provided in facilities specifically for people with IDD. These facilities were initially called Intermediate Care for People with Mental Retardation (ICF/MR) but as a result of changes made in Rosa's Law in 2010 are now referred to as Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IID; CMS, 2013b).

The ICF/IID legislation was designed to: 1) provide substantial federal incentives for upgrading the physical environment and the quality of care and habilitation being provided in large state IDD facilities; 2) neutralize incentives for states to place persons with IDD in nonstate nursing homes and/or to certify their large state facilities as SNFs; and 3) provide a long-term supports program for care and habilitation in the form of active treatment for persons with IDD. It offered a mechanism for providing federal financial assistance to help states with rapidly increasing costs for large state facilities which were averaging real dollar increases of 14% per year in the five years prior to the passage of the ICF/IID legislation (Greenberg, Lakin, Hill, Bruininks, & Hauber, 1985).

States overwhelmingly opted to certify their state institutions to participate in the ICF/IID program with two notable outcomes: 1) nearly every state acted to secure federal participation in paying for large state facility services for people with IDD, and 2) to maintain federal participation, most states were compelled to invest substantial state dollars

to bring large state facilities into conformity with ICF/IID standards. Forty states had at least one ICF/IID certified state facility by June 30, 1977 (Krantz, Bruininks & Clumper, 1979). Nearly a billion state dollars were invested in facility improvement efforts in FYs 1978-1980 alone, primarily to meet ICF/IID standards (Gettings & Mitchell, 1980).

The ICF/IID program began during a decade of rapid change. In 1975, PL 94-142 (Education of all Handicapped Children Act; now the Individuals with Disabilities Education Act) was passed mandating for the first time that all children, including children with IDD would have access to a free appropriate public education. This gave people with IDD the right to receive a public education without moving into an institution.

Many states made progress toward deinstitutionalizing their service systems by restricting or prohibiting children from entering large state-operated facilities. The number of people 21 years old or younger living in large state-operated facilities peaked in 1965 when 91,592 of the 187,305 residents (49%) were children or youth (NIMH, 1966). By 1977, the number of people 21 years old or younger had declined to 54,098 of 151,532 residents (36%). On June 30, 2013 people ages birth to 21 years were only 3.8% of the total large state IDD facility population (an estimated 945 people), and children 14 years or younger were 0.5% of the population (an estimated 122 people).





As expenditures for both state and private ICF/IID certified settings grew critics increasingly charged that the ICF/IID program 1) had created direct incentives for maintaining people in large state facilities by providing federal contributions to the costs of those facilities; 2) had diverted funds that could otherwise have been spent on community program development into facility renovations solely to obtain FFP; 3) had promoted the development of large private ICF/IID facilities for people leaving large state facilities (11,943 people were living in large private ICF/IID by June 1977); and 4) had promoted organizational inefficiency and individual dependency by promoting a single uniform standard for care and oversight of ICF/IID residents irrespective of the nature and degree of their disabilities and/or their relative capacity for independence.

These criticisms and the growing desire to increase access to residential opportunities jointly funded by the federal and state governments helped stimulate the development of ICF/IID services in smaller community settings. In 1981, the Health Care Financing Administration (HCFA), now the Centers for Medicare and Medicaid Services (CMS), promulgated a rule describing how ICF/IID services could be delivered in group homes for four to fifteen people with IDD.

**Community ICF/IID Group Homes.** In the late 1970s and the 1980s, ICF/IID services were expanded to include privately operated settings. Private residential facilities were not a focus at the time of original ICF/IID enactment in 1971, probably because: 1) most private facilities were already technically covered under the 1967 amendments to the Social Security Act authorizing private ICF programs, and 2) in 1971 large state facilities were by far the predominant model of residential care. Indeed, the 1969 Master Facility Inventory indicated a total population in nonstate IDD facilities of about 25,000, compared with a large state IDD facility population of 190,000 (Lakin, Bruininks, Doth, Hill, & Hauber, 1982).

Although Congressional debate about the ICF/IID program had focused on large state facilities, the statute did not specifically limit ICF/IID coverage to large state facilities or institutions. Participation in the ICF/IID program was (and remains) restricted to "four or more people in single or multiple units" (42 CFR 435.1010 (b)(2)). Although it cannot be determined whether Congress, in authorizing a "four or more bed" facility, purposely intended the ICF/IID benefit to be available in small settings, it does seem reasonable to suppose, in the absence of specific limitations, that Congress was more interested in

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improving the general quality of residential care than it was in targeting specific sizes of residential settings. ICF/IID regulations, first published in January 1974, also supported the option of developing smaller settings, delineating two categories of ICF/IID, those housing 16 or more people ("large") and those housing 15 or fewer people ("community") and providing several specifications that allowed greater flexibility in meeting ICF/IID standards in the smaller settings.

Despite the regulatory recognition of community ICF/IID, the numbers of facilities varied enormously among states and regions. In some DHHS regions hundreds of community ICF/IID were developed while other regions had none. By mid-1982 nearly half (46.4%) of the 1,202 community ICF/IID were located in Minnesota and New York and nearly two-thirds (65.1%) were located in Minnesota, New York, Michigan and Texas. These variations reflected what some states and national organizations considered a failure of HCFA to delineate clear and consistent policy guidelines for certifying community settings for ICF/IID participation and/or reluctance on the part of some regional HCFA agencies to support the option.

In 1981, the Health Care Financing Administration (now CMS) issued "Interpretive Guidelines" for

certifying community ICF/IID in response to continued complaints from the states that there was a need to clarify policy regarding the certification of community ICF/IID. These guidelines did not change the existing standards for the ICF/IID program, but clarified how the existing standards could be applied to delivering the ICF/IID level of care in community settings with 4 to 15 residents. Publication of the 1981 guidelines was followed by substantially greater numbers of states exercising the option to develop community ICF/IID. Ironically, these guidelines were published in the same year (1981) that Congress enacted legislation that would give even greater opportunity and flexibility to states to use Medicaid funding for community services through the Medicaid HCBS waiver authority (Section 2176 of P.L. 97-35).

**Home and Community-based Services.** Section 2176 of the Omnibus Budget Reconciliation Act of 1981 (PL 97-35), granted the Secretary of Health and Human Services the authority to waive certain existing Medicaid requirements and allow states to finance "non-institutional" services for Medicaid-eligible individuals. The Medicaid Home and Community-Based Services (HCBS) waiver program was designed to provide non-institutional, community-based services to people with disabilities (including older Americans) who, in the absence of



alternative non-institutional services, would remain in or would be at a risk of being placed in a Medicaid facility (i.e., a Nursing Facility or an ICF/IID). State 1915(c) waiver plans target one or several Medicaid eligible populations such as people with IDD, older Americans, people with HIV/AIDS, people with Brain Injuries, children with specific disabilities, people with Autism Spectrum Disorders, adults with physical disabilities and others. Regulations for the 1915(c) HCBS waivers were first published in March 1985.

When the HCBS 1915(c) Waiver program began, states were required to demonstrate reductions in the number of recipients of and total expenditures for institutional Medicaid-funded settings such as ICF/IID roughly equal to the increases in HCBS participants and expenditures. Those restrictions

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*THE MEDICAID HOME AND COMMUNITY-BASED SERVICES (HCBS) WAIVER PROGRAM WAS DESIGNED TO PROVIDE NON-INSTITUTIONAL, COMMUNITY-BASED SERVICES TO PEOPLE WITH DISABILITIES (INCLUDING OLDER AMERICANS) WHO, IN THE ABSENCE OF ALTERNATIVE NON-INSTITUTIONAL SERVICES, WOULD REMAIN IN OR WOULD BE AT A RISK OF BEING PLACED IN A MEDICAID FACILITY.*

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were relaxed and then dropped in 1994. The impetus toward expanding HCBS was bolstered by the landmark Supreme Court Ruling in the case of L.C. vs Olmstead in 1999 that affirmed the right of eligible people with disabilities to receive state services in the most integrated settings appropriate to their needs. Since 1985, several additional Medicaid waiver authorities, regulations and interpretive guidelines have been added that allow states to expand the use of Medicaid-funded community services to reduce the need for institutional services.

In February 2016, [www.Medicaid.gov](http://www.Medicaid.gov) listed more than 407 current waiver programs and 22 pending waiver programs in the 50 US States and the District of Columbia. Medicaid community-based LTSS available to eligible people with IDD include but are not limited to service coordination/case management, homemaker, home health aide,

personal care, adult day services, day and residential habilitation, and respite care ([www.Medicaid.gov](http://www.Medicaid.gov), 2016). Although not allowed to use HCBS reimbursements to pay for room and board, all states provide residential support services under categories such as personal care, residential habilitation, and in-home supports. HCBS recipients with IDD use their own resources, usually cash assistance from other Social Security Act programs and state supplements to cover room and board costs. Today, Medicaid-funded LTSS for people with IDD are primarily delivered to people with IDD living in homes they own or lease or in homes shared with family members rather than in congregate facilities of any size. Given its flexibility and potential for promoting individualization of services, the Medicaid Home and Community Based Services programs emerged as the primary source of funding community services as an alternative to institutional care. Medicaid financed LTSS today are primarily delivered to support people with IDD living in their own home, the home of a family member, a host home, a foster home or in group settings of various sizes and types.

**Nursing Facility Reform.** Congress responded to criticisms of nursing facility care in the Omnibus Budget Reconciliation Act (OBRA) of 1987 (PL 100-203). Provisions of this legislation restricted criteria for admissions to Medicaid reimbursed nursing facilities, so that only those persons requiring the medical/nursing services offered would be admitted. Current residents not in need of nursing services were required to be moved to "more appropriate" residential settings, with the exception that individuals living in a specific nursing home for more than 30 months could stay if they so choose. In either case nursing facilities were required to assure that each person's needs for "active treatment" (later termed "specialized services") were met.

Despite state efforts to move persons with IDD out of nursing facilities as described in their required "alternative disposition plans" and the implementation of required preadmission screening and resident review (PASRR) provision, class action court cases established within a decade of the 1990 implementation deadline that the requirements of OBRA-87 were not always achieved (see Roland et al. v Cellucci et al., 1999, in Massachusetts; Olesky et al. v. Haveman et al., 1999, in Michigan; Gettings, 1990). Other cases would likely have been filed for violation of OBRA-87 if the Supreme Court had not

in their 1999 Olmstead Decision established a right to placement in the most integrated setting under its interpretation of the Americans with Disabilities Act of 1990. The US Department of Justice continues to file litigation based on both the Olmstead Division and the PASRR provision (e.g., *Steward v. Perry*).

### **Managed Long-Term Services and Supports.**

Historically, Medicaid-funded LTSS were offered in a fee-for-service context. Since 2000, however, an increasing number of states have opted to use a capitated managed care model for LTSS. Section 1115 Research and Demonstration Projects allowed states the flexibility to test new or existing approaches to financing and delivering Medicaid services including the option to provide home and community-based services through a managed care entity. Similarly, states can amend their Medicaid State Plan under the 1932(a) federal authority to implement a managed care delivery system. Section 1915(a) and (b) Managed Care authorities allow states to use managed care delivery systems. A hybrid program (concurrent 1915(c) and 1915 (b) waivers – also referred to as 1915(b)/(c) waivers) allows states to implement two types of waivers at the same time as long as all federal requirements were met for both programs.

Developed in the private healthcare sector, managed care models and operational strategies are designed to reduce the costs of care while simultaneously improving accessibility, quality

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and outcomes at both the individual and systems levels by shifting risk away from state agencies to private managed care companies. While states must administer publicly financed services in the most cost effective manner possible, some people are concerned that the introduction of managed care

contracting and operational strategies to long-term supports furnished to people with IDD and other disabilities will decrease access to care, narrow the scope of services, and divert funds that could be used to address waiting lists and unmet service needs to cover expanded administrative activities. A recent report from the National Council on Disabilities (Gettings, Moseley, and Thaler, 2013) summarizes the growth of acute and long-term managed care and provides recommendations to state and federal authorities regarding the design and implementation of managed Medicaid long-term services and supports for people with disabilities. The report outlines key principles that should be addressed by managed care systems to ensure the needs of people with disabilities are adequately met. The American Network of Community Options and Resources (ANCOR) also published recent guidelines on managed care for LTSS targeting people with IDD. These guidelines offer information on recommended approaches and parameters that policy makers need to embrace if they are recommending that LTSS for people with IDD move into managed care (ANCOR 2014).

While individuals with IDD, families, advocates and other stakeholders continue to express concerns, many states see managed care as a way to gain additional control over the costs of long-term service delivery. In 2011, the vast majority of Medicaid recipients across all states and all eligible population groups (74.2%) were served through managed care arrangements for at least some of their Medicaid services. It is estimated that by the end of 2014, managed LTSS (MLTSS) programs, primarily serving elders and people with physical disabilities, will be operational in 26 states (Gettings et al, 2013). Currently managed long-term care for people with IDD exists in Arizona, Michigan, Wisconsin, North Carolina, Kansas, Vermont, Texas, New York and California. Several additional states including Illinois, Florida, Louisiana, Minnesota, Kentucky, Georgia, New Jersey, Hawaii, and Rhode Island are planning on introducing managed LTSS for people with IDD in the near future (NASUAD, 2014).

**Self-Directed Services.** In contrast to traditional or managed care service delivery models, self-directed Medicaid options allow participants or their legal representatives to exercise decision-making authority and management responsibility over services. States can offer self-directed services through 1915(c) Home and Community-Based Services waiver. As a

result of the Affordable Care Act self-directed options can also be provided through 1915(i) Home and Community-Based Services state Plan Option; 1915(k) Community First Choice; and the 1915(j) Self-Directed Personal Assistance Services State Plan Option. People receiving supports under these options can decide who provides waiver and state plan services and how those supports and services will be provided. In some cases people can decide how their budgeted Medicaid funds are spent. (Further descriptions of these options can be found at the [www.Medicaid.gov](http://www.Medicaid.gov) website).

## Medicaid Funding Authorities

The Medicaid program<sup>2</sup> in 2013, included an array of different mechanisms (or “authorities”) through which states can request matching federal funds to provide LTSS to people with disabilities. Through this jointly funded state-federal program, states are permitted flexibility in administration and in determining the type, amount, duration, and scope of services, as well as the design and delivery of covered services, consistent with federal regulations. The federal financial portion (called the Federal Medicaid Assistance Percentage - FMAP) varies by state based on per capita income and the size of the state. For FY 2013 FMAP ranged from 50% to 73%.



## Medicaid Waiver Authorities

Medicaid Waiver authorities provide Federal Financial Participation (FFP) to states while allowing states to test new or existing ways to deliver and pay for LTSS in community-based settings. Key Waiver authorities used to fund LTSS for people with IDD include:

- Section 1115 Research and Demonstration Projects - give states additional flexibility to design and improve their programs in areas such as expanding eligibility to individuals who are not otherwise Medicaid eligible, providing services not typically covered by Medicaid, using innovative service delivery systems that improve care, increase efficiency, and reduce costs.
- 1915(a) – Voluntary managed care - A managed care option in which individuals may (but are not required to) elect to enroll.
- 1915(a)/(c) – Voluntary managed care program

that incorporates home and community-based services in the contract.

- 1915(b) - Renewable waiver authority for managed care. The 1915(b) waiver can be used to limit providers as well as to mandate enrollment for certain groups into managed care.
- 1915(b)/(c) – Voluntary or mandatory managed care program that includes home and community-based services. Allows targeted eligibility and permits states to mandate enrollment. States must apply for both the (b) and the (c) waiver concurrently and comply with the individual requirements of each.
- 1915(c) Home and Community-Based Services (HCBS) waivers - allow states to provide community-based LTSS in home and community based settings to specified populations. In this most widely used waiver authority, states can choose to provide comprehensive supports or can limit the amount or types of services for eligible recipients.

<sup>2</sup> Unless otherwise specified, these descriptions of Federal Medicaid Authorities come from the Guide to Federal Medicaid Authorities Used in Restructuring Medicaid Health Care Delivery or Payment <http://www.medicaid.gov>.



## Medicaid State Plan Funding Authorities

Under the Medicaid State Plan funding authority, states are required to provide a set of mandatory benefits such as inpatient hospital and physician services to eligible recipients. Early and Periodic Screening, Diagnostic and Treatment services (EPSDT), and nursing facility services must also be included. States can choose to provide optional benefits such as targeted case management, physical and occupational therapy, preventative health care, dental services, and private duty nursing to eligible individuals. State plan home health services included skilled nursing services, therapy services, home health aide services for assistance with activities of daily living, and in 15 states, assistance with instrumental activities of daily living.

Medicaid State Plan funding authorities supporting community-based LTSS include:

- 1905(a) - State plan personal care. State plan personal care services included assistance with instrumental activities of daily living in 30 states, transportation services in 13 states, and case management in 9 states (Ng, et al., 2014).
- 1915(i) - Home and Community-based Services State Plan Option - authorized under the Deficit Reduction Act of 2005, and amended through the

2010 Affordable Care Act. It provides Medicaid home and community-based benefits including LTSS to one or more specific populations and allows any or all of those services to be self-directed.

- 1915(j) – Self-Directed Personal Assistance Services - authorized under the Deficit Reduction Act of 2005. Eligible recipients can set their own provider qualifications and train their self-directed personal assistance services providers. Participants determine how much they will pay for a service, support or item. Allows recipients to hire legally liable relatives such as parents or spouses to provide supports. States can limit the number of participants and can choose to target only parts of the state.
- 1915(k) – Community First Choice (CFC) authorized by the Affordable Care Act in 2010, permits states to provide statewide home and community-based attendant care services and supports to individuals who need the level of supports once offered only in institutions. These services include assistance with ADLs/IADLs and health related tasks; ensure continuity of services, and provide voluntary training on how to select manage and dismiss staff. Recipients may use either an agency provider or self-directed mode.

- 1945 Health Home State Plan Option - Provides enhanced integration and coordination of primary, acute, behavioral health, and long-term supports and services for individuals with chronic illness. Services include case management, care coordination and health promotion, comprehensive transitional care, individual and family support, referral to community and social supports and use of health information technology. Provides states a 90% FMAP for the first two years.

### Other Medicaid State Plan Options

Other State Plan options include:

- 1932(a) – State Plan Amendment Authority for mandatory and voluntary managed care.
- 1905(a) - Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID) - an optional Medicaid state plan benefit, enables states to provide comprehensive health care and rehabilitation services to individuals with IDD who need and receive active treatment services. ICF/IID services are considered institutional regardless of the size of the facility and are provided under a prescriptive set of Federal regulations. Access to ICF/IID services for eligible individuals may not be limited and cannot be subject to waiting lists.
- 1905(a) - Inpatient psychiatric services in an Institution for Mental Disease for people younger than 21 or older than 65 years.

### Medicaid Funded LTSS for People with IDD in FY 2013

On June 30, 2013, an estimated 711,974 people with IDD in all 50 states and the District of Columbia received LTSS funded through a Medicaid Waiver authority and an estimated 81,149 people in 47 states lived in an Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID). In 38 reporting states, an estimated 304,465 people with IDD received Medicaid State Plan funded LTSS. In 37 reporting states an estimated 156,890 people with IDD received LTSS through another funding authority such as through state or local tax dollars.

### Waiver Recipients and Expenditures

In 2013, all of the states and the District of Columbia provided LTSS to people with IDD through one or more Medicaid Waiver authority. Forty-six states

used the Medicaid 1915 (c) Waiver funding authority. Arizona and Vermont used Managed Care Waiver authorities 1115 or 1915 (a) (b) (b/c). New Jersey, Pennsylvania, and Rhode Island used both 1915(c) Waivers and managed care waiver authorities. In this report, references to Waiver recipients and Waiver expenditures are inclusive of all of Medicaid Waiver Authorities used to provide LTSS to people with IDD.

### Living Arrangements for People with IDD Receiving Waiver Funded Supports

On June 30, 2013, an estimated 711,974 people with IDD in the United States received Medicaid Waiver-funded LTSS (See **Table 2.1**). Forty-four states provided complete information about living arrangements for Waiver recipients. An estimated 364,876 (51%) Waiver recipients with IDD lived in the home of a family member, 175,038 (25%) lived in a nonstate group home, 98,257 (14%) lived in a home they owned or leased, 57,560 (8%) lived in a host home or with a foster family, 10,298 (1%) lived in a state-operated group home, and 5,944 (1%) lived in another nonstate setting.

**Home of a Family Member.** The proportion of Waiver recipients with IDD living in the home of a family member ranged from 0% in Delaware to 82% in Arizona. Home of a family member was the most common living arrangement overall and in 25



# 2013

**Table 2.1** Number of Medicaid Waiver Recipients with IDD by Residence Type on June 30, 2013

State	Family Home	Person's Own Home	Host/ Foster Home	Nonstate Group Setting	Nonstate Other	State Setting	Total
<b>N States</b>	<b>46</b>	<b>47</b>	<b>47</b>	<b>47</b>	<b>51</b>	<b>51</b>	<b>44</b>
<b>AL</b>	2,324	101	205	2,630	0	0	5,260
<b>AK</b>	332 <sup>ed</sup>	205	165	415	0	0	1,117
<b>AZ</b>	21,144 <sup>e</sup>	424	1,493 <sup>e</sup>	2,583	6	171	25,821
<b>AR</b>	1,996	579	533	1,032	0	0	4,140
<b>CA</b>	70,531	10,549	1,215	20,713	0	0	103,008
<b>CO</b>	397	3,109	2,460	885	937	135	7,923
<b>CT</b>	1,287	1,245	387	3,407	0	363	6,689
<b>DE</b>	0 <sup>*</sup>	0	0	954	0	13	967
<b>DC</b>	578	16	93	890	0	0	1,577
<b>FL</b>	15,435	5,221	316	7,151	654	0	28,777
<b>GA</b>	2,925 <sup>e</sup>	1,151 <sup>e</sup>	1,186 <sup>e</sup>	2,792 <sup>e</sup>	14 <sup>e</sup>	0	8,068
<b>HI</b>	1,587 <sup>ed</sup>	103 <sup>ed</sup>	628 <sup>ed</sup>	147 <sup>ed</sup>	0 <sup>ed</sup>	0	2,465
<b>ID</b>	DNF	1,286	627	DNF	0	0	DNF
<b>IL</b>	10,355	767	DNF	8,925	0	0	DNF
<b>IN</b>	7,850	3,778 <sup>e</sup>	204	593 <sup>e</sup>	0 <sup>e</sup>	0	12,425
<b>IA</b>	DNF	DNF	DNF	DNF	0	64	DNF
<b>KS</b>	DNF	DNF	DNF	DNF	0	0 <sup>ed</sup>	DNF
<b>KY</b>	1,127	52	767	2,400	0	0	4,346
<b>LA</b>	9,026	2,373	0 <sup>e</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0	11,399
<b>ME</b>	1,575 <sup>e</sup>	400 <sup>e</sup>	753 <sup>e</sup>	2,100 <sup>e</sup>	0 <sup>e</sup>	0	4,828
<b>MD</b>	DNF	1,848	198	5,446	1,298	0	DNF
<b>MA</b>	DNF	DNF	9,447 <sup>de*</sup>	8,342 <sup>d*</sup>	0 <sup>d*</sup>	1,119 <sup>de</sup>	DNF
<b>MI</b>	20,431	6,412	409	10,162	1,161	0	38,575
<b>MN</b>	11,003	2,139	1,168	8,265	693	366	23,634
<b>MS</b>	1,680 <sup>ed</sup>	18 <sup>ed</sup>	0 <sup>ed</sup>	157 <sup>e</sup>	0 <sup>e</sup>	213 <sup>e</sup>	2,068
<b>MO</b>	5,194	4,131	197	2,218	0	215	11,955
<b>MT</b>	750 <sup>e</sup>	100 <sup>e</sup>	45 <sup>e</sup>	1,412 <sup>e</sup>	0 <sup>e</sup>	0	2,307
<b>NE</b>	1,158	1,330	708	1,693	0	8	4,897
<b>NV</b>	483	1,200	33	0	3	0	1,719
<b>NH</b>	849	463	1,194	388	0	0	2,894
<b>NJ</b>	3,690	0	796	6,127	0	144	10,757
<b>NM</b>	1,160	70	1,915	72	0	65	3,282
<b>NY</b>	43,212 <sup>e</sup>	5,283 <sup>e</sup>	2,163	21,956	0	6,925	79,539
<b>NC</b>	13,765 <sup>ed</sup>	DNF	DNF	DNF	0	8 <sup>e</sup>	DNF
<b>ND</b>	1,159	1,159	23	317	0	0	2,658
<b>OH</b>	18,177	10,379	975	2,741	285	0	32,557
<b>OK</b>	2,383	1,732	399	575	0	0	5,089
<b>OR</b>	10,483	757	3,272	2,987	0	108	17,607
<b>PA</b>	13,624 <sup>e</sup>	4,761 <sup>e</sup>	1,589 <sup>e</sup>	10,875 <sup>e</sup>	584 <sup>e</sup>	0	31,433
<b>RI</b>	1,760	466	229	1,258	0	245	3,958
<b>SC</b>	5,010	611	156	2,750	0	0	8,527
<b>SD</b>	1,197	385	4	1,776	0	0	3,362
<b>TN</b>	2,788	3,807	336	742	22	0	7,695
<b>TX</b>	9,491	4,316	11,090	7,826	0	0	32,723
<b>UT</b>	1,645	988	278	1,414	0	0	4,325
<b>VT</b>	724	229	1,307	142	0	0	2,402
<b>VA</b>	1,445 <sup>ed</sup>	209	1,285	3,918	0	0 <sup>ed</sup>	6,857
<b>WA</b>	6,744 <sup>e</sup>	3,640 <sup>e</sup>	190 <sup>e</sup>	1,452 <sup>e</sup>	171 <sup>e</sup>	136 <sup>e</sup>	12,333
<b>WV</b>	3,027	0 <sup>ed</sup>	105	1,392	0	0	4,524
<b>WI</b>	13,904	5,444	5,367	2,596	6	0	27,317
<b>WY</b>	932	202	110	681	110	0	2,035
<b>US Total</b>	346,337	93,438	56,020	167,297	5,944	10,298	615,839
<b>Est US</b>	364,876	98,257	57,560	175,038	5,944	10,298	711,974

<sup>d</sup> Other date (Usually June 30, 2012) <sup>e</sup> Estimate DNF Did not furnish \* See state notes

**Table 2.2** Medicaid Waiver Recipients with IDD by Age and State (Number and Number Per 100,000 of the Population) on June 30, 2013

State	Waiver Recipients			Percent 22 years or older	State Population in 100,000s <sup>1</sup>		Waiver Recipients per 100,000		
	Birth to 21 years	22 years +	All Ages		Birth to 21 years	22 years +	Birth to 21 years	22 years +	All Ages
<b>N states</b>	<b>48</b>	<b>49</b>	<b>48</b>	<b>48</b>	<b>51</b>	<b>51</b>	<b>48</b>	<b>49</b>	<b>48</b>
<b>AL</b>	353	5,894	6,247	94	13.8	34.5	26	171	129
<b>AK</b>	590	1,275	1,865	68	2.3	5.0	255	253	254
<b>AZ</b>	16,201 <sup>e</sup>	9,695 <sup>e</sup>	25,896	37	19.9	46.4	816	209	391
<b>AR</b>	1,007	3,140	4,147	76	8.7	20.9	116	150	140
<b>CA</b>	44,422	58,586	103,008	57	113.7	269.6	391	217	269
<b>CO</b>	649	7,254	7,903	92	15.2	37.5	43	194	150
<b>CT</b>	672	8,674	9,346	93	10.0	26.0	67	334	260
<b>DE</b>	29	960	989	97	2.6	6.7	11	143	107
<b>DC</b>	13	1,564	1,577	99	1.6	4.9	8	319	244
<b>FL</b>	5,159	23,690	28,849	82	49.9	145.6	103	163	148
<b>GA</b>	791	7,277	8,068	90	30.6	69.3	26	105	81
<b>HI</b>	595 <sup>ed</sup>	1,949 <sup>ed</sup>	2,544	77	3.8	10.3	157	190	181
<b>ID</b>	2,094	3,006	5,100	59	5.1	11.0	407	274	316
<b>IL</b>	1,679	18,621	20,300	92	37.4	91.4	45	204	158
<b>IN</b>	2,870	11,047	13,917	79	19.7	46.0	145	240	212
<b>IA</b>	5,142	9,496	14,638	65	9.1	21.8	564	436	474
<b>KS</b>	1,018 <sup>ed</sup>	6,777 <sup>ed</sup>	7,795	87	9.0	20.0	113	339	269
<b>KY</b>	DNF	12,015	DNF	DNF	12.5	31.5	DNF	382	DNF
<b>LA</b>	2,973	8,690	11,663	75	13.7	32.6	218	267	252
<b>ME</b>	124 <sup>e</sup>	4,084 <sup>e</sup>	4,208	97	3.3	10.0	38	409	317
<b>MD</b>	257	8,492	8,749	97	16.6	42.6	15	199	148
<b>MA</b>	325 <sup>d</sup>	21,043 <sup>d</sup>	21,368	98	18.1	48.9	18	431	319
<b>MI</b>	9,543	27,057	36,600	74	28.2	70.8	339	382	370
<b>MN</b>	3,952	14,114	18,066	78	15.7	38.5	251	367	333
<b>MS</b>	283	1,725	2,008	86	9.1	20.8	31	83	67
<b>MO</b>	2,197	9,950	12,147	82	17.3	43.1	127	231	201
<b>MT</b>	480	2,206	2,686	82	2.8	7.3	171	300	265
<b>NE</b>	367	4,320	4,687	92	5.8	12.9	64	334	251
<b>NV</b>	163	1,556	1,719	91	8.0	19.9	20	78	62
<b>NH</b>	1,177	3,002	4,179	72	3.5	9.7	336	308	316
<b>NJ</b>	95 <sup>e</sup>	10,645	10,740	99	24.8	64.2	4	166	121
<b>NM</b>	610	3,607	4,217	86	6.3	14.6	97	247	202
<b>NY</b>	21,384	58,107	79,491	73	53.4	143.1	400	406	405
<b>NC</b>	4,860 <sup>e</sup>	8,046 <sup>e</sup>	12,906	62	28.5	70.0	171	115	131
<b>ND</b>	1,863	2,137	4,000	53	2.1	5.1	872	419	553
<b>OH</b>	6,058	26,795	32,853	82	32.9	82.9	184	323	284
<b>OK</b>	749 <sup>e</sup>	4,487 <sup>e</sup>	5,236	86	11.6	26.9	64	167	136
<b>OR</b>	5,170	12,565	17,735	71	10.6	28.7	488	438	451
<b>PA</b>	2,327	26,686	29,013	92	34.4	93.3	68	286	227
<b>RI</b>	DNF	DNF	DNF	DNF	2.9	7.7	DNF	DNF	DNF
<b>SC</b>	1,462	7,065	8,527	83	13.5	34.2	108	206	179
<b>SD</b>	871	2,491	3,362	74	2.6	5.9	337	425	398
<b>TN</b>	365	7,330	7,695	95	18.4	46.6	20	157	118
<b>TX</b>	5,826	24,113	29,939	81	85.6	178.9	68	135	113
<b>UT</b>	1,132	3,218	4,350	74	10.7	18.3	105	176	150
<b>VT</b>	341	2,429	2,770	88	1.7	4.6	205	528	442
<b>VA</b>	DNF	DNF	DNF	DNF	23.3	59.3	DNF	DNF	DNF
<b>WA</b>	1,640 <sup>e</sup>	10,557 <sup>e</sup>	12,197	87	19.5	50.2	84	210	175
<b>WV</b>	1,485	3,007	4,492	67	4.8	13.8	311	218	242
<b>WI</b>	3,644	23,673	27,317	87	16.4	41.1	223	577	476
<b>WY</b>	671	1,364	2,035	67	1.7	4.1	396	330	349
<b>US Total</b>	165,678	535,481	689,144	76	912.6	2,248.7	181.5	238.1	218.0
<b>Estimated US Total</b>	168,026	543,948	711,974	76	912.6	2,248.7	184.1	241.9	225.2

<sup>a</sup> Other date (Usually June 30, 2012) <sup>e</sup> Estimate DNF Did not furnish \* See state notes in Appendix

states (Arizona, Arkansas, California, Florida, Georgia, Hawaii, Indiana, Louisiana, Michigan, Minnesota, Mississippi, Missouri, New York, North Dakota, Ohio, Oklahoma, Oregon, Pennsylvania, Rhode Island, South Carolina, Utah, Washington, West Virginia, Wisconsin, and Wyoming).

**Own Home.** The proportion of Waiver recipients living in a home they owned or lease ranged from 0% in Delaware, New Jersey and Wisconsin to 70% in Nevada. States reporting that a home owned or leased by the person was the most common living arrangement included Colorado (39% of Waiver recipients), Nevada (70%), North Carolina (44%), and Tennessee (49%).

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*THE PROPORTION OF WAIVER RECIPIENTS LIVING IN A HOME THEY OWNED OR LEASE RANGED FROM 0% IN DELAWARE, NEW JERSEY AND WISCONSIN TO 70% IN NEVADA.*

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**Nonstate group home.** The proportion of Waiver recipients living in a nonstate group home ranged from 0% in Louisiana to 99% in Delaware. A nonstate group home was the most common living arrangement in 12 states. Those states were Alabama (50% of Waiver recipients), Alaska (37%), Connecticut (51%), Delaware (99%), the District of Columbia (56%), Kentucky (55%), Maine (43%), Montana (61%), Nebraska (35%), New Jersey (57%), South Dakota (53%) and Virginia (57%). (Seventeen states offered Waiver funded supports in a state operated setting with the proportion ranging from 1% in Arizona, Delaware, New Jersey, Oregon, and Washington to 10% in Mississippi).

**Host Home or Family Foster Home.** The proportion of Medicaid Waiver recipients living in a Host Home or Family Foster Home ranged from 0% in Delaware, Louisiana, Missouri, and West Virginia to 58% in New Mexico. States reporting that host homes or family foster homes were the most common living arrangement included New Hampshire (41%), New Mexico (58%), Texas (34%), and Vermont (54%).

Only 14 states offered Waiver funded supports in other nonstate setting type with the proportion of Waiver recipients in those settings ranging from 1% in Ohio to 12% in Colorado.

## Waiver Utilization by Recipient Age

Of the Waiver recipients with IDD, an estimated 168,233 (24%) were 21 years old or younger, while 543,741 (76%) were 22 years or older (See **Table 2.2**). The proportion of waiver recipients who were 22 years or older ranged from 37% in Arizona to more than 95% in Delaware (97%), the District of Columbia (99%), Massachusetts (98%), and New Jersey (99%).

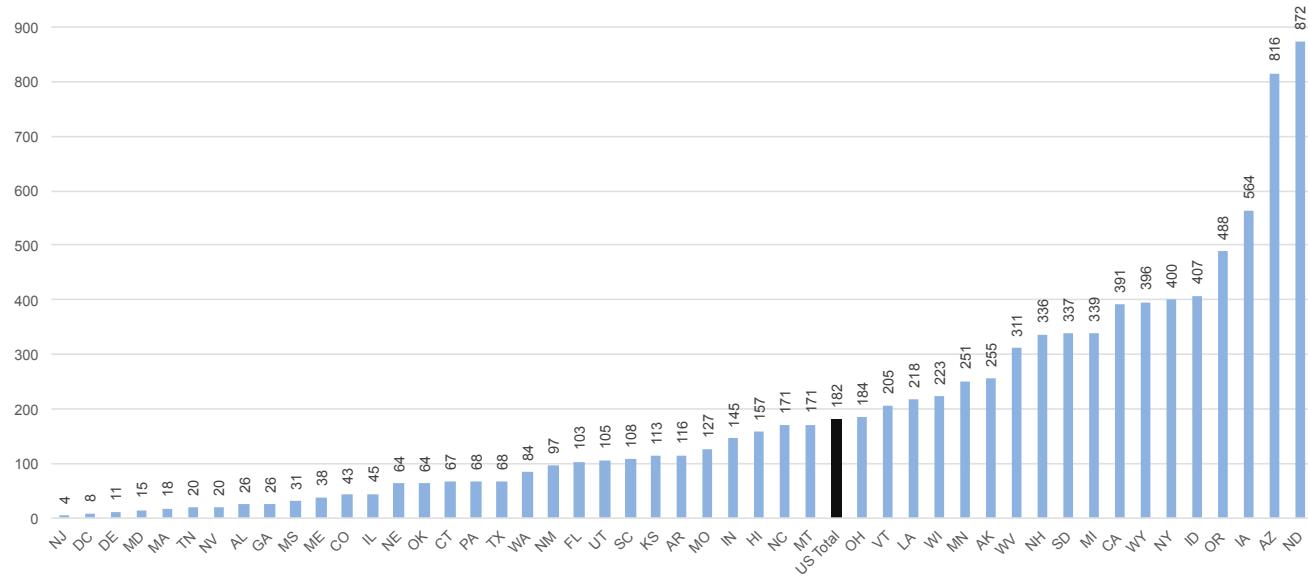
There were an estimated 225 waiver recipients with IDD per 100,000 of the population. There were an estimated 184 waiver recipients 21 years or younger and 242 Waiver recipients 22 years or older per 100,000. States with the highest overall utilization rates were Iowa (with 474 waiver recipients with IDD per 100,000), North Dakota (553), Oregon (451), and Wisconsin (476). States with the lowest overall utilization rates were Delaware (107), Georgia (81), Mississippi (67), and Nevada (62).

States with the highest waiver utilization rates for recipients with IDD 21 years or younger were Arizona (816), Iowa (564), North Dakota (872), and Oregon (488; See **Figure 2.1a**). States with the lowest utilization rates for recipients 21 years or younger were Delaware (11), the District of Columbia (8), Maryland (15) and New Jersey (4).

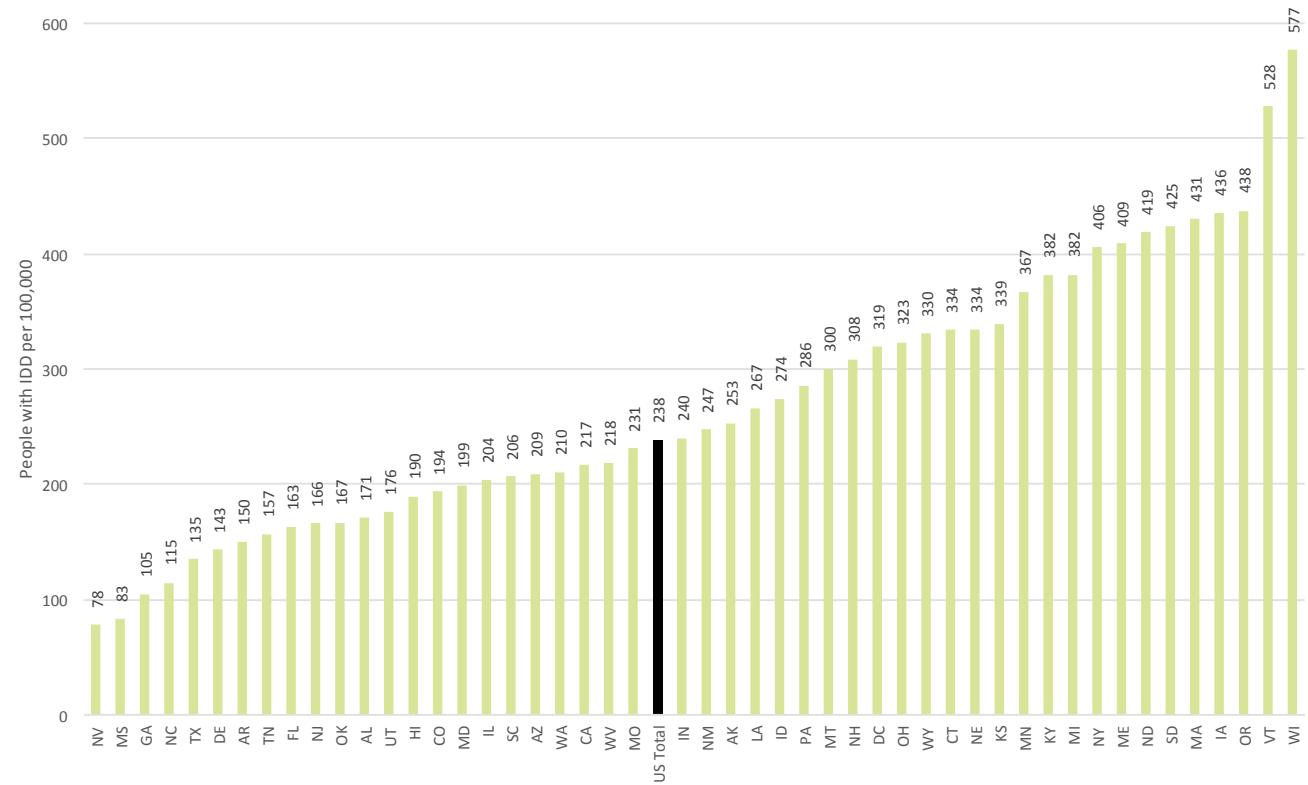
States with the highest waiver utilization rates for recipients with IDD 22 years or older were Iowa (436) Oregon (438), Vermont (528), and Wisconsin (577; See **Figure 2.1b**). States with the lowest utilization rates for recipients 22 years or older were Georgia (105), Mississippi (83), Nevada (78), and North Carolina (115).

**Waiver Expenditures per Recipient.** Total Medicaid Waiver expenditures for recipients with IDD in FY 2013 were an estimated \$30.4 billion (See **Table 2.3**). Waiver expenditures were reported by 45 states, expenditures for the other 6 states are estimated from an analysis of CMS 64 data for Federal Fiscal Year 2013 (Eiken, Sredl, Burwell & Saucier, 2015). Total annual waiver expenditures averaged \$42,713 per year end recipient. States reporting the highest expenditures per year end recipient included Alaska (\$81,214), Delaware (\$92,656), the District of Columbia (\$93,107), Tennessee (81,194) and Virginia (\$83,676). States reporting the lowest annual expenditures per year end waiver recipient were Arizona (\$27,305), California (\$23,030), Florida (\$29,131), Idaho (\$14,858), and Mississippi (\$24,558).

**Figure 2.1a** Number of Waiver Recipients with IDD Ages Birth to 21 Years per 100,000 of the Population by State on June 30, 2013



**Figure 2.1b** Number of Waiver Recipients with IDD Ages 22 Years or Older per 100,000 of the Population by State on June 30, 2013



**Table 2.3** FY 2013 Waiver Expenditures, Recipients, Expenditures Per Person, and Expenditures per 100k of the State's Population by State

	Expenditures	Estimated Year End Recipients <sup>1</sup>	Expenditures per Year End Recipient (\$) <sup>2</sup>	State Population (100,000) <sup>3</sup>	Expenditures per State Resident (\$)
<b>N States</b>	<b>51</b>	<b>51</b>	<b>51</b>	<b>51</b>	<b>51</b>
<b>AL</b>	315,062,765	6,247	50,434	48.34	65.18
<b>AK</b>	151,464,381	1,865	81,214	7.35	206.04
<b>AZ</b>	707,083,624	25,896	27,305	66.27	106.70
<b>AR</b>	180,663,580	4,147	43,565	29.59	61.05
<b>CA</b>	2,372,250,800	103,008	23,030	383.33	61.89
<b>CO</b>	328,631,387	7,903	41,583	52.68	62.38
<b>CT</b>	743,373,207	9,346	79,539	35.96	206.72
<b>DE</b>	91,636,647 <sup>e</sup>	989	92,656 <sup>e</sup>	9.26	98.99
<b>DC</b>	146,829,961	1,577	93,107	6.46	227.13
<b>FL</b>	840,403,403	28,849	29,131	195.53	42.98
<b>GA</b>	307,651,561	8,068	38,132	99.92	30.79
<b>HI</b>	102,909,593 <sup>ed</sup>	2,544	40,452 <sup>ed</sup>	14.04	73.29
<b>ID</b>	75,777,942	5,100	14,858	16.12	47.00
<b>IL</b>	636,100,000	20,300	31,335	128.82	49.38
<b>IN</b>	534,025,571	13,917	38,372	65.71	81.27
<b>IA</b>	431,259,100	14,638	29,462	30.90	139.55
<b>KS</b>	341,964,660 <sup>T</sup>	7,795	43,870 <sup>T</sup>	28.94	118.17
<b>KY</b>	459,959,093 <sup>T</sup>	12,015	38,282 <sup>T</sup>	43.95	104.65
<b>LA</b>	452,163,129	11,663	38,769	46.25	97.76
<b>ME</b>	329,737,271 <sup>e</sup>	4,208	78,360 <sup>e</sup>	13.28	248.24
<b>MD</b>	262,778,313	8,749	30,035	59.29	44.32
<b>MA</b>	842,454,935 <sup>de</sup>	21,368	39,426 <sup>e</sup>	66.93	125.87
<b>MI</b>	1,184,470,148	36,600	32,363	98.96	119.70
<b>MN</b>	1,160,776,082	18,066	64,252	54.20	214.15
<b>MS</b>	49,311,709	2,008	24,558	29.91	16.49
<b>MO</b>	610,064,502	12,147	50,223	60.44	100.93
<b>MT</b>	91,967,982	2,686	34,240	10.15	90.59
<b>NE</b>	175,539,547	4,687	37,452	18.69	93.95
<b>NV</b>	75,610,199	1,719	43,985	27.90	27.10
<b>NH</b>	198,451,066	4,179	47,488	13.23	149.95
<b>NJ</b>	715,098,828 <sup>T</sup>	10,740	66,583 <sup>T</sup>	88.99	80.35
<b>NM</b>	294,699,983	4,217	69,884	20.85	141.32
<b>NY</b>	5,328,884,532	79,491	67,038	196.51	271.17
<b>NC</b>	442,241,550 <sup>e</sup>	12,906	34,266 <sup>e</sup>	98.48	44.91
<b>ND</b>	143,866,691 <sup>T</sup>	4,000	35,967 <sup>T</sup>	7.23	198.88
<b>OH</b>	1,320,136,278	32,853	40,183	115.71	114.09
<b>OK</b>	277,760,615 <sup>e</sup>	5,236	53,048 <sup>e</sup>	38.51	72.13
<b>OR</b>	561,250,632	17,735	31,646	39.30	142.81
<b>PA</b>	2,022,669,290	29,013	69,716	127.74	158.35
<b>RI</b>	209,000,000 <sup>T</sup>	3,958	52,804 <sup>T</sup>	10.52	198.76
<b>SC</b>	253,154,908 <sup>e</sup>	8,527	29,689 <sup>e</sup>	47.75	53.02
<b>SD</b>	105,085,510	3,362	31,257	8.45	124.38
<b>TN</b>	624,787,616 <sup>e</sup>	7,695	81,194 <sup>e</sup>	64.96	96.18
<b>TX</b>	1,102,141,224	29,939	36,813	264.48	41.67
<b>UT</b>	162,828,701	4,350	37,432	29.01	56.13
<b>VT</b>	150,655,198	2,770	54,388	6.27	240.42
<b>VA</b>	573,768,026 <sup>T</sup>	6,857	83,676 <sup>T</sup>	82.60	69.46
<b>WA</b>	573,992,065 <sup>e</sup>	12,197	47,060 <sup>e</sup>	69.71	82.34
<b>WV</b>	335,535,555	4,492	74,696	18.54	180.95
<b>WI</b>	915,230,020	27,317	33,504	57.43	159.37
<b>WY</b>	97,571,704	2,035	47,947	5.83	167.46
<b>Estimated Total</b>	30,410,731,083	711,974	42,713	3,161.29	96.20

<sup>a</sup>Other date (Usually June 30, 2012) <sup>b</sup>Estimate <sup>c</sup>DNF Did not furnish \* See state notes in Appendix <sup>d</sup>Source: Eiken et al., (2015) <sup>e</sup>Number of recipients is based on the report of recipients by age except RI and VA for which the total by setting types is used. The KY total does not include children. <sup>f</sup>The estimated cost per person would be lower if based on total calendar year rather than year end recipients. <sup>g</sup> U.S. Census Bureau (2014)

**Waiver Expenditures per Population.** Annual Medicaid Waiver expenditures for people with IDD averaged \$96 per state resident in 2013. States with the highest per resident waiver costs were the District of Columbia (\$227), Maine (\$248), Minnesota (\$214), New York (\$271), and Vermont (\$240). States with the lowest per state resident waiver costs for people with IDD were Florida (\$43), Georgia (\$31), Mississippi (\$16), Nevada (\$27), and Texas (\$42).

Annual per person expenditures are affected by several factors. In *Supporting Individuals with Intellectual or Developmental Disabilities and their Families: Status and Trends Through 2013* we reported that average expenditures varied by age and living arrangement (Anderson et al, 2015). Estimated annual Waiver expenditures for people living in the home of a family member were an \$14,950 for people ages birth to 21 years and \$24,515 for people 22 years or older. Estimated average annual Waiver expenditures for people living in a setting other than the home of a family member were \$44,775 for people 21 years or younger, and \$57,075 for people ages 22 years or older.

*IN ADDITION TO OLDER AGE AND NON-FAMILY LIVING ARRANGEMENT, PREVIOUS RESEARCH HAS SHOWN THAT HIGHER WAIVER EXPENDITURES ARE ASSOCIATED WITH HAVING SEVERE OR PROFOUND INTELLECTUAL DISABILITY, NEEDING DAILY MEDICAL SUPPORTS, REQUIRING ASSISTANCE WITH MOBILITY, AND HAVING A COMORBID PSYCHIATRIC DIAGNOSIS.*

In addition to older age and non-family living arrangement, previous research has shown that higher Waiver expenditures are associated with having severe or profound intellectual disability, needing daily medical supports, requiring assistance with mobility, and having a comorbid psychiatric diagnosis (Lakin, et al., 2008).

## Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF-IID)

The Intermediate Care Facilities for Individuals with Intellectual Disabilities program is a Medicaid State Plan option. In participating states the ICF/IID program is an entitlement program for eligible

enrollees. However, unlike the Waiver program, ICF/IID expenditures are not available for ICF/IID residents to take with them if they desire to move

*THE NUMBER OF ICF/IID FACILITIES AND RESIDENTS HAS BEEN DECLINING SINCE THE INTRODUCTION OF THE 1915(c) MEDICAID HOME AND COMMUNITY-BASED WAIVER FUNDING AUTHORITY. UNDER THE 2014 MEDICAID HOME AND COMMUNITY BASED WAIVER RULE ALL ICF/IID SETTINGS ARE CONSIDERED INSTITUTIONS REGARDLESS OF SIZE.*

from the facility. In the Waiver program the money goes with the person if he or she moves or changes service providers, offering substantially more flexibility in where the person lives and in the mix of services the person receives. The number of ICF/IID facilities and residents has been declining since the introduction of the 1915(c) Medicaid Home and Community-based Waiver funding authority. Under the 2014 Medicaid Home and Community Based Waiver rule all ICF/IID settings are considered institutions regardless of size.

### ICF/IID Settings

**State or Nonstate Operation.** There were an estimated 6,474 ICF/IID facilities in operation on June 30, 2013 (See **Table 2.4**). Of those, 363 (5%) were state-operated and 6,116 (95%) were operated by a nonstate entity. Nine states had no state operated facilities, and 8 states had no nonstate facilities. States with 10 or more state-operated ICF/IID settings were Minnesota (15), Mississippi (69), New York (47), Tennessee (27), Texas (15), and West Virginia (70). States with more than 500 non-state ICF/IID facilities included California (1,226), Indiana (526), Louisiana (518), New York (532), and Texas (840).

**Setting Size.** On June 30, 2013, an estimated 3,775 (58%) ICF/IID facilities served 6 or fewer people, 2,178 (34%) served 7-15 people and 526 (8%) served 16 or more people with IDD. The proportion of ICF/IID facilities in each size category differed for state-operated and non-state settings. Of the 363 state-operated ICF/IID facilities, 79 (22%) had 6 or fewer residents, 124 (34%) had 7 to 15 residents, and 160 (44%) had 16 or more residents. Of the 6,116

# 2013

**Table 2.4** Number of State and Nonstate Intermediate Care Facilities for Individuals with Intellectual Disabilities by State and Size on June 30, 2013

State	State Settings				Nonstate Settings				All Settings				
	1-6	7-15	16+	Total	1-6	7-15	16+	Total	1-6	7-15	16+	Total	
<b>N States</b>	13	8	40	43	31	32	34	43	33	34	45	49	
<b>AL</b>	0	0	0	*	0	0	1	0	1	0	1	0	
<b>AK</b>	0	0	0	0	0	0	0	0	0	0	0	0	
<b>AZ</b>	0	0	0	*	0	0	0	1	0	0	1	1	
<b>AR</b>	0	0	5	5	0	31	4	35	0	31	9	40	
<b>CA</b>	0	0	5	5	1,216	0	10	1,226	1,216	0	15	1,231	
<b>CO</b>	0	2	2	4	4	0	0	4	4	2	2	8	
<b>CT</b>	0	0	6	6	61	4	0	65	61	4	6	71	
<b>DE</b>	0	0	1	1	0	0	0	0	0	0	1	1	
<b>DC</b>	0	0	0	0	72	0	0	72	72	0	0	72	
<b>FL</b>	0	0	2	2	35	5	49	89	35	5	51	91	
<b>GA</b>	0	0	3	*	3	0	0	0	0	0	3	3	
<b>HI</b>	0	0	0	0	17	1	0	18	17	1	0	18	
<b>ID</b>	1	0	1	2	26	39	0	65	27	39	1	67	
<b>IL</b>	0	0	7	7	42	191	34	267	42	191	41	274	
<b>IN</b>	0	0	0	*	0	188	334	4	526	188	334	4	526
<b>IA</b>	0	0	2	2	72	48	22	142	72	48	24	144	
<b>KS</b>	0	0	2	2	DNF	DNF	DNF	28	DNF	DNF	DNF	30	
<b>KY</b>	0 <sup>e</sup>	0 <sup>e</sup>	3 <sup>e</sup>	3 <sup>e</sup>	0	0	4 <sup>e</sup>	4 <sup>e</sup>	0	0	7	7	
<b>LA</b>	2	2	2	6	298	210	10	518	300	212	12	524	
<b>ME</b>	0	0	0	0	2	11	4	17	2	11	4	17	
<b>MD</b>	0	0	2	2	0	0	0	0	0	0	2	2	
<b>MA</b>	0	0	3	3	0	0	0	0	0	0	3	3	
<b>MI</b>	0	0	0	0	0	0	0	0	0	0	0	0	
<b>MN</b>	15	0	0	15	136	45	17	198	151	45	17	213	
<b>MS</b>	5 <sup>e</sup>	58 <sup>e</sup>	6	69	0	0	7	7	5	58	13	76	
<b>MO</b>	0	0	7	7	0	6	1	7	0	6	8	14	
<b>MT</b>	0	0	1	1	0	0	0	0	0	0	1	1	
<b>NE</b>	0	0	4	4	6	1	2	9	6	1	6	13	
<b>NV</b>	0	0	1	1	9	0	1	10	9	0	2	11	
<b>NH</b>	0	0	0	0	0	0	1	1	0	0	1	1	
<b>NJ</b>	0	0	7	7	0	0	2	2	0	0	9	9	
<b>NM</b>	1 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	1 <sup>ed</sup>	DNF <sup>ed</sup>	DNF <sup>ed</sup>	0 <sup>ed</sup>	37 <sup>ed</sup>	DNF	DNF	0	38	
<b>NY</b>	8	10	29	47	84	418	30	532	92	428	59	579	
<b>NC</b>	0	0	4 <sup>e</sup>	4 <sup>e</sup>	DNF	DNF <sup>ed</sup>	DNF <sup>e</sup>	340 <sup>e</sup>	DNF	DNF	DNF	344	
<b>ND</b>	0	0	1	1	31	33	1	65	31	33	2	66	
<b>OH</b>	0	0	10	10	106	235	80	421	106	235	90	431	
<b>OK</b>	0	0	2	2	DNF	DNF	DNF	82	DNF	DNF	DNF	84	
<b>OR</b>	0	0	0	0	0	0	0	0	0	0	0	0	
<b>PA</b>	0	0	5	5	118	34	20	172	118	34	25	177	
<b>RI</b>	4 <sup>e</sup>	0	0	4	0	0	1	1	4	0	1	5	
<b>SC</b>	0	0	5	5	0	68	0	68	0	68	5	73	
<b>SD</b>	0	0	1	1	0	0	1	1	0	0	2	2	
<b>TN</b>	25	0	2	27	68	51	1	120	93	51	3	147	
<b>TX</b>	2	0	13	15	785	48	7	840	787	48	20	855	
<b>UT</b>	0	0	1	1	0	2	13	15	0	2	14	16	
<b>VT</b>	0	0	0	0	1	0	0	1	1	0	0	1	
<b>VA</b>	0	0	5	5	0	17	4	21	0	17	9	26	
<b>WA</b>	0	0	4	4	7	1	0	8	7	1	4	12	
<b>WV</b>	16	52	2	70	16	52	2	70	32	104	4	140	
<b>WI</b>	0	0	3	3	0	4	6	10	0	4	9	13	
<b>WY</b>	0	0	1	1	0	0	0	0	0	0	1	1	
<b>Reported Total</b>	79	124	160	363	3,400	1,890	339	6,116	3,479	2,014	499	6,479	
<b>Estimated Total</b>	79	124	160	363	3,696	2,054	366	6,116	3,775	2,178	526	6,479	

<sup>d</sup> Other date (Usually June 30, 2012) <sup>e</sup> Estimate <sup>DNF</sup> Did not furnish \* See state notes in Appendix

**Table 2.5** Number of People with IDD in Intermediate Care Facilities for Individuals with Intellectual Disabilities by State and Size on June 30, 2013

Type	State				Nonstate				Total				
	Setting Size	1-6	7-15	16+	Total	1-6	7-15	16+	Total	1-6	7-15	16+	Total
<b>N States</b>	51	51	51	51	51	51	51	51	51	51	51	51	51
<b>AL</b>	0	0	0	0	0	14	0	14	0	14	0	0	14
<b>AK</b>	0	0	0	0	0	0	0	14 *	0	0	0	0	14
<b>AZ</b>	0	0	0	0	0	0	35	35	0	0	0	35	35
<b>AR</b>	0	0	934	934	0	313	207	520	0	313	1,141	1,454	
<b>CA</b>	0	0	1,567 <sup>e</sup>	1,567	6,263	0	775	7,038	6,263	0	2,342	8,605	
<b>CO</b>	0	166	168	334	18	0	0	18	18	166	168	352	
<b>CT</b>	2	1	552	555	325	37	0	362	327	38	552	917	
<b>DE</b>	0	0	61	61	0	0	0	0	0	0	61	61	
<b>DC</b>	0	0	0	0	352*	0	0	352	352	0	0	352	
<b>FL</b>	0	0	685	685	204	58	1,743	2,005	204	58	2,428	2,690	
<b>GA</b>	0	0	0 <sup>1</sup>	0	0	0	0	0	0	0	0	0	
<b>HI</b>	0	0	0	0	72 <sup>ed</sup>	7 <sup>ed</sup>	0 <sup>ed</sup>	79 <sup>ed</sup>	72	7	0	79	
<b>ID</b>	5	0	46	51	DNF	DNF	DNF	438 <sup>ed</sup>	DNF	DNF	DNF	489	
<b>IL</b>	0	0	1,810	1,810	201	2,468	3,081	5,750	201	2,468	4,891	7,560	
<b>IN</b>	0	0	0	0	942	2,546	272	3,760	942	2,546	272	3,760	
<b>IA</b>	0	0	429	429	337	448	841	1,626	337	448	1,270	2,055	
<b>KS</b>	0 <sup>ed</sup>	0 <sup>ed</sup>	327 <sup>e</sup>	327	DNF	DNF	DNF	175 <sup>ed</sup>	DNF	DNF	DNF	502	
<b>KY</b>	0	0	203	203	0 <sup>e</sup>	0 <sup>e</sup>	149 <sup>e</sup>	149 <sup>ed</sup>	0	0	352	352	
<b>LA</b>	8	19	468	495	1,720	1,656	933	4,309	1,728	1,675	1,401	4,804	
<b>ME</b>	0	0	0	0	11	113	58	182	11	113	58	182	
<b>MD</b>	0	0	153	153	0 <sup>d</sup>	0 <sup>d</sup>	0 <sup>d</sup>	0 <sup>d</sup>	0	0	153	153	
<b>MA</b>	2 <sup>d</sup>	0 <sup>d</sup>	516 <sup>d</sup>	518	0 <sup>d*</sup>	0 <sup>d*</sup>	0 <sup>d*</sup>	0 <sup>d*</sup>	2	0	516	518	
<b>MI</b>	0	0	0	0	0	0	0	0	0	0	0	0	
<b>MN</b>	87	0	0	87	743	452	387	1,582	830	452	387	1,669	
<b>MS</b>	26	544	1,212	1,782	0 <sup>e</sup>	0 <sup>e</sup>	732 <sup>e</sup>	732 <sup>ed</sup>	26	544	1,944	2,514	
<b>MO</b>	0	0	463	463	0	49	26	75	0	49	489	538	
<b>MT</b>	0	0	53	53	0	0	0	0	0	0	53	53	
<b>NE</b>	0	0	124	124	35	9	221	265	35	9	345	389	
<b>NV</b>	0	0	46	46	36	0	18	54	36	0	64	100	
<b>NH</b>	0	0	0	0	0	0	25	25	0	0	25	25	
<b>NJ</b>	0	0	2,413	2,413	0	0	622	622	0	0	3,035	3,035	
<b>NM</b>	4 <sup>e</sup>	0	0	4	105	120	0	225	109	120	0	229	
<b>NY</b>	33	75	437	545	454	4,156	951	5,561	487	4,231	1,388	6,106	
<b>NC</b>	0 <sup>e</sup>	0	1,272	1,272	DNF	DNF	DNF	2,001 <sup>e</sup>	DNF	DNF	DNF	3,273	
<b>ND</b>	0	0	87	87	173	254	30	457	173	254	117	544	
<b>OH</b>	0	0	952	952	545	2,033	3,148	5,726	545	2,033	4,100	6,678	
<b>OK</b>	0	0	203	203	DNF	DNF	DNF	1,218 <sup>ed</sup>	DNF	DNF	DNF	1,421	
<b>OR</b>	0	0	0	0	0	0	0	0	0	0	0	0	
<b>PA</b>	0	0	1,041	1,041	580	260	1,366	2,206	580	260	2,407	3,247	
<b>RI</b>	21 <sup>ed</sup>	0 <sup>ed</sup>	0	21	0 <sup>ed</sup>	0 <sup>ed</sup>	21 <sup>ed</sup>	21 <sup>ed</sup>	21	0	21	42	
<b>SC</b>	0	0	721	721	0	540	0	540	0	540	721	1,261	
<b>SD</b>	0	0	127	127	0	0	64	64	0	0	191	191	
<b>TN</b>	95	0	172	267	285	374	81	740	380	374	253	1,007	
<b>TX</b>	10	0	3,547	3,557	4,521	551	500	5,572 <sup>d</sup>	4,531	551	4,047	9,129	
<b>UT</b>	0	0	206	206	0	26	554	580	0	26	760	786	
<b>VT</b>	0	0	0	0	6	0	0	6	6	0	0	6	
<b>VA</b>	0 <sup>ed</sup>	0 <sup>ed</sup>	779	779	0	170	156	326	0	170	935	1,105	
<b>WA</b>	0	0	808 <sup>*</sup>	808	41	13	0	54	41	13	808	862	
<b>WV</b>	83 <sup>e</sup>	390 <sup>e</sup>	39 <sup>e</sup>	512	83 <sup>e</sup>	390 <sup>e</sup>	39 <sup>e</sup>	512 <sup>e</sup>	166	780	78	1,024	
<b>WI</b>	0	0	373	373	0	31	473	504	0	31	846	877	
<b>WY</b>	0	0	90	90	0	0	0	0	0	0	90	90	
<b>Reported Total</b>	376	1,195	23,084	24,655	18,052	17,088	17,508	56,494	18,423	18,283	38,744	81,149	
<b>Est US Total</b>	376	1,195	23,084	24,655	19,363	18,329	18,803	56,494	19,739	19,524	41,887	81,149	

<sup>d</sup> Other date (Usually June 30, 2012) <sup>e</sup> Estimate <sup>DNF</sup> Did not furnish \* See state notes in Appendix 1GA Resident count based on reported residents by age

non-state ICF/IID facilities, an estimated 3,696 (60%) had 6 or fewer residents, 2,054 (34%) had 7 to 15 residents, and 366 (6%) had 16 or more residents. In 10 states all ICF/IIDs had 16 or more residents (Arizona, Delaware, Georgia, Kentucky, Maryland, Massachusetts, Montana, New Hampshire, New Jersey, and South Dakota).

## People in ICF/IID Settings<sup>3</sup>

**State versus Non-state.** On June 30, 2013, 24,655 (30%) people lived in state-operated ICF/IID and 56,494 (70%) people lived in non-state ICF/IID (See

**Table 2.5.** In six states all of the people in an ICF/IID lived in a state-operated setting (Delaware, Georgia, Maryland, Massachusetts, Montana, and Wyoming). In ten states all of the people living in an ICF/IID lived in a nonstate setting (Alabama, Arkansas, Arizona, the District of Columbia, Hawaii, Indiana, Maine, New Hampshire, Vermont, and Virginia). States with the most people living in ICF/IID facilities of any size or type were California (8,605), Illinois (7,560), New York (6,106), Ohio (6,678) and Texas (9,129).

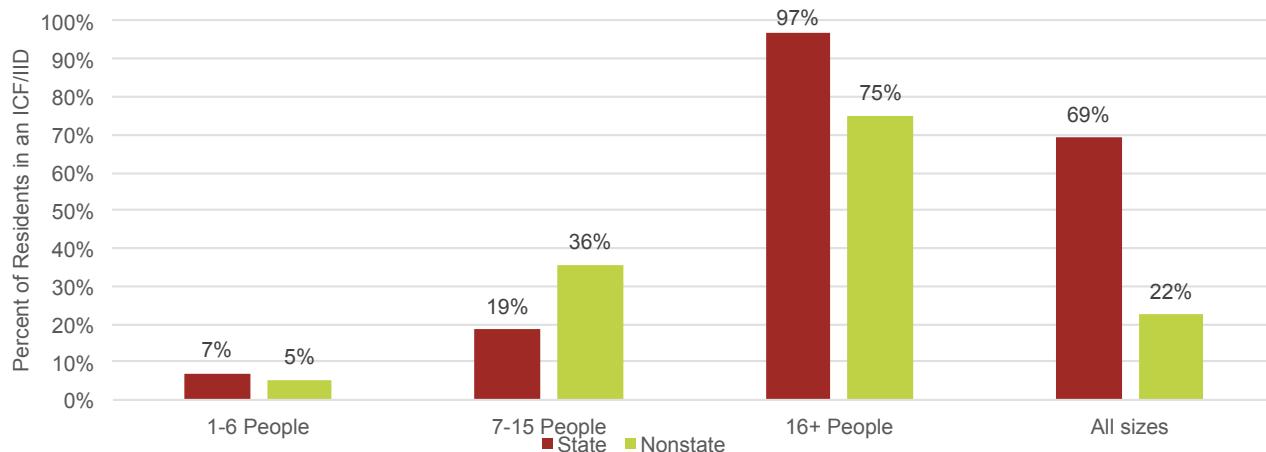
<sup>3</sup> States reported the number of ICF/IID recipients in two sections of the survey. The number of ICF/IID recipients by setting type was 81,149 (See Table 2.5). Some states used different data sources to compute expenditures than were used to report program participants by setting types. Computation of ICF/IID expenditures per year end recipient, recipients by age, and recipients by year used an estimated total of 79,876 ICF/IID recipients (See Table 2.6).

**Setting Size.** On June 30, 2013, an estimated 19,739 people in an ICF/IID (24%) lived in settings serving 6 or fewer people, 19,524 (24%) lived in settings serving 7 to 15 people, and 41,887 (51%) lived in settings serving 16 or more people. In six states all of the people ICF/IID lived in a setting of 15 or fewer people (Alabama, Arkansas, the District of Columbia, Hawaii, New Mexico, and Vermont). In 10 states, all ICF/IID recipients lived in settings with 16 or more people (Arizona, Delaware, Georgia, Kentucky, Maryland, Montana, New Hampshire, New Jersey, South Dakota and Wyoming).

## Utilization by Setting Size and State or Nonstate Operation.

The proportion of people with IDD living in nonfamily settings who lived in an ICF/IID differed by setting size and operating entity. Overall, 67% of people with IDD in state-operated settings and 22% of those in nonstate settings lived in an ICF/IID (See **Figure 2.2**). Among people living with six or fewer people, 7% lived in a state-operated settings, and 5% in nonstate settings lived in an ICF/IID. Among people living with seven to fifteen people, 19% in state operated settings, and 36% in nonstate settings lived in an ICF/IID. Finally, among people living in a nonfamily setting with 16 or more people, 94% in state operated settings and 75% in nonstate settings lived in an ICF/IID.

**Figure 2.2** ICF/IID Residents as a Proportion of All People in IDD Congregate Settings by Setting Size and State or Nonstate Operation on June 30, 2013



**Table 2.6** People in Medicaid Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IID) and Utilization rate per 100,000 of the Population By Age on June 30, 2013

State	Population in 100,000's <sup>1</sup>			ICF/IID Residents			ICF/IID Residents per 100k		
	Birth to 21 years	22+ years	All Ages	Birth to 21 years	22+ years	All Ages <sup>2</sup>	Birth to 21 years	22+ years	All Ages <sup>2</sup>
<b>N States</b>	51	51	51	49	49	49	49	49	49
<b>AL</b>	13.8	34.5	48.3	0	14	14	0.0	0.4	0.3
<b>AK</b>	2.3	5.0	7.4	0	0 <sup>*</sup>	0	0.0	0.0	0.0
<b>AZ</b>	19.9	46.4	66.3	0	0	0	0.0	0.0	0.0
<b>AR</b>	8.7	20.9	29.6	266 <sup>ed</sup>	1,202 <sup>ed*</sup>	1,468	30.6	57.5	49.6
<b>CA</b>	113.7	269.6	383.3	227	8,241	8,468	2.0	30.6	22.1
<b>CO</b>	15.2	37.5	52.7	9	175	184	0.6	4.7	3.5
<b>CT</b>	10.0	26.0	36.0	0	555 <sup>*</sup>	555	0.0	21.4	15.4
<b>DE</b>	2.6	6.7	9.3	0	56	56	0.0	8.4	6.0
<b>DC</b>	1.6	4.9	6.5	0	352	352	0.0	71.8	54.5
<b>FL</b>	49.9	145.6	195.5	117	2,740	2,857	2.3	18.8	14.6
<b>GA</b>	30.6	69.3	99.9	30 <sup>ed</sup>	218 <sup>ed</sup>	248	1.0	3.1	2.5
<b>HI</b>	3.8	10.3	14.0	0 <sup>ed</sup>	79 <sup>ed</sup>	79	0.0	7.7	5.6
<b>ID</b>	5.1	11.0	16.1	110 <sup>ed</sup>	377 <sup>ed</sup>	487	21.4	34.3	30.2
<b>IL</b>	37.4	91.4	128.8	242	5,508	5,750	6.5	60.3	44.6
<b>IN</b>	19.7	46.0	65.7	194	3,566	3,760	9.8	77.6	57.2
<b>IA</b>	9.1	21.8	30.9	338	1,667	2,005	37.1	76.5	64.9
<b>KS</b>	9.0	20.0	28.9	35 <sup>ed</sup>	474 <sup>ed</sup>	509	3.9	23.7	17.6
<b>KY</b>	12.5	31.5	44.0	17 <sup>ed</sup>	377 <sup>ed</sup>	394	1.4	12.0	9.0
<b>LA</b>	13.7	32.6	46.3	512	4,429	4,941	37.5	135.9	106.8
<b>ME</b>	3.3	10.0	13.3	18	187	205	5.5	18.7	15.4
<b>MD</b>	16.6	42.6	59.3	3 <sup>ed</sup>	149 <sup>ed</sup>	152	0.2	3.5	2.6
<b>MA</b>	18.1	48.9	66.9	0 <sup>d</sup>	499 <sup>d</sup>	499	0.0	10.2	7.5
<b>MI</b>	28.2	70.8	99.0	0	0	0	0.0	0.0	0.0
<b>MN</b>	15.7	38.5	54.2	112	1,614	1,726	7.1	42.0	31.8
<b>MS</b>	9.1	20.8	29.9	120	1,662	1,782	13.1	80.0	59.6
<b>MO</b>	17.3	43.1	60.4	1	568	569	0.1	13.2	9.4
<b>MT</b>	2.8	7.3	10.2	8	59	67	2.9	8.0	6.6
<b>NE</b>	5.8	12.9	18.7	51	340	391	8.8	26.3	20.9
<b>NV</b>	8.0	19.9	27.9	6	40	46	0.8	2.0	1.6
<b>NH</b>	3.5	9.7	13.2	0	0	0	0.0	0.0	0.0
<b>NJ</b>	24.8	64.2	89.0	DNF <sup>ed</sup>	DNF	DNF	DNF	DNF	DNF
<b>NM</b>	6.3	14.6	20.9	DNF	DNF	DNF	DNF	DNF	DNF
<b>NY</b>	53.4	143.1	196.5	732	6,395	7,127	13.7	44.7	36.3
<b>NC</b>	28.5	70.0	98.5	326 <sup>e</sup>	2,947 <sup>e</sup>	3,273	11.4	42.1	33.2
<b>ND</b>	2.1	5.1	7.2	99	440	539	46.3	86.3	74.5
<b>OH</b>	32.9	82.9	115.7	445	6,233	6,678	13.5	75.2	57.7
<b>OK</b>	11.6	26.9	38.5	0	203	203	0.0	7.6	5.3
<b>OR</b>	10.6	28.7	39.3	0	0	0	0.0	0.0	0.0
<b>PA</b>	34.4	93.3	127.7	130	3,117	3,247	3.8	33.4	25.4
<b>RI</b>	2.9	7.7	10.5	0 <sup>ed</sup>	21 <sup>ed</sup>	21	0.0	2.7	2.0
<b>SC</b>	13.5	34.2	47.7	40	1,216	1,256	3.0	35.5	26.3
<b>SD</b>	2.6	5.9	8.4	89	102	191	34.5	17.4	22.6
<b>TN</b>	18.4	46.6	65.0	0	1,007	1,007	0.0	21.6	15.5
<b>TX</b>	85.6	178.9	264.5	486	8,539	9,025	5.7	47.7	34.1
<b>UT</b>	10.7	18.3	29.0	2	204	206	0.2	11.2	7.1
<b>VT</b>	1.7	4.6	6.3	0	6	6	0.0	1.3	1.0
<b>VA</b>	23.3	59.3	82.6	110 <sup>od</sup>	1,236 <sup>od</sup>	1,346	4.7	20.9	16.3
<b>WA</b>	19.5	50.2	69.7	15 <sup>e</sup>	819 <sup>e</sup>	834	0.8	16.3	12.0
<b>WV</b>	4.8	13.8	18.5	89	476	565	18.6	34.6	30.5
<b>WI</b>	16.4	41.1	57.4	0	877	877	0.0	21.4	15.3
<b>WY</b>	1.7	4.1	5.8	1 <sup>ed</sup>	79 <sup>ed</sup>	80	0.6	19.1	13.7
<b>Reported Total</b>	912.6	2,248.7	3,161.3	4,980	69,065	74,045	5.5	30.7	23.4
<b>Estimated Total</b>	912.6	2,248.7	3,161.3	5,462	74,414	79,876	6.0	33.1	25.3

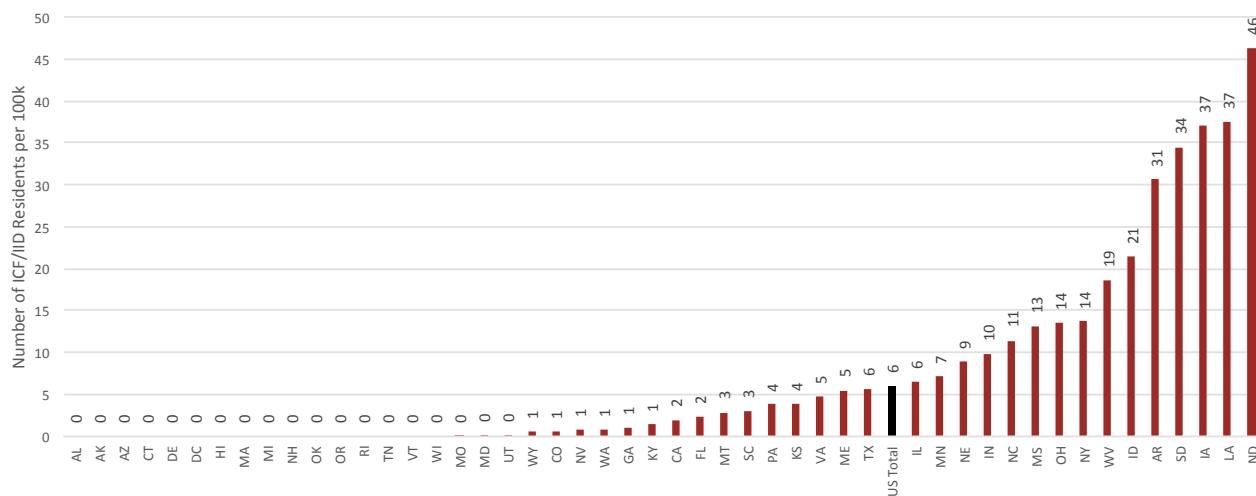
<sup>a</sup> Other date (Usually June 30, 2012) <sup>b</sup> Estimate <sup>c</sup>DNF Did not furnish <sup>d</sup>Data from source other than state \* See state notes in Appendix. \*\*The reported number of ICF/IID recipients by setting type differs from recipients by age because some states used different data sources for those computations.

<sup>1</sup>Source: Annual Estimates of the Resident Population by Single Year of Age and Sex for the United States, States, and Puerto Rico Commonwealth: April 1, 2010 to July 1, 2013. U.S. Census Bureau, Population Division. Release Date: June 2014.

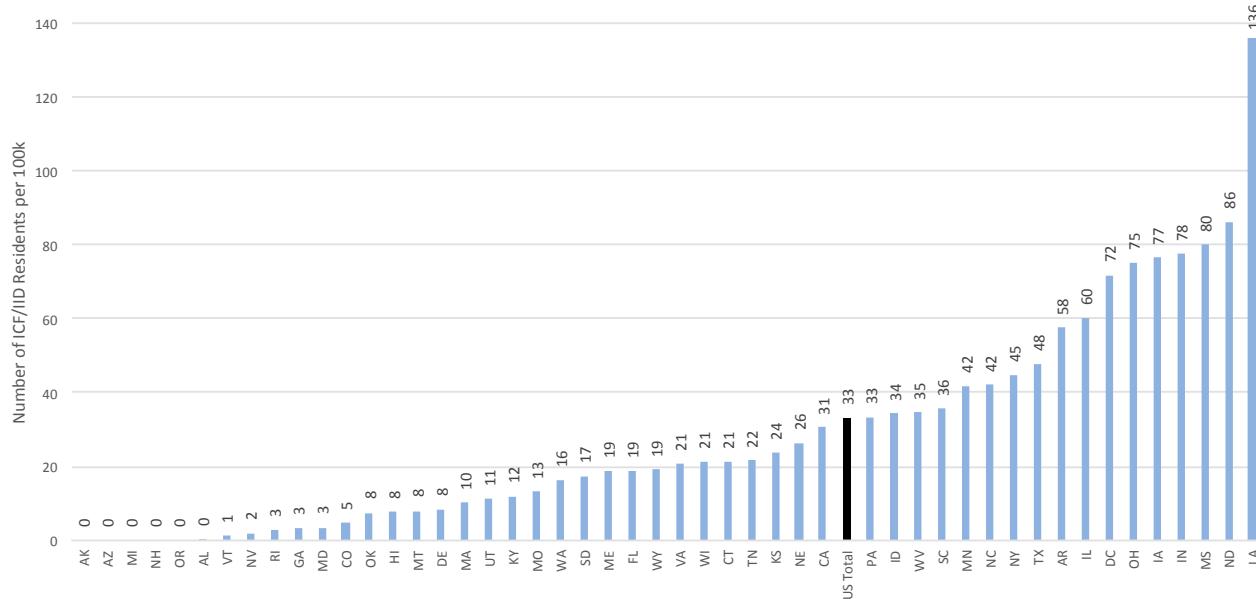
**Recipients by Age.** An estimated 5,462 (7%) of the people living in an ICF/IID were 21 years old or younger and 74,414 (93%) were 22 years or older (See **Table 2.6**). The proportion who were 21 years or younger ranged from 0% in 11 states (Alabama, Connecticut, Delaware, the District of Columbia, Hawaii, Massachusetts, Oklahoma, Rhode Island, Tennessee, Vermont, and Wisconsin) to more than 17% in four states (Arkansas, Idaho, North Dakota, and South Dakota).

**Utilization per 100,000 by Age.** An estimated 25 people per 100,000 of the US population lived in an ICF/IID on June 30, 2013. An estimated 6 people per 100,000 ages 21 years or younger lived in an ICF/IID, and 33 people per 100,000 ages 22 years or older lived in an ICF/IID (See **Figure 2.3a**). More than 50 people ages 22 years and older per 100,000 lived in ICF/IID settings in the District of Columbia (55), Indiana (57), Iowa (65), Louisiana (107), Mississippi (60), North Dakota (75), and Ohio (58; See **Figure 2.3b**).

**Figure 2.3a** ICF/IID Residents Ages Birth to 21 Years per 100,000 of the Population on June 30, 2013



**Figure 2.3b** ICF/IID Residents Ages 22 Years or Older per 100,000 of the Population on June 30, 2013



**Table 2.7** Medicaid Intermediate Care Facilities for Individuals with Intellectual Disabilities (ICF/IID) Residents and Expenditures by State for Fiscal Year 2013<sup>1</sup>

State	ICF/IID Expenditures	June 30, 2013 Recipients	Expenditures per June 30, 2013 Recipient (\$)	Average Daily Recipient <sup>2</sup>	Expenditures per Average Daily Recipient (\$)	State Population (100,000)	Expenditures per State Resident (\$)
<b>N States</b>	<b>50</b>	<b>51</b>	<b>46</b>	<b>51</b>	<b>48</b>	<b>51</b>	<b>50</b>
AL	1,784,376	14	127,455	28	64,886	48.3	0
AK	0	0 *	N/A *	7	0	7.4	0
AZ	0	0	N/A	92	0	66.3	0
AR	163,191,817 <sup>T</sup>	1,468 <sup>ed*</sup>	111,166 <sup>Ted*</sup>	1,461	111,737	29.6	55
CA	674,213,696	8,468	79,619	8,666	77,804	383.3	18
CO	43,509,184	184	236,463	348	125,206	52.7	8
CT	199,451,356 *	555 *	359,372 *	951	209,838	36.0	55
DE	23,222,247 <sup>e</sup>	56	414,683 <sup>e</sup>	64	365,705	9.3	25
DC	83,608,160	352	237,523	358	233,869	6.5	129
FL	321,883,150 <sup>T</sup>	2,857	112,665 <sup>T</sup>	2,738	117,561	195.5	16
GA	40,915,693 <sup>T</sup>	248 <sup>ed</sup>	164,983 <sup>Ted</sup>	150	272,771	99.9	4
HI	7,714,574 <sup>ed</sup>	79 <sup>ed</sup>	97,653 <sup>ed</sup>	79	97,653	14.0	5
ID	48,640,003 <sup>T</sup>	487 <sup>ed</sup>	99,877 <sup>Ted</sup>	487	99,877	16.1	30
IL	572,400,000	7,560	75,714	7,952	71,982	128.8	44
IN	291,497,636	3,760	77,526	3,800	76,720	65.7	44
IA	284,048,012	2,005	141,670	2,029	140,029	30.9	92
KS	63,521,989 <sup>T</sup>	509 <sup>ed</sup>	124,798 <sup>T</sup>	506	125,662	28.9	22
KY	162,523,174 <sup>T</sup>	394 <sup>ed</sup>	412,495 <sup>T</sup>	319	510,277	44.0	37
LA	390,662,003	4,941	79,065	4,704	83,049	46.3	84
ME	35,076,254 <sup>e</sup>	205	171,104 <sup>e</sup>	186	189,090	13.3	26
MD	DNF	152 <sup>ed</sup>	DNF <sup>Ted</sup>	104	DNF	59.3	DNF
MA	122,672,000 <sup>d</sup>	499 <sup>d</sup>	245,836	556	220,633	66.9	18
MI	215,843 <sup>T</sup>	0	N/A <sup>T</sup>	0	N/A	99.0	0
MN	122,605,083	1,726	71,034	1,694	72,376	54.2	23
MS	283,435,119	2,514	112,743	2,640	107,382	29.9	95
MO	97,757,183	569	171,805	564	173,328	60.4	16
MT	10,368,703 <sup>T</sup>	67	154,757 <sup>T</sup>	54	192,013	10.2	10
NE	32,607,856	391	83,396	411	79,338	18.7	17
NV	9,653,196	46	209,852	102	94,639	27.9	3
NH	1,841,199 <sup>T</sup>	25	73,648 <sup>T</sup>	25	73,648	13.2	1
NJ	697,128,262 <sup>T</sup>	3,035	229,696 <sup>T</sup>	3,094	225,316	89.0	78
NM	24,977,074 <sup>T</sup>	229	109,070 <sup>T</sup>	232	107,892	20.9	12
NY	2,710,068,708	7,127	380,254	6,697	404,669	196.5	138
NC	213,838,383 <sup>e</sup>	3,273 <sup>e</sup>	65,334 <sup>e</sup>	3,602	59,375	98.5	22
ND	96,166,336 <sup>T</sup>	539	178,416 <sup>T</sup>	552	174,372	7.2	133
OH	758,249,245	6,678	113,544	6,802	111,474	115.7	66
OK	111,630,604 <sup>T</sup>	203	549,904 <sup>T</sup>	1,485	75,172	38.5	29
OR	0	0	N/A	0	N/A	39.3	0
PA	568,539,061	3,247	175,097	3,333	170,579	127.7	45
RI	4,038,624 <sup>ed</sup>	21 <sup>ed</sup>	192,315 <sup>ed</sup>	42	96,158	10.5	4
SC	214,796,614 <sup>e</sup>	1,256	171,016 <sup>e</sup>	1,287	166,897	47.7	45
SD	29,351,861	191	153,675	195	150,522	8.4	35
TN	221,987,200	1,007	220,444	1,058	209,917	65.0	34
TX	1,076,531,378	9,025	119,283	9,298	115,781	264.5	41
UT	33,760,310	206	163,885	794	42,546	29.0	12
VT	1,150,464	6	191,744	6	191,744	6.3	2
VA	283,729,646 <sup>T</sup>	1,346 <sup>e</sup>	210,795 <sup>Te</sup>	1,216	233,426	82.6	34
WA	166,514,999	834 <sup>e</sup>	199,658	746	223,360	69.7	24
WV	67,189,436	565	118,919	793	84,728	18.5	36
WI	162,450,803	877	185,235	886	183,353	57.4	28
WY	19,640,307 <sup>T</sup>	80 <sup>ed</sup>	245,504 <sup>Ted</sup>	85	232,430	5.8	34
<b>Estimated Totals</b>	<b>11,550,758,821</b>	<b>79,876</b>	<b>144,609</b>	<b>83,267</b>	<b>138,720</b>	<b>3,161</b>	<b>37</b>

<sup>a</sup> Other date (Usually June 30, 2012) <sup>b</sup>Estimate <sup>c</sup>DNF Did not furnish <sup>d</sup> Data Source: Truven (2015) \* See state notes in Appendix. <sup>1</sup>Some states report ICF/IID expenditures but no recipients because the people they fund are served in other states. The number of recipients on this table may differ from the numbers reported on Table 2.5 because expenditure data with participants were often drawn from a different data source than was used for Table 2.5. <sup>2</sup>Calculated as the simple average of residents on June 30, 2012 and June 30, 2013. <sup>3</sup>Source: Annual Estimates of the Resident Population by Single Year of Age and Sex for the United States, States, and Puerto Rico Commonwealth: April 1, 2010 to July 1, 2013. U.S. Census Bureau, Population Division. Release Date: June 2014.

## ICF/IID Expenditures<sup>4</sup>

**Total ICF/IID Expenditures.** Total FY 2013 ICF/IID expenditures were an estimated \$11.6 billion for the United States (See **Table 2.7**). State ICF/IID expenditures ranged from \$0 in Alaska, Arizona and Oregon to \$2.7 billion in New York. Seven states spent less than \$10 million on ICF/IID (Alabama, Hawaii, Michigan, Nevada, New Hampshire, Rhode Island, and Vermont). Seven states had ICF/IID expenditures of more than \$500 million (California, Illinois, New Jersey, New York, Ohio, Pennsylvania, and Texas).

**Per Person ICF/IID Expenditures.** Per person expenditures can be calculated based on the number of recipients on June 30, 2013 or based on the average daily ICF/IID recipients during FY 2013. Average annual ICF/IID expenditures were \$144,609 per June 30, 2013 recipient or \$138,720 per average daily recipient.

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*THE MFP INITIATIVE ENACTED BY THE DEFICIT REDUCTION ACT OF 2005 AND EXPANDED THROUGH THE AFFORDABLE CARE ACT IS A FEDERAL DEMONSTRATION PROGRAM DESIGNED TO HELP STATES REDUCE THEIR USE OF INSTITUTIONAL CARE WHILE EXPANDING OPTIONS FOR PEOPLE TO RECEIVE CARE IN THE COMMUNITY.*

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Annual ICF/IID expenditures per June 30, 2013 ICF/IID recipient were less than \$100,000 in California, Hawaii, Idaho, Illinois, Indiana, Louisiana, Maryland, Minnesota, Nebraska, New Hampshire, and North Carolina. They were more than \$300,000 in Connecticut, Delaware, Kentucky, New York, and Oklahoma.

**ICF/IID Expenditures per State Resident.** FY 2013 ICF/IID expenditures averaged \$37 per US resident. ICF/IID expenditures ranged from less than \$1 per state resident in Alabama, Maryland, Michigan, Nevada, New Hampshire, Rhode Island and Vermont to \$138 in New York, \$129 in the District of Columbia and \$133 in North Dakota. Alaska and Oregon reported no ICF/IID expenditures. ICF/IID

<sup>4</sup> ICF/IID expenditures are as reported by the state except for states did not furnish that information in which case estimates were based on Eiken et.al., 2015. Those instances are noted in the table with a T.

expenditures in Arizona were not available as they were integrated with their Medicaid 1115 Waiver expenditures.

## Balancing Medicaid Home and Community Based Services and Institutional Services

**Money Follows the Person (MFP).** The MFP initiative enacted by the Deficit Reduction Act of 2005 and expanded through the Affordable Care Act is a federal demonstration program designed to help states reduce their use of institutional care while expanding options for people to receive care in the community. The legislation provided a system of flexible and augmented financing for LTSS to assist states in moving people to smaller more integrated appropriate and preferred settings. MFP is the largest demonstration program in the history of Medicaid designed to transform LTSS. The first 17 states received MFP demonstration grants in 2007. States use the grant funds to develop systems and services to help long-term residents of nursing facilities, Intermediate Care Facilities for Individuals with Intellectual Disabilities, and Institutions for Mental Disease (psychiatric hospitals) who want to move to home or community-based settings. By 2012, 37 states were participating in MFP and 30,141 people including 4,245 people with IDD had transitioned from institutional to community-based LTSS (Mathematica Policy Research, 2013).

**Balancing Incentive Payment (BIP).** The BIP programs also offered states increased federal financing to expand home and community-based services by providing an increased FMAP on all community-based services, in an effort to incentivize community-based services and to provide resources to increase community capacity.

Federal Fiscal Year 2013, marked the first time that Medicaid long-term support and services expenditures for all recipient populations were higher for home and community based services than for institutional services (Medicaid Waiver expenditures were \$75 billion while Medicaid institutional LTSS expenditures were \$71 million; Eiken, et al, 2015). By comparison, the balance between community and institutional services for people with IDD shifted in favor of community services more than fifteen years ago. The number of Waiver recipients exceeded the number of ICF/IID residents in FY 1995 (See **Tables 3.4** and **3.6** in Section 3 of this report). Medicaid

**Table 2.8** ICF/IID Residents and Waiver Recipients and Expenditures and Proportion of Residents and Expenditures by Funding Authority by State on June 30, 2013

State	Total ICF/IID + Waiver		% of Recipients		% of Expenditures	
	Recipients	Expenditures (\$)	Waiver	ICF/IID	Waiver	ICF/IID
<b>N States</b>	<b>51</b>	<b>51</b>	<b>51</b>	<b>51</b>	<b>51</b>	<b>51</b>
<b>AL</b>	6,261	316,847,141	100	0	99	1
<b>AK</b>	1,865	151,464,381	100	0	98	2
<b>AZ</b>	25,896	707,083,624	100	0	96	4
<b>AR</b>	5,615	343,855,397 <sup>T</sup>	74	26	52	48
<b>CA</b>	111,476	3,046,464,496	92	8	76	24
<b>CO</b>	8,087	372,140,571	98	2	88	12
<b>CT</b>	9,901	942,824,563 <sup>*</sup>	94	6	74	26
<b>DE</b>	1,045	114,858,894 <sup>e</sup>	95	5	76	24
<b>DC</b>	1,929	230,438,121	82	18	64	36
<b>FL</b>	31,706	1,162,286,553 <sup>T</sup>	91	9	71	29
<b>GA</b>	8,316	348,567,254 <sup>T</sup>	97	3	91	9
<b>HI</b>	2,623	110,624,167 <sup>ed</sup>	97	3	93	7
<b>ID</b>	5,587	124,417,945 <sup>T</sup>	91	9	62	38
<b>IL</b>	27,860	1,208,500,000	78	22	44	56
<b>IN</b>	17,677	825,523,207	79	21	65	35
<b>IA</b>	16,643	715,307,112	88	12	56	44
<b>KS</b>	8,304	405,486,649 <sup>T</sup>	94	6	84	16
<b>KY</b>	12,409	622,482,267 <sup>T</sup>	97	3	74	26
<b>LA</b>	16,604	842,825,132	70	30	52	48
<b>ME</b>	4,413	364,813,525 <sup>e</sup>	95	5	80	20
<b>MD</b>	8,901	DNF	98	2	100	0
<b>MA</b>	21,867	965,126,935 <sup>e</sup>	98	2	83	17
<b>MI</b>	36,600	1,184,685,991 <sup>T</sup>	100	0	100	0
<b>MN</b>	19,792	1,283,381,166	91	9	86	14
<b>MS</b>	4,522	332,746,828	53	47	16	84
<b>MO</b>	12,716	707,821,685	96	4	84	16
<b>MT</b>	2,753	102,336,685 <sup>T</sup>	98	2	90	10
<b>NE</b>	5,078	208,147,402	92	8	73	27
<b>NV</b>	1,765	85,263,395	97	3	79	21
<b>NH</b>	4,204	200,292,265 <sup>T</sup>	100	0	99	1
<b>NJ</b>	13,775	1,412,227,090 <sup>T</sup>	DNF	DNF	51	49
<b>NM</b>	4,446	319,677,057 <sup>T</sup>	DNF	DNF	92	8
<b>NY</b>	86,618	8,038,953,240	92	8	69	31
<b>NC</b>	16,179	656,079,933 <sup>e</sup>	80	20	81	19
<b>ND</b>	4,539	240,033,027 <sup>T</sup>	88	12	60	40
<b>OH</b>	39,531	2,078,385,523	83	17	64	36
<b>OK</b>	5,439	389,391,219 <sup>T</sup>	96	4	72	28
<b>OR</b>	17,735	561,250,632	100	0	100	0
<b>PA</b>	32,260	2,591,208,351	90	10	77	23
<b>RI</b>	3,979	213,038,624 <sup>ed</sup>	99	1	95	5
<b>SC</b>	9,783	467,951,522 <sup>e</sup>	87	13	68	32
<b>SD</b>	3,553	134,437,371	95	5	78	22
<b>TN</b>	8,702	846,774,816	88	12	72	28
<b>TX</b>	38,964	2,178,672,602	77	23	51	49
<b>UT</b>	4,556	196,589,011	95	5	73	27
<b>VT</b>	2,776	151,805,662	100	0	99	1
<b>VA</b>	8,203	857,497,672 <sup>T</sup>	84	16	67	33
<b>WA</b>	13,031	740,507,064	94	6	83	17
<b>WV</b>	5,057	402,724,991	89	11	83	17
<b>WI</b>	28,194	1,077,680,823	97	3	83	17
<b>WY</b>	2,115	117,212,011 <sup>T</sup>	96	4	83	17
<b>Est US Total</b>	<b>791,850</b>	<b>41,961,547,500</b>	<b>90</b>	<b>10</b>	<b>72</b>	<b>28</b>

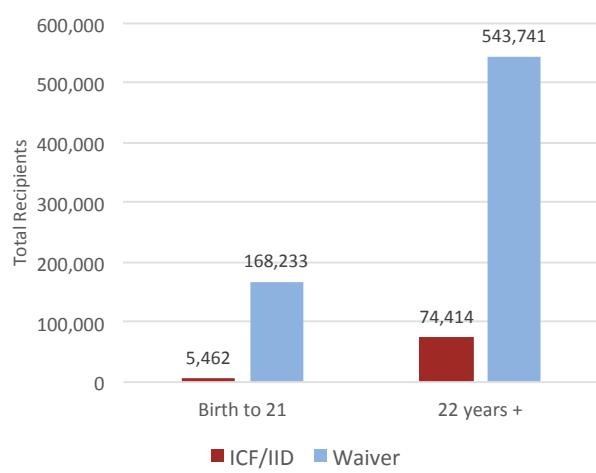
<sup>a</sup> Other date (Usually June 30, 2012) <sup>e</sup> Estimate <sup>DNF</sup> Did not furnish <sup>T</sup>Source: Eiken, et al., 2015 \* See state notes in Appendix. <sup>1</sup>For states that reported different total recipients based on age than reported by setting type for Waiver recipients, ICF/IID residents or both, the recipients by age is reported except in the case of AZ, IL, MS, NH, NJ, NM, and NC for which ICF/IID residents by setting type is used. Estimates are included for states that did not provide all relevant data.

Waiver expenditures for people with IDD exceeded Medicaid ICF/IID expenditures for the first time in 2001 (See **Tables 3.5** and **3.7** in Section 3). This section compares utilization of and expenditures for Medicaid ICF/IID versus Waiver funded LTSS services for people with IDD. **Table 2.8** compares overall recipients and expenditures. **Figure 2.4** compares the number of recipients by age. **Table 2.9** and **Figure 2.5** compare average annual expenditures by age. Finally, **Table 2.10** compares federal Medicaid expenditures by state with federal income taxes paid by the state.

## Medicaid ICF/IID versus Waiver Recipients and Expenditures

**Recipients.** Total FY 2013 combined ICF/IID and Medicaid Waiver LTSS recipients with IDD were 792,344 people (90% of recipients received Medicaid Waiver funded supports; See **Table 2.8**). States serving 95% or more of combined Medicaid ICF/IID and Waiver recipients through a Medicaid Waiver were: Alabama, Alaska, Arizona, Colorado, Georgia, Hawaii, Kentucky, Maine, Maryland, Massachusetts, Michigan, Missouri, Montana, New Hampshire, Oregon, Rhode Island, Vermont, Washington, and Wisconsin. States with the highest proportion of service recipients in ICF/IID settings were Arkansas (27%), Illinois (31%), Louisiana (32%), Mississippi (60%), and Texas (25%).

**Figure 2.4** Number of Medicaid Waiver Recipients and ICF/IID Residents with IDD by Age on June 30, 2013



**Table 2.9** Medicaid Waiver and ICF/IID Annual Per Person Expenditures by Age for FY 2013

State	Waiver		ICF/IID	
	Birth to 21	22 years +	Birth to 21	22 years +
<b>N States</b>	<b>45</b>	<b>47</b>	<b>22</b>	<b>32</b>
AL	50,434	50,434	N/A	127,455
AK	39,266	100,625	N/A	N/A
AZ <sup>4</sup>	15,348	47,286	N/A	N/A
AR	38,230	45,276	DNF	DNF
CA	10,773	32,323	22,366	81,196
CO	18,180	43,677	202,217	238,224
CT	101,598	77,830	N/A	359,372
DE	13,277 <sup>e</sup>	95,054	N/A	414,683
DC	18,369	93,728	N/A	237,523
FL	18,629	31,418	DNF	DNF
GA	24,578	39,606	DNF	DNF
HI	39,780 <sup>ed</sup>	40,657 <sup>ed</sup>	N/A <sup>ed</sup>	97,653
ID	7,472	20,004	DNF	DNF
IL	24,360	31,964	64,876 <sup>*</sup>	101,071
IN	15,934	44,202	80,725	77,352
IA	13,977	37,846	113,043	147,474
KS	DNF	DNF	DNF	DNF
KY	DNF	41,749	DNF	DNF
LA	22,241	44,423	86,544	78,201
ME	87,261 <sup>e</sup>	78,089	194,596 <sup>e</sup>	168,842
MD	17,583	30,412	DNF	DNF
MA	17,271 <sup>de</sup>	39,768 <sup>d</sup>	N/A <sup>d</sup>	245,836
MI	26,339	34,487	DNF	DNF
MN	44,788	69,702	65,625	71,410
MS	20,869	25,163	160,613	158,942
MO	31,772	54,298	142,693	171,856
MT	21,923	36,920	DNF	DNF
NE	37,452	37,452	83,396	83,396
NV	54,751	42,857	209,852	209,852
NH	10,123	62,137	DNF	DNF
NJ	DNF	36,728	DNF	DNF
NM	30,087	76,614	DNF	DNF
NY	12,291	87,185	225,174	398,005
NC	21,985 <sup>e</sup>	41,684 <sup>e</sup>	56,216 <sup>e</sup>	66,343
ND	DNF	DNF	DNF	DNF
OH	20,542	44,624	105,599	114,112
OK	25,830 <sup>e</sup>	57,592 <sup>e</sup>	N/A	DNF
OR	18,656	36,992	N/A	N/A
PA	33,929	72,837	157,743	175,820
RI	DNF	DNF	N/A <sup>ed</sup>	192,315
SC	29,689 <sup>e</sup>	29,689 <sup>e</sup>	126,162 <sup>e</sup>	172,492
SD	11,057	38,320	123,820	179,724
TN	66,758 <sup>e</sup>	81,913 <sup>e</sup>	N/A	220,444
TX	31,149	38,181	117,638	119,377
UT	22,383	42,726	163,885	163,885
VT	57,922	53,892	N/A	191,744
VA	DNF	DNF	DNF	DNF
WA	35,181 <sup>e</sup>	48,905 <sup>e</sup>	67,553	202,078
WV	41,307	91,185	98,704	122,699
WI	11,995	36,815	N/A	185,235
WY	19,881	61,754	DNF	DNF
<b>US Average</b>	<b>19,310</b>	<b>43,493</b>	<b>117,250</b>	<b>151,943</b>

Note: This table only includes values if the state provided total participants and total expenditures by age. The US Averages are based only on states that provided complete information. d Other date (Usually June 30, 2012) e Estimate DNF Did not furnish \* See state notes in Appendix.

**Recipients by Age.** Of the Medicaid LTSS recipients in the United States with IDD ages birth to 21 years, 168,233 (93%) received Waiver funded services while 5,496 (7%) lived in an ICF/IID (See **Figure 2.4**). Of the Medicaid LTSS recipients in the United States with IDD ages 22 years or older 543,741 (88%) received Medicaid Waiver funded services while 74,414 (12%) lived in an ICF/IID.

**Expenditures.** Total FY 2013 combined annual Medicaid ICF/IID and Waiver LTSS expenditures for people with IDD were \$41.9 billion (72% of expenditures were for Waiver recipients). States allocating 95% or more of combined Medicaid ICF/IID and Waiver LTSS expenditures to people receiving Waiver funded supports were Alabama, Alaska, Arizona, Georgia, Maryland, Massachusetts, Michigan, New Hampshire, Oregon, Rhode Island, and Vermont. States with the highest proportion of expenditures for people in ICF/IID settings were Illinois (54%), Louisiana (53%), Mississippi (85%), New Jersey (48%), and Texas (49%).

**Expenditures by Age.** Average annual per person Medicaid Waiver expenditures in FY 2013 were \$19,310 for people ages birth to 21 years and \$43,493 for people ages 22 years or older (See **Table**

**2.9** and **Figure 2.5**). States with the highest average annual Waiver per person expenditures for people ages birth to 21 years were Connecticut (\$101,598), Maine (\$87,261), Tennessee (\$66,758), and Vermont (\$57,922). States with the highest average annual per person Waiver expenditures for people ages 22 years or older were Arkansas (\$100,625), Delaware (\$95,054), the District of Columbia (\$93,728), and West Virginia (\$91,185).

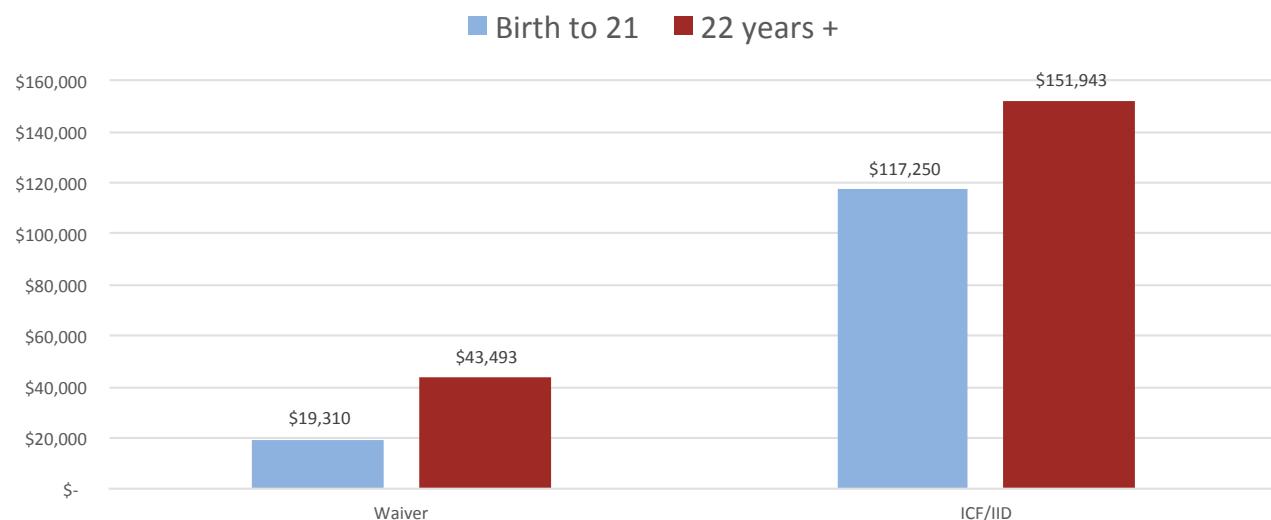
Average annual per person expenditures for ICF/IID services were \$117,250 for people ages birth to 21 years and \$151,943 for people ages 22 years or older. States with the highest average annual ICF/IID expenditures per person for people ages birth to 21 years were Nevada (\$209,852) and New York (\$225,174). States with the highest average annual ICF/IID expenditures per person for people ages 22 years or older were Delaware (\$414,683), New York (398,005), and Tennessee (\$831,413).

## Medicaid Benefit Ratios

### Federal Medicaid Expenditures

Federal Medicaid Waiver and ICF/IID expenditures were estimated by multiplying total federal plus state Medicaid expenditures by the state's Federal

**Figure 2.5** Estimated Annual Per Person Expenditures for Medicaid Waiver Recipients and ICF/IID Resident by Age FY 2013



Number of Reporting States: Waiver Birth to 21 (45); Waiver 22 years + (47); ICF/IID Birth to 21 (22); ICF/IID 22 years + (33).



Medicaid Assistance Percentage (FMAP). Nationally, the average 2013 FMAP was 57% (ranging from 50% in 14 states to 73% in Mississippi). An estimated \$17.2 billion of the total \$30.4 billion in Medicaid Waiver expenditures for people with IDD in FY 2013 were paid by the federal government with the rest paid by states (See **Table 2.10**). Similarly an estimated \$6.5 billion of the \$11.6 billion in ICF/IID expenditures in FY 2013 were paid by the federal government with the rest paid by states. Combined Medicaid ICF/IID and Waiver expenditures for people with IDD in FY 2013 were an estimated \$41.9 billion of which an estimated \$23.7 billion was federally funded.

### State Medicaid Benefit Ratios

“State Medicaid Benefit Ratios” compare the proportion of total Federal Medicaid ICF/IID and Waiver expenditures in a state for its citizens with IDD with the proportion of all federal income taxes paid by the state. A state Medicaid Benefit Ratio of 1.0 indicates that the state’s share of Federal Medicaid ICF/IID plus Waiver expenditures is equal to the state’s share of all federal income taxes paid. A ratio higher than 1.0 indicates that the proportion of all Federal Medicaid ICF/IID plus Waiver expenditures in a state were higher than the proportion of federal income taxes paid by the state. A ratio of less than 1.0 indicates that the state received a lower proportion of total Federal Medicaid ICF/IID plus

Waiver expenditures for people with IDD than the proportion it paid of total federal income taxes.

**Example.** In FY 2013, Alabama had a total of \$316 million in Medicaid ICF/IID and Waiver expenditures for people with IDD. With an FMAP of 69%, an estimated \$217 million of the \$316 million were federal expenditures. Alabama’s share of federal Medicaid Waiver expenditures was 1.26%, 0.02% for ICF/IID expenditures, and 0.92% for Medicaid Waiver and ICF/IID combined. In 2013, people in Alabama paid \$22.30 billion in federal income taxes (0.91% of the federal income taxes paid by all states)<sup>5</sup>. The State Medicaid Benefit Ratio for Alabama was 1.00.

**State Differences.** State Medicaid Benefit Ratios ranged from 4.75 in West Virginia (which received 1.22% of federal Medicaid ICF/IID plus Waiver expenditures, and paid 0.26% of all federal income taxes) to 0.36 in Nevada (which received 0.22% of federal Medicaid ICF/IID plus waiver expenditures and paid 0.60% of all federal income taxes). Besides West Virginia, states with Medicaid Benefit Ratios for people with IDD of 2.0 or higher included Iowa (2.24), Maine (3.80), Mississippi (2.57), New Mexico (2.81), New York (2.06), and Vermont (2.37). Besides Nevada, states with Medicaid Benefit Ratios for people with IDD of less than 0.50 included Colorado (0.48), Delaware (0.47) and Georgia (0.39).

<sup>5</sup> [http://www.usgovernmentrevenue.com/federal\\_revenue\\_by\\_state.php](http://www.usgovernmentrevenue.com/federal_revenue_by_state.php)

**Table 2.10 FY 2013 Total Expenditures, Federal Expenditures, Income Tax and Medicaid Benefit Ratios for IDD Waiver and ICF/ID Services by State**

State	Waiver (\$)	Total FY 2013 Expenditures		Federal Cost Share (FMAP) (%)		Federal Financial Participation (\$)		State Percent of Federal Expenditures		Federal Income Tax Paid by State		State Medicaid Benefit Ratio <sup>2</sup>	
		ICF/ID (\$)	Waiver	ICF/ID	Waiver	ICF/ID & Waiver	Waiver (%)	ICF/ID + Waiver (%)	Billions (\$)	Percent (%)	Billions (\$)	Percent (%)	
AL	315,062,765	1,784,376	316,847,141	69	215,912,513	1,222,833	217,135,346	1.26	0.02	0.92	22.30	0.91	1.01
AK	151,464,381	0	151,464,381	50	75,732,191	0	75,732,191	0.44	0.00	0.32	5.10	0.21	1.54
AZ	707,083,624	0	707,083,624	66	464,412,524	0	464,412,524	2.70	0.00	1.97	32.20	1.32	1.50
AR	180,663,580	163,191,817 <sup>T</sup>	343,855,397	70	126,771,634	114,511,698	241,283,332	0.74	1.76	1.02	20.60	0.84	1.22
CA	2,372,250,800	674,213,696	3,046,464,496	50	1,186,125,400	337,106,848	1,523,232,248	6.90	5.17	6.46	291.10	11.89	0.54
CO	328,631,387	43,509,184	372,140,571	50	164,315,694	21,754,592	186,070,286	0.96	0.33	0.79	40.30	1.65	0.48
CT	743,373,207	199,451,356 <sup>*</sup>	942,824,563	50	371,686,603	99,725,678	471,412,281	2.16	1.53	2.00	45.50	1.86	1.08
DE	91,636,647 <sup>e</sup>	23,222,247 <sup>e</sup>	114,858,894	56	51,014,121	12,927,825	63,941,946	0.30	0.20	0.27	13.90	0.57	0.48
DC	146,829,961	83,608,160	230,438,121	70	102,780,973	58,525,712	161,306,685	0.80	0.90	0.68	22.80	0.93	0.73
FL	840,403,403	321,883,150 <sup>T</sup>	1,162,286,553	58	488,106,297	186,949,734	675,056,030	2.84	2.87	2.86	129.90	5.31	0.54
GA	307,651,561	40,915,693 <sup>T</sup>	348,567,254	66	201,696,363	26,824,328	228,520,692	1.17	0.41	0.97	60.60	2.48	0.39
HI	102,909,593 <sup>ed</sup>	7,714,574 <sup>ed</sup>	110,624,167	52	53,368,915	4,000,778	57,368,693	0.31	0.06	0.24	6.50	0.27	0.92
ID	75,777,942	48,640,003 <sup>T</sup>	124,417,945	71	53,802,339	34,534,402	88,336,741	0.31	0.53	0.37	8.10	0.33	1.13
IL	636,100,000	572,400,000	1,208,500,000	50	318,050,000	286,200,000	604,250,000	1.85	4.39	2.56	117.30	4.79	0.53
IN	534,025,571	291,497,636	825,523,207	67	358,651,573	195,769,812	554,421,386	2.09	3.00	2.35	46.40	1.90	1.24
IA	431,259,100	284,048,012	715,307,112	60	256,987,298	169,264,210	426,251,508	1.49	2.60	1.81	19.60	0.80	2.26
KS	341,964,660 <sup>T</sup>	63,521,989 <sup>T</sup>	405,486,649	57	193,244,229	35,896,276	229,140,505	1.12	0.55	0.97	20.40	0.83	1.17
KY	459,959,093 <sup>T</sup>	162,523,174 <sup>T</sup>	622,482,267	71	324,501,140	114,660,099	439,161,239	1.89	1.76	1.86	25.00	1.02	1.82
LA	452,163,129	390,662,003	842,825,132	61	276,904,700	239,241,411	516,146,111	1.61	3.67	2.19	38.10	1.56	1.41
ME	329,737,271 <sup>e</sup>	35,076,254 <sup>e</sup>	364,813,525	63	206,316,610	21,947,212	228,263,822	1.20	0.34	0.97	6.20	0.25	3.82
MD	262,778,313	DNF	50	131,389,157	DNF	DNF	DNF	0.76	DNF	DNF	53.10	2.17	DNF
MA	842,454,935 <sup>de</sup>	122,672,000 <sup>d</sup>	965,126,935	50	421,227,468	61,336,000	482,563,468	2.45	0.94	2.05	82.80	3.38	0.60
MI	1,184,470,148	215,843 <sup>T</sup>	1,184,685,991	66	786,369,731	143,298	786,513,029	4.57	0.00	3.33	63.30	2.59	1.29
MN	1,160,776,082	122,605,083	1,283,381,166	50	580,388,041	61,302,542	641,690,583	3.37	0.94	2.72	71.60	2.93	0.93
MS	49,311,709	283,435,119	332,746,828	73	36,209,588	208,126,408	244,335,996	0.21	3.19	1.04	9.80	0.40	2.59
MO	610,064,502	97,757,183	707,821,685	61	374,396,585	59,993,583	434,390,168	2.18	0.92	1.84	46.20	1.89	0.98
MT	91,967,982	10,368,703 <sup>T</sup>	102,336,685	66	60,698,868	6,843,344	67,542,212	0.35	0.11	0.29	4.70	0.19	1.49
NE	175,539,547	32,607,856	208,147,402	56	97,880,851	18,182,140	116,062,991	0.57	0.28	0.49	15.90	0.65	0.76
NV	75,610,199	9,653,196	85,263,395	60	45,169,533	5,766,819	50,936,352	0.26	0.09	0.22	14.70	0.60	0.36
NH	198,451,066	1,841,199 <sup>T</sup>	200,292,265	50	99,225,533	920,600	100,146,133	0.58	0.01	0.42	9.40	0.38	1.11
NJ	715,098,828 <sup>T</sup>	697,128,262 <sup>T</sup>	1,412,227,090	50	357,549,414	348,564,131	706,113,545	2.08	5.35	2.99	105.70	4.32	0.69
NM	294,699,983	24,977,074 <sup>T</sup>	319,677,057	69	203,549,278	17,251,665	220,800,943	1.18	0.26	0.94	8.10	0.33	2.83

**Table 2.10** FY 2013 Total Expenditures, Federal Expenditures, Income Tax and Medicaid Benefit Ratios for IDD Waiver and ICF/ID Services by State

State	Waiver (\$)	Total FY 2013 Expenditures		Federal Cost Share (FMAP) (%)		Federal Financial Participation (\$)		State Percent of Federal Expenditures		Federal Income Tax Paid by State		State Medicaid Benefit Ratio <sup>2</sup>
		ICF/ID (\$)	Waiver <sup>1</sup>	ICF/ID & Waiver <sup>1</sup>	Waiver	ICF/ID	ICF/ID & Waiver	Waiver (%)	ICF/ID + Waiver (%)	Billions (\$)	Percent (%)	
NY	5,328,884,532	2,710,068,708	8,038,953,240	50	2,664,442,266	1,355,034,354	4,019,476,620	15.49	20.79	17.04	201.70	8.24
NC	442,241,550 <sup>e</sup>	213,838,383 <sup>e</sup>	656,079,933	66	289,712,439	140,085,525	429,797,964	1.68	2.15	1.82	58.70	2.40
ND	143,866,691 <sup>T</sup>	96,166,336 <sup>T</sup>	240,053,027	52	75,199,119	50,266,144	125,465,263	0.44	0.77	0.53	6.60	0.27
OH	1,320,136,278	758,249,245	2,078,385,523	64	839,342,646	482,094,870	1,321,437,516	4.88	7.40	5.60	108.50	4.43
OK	277,760,615 <sup>e</sup>	111,630,604 <sup>T</sup>	389,391,219	64	177,766,794	71,443,587	249,210,380	1.03	1.10	1.06	22.90	0.94
OR	561,250,632	0	561,250,632	62	350,444,894	0	350,444,894	2.04	0.00	1.49	23.70	0.97
PA	2,022,669,290	568,539,061	2,591,208,351	54	1,097,904,891	308,603,002	1,406,507,893	6.38	4.74	5.96	105.30	4.30
RI	209,000,000 <sup>T</sup>	4,038,624 <sup>ed</sup>	213,038,624	51	107,133,400	2,070,199	109,203,599	0.62	0.03	0.46	9.70	0.40
SC	253,154,908 <sup>e</sup>	214,796,614 <sup>e</sup>	467,951,522	70	178,297,002	151,281,255	329,578,257	1.04	2.32	1.40	18.90	0.77
SD	105,085,510	29,351,861	134,437,371	56	59,047,548	16,492,811	75,540,359	0.34	0.25	0.32	6.00	0.25
TN	624,787,616 <sup>e</sup>	221,987,200	846,774,816	66	413,172,050	146,800,135	559,972,186	2.40	2.25	2.37	47.00	1.92
TX	1,102,141,224	1,076,531,378	2,178,672,602	59	653,569,746	638,383,107	1,291,952,853	3.80	9.80	5.48	195.50	7.99
UT	162,828,701	33,760,310	196,559,011	70	113,345,059	23,500,552	136,845,611	0.66	0.36	0.58	15.50	0.63
VT	150,655,198	1,150,464	151,805,662	56	84,427,173	644,720	85,071,893	0.49	0.01	0.36	3.70	0.15
VA	573,768,026 <sup>T</sup>	283,729,646 <sup>T</sup>	857,497,672	50	286,884,013	141,864,823	428,748,836	1.67	2.18	1.82	60.20	2.46
WA	573,992,065 <sup>e</sup>	166,514,999	740,507,064	50	286,996,033	83,257,500	370,253,532	1.67	1.28	1.57	54.50	2.23
WV	335,535,555	67,189,436	402,724,991	72	241,719,814	48,403,270	290,123,084	1.41	0.74	1.23	6.30	0.26
WI	915,230,020	162,450,803	1,077,660,823	60	546,758,414	97,048,110	643,806,524	3.18	1.49	2.73	40.70	1.66
WY	97,571,704	19,640,307 <sup>T</sup>	117,212,011	50	48,785,852	9,820,154	58,606,006	0.28	0.15	0.25	5.00	0.20
Estimated US Total	30,410,731,083	11,550,758,821	41,961,489,904	56	17,199,384,316	6,516,588,094	23,584,583,254	100.00	100.00	100.00	2,447,60	100.00

<sup>a</sup>Other date (Usually June 30, 2012)<sup>e</sup> Estimate DNF Did not furnish<sup>T</sup> Source: Truven Report (Eiken et al, 2015) 'See state notes in the Appendix' <sup>f</sup>Source: <http://www.usgovernmentrevenue.com> 'See state notes in the Appendix' <sup>g</sup>Source: <http://www.usgovernmentrevenue.com> 'See state notes in the Appendix' <sup>h</sup>Source: <http://www.usgovernmentrevenue.com> 'See state notes in the Appendix' <sup>i</sup>Source: <http://www.usgovernmentrevenue.com> 'See state notes in the Appendix' <sup>j</sup>Source: <http://www.usgovernmentrevenue.com> 'See state notes in the Appendix' <sup>k</sup>Source: <http://www.usgovernmentrevenue.com> 'See state notes in the Appendix' <sup>l</sup>Source: <http://www.usgovernmentrevenue.com> 'See state notes in the Appendix' <sup>m</sup>Source: <http://www.usgovernmentrevenue.com> 'See state notes in the Appendix' <sup>n</sup>Source: <http://www.usgovernmentrevenue.com> 'See state notes in the Appendix' 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### **SECTION THREE**

## **Trends in Long-Term Supports and Services for People with IDD**

**FY 2013**



## SECTION 3: TRENDS IN LONG-TERM SUPPORTS AND SERVICES

This section describes historical trends regarding the size and types of places in which people with IDD receive LTSS; the extent to which services are provided by state or nonstate entities; and changes in the utilization of ICF/IID and Medicaid Waiver funding authorities, the proportion of Medicaid Expenditures allocated to LTSS for people with IDD, number of Medicaid Waiver recipients and expenditures for people with IDD by state between 1982 and 2013, and number of ICF/IID recipients and expenditures by state between 1977 and 2013.

### Size and Type of LTSS Settings

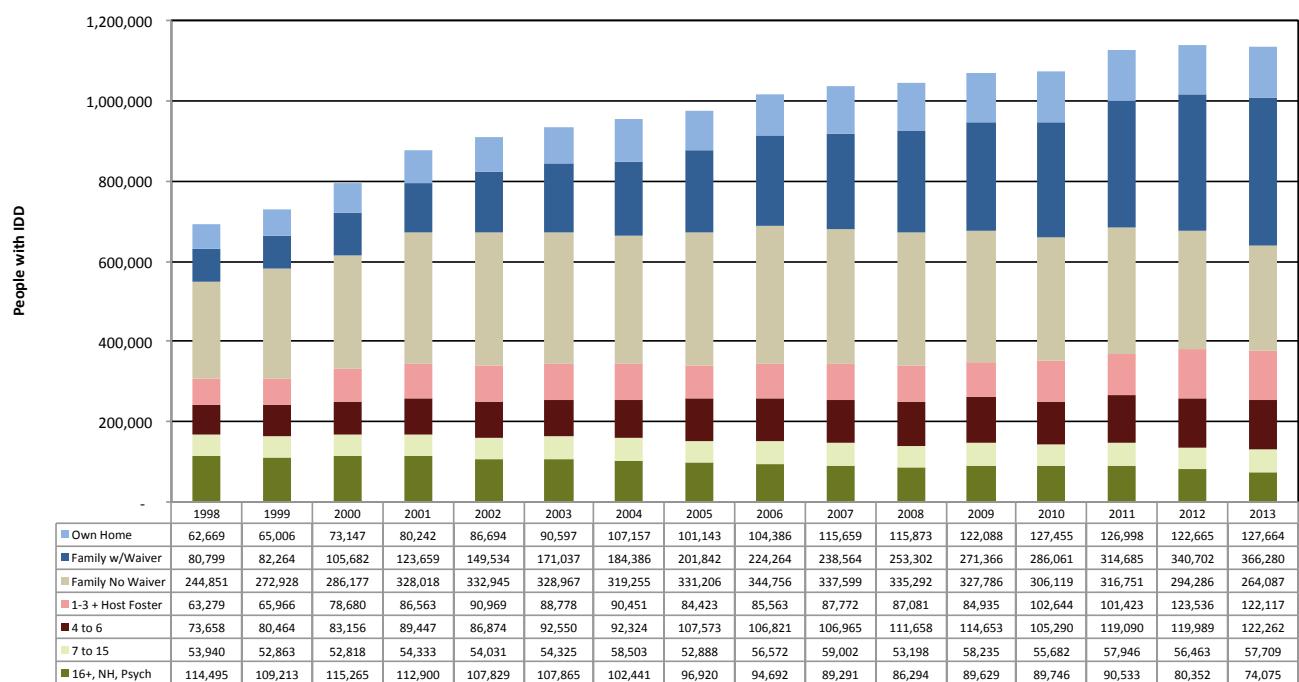
#### People in Individualized Settings

**15-Year Trends.** Between 1998 and 2013 the number of LTSS recipients with IDD in individualized residential services (services provided in homes owned or leased by persons with IDD, family homes, host home/foster family settings, or group setting shared by 3 or fewer people with IDD) increased from an estimated 206,747 to 616,060 nationally (See **Figure 3.1**).

The number of people with IDD receiving LTSS while living in a home they owned or leased doubled from 62,669 to 127,664. The number of Medicaid Waiver recipients receiving services while living with a family member quadrupled from 80,799 in 1998 to 366,271 in 2013. The number of LTSS recipients living with a family member whose services were funded by a source other than a Medicaid Waiver increased from 244,851 to 264,096. The number of people in group homes, host homes or foster family settings with 3 or fewer residents nearly doubled from 63,279 to 122,117.

**3-Year Trends.** Between 2010 and 2013, the total number of people with IDD served by or under the auspices of state IDD agencies increased by 61,196 mostly due to increases in the number getting Medicaid Waiver funded supports in individualized settings. The number of LTSS recipients in own home settings increased by 209; the number of Medicaid Waiver recipients living with a family member increased by 80,219 and the number of LTSS recipients living in group homes, host homes,

**Figure 3.1** Size and Type of Residence for People with IDD Known to or Served by State IDD Agencies by Year 1998 to 2013 (US Estimated Totals)

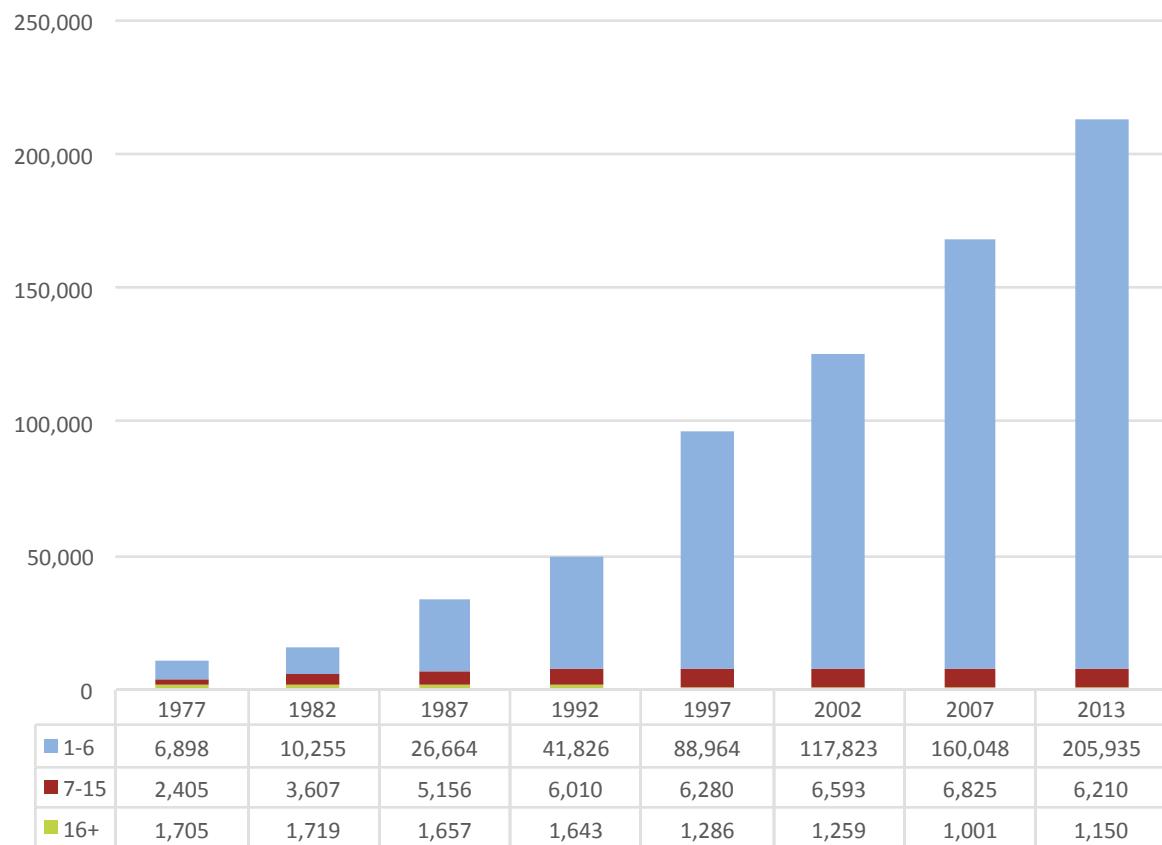


# 2013

**Table 3.1** Number of State and Nonstate Non-Family IDD Settings by Size on June 30 of Selected Years 1977 to 2013

Year	Number of Residential Settings				State				Total			
	1-6	7-15	16+	Total	1-6	7-15	16+	Total	1-6	7-15	16+	Total
1977	6,855	2,310	1,378	10,543	43	95	327	465	6,898	2,405	1,705	11,008
1982	10,073	3,181	1,370	14,624	182	426	349	957	10,255	3,607	1,719	15,581
1987	26,475	4,713	1,370	32,558	189	443	287	919	26,664	5,156	1,657	33,477
1992	41,444	5,158	1,320	47,922	382	852	323	1,557	41,826	6,010	1,643	49,479
1997	87,917	5,578	1,040	94,535	1,047	702	246	1,995	88,964	6,280	1,286	96,530
2002	116,189	5,880	1,026	123,095	1,634	713	233	2,580	117,823	6,593	1,259	125,675
2003	135,700	6,320	849	142,869	1,707	771	234	2,712	137,407	7,091	1,083	145,581
2004	139,963	5,173	831	145,967	1,621	703	229	2,553	141,584	5,876	1,060	148,520
2005	144,084	4,987	782	149,853	1,542	718	209	2,469	145,626	5,705	991	152,322
2006	149,114	6,436	849	156,399	1,506	737	201	2,444	150,620	7,173	1,050	158,843
2007	158,365	6,092	784	165,241	1,683	733	217	2,633	160,048	6,825	1,001	167,874
2008	161,830	6,214	791	168,835	1,628	734	215	2,577	163,458	6,948	1,006	171,412
2009	164,379	5,659	764	170,802	1,637	732	205	2,574	165,682	6,391	969	173,042
2010	176,596	7,086	833	184,516	1,501	692	203	2,396	178,097	7,778	1,036	186,912
2011	191,457	5,259	885	197,601	1,485	701	200	2,386	192,942	5,960	1,085	199,987
2012	193,008	5,518	879	199,213	1,315	685	187	2,165	194,323	6,203	1,066	201,378
2013	204,620	5,500	983	211,104	1,315	710	167	2,192	205,935	6,210	1,150	213,296

**Figure 3.2** Change in the Number Non-Family IDD Residences by Size 1977 to 2013



or foster family settings with 3 or fewer residents increased by 19,473. The number of LTSS living with a family member whose services were funded by a source other than a Medicaid waiver decreased by 42,032.

## People in Congregate Settings

**15-Year Trends.** Between 1998 and 2013, the number of people with IDD living in smaller congregate settings increased modestly while the number in large congregate facilities declined dramatically. The number of people living in settings of four to six people increased from 73,658 to 122,262 and the number in facilities of 7 to 15 people increased from 53,940 to 57,709. By contrast, the number in facilities with 16 or more residents (including IDD facilities, nursing homes and psychiatric facilities) declined from 114,495 to 74,075.

**3-Year Trends.** Between 2010 and 2013, the number of people with IDD living in congregate settings of 4 or more people grew modestly increasing from 250,718 to 254,046 overall with different patterns of change by facility size. The number of people in congregate settings of 4 to 6 people increased from 105,290 to 122,262 and the number in congregate settings of 7 to 15 people increased from 55,682 to 57,709. However, the number in IDD facilities with 16 or more people, nursing homes or state psychiatric facilities declined from 89,746 to 74,075.

## Facilities by Type of Operation.

While detailed information about services provided to people with IDD in individualized settings have only been collected since 1998, the RISP project data repository includes information about the sizes and types of congregate settings back to 1977. These data provide a longer lens on the history of the deinstitutionalization movement for people with IDD and allow us to examine the extent to which recent changes are consistent with or different than the long-term trends.

The total number of non-family residential settings in which people with IDD lived increased from 11,006 in 1977 to 213,309 in 2013 (See **Table 3.1** and **Figure 3.2**). The number of nonstate settings increased from 10,543 in 1977 to 211,117 in 2013. The number of state operated settings increased from 465 to 2,712 between 1977 and 2003 but then declined to 2,192 in 2013.

**Facilities by Size.** Overall, the number of IDD settings with 6 or fewer people increased from 6,898 in 1977 to 205,935 in 2013, while the number of IDD settings with seven to 15 residents increased from 2,405 to 6,210 and the number of IDD settings with 16 or more people declined from 1,8705 to 1,150. A closer look reveals several trends that underlie overall changes in the number of state and nonstate facilities.

The number of state operated IDD facilities of all sizes grew from 465 in 1977 to 2,192 in 2013. The number of state facilities of 1 to 6 people increased from 43 in 1977 to a peak of 1,683 in 2007, but declined to 1,313 in 2013. The number of state facilities of 7 to 15 people grew from 95 in 1977 to 852 in 1992, but declined to 710 in 2013. The number of state-operated settings with 16 or more people increased from 327 in 1977 to 349 in 1982, but declined to only 167 on June 30, 2013 (fewer than half of large state IDD facilities in operation in 1982). In the early years of deinstitutionalization, states replaced large state IDD facilities with smaller state IDD facilities. However, by 1992 the number of facilities with 7 to 15 people began to decline, followed in 2007 with a decline in the number of facilities with 1 to 6 people. These later declines corresponded with increases in the number of nonstate IDD facilities.

The number of nonstate IDD facilities of all sizes grew from 10,543 in 1977 to 211,104 in 2013. The number of nonstate settings of 1 to 6 people grew steadily from 6,855 in 1977 to 204,620 in 2013. The number of nonstate facilities of 7 to 15 people grew from 2,310 in 1977 to 6,320 in 2003, but then leveled off before declining slightly to 5,500 in 2013. The number of nonstate IDD facilities of 16 or more people declined from a high of 1,378 in 1977 to 764 in 2009, but has since increased and was 983 in 2013. It is not clear why the number of large nonstate IDD facilities increased. One factor might be the ongoing downsizing and privatization of state operated IDD facilities. Another possible factor is growth in the number of youth and young adults with autism spectrum disorder who struggle to access needed long-term supports and services through existing community infrastructures. Additional research is needed to understand this phenomenon.

## People with IDD in State and Nonstate IDD Settings by Size

**Setting Size.** Recent increases in the number of nonstate facilities serving 16 or more people with IDD have not changed the overall downward trend in the size of nonfamily settings in which people with IDD receive supports. The average number of people with IDD per non-family residential setting of all sizes and types declined from 22.5 in 1977 to 3.5 in 1997 and 2.2 on June 30, 2013 (See **Figure 3.3**).

The total number of people in IDD settings of 16 or more residents declined from 207,356 in 1977 to 48,903 in 2013 (declining for both state and nonstate settings). The number in settings of 7 to 15 residents increased from 20,024 in 1977 to 57,937 in 2013 (increasing for both state and nonstate settings). The number in settings of six or fewer people increased from 20,400 in 1977 to 371,815 in 2013 (increasing for both state and nonstate settings). The proportion living in IDD facilities of 16 people or more decreased from 84% in 1977 to 10% in 2013, going below 50% in 1981. The proportion in settings with six or fewer people increased from 8% in 1977 to 77% in 2013, first exceeding 50% in 1995.

**State-Operated Versus Nonstate Settings.** The total number of people in Non-Family IDD settings increased from 247,780 in 1977 to 478,654 in 2013 (See **Table 3.2**). The number of people in state settings declined from 155,804 to 35,602 while the number of people in nonstate settings increased from 91,976 to 443,052 (See **Figure 3.4**). The proportion living in a nonstate setting increased from 37% in 1977 to 92% in 2013, first exceeding 50% in 1983.

The number of people in state-operated settings of six or fewer people grew from 216 in 1977 to 5,554 in 2003 and remained between 5,000 and 5,500 through 2013. The number of people in state operated settings of seven to 15 people increased from 950 in 1977 to a peak of 8,178 in 1994 then leveled off to between 6,394 and 7,385 from 1994 to 2013. By contrast, the number of people living in a state-operated IDD setting of 16 or more people peaked in 1967, declined at a pace of 5,000 people per year between 1977 and 1997, and continued to decline at a slower but steady pace of 1,800 people per year between 1997 and 2013.

**Table 3.2** People in State and Nonstate Non-Family IDD Settings by Size on June 30th of Selected Years 1977 to 2013

Year	Number of People with IDD											
	Nonstate Settings				State Settings				Total			
	1-6	7-15	16+	Total	1-6	7-15	16+	Total	1-6	7-15	16+	Total
1977	20,184	19,074	52,718	91,976	216	950	154,638	155,804	20,400	20,024	207,356	247,780
1982	32,335	28,810	57,396	118,541	853	1,705	122,750	125,308	33,188	30,515	180,146	243,849
1987	68,631	45,223	42,081	155,935	1,302	3,414	95,022	99,738	69,933	48,637	137,103	255,673
1992	118,304	46,023	45,805	210,132	1,371	7,985	74,538	83,894	119,675	54,008	120,343	294,026
1997	190,715	46,988	38,696	276,399	4,253	6,926	54,666	65,845	194,968	53,914	93,362	342,244
2002	258,709	46,728	30,676	336,113	5,532	7,029	44,066	56,627	264,241	53,757	74,742	392,740
2003	269,907	46,961	29,639	346,507	5,554	7,385	42,835	55,774	275,461	54,346	72,474	402,281
2004	289,456	49,248	27,495	366,199	5,540	6,810	41,653	54,003	294,996	56,058	69,148	420,202
2005	285,671	46,027	27,005	358,703	5,471	6,980	40,061	52,512	291,142	53,007	67,066	411,215
2006	293,755	53,458	26,559	373,772	5,429	7,089	38,305	50,823	299,184	60,547	64,864	424,595
2007	310,874	51,842	25,846	388,562	5,417	7,078	36,650	49,145	316,291	58,920	62,496	437,707
2008	320,065	45,039	23,818	388,922	5,360	6,994	35,035	47,389	325,425	53,424	57,462	436,866
2009	316,036	51,400	26,695	394,131	5,427	7,048	32,909	45,384	321,463	58,448	59,604	439,515
2010	348,039	49,711	25,712	423,677	5,156	6,875	31,101	43,132	353,195	56,586	56,813	466,809
2011	342,339	51,273	22,796	419,783	5,059	6,786	28,969	40,814	347,398	58,059	51,765	460,597
2012	360,804	50,069	24,168	435,041	5,386	6,394	28,120	39,900	366,190	56,463	52,288	474,941
2013	366,498	51,506	25,049	443,052	5,317	6,431	23,854	35,602	371,815	57,937	48,903	478,654

The number of people in nonstate IDD settings of one to six people grew from 20,184 in 1977 to 360,591 in 2013 increasing by an average of 9,200 people per year with only a few isolated years of decline. Similarly, the number of people in nonstate settings of seven to 15 people increased from 19,074 in 1977 to 46,988 in 1997, leveling off to between 45,000 and 53,500 from 1997 to 2013. The number of people in nonstate settings of 16 or more people increased from 52,718 in 1977 to a peak of 57,396 in 1982. The number declined at a rate of 1,070 people per year until 2008, before leveling off at between 22,796 and 26,695.

### Utilization and Expenditures for Medicaid ICF/IID and Waiver-funded LTSS

This section describes key changes in the use of Medicaid ICF/IID and Waiver authorities to fund supports for people with IDD.

#### Change in the Number of Medicaid Waiver and ICF/IID Recipients

The number of people living in ICF/IID settings grew from 106,166 to 147,729 in 1993, but declined to

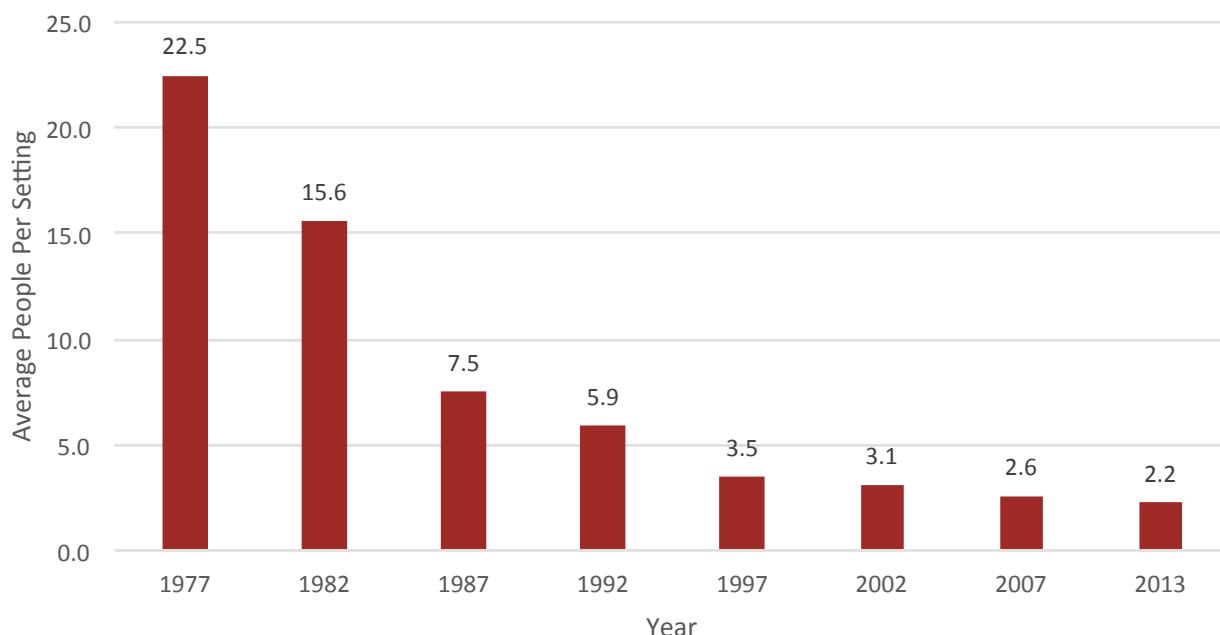
80,370 by June 30, 2013 (See **Figure 3.5**). A key reason for the decline was rapid growth in the number of people receiving supports funded by a Medicaid Waiver. The Home and Community Based Services Waiver was authorized in 1981. By June 30, 1982, 1,328 people were receiving Medicaid Waiver funded LTSS. By 1985, the number of Waiver recipients exceeded the number of people living in ICF/IID settings. Other Medicaid Waiver authorities were added as time went on. By June 30, 2013, 711,974 people with IDD were receiving Waiver funded LTSS and only 80,370 people remained in ICF/IID settings.

#### Utilization of IDD services in Non-Family Settings.

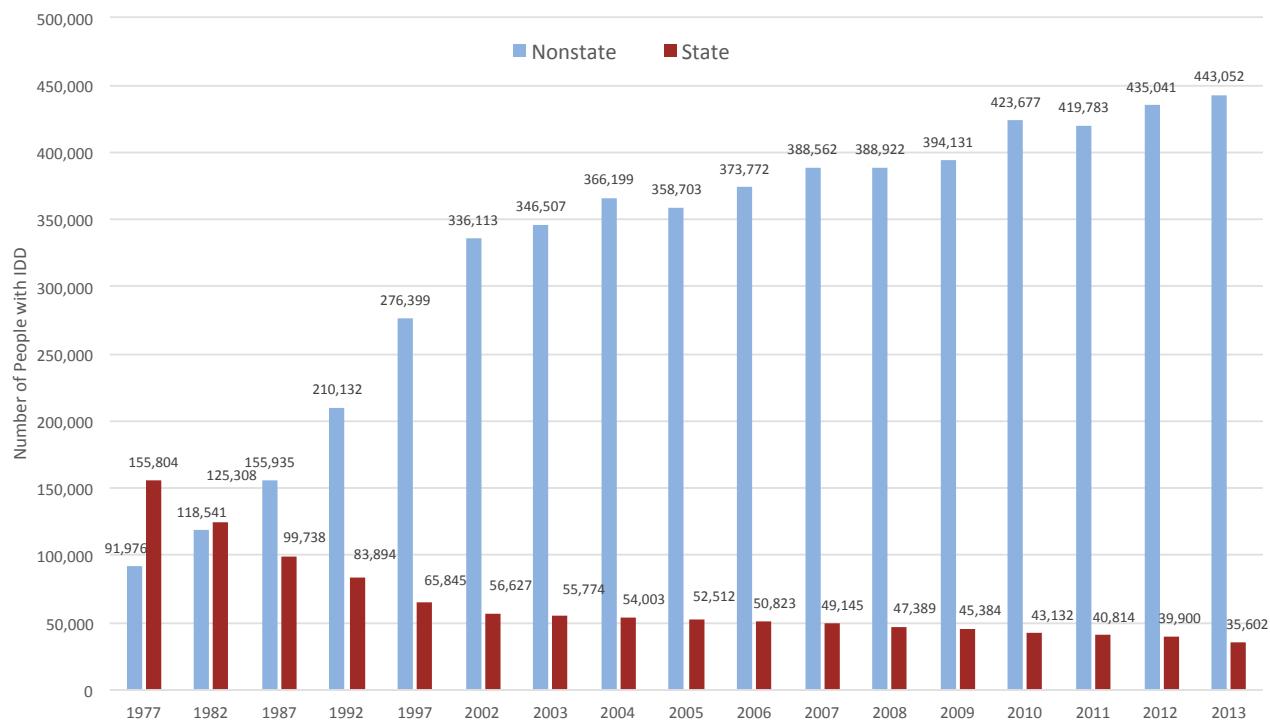
The total number of people receiving IDD LTSS in settings other than the home of a family member declined from 130 per 100,000 of the US population in 1967 to a low of 105 per 100,000 in 1987. Since 1987 the number has steadily increased reaching 151 people per 100,000 in 2013 (See **Figure 3.6**).

Between 1977 and 1982 the number of ICF/IID recipients grew from 48 people per 100,000 (41% of people in non-family settings) to 61 people per

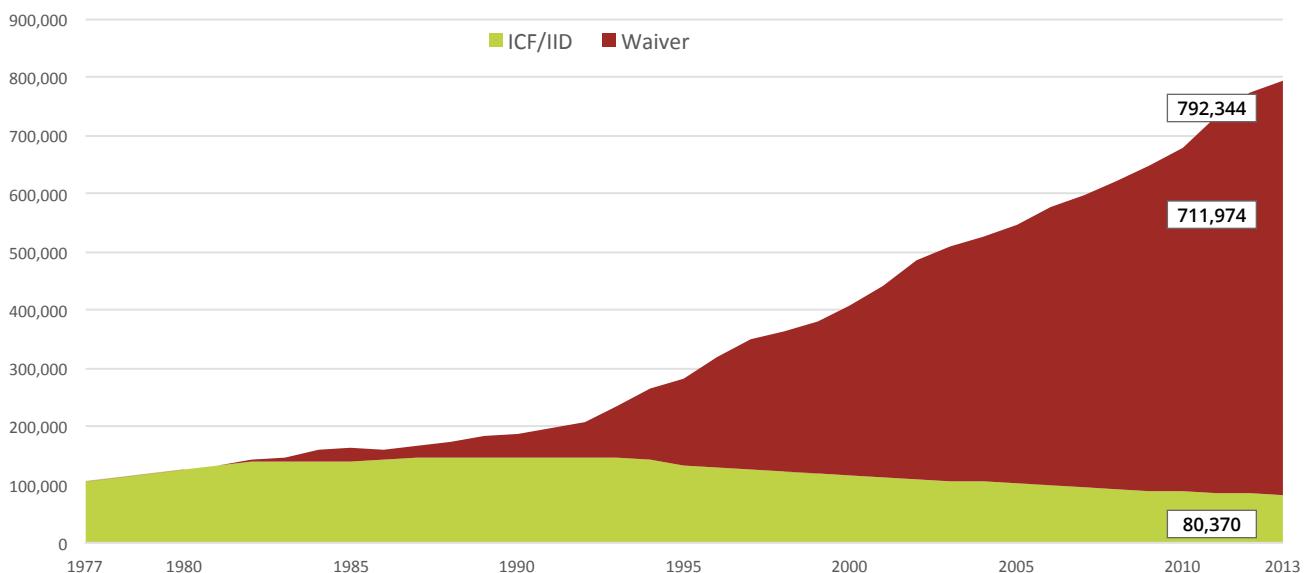
**Figure 3.3** Average Number of People with IDD per Non-Family Residential Setting on June 30 of Selected Years 1977 to 2013



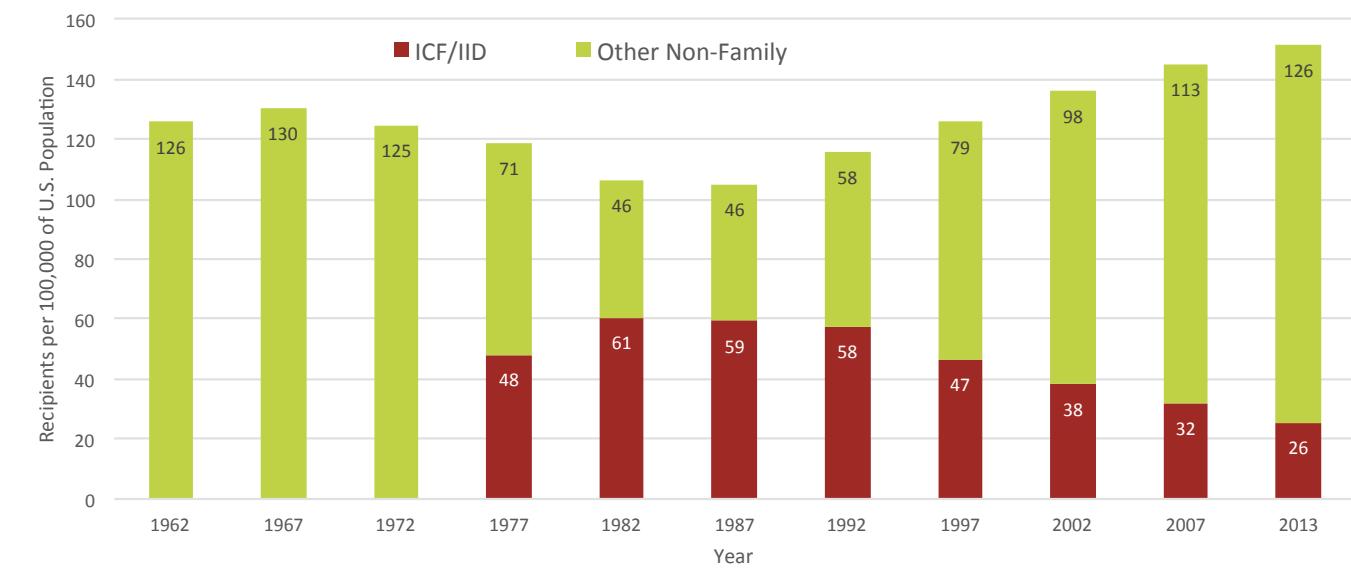
**Figure 3.4** Change in the Number of People in State and Nonstate Non Family IDD Residences 1977 to 2013



**Figure 3.5** Change in the Number of Medicaid ICF/IID and Waiver Recipients with IDD 1977 Through 2013



**Figure 3.6** Estimated People with IDD in ICF/IID or Other Non-Family IDD Settings per 100,000 of the US Population, 1962 to 2012



100,000 (57%). Between 1982 and 2013 the number of ICF/IID recipients declined until only 26 people with IDD per 100,000 (17%) lived in an ICF/IID.

As the utilization ICF/IID settings declined, the number of people with IDD receiving services funded by various Medicaid Waiver authorities increased. With the expansion of funding options the number of people receiving LTSS non-family settings other than an ICF/IID grew from 46 people per 100,000 in 1982 to 126 people per 100,000 in 2013.

**Size of Non-Family Settings.** As the use of ICF/IID settings declined and the use of Medicaid Waiver funding increased, the size of non-family places in which people with IDD received LTSS also changed (See **Figure 3.7**). In 1977, most people receiving IDD services in a non-family setting (98% of people in ICF/IID settings and 73% of people in non-ICF/IID settings) lived in settings with 16 or more residents. By 1982, only 48% of people in non-ICF/IID settings lived in places with 16 or more people compared with 92% of people in ICF/IID settings. The number of people in non-family settings other than an ICF/IID who lived in settings of 16 or more declined to 10% in 1992 and to only 2% by 2013. Change in the number of people in ICF/IID settings of 16 or more people was much more gradual. The proportion of ICF/IID recipients in settings of 16 or more declined from 98% in 1977 to

84% in 1987, 64% in 1997, and 59% in 2007. By 2013, 52% of people in ICF/IID settings lived in settings of 16 or more people.

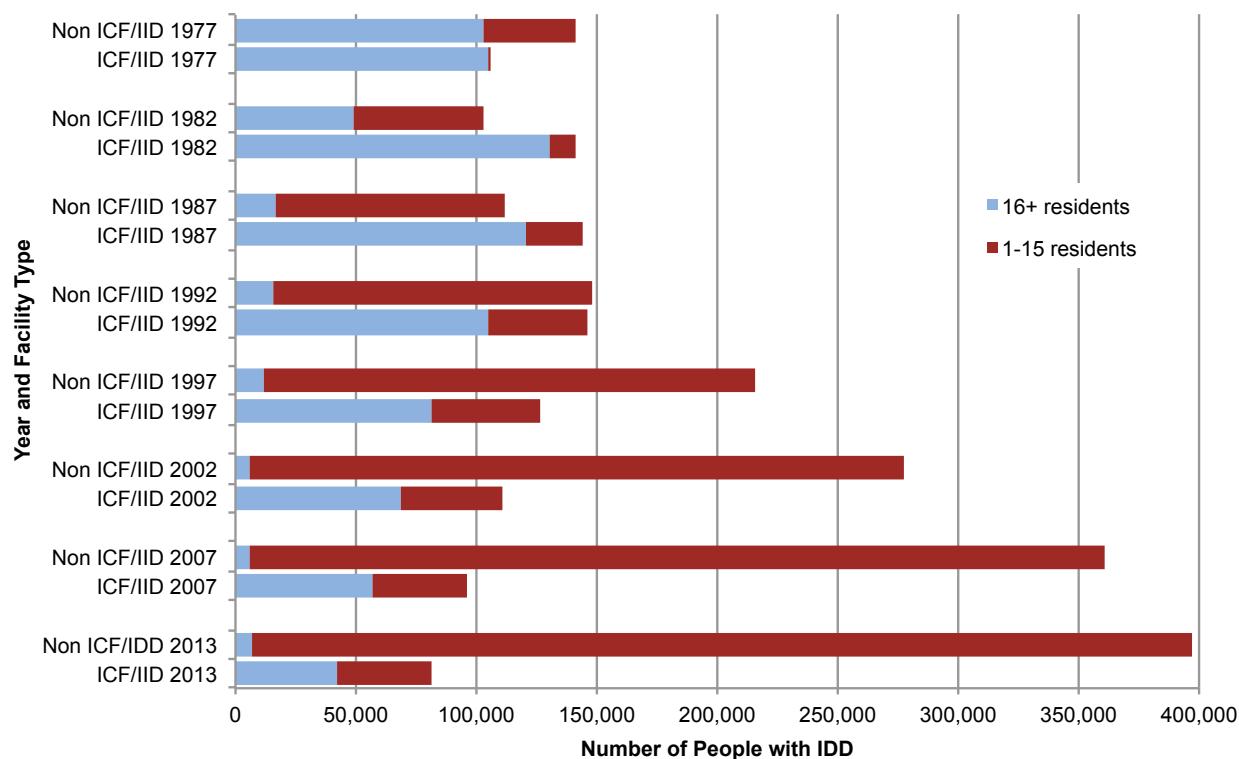
### Medicaid Expenditures for People with IDD as a Proportion of all Medicaid Expenditures.

Medicaid expenditures for all populations grew from \$14.55 billion to \$419.95 billion between 1980 and 2013 (See **Table 3.3**). Medicaid ICF/IID and Waiver funding for people with IDD increased from \$1.74 billion to \$42.96 billion during those years. Notably, the proportion of Medicaid expenditures allocated to LTSS for people with IDD remained steady at between 8.9% and 12.0% from 1980 and 2013, and was 10% in 2013.

### Historical Medicaid Waiver and ICF/IID Participants and Expenditures

The final four tables in this section provide state by state data on Medicaid Waiver and ICF/IID participants and expenditures (See **Tables 3.4** through **3.7**). Medicaid Waiver participants and expenditures are reported for 1982 through 2013. ICF/IID participants and expenditures are reported for 1977, 1982, 1986, and 1988 through 2013. These tables can be used to examine state specific trends in Medicaid Waiver and ICF/IID utilization, expenditures and average annual expenditures per recipient.

**Figure 3.7** Estimated Number of People in ICF/IID Versus All Other Non Family IDD Settings by Setting Size 1977 to 2013



**Table 3.3** Medicaid Expenditures for ICF/IID and Waiver Programs for Persons with IDD as a Proportion of All Medicaid Expenditures Selected Years 1980 to 2013

Year	Total Medicaid Expenditures (Billions)	Medicaid ICF/IID and Waiver Expenditures for Persons with IDD (Billions)	Medicaid Waiver and ICF/IID Expenditures for Persons with IDD as a Proportion of All Medicaid Expenditures
1980	\$14.55	\$1.74	11.9%
1988	\$30.46	\$3.65	12.0%
1992	\$64.00	\$5.78	9.0%
1994	\$136.64	\$12.19	8.9%
1996	\$154.16	\$14.45	9.3%
1998	\$167.67	\$16.97	10.2%
2000	\$194.35	\$19.57	9.5%
2002	\$243.50	\$23.85	9.9%
2004	\$285.71	\$27.44	9.7%
2006	\$299.02	\$30.89	10.3%
2008 <sup>1</sup>	\$337.08	\$34.27	10.3%
2010 <sup>1</sup>	\$391.84	\$39.16	10.2%
2012 <sup>1</sup>	\$418.39	\$41.81	10.0%
2013 <sup>1</sup>	\$429.95	\$42.96	10.0%

<sup>1</sup> Updated from <http://www.medicaid.gov/medicaid-chip-program-information/by-topics/long-term-services-and-supports/downloads/lsss-expenditures-fy2013.pdf>

**Table 3.4** Total Medicaid Waiver Recipients with IDD by State on June 30<sup>th</sup> of 1982 through 2013

State	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993
AL	0	808	1,564	1,524	1,568	1,570	1,730	1,830	1,839	2,021	2,184	2,184
AK	0	0	0	0	0	0	0	0	0	0	0	0
AZ	0	0	0	0	0	0	0	0	0	3,794	4,832	6,071
AR	0	0	0	0	0	0	0	0	91	196	415	453
CA	0	433	619	2,500	2,962	3,027	2,493	3,355	3,628	3,360	3,360	11,085
CO	0	0	600	920	1,280	1,389	1,621	1,679	1,841	1,993	2,204	2,407
CT	0	0	0	0	0	0	644	1,127	1,555	1,655	1,693	2,069
DE	0	0	0	50	78	81	144	100	196	245	290	290
DC	0	0	0	0	0	0	0	0	0	0	0	0
FL	0	0	7,003	7,003	1,003	2,631	2,631	2,542	2,615	2,631	2,637	6,009
GA	0	0	0	0	0	0	0	25	160	353	359	359
HI	0	0	10	24	44	56	78	70	123	189	452	450
ID	0	0	18	51	25	55	201	270	346	165	225	174
IL	0	0	40	543	543	664	637	680	724	1,338	2,006	2,850
IN	0	0	0	0	0	0	0	0	0	0	0	447
IA	0	0	0	0	0	4	12	14	5	19	137	170
KS	0	0	23	186	173	135	185	314	361	497	555	1,066
KY	0	0	475	516	516	609	652	728	743	762	819	855
LA	0	2,006	2,046	2,087	0	0	0	0	0	56	939	1,134
ME	0	0	75	165	353	400	450	453	454	509	509	509
MD	0	0	28	356	464	685	716	813	858	1,082	1,972	2,437
MA	0	0	0	235	525	593	593	1,210	1,539	1,700	3,288	3,288
MI	0	0	0	0	2	3	580	1,292	1,658	2,122	2,741	2,885
MN	0	0	0	239	570	1,423	1,896	2,068	2,184	2,551	2,890	3,408
MS	0	0	0	0	0	0	0	0	0	0	0	0
MO	0	0	0	0	0	0	0	338	989	1,452	2,241	2,622
MT	21	44	69	78	192	210	286	274	276	355	444	504
NE	0	0	0	0	0	0	553	540	658	683	710	991
NV	0	34	80	90	108	129	117	136	133	135	136	186
NH	0	0	303	409	504	541	634	762	822	955	1,059	1,032
NJ	0	0	1,317	2,025	1,993	2,596	2,873	3,170	3,270	3,655	3,971	4,191
NM	0	0	0	53	244	220	134	135	160	160	334	612
NY	0	0	0	0	0	0	0	0	0	0	379	3,398
NC	0	0	17	120	331	328	405	553	731	780	939	1,190
ND	0	0	68	439	463	724	824	1,063	1,055	1,163	1,334	1,362
OH	0	0	56	62	86	100	134	240	245	246	397	1,120
OK	0	0	0	0	36	70	178	500	621	844	949	1,287
OR	1,360	1,886	1,992	973	572	832	968	1,218	1,282	2,177	1,458	2,023
PA	0	0	141	269	542	1,203	1,759	1,930	2,221	2,333	2,705	3,795
RI	0	0	11	25	117	136	250	449	277	793	993	1,192
SC	0	0	0	0	0	0	0	0	0	0	471	586
SD	0	382	457	523	498	596	610	683	721	788	852	923
TN	0	0	0	0	0	213	351	474	581	579	704	587
TX	0	0	0	0	70	70	412	417	485	973	968	968
UT	0	0	0	0	0	0	1,022	1,124	1,200	1,234	1,367	1,476
VT	0	11	74	116	234	196	248	280	323	485	413	598
VA	0	0	0	0	0	0	0	0	0	326	537	537
WA	0	0	844	998	905	886	946	1,084	1,250	1,736	1,918	1,711
WV	0	0	22	55	55	124	124	224	316	413	513	637
WI	0	0	20	56	124	190	598	913	1,302	1,643	1,812	2,017
WY	0	0	0	0	0	0	0	0	0	125	318	459
<b>Total</b>	<b>1,381</b>	<b>5,604</b>	<b>17,972</b>	<b>22,690</b>	<b>17,180</b>	<b>22,689</b>	<b>28,689</b>	<b>35,077</b>	<b>39,838</b>	<b>51,271</b>	<b>62,429</b>	<b>86,604</b>
<b>N States</b>	<b>2</b>	<b>8</b>	<b>27</b>	<b>31</b>	<b>32</b>	<b>35</b>	<b>38</b>	<b>40</b>	<b>42</b>	<b>45</b>	<b>48</b>	<b>48</b>

Data for 1982-1985 are from Smith & Gettings, 1992

# 2013

Table 3.4 Total Medicaid Waiver Recipients with IDD by State on June 30<sup>th</sup> of 1982 through 2013 continued

State	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003
AL	2,900	2,949	3,415	3,713	3,713	3,891	4,100	4,395	4,764	4,444
AK	32	127	190	353	424	466	665	844	884	931
AZ	6,773	7,117	7,727	8,508	9,248	10,180	11,259	12,317	13,471	14,494
AR	429	469	472	496	646	1,647	2,084	2,423	2,494	2,644
CA	13,266	19,101	29,133	37,478	33,202	30,386	28,233	29,044	44,205	53,775
CO	2,684	3,316	3,976	4,276	4,928	6,043	6,330	6,444	6,516	6,779
CT	2,361	2,542	2,999	3,371	3,380	4,493	5,076	5,508	5,972	5,825
DE	310	356	352	379	382	455	481	518	547	614
DC	0	0	0	0	0	0	67	224	225	226
FL	6,430	7,988	10,000	11,399	12,728	13,809	21,126	24,910	25,921	24,301
GA	556	848	1,619	2,332	2,400	2,847	2,468	4,051	8,190	8,902
HI	513	491	517	560	759	975	1,089	1,335	1,560	1,772
ID	333	362	415	434	441	509	801	1,031	1,139	1,302
IL	4,590	3,761	5,267	5,400	6,037	6,500	6,787	6,787	9,785	9,785
IN	529	594	816	1,067	1,405	1,554	2,081	2,646	3,802	7,983
IA	879	1,669	2,575	3,932	4,058	4,118	4,603	5,503	6,228	7,229
KS	1,339	1,613	3,146	3,872	4,891	5,120	5,442	5,835	6,239	6,340
KY	887	879	924	1,040	1,035	1,039	1,279	1,542	1,807	2,033
LA	1,543	1,926	2,100	2,048	2,407	2,973	3,629	4,008	4,232	4,809
ME	742	742	1,000	1,078	1,345	1,610	1,834	2,052	2,440	2,458
MD	2,787	2,898	3,306	3,392	3,353	3,660	4,959	6,013	6,768	7,593
MA	5,130	7,800	8,027	8,027	10,317	10,678	10,375	11,196	11,315	11,764
MI	3,367	3,842	5,207	6,199	5,708	8,024	8,287	8,550	8,550	8,688
MN	4,385	4,740	5,422	6,097	6,710	7,102	7,948	14,470	14,735	14,754
MS	0	0	65	231	413	550	850	1,720	1,673	1,908
MO	3,057	3,511	5,685	6,282	7,238	7,926	8,238	8,419	8,143	7,861
MT	546	646	807	891	931	929	1,206	1,235	1,452	1,685
NE	1,257	1,169	1,834	2,010	2,124	2,252	2,307	2,398	2,419	2,769
NV	172	278	361	374	392	800	795	1,090	1,083	1,040
NH	1,303	1,570	1,906	2,063	2,262	2,276	2,475	2,750	2,779	2,835
NJ	4,729	5,033	5,242	5,705	6,199	6,635	6,894	6,978	7,486	8,122
NM	402	1,243	1,553	1,603	1,617	1,765	2,104	2,426	2,794	3,073
NY	18,877	23,199	27,272	29,019	30,610	33,699	36,100	40,165	48,165	48,921
NC	1,318	1,818	3,098	3,726	3,986	4,974	5,364	6,141	6,013	5,692
ND	1,509	1,637	1,770	1,792	1,819	1,875	1,936	1,990	2,011	2,187
OH	2,399	2,593	2,593	2,646	3,968	5,325	5,624	5,661	7,858	10,093
OK	1,693	1,955	2,260	2,497	2,586	2,795	2,983	3,605	4,100	4,253
OR	2,136	2,500	2,523	2,586	3,704	5,500	5,824	7,225	8,017	7,214
PA	4,303	5,525	6,076	8,931	10,149	10,119	16,830	19,513	24,969	25,550
RI	1,333	1,304	1,914	2,178	2,296	2,393	2,471	2,567	2,674	2,790
SC	966	1,475	2,074	3,412	3,701	4,073	4,370	4,346	4,410	4,471
SD	1,004	1,157	1,295	1,457	1,619	1,971	1,991	2,168	2,295	2,359
TN	964	1,399	3,021	3,293	3,823	4,315	4,311	4,537	4,340	4,430
TX	1,564	2,728	3,658	4,753	5,666	6,058	6,406	7,304	7,873	8,471
UT	1,590	1,693	2,128	2,315	2,647	2,857	3,152	3,370	3,589	3,661
VT	722	913	1,107	1,372	1,485	1,540	1,684	1,796	1,844	1,896
VA	715	1,126	1,453	1,764	3,138	3,579	4,635	5,043	5,491	5,737
WA	3,068	3,361	4,666	6,643	7,125	8,165	8,984	9,413	9,900	10,165
WV	803	1,121	1,337	1,441	1,679	1,851	1,945	2,396	2,796	3,139
WI	2,315	3,382	5,063	6,558	7,273	8,375	9,547	10,686	9,474	10,615
WY	565	719	864	916	1,054	1,112	1,226	1,354	1,507	1,522
Total	122,075	149,185	190,230	221,909	239,021	261,788	291,255	327,942	373,946	401,904
N States	49	49	50	50	50	50	50	51	51	51

Table 3.4 Total Medicaid Waiver Recipients with IDD by State on June 30<sup>th</sup> of 1982 through 2013 continued

State	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013
AL	4,952	4,979	5,164	5,230	5,670	5,460	5,625	5,575	5,604	6,247
AK	973	1,003	1,008	1,011	1,061	1,248	1,343	1,486	1,703	1,865
AZ	15,659	16,724	17,845	19,066	20,154	21,811	22,755	23,692	24,617	25,896 <sup>e</sup>
AR	2,960	3,329	3,356	3,342	3,360	3,744	3,987	3,957	4,037	4,147
CA	57,533	61,587	69,782	73,024	75,867	80,862	85,294	92,076	97,868	103,008
CO	6,730	6,775	6,850	7,148	7,275	7,883	8,177	8,001	8,147	7,903
CT	6,356	6,583	7,232	7,692	7,905	8,519	8,640	8,741	8,638	9,346
DE	688	732	744	788	817	831	842	828	855	989
DC	466	609	890	1,090	1,203	1,338	1,446	1,442	1,479	1,577
FL	24,079	26,003	31,324	31,425	30,939	29,807	29,998	29,661	29,353	28,849
GA	8,484	8,475	8,617	9,194	11,296	11,433	11,631	11,797	11,621	8,068
HI	1,987	2,040	2,363	2,481	2,531	2,586	2,495	2,617	2,544	2,544 <sup>ed</sup>
ID	1,501	1,702	1,813	2,015	2,233	2,484	2,933	2,933	2,660	5,100
IL	9,727	10,457	12,409	12,800	14,496	15,302	16,954	18,108	18,355	20,300
IN	9,307	9,285	9,431	9,976	10,247	10,961	11,246	12,283	12,786	13,917
IA	8,002	10,933	11,823	12,751	13,205	13,983	14,174	14,300	11,359	14,638
KS	6,457	6,771	6,869	7,195	7,373	7,749	7,749	8,060	8,274	7,795 <sup>ed</sup>
KY	2,432	2,654	2,768	3,033	3,231	5,073	5,495	8,998	11,046	12,015
LA	5,199	5,324	5,484	6,915	6,834	7,616	8,232	8,797	9,957	11,663
ME	2,549	2,604	2,666	2,781	2,867	4,212	4,288	4,156	4,101	4,208 <sup>e</sup>
MD	8,753	9,438	9,971	10,294	10,831	11,162	11,202	11,805	12,489	8,749
MA	11,388	11,126	11,460	11,962	11,381	11,861	11,861	DNF	11,987	21,368 <sup>d</sup>
MI	8,256	8,601	8,283	8,089	7,987	8,535	8,593	39,838	39,838	36,600
MN	14,599	14,468	14,291	14,593	14,563	14,832	15,353	21,938	18,963	18,066
MS	2,030	1,940	1,838	1,978	1,975	1,974	1,888	1,809	1,831	2,008
MO	8,219	8,268	8,183	8,396	8,729	8,766	9,105	10,215	11,041	12,147
MT	1,917	2,023	2,058	2,242	2,268	2,273	2,330	2,720	2,668	2,686
NE	2,983	2,908	3,238	3,304	3,589	3,728	4,000	4,229	4,531	4,687
NV	1,294	1,326	1,373	1,372	1,591	1,567	1,628	1,656	1,652	1,719
NH	3,053	3,154	3,205	3,339	3,580	4,108	4,052	4,467	4,519	4,179
NJ	8,455	9,075	9,611	9,923	10,048	10,081	10,083	10,315	11,297	10,740 <sup>e</sup>
NM	3,286	3,571	3,685	3,711	3,777	3,885	3,981	4,115	4,115	4,217
NY	51,427	51,486	54,251	56,401	58,560	62,195	66,179	69,136	77,047	79,491
NC	6,011	6,753	7,831	9,309	9,700	10,333	11,094	12,838	12,800	12,906 <sup>e</sup>
ND	2,668	3,077	3,297	3,535	3,657	3,805	3,856	3,897	4,059	4,000
OH	10,424	11,736	14,370	16,362	18,106	24,312	26,735	29,227	30,872	32,853
OK	4,220	4,418	5,043	5,308	5,548	5,248	5,157	5,286	5,223	5,236 <sup>e</sup>
OR	8,280	8,863	9,416	10,287	10,879	10,884	12,495	13,228	14,865	17,735
PA	25,474	24,894	25,643	26,558	29,357	30,393	32,224	32,824	29,963	29,013
RI	2,834	2,991	3,073	3,126	3,217	3,275	3,275	3,275	3,316	3,958
SC	5,041	4,774	4,895	5,186	5,652	5,768	7,719	7,670	8,394	8,527
SD	2,413	2,467	2,522	2,609	2,733	2,901	3,018	3,294	3,215	3,362
TN	4,516	4,836	6,962	7,244	7,467	7,548	7,580	7,624	7,680	7,695
TX	11,247	12,317	13,999	16,301	18,409	19,795	22,247	24,935	29,193	29,939
UT	3,757	3,832	3,986	4,003	4,062	4,214	4,287	4,361	4,319	4,350
VT	1,957	2,003	2,102	2,200	2,270	2,372	2,460	2,539	2,649	2,770
VA	5,892	6,759	6,991	7,523	8,106	8,662	8,866	9,198	9,754	6,857
WA	9,625	9,461	9,475	9,317	9,205	10,831	11,341	11,644	11,898	12,197 <sup>e</sup>
WV	3,596	3,648	3,736	3,852	3,891	4,334	4,412	4,425	4,447	4,492
WI	11,163	12,987	13,938	12,504	17,268	17,424	19,617	19,617	23,396	27,317
WY	1,576	1,837	2,032	2,079	2,082	2,099	2,128	2,152	2,150	2,035
Total	422,395	443,606	479,196	501,864	529,052	562,067	592,070	656,329	688,410	711,974
N States	51	51	51	51	51	51	51	51	51	51

**Table 3.5** Total Expenditures for Medicaid Waiver Recipients with IDD by Year and State  
FY 1982 through FY 2013

State	1982	1983	1984	1985	1986	1987	1988	1989	1990
<b>AL</b>	0	\$2,470,400	\$4,615,700	\$7,274,300	\$7,741,100	\$8,325,700	\$8,186,700	\$9,430,900	\$10,411,800
<b>AK</b>	0	0	0	0	0	0	0	0	0
<b>AZ</b>	0	0	0	0	0	0	0	51,500,000	69,400,000
<b>AR</b>	0	0	0	0	0	0	0	0	255,000
<b>CA</b>	0	4,000,000	6,000,000	25,222,000	26,600,000	30,400,000	38,458,100	47,932,800	51,289,900
<b>CO</b>	0	0	10,982,000	16,857,400	21,090,200	25,454,800	31,399,300	34,871,900	42,354,600
<b>CT</b>	0	0	3,200	3,200	3,200	7,000	5,417,600	26,677,000	60,079,000
<b>DE</b>	0	0	0	237,900	497,100	845,500	1,766,100	3,391,900	4,541,800
<b>DC</b>	0	0	0	0	0	0	0	0	0
<b>FL</b>	0	0	15,229,500	16,464,800	15,473,000	12,849,800	13,904,800	18,900,000	17,766,000
<b>GA</b>	0	0	0	0	0	0	0	2,361,000	7,408,000
<b>HI</b>	0	0	126,800	309,000	411,000	564,600	645,300	1,187,900	1,295,900
<b>ID</b>	0	0	20,900	98,500	191,500	568,200	726,600	1,067,600	1,658,300
<b>IL</b>	0	0	454,000	6,177,200	11,091,800	12,839,600	13,356,600	14,500,000	16,100,000
<b>IN</b>	0	0	0	0	0	0	0	0	0
<b>IA</b>	0	0	0	0	0	0	42,300	53,700	53,700
<b>KS</b>	0	0	71,000	571,700	683,300	637,700	845,200	759,500	3,502,600
<b>KY</b>	0	0	730,100	7,934,700	9,807,400	10,974,100	13,201,400	16,550,100	20,891,200
<b>LA</b>	0	2,354,500	8,000,800	7,344,100	0	0	0	0	286,400
<b>ME</b>	0	0	316,000	2,976,500	3,899,000	5,673,800	7,751,600	11,681,100	12,315,600
<b>MD</b>	0	0	512,000	6,456,200	12,234,900	21,708,000	23,661,700	23,889,000	32,441,000
<b>MA</b>	0	0	0	5,785,000	8,994,600	13,278,000	15,800,000	26,200,000	48,057,300
<b>MI</b>	0	0	0	0	0	79,800	22,353,000	34,612,600	44,104,200
<b>MN</b>	0	0	0	890,900	6,057,000	13,170,000	24,370,700	46,944,400	55,000,000
<b>MS</b>	0	0	0	0	0	0	0	0	0
<b>MO</b>	0	0	0	0	0	0	0	9,085,000	23,030,000
<b>MT</b>	374,900	817,300	1,250,000	1,522,600	3,442,100	3,595,900	4,300,800	4,723,500	5,235,600
<b>NE</b>	0	0	0	0	0	0	5,897,400	11,086,000	13,884,000
<b>NV</b>	0	362,000	863,400	974,200	1,460,200	1,489,400	1,688,000	1,665,200	2,050,000
<b>NH</b>	0	0	6,798,600	9,536,600	11,190,600	13,518,400	18,981,100	25,505,900	31,564,400
<b>NJ</b>	0	0	21,242,000	21,142,000	21,548,000	35,888,000	36,092,000	70,152,400	82,309,600
<b>NM</b>	0	0	0	390,000	726,400	1,409,600	2,100,600	2,384,00	2,697,200
<b>NY</b>	0	0	0	0	109,500	711,800	933,300	933,300	1,040,400
<b>NC</b>	0	0	97,500	433,000	1,764,000	3,058,900	4,489,300	5,676,700	8,350,400
<b>ND</b>	0	0	439,900	2,865,600	4,414,700	5,438,200	6,110,900	11,755,400	13,900,700
<b>OH</b>	0	0	97,100	249,200	688,700	1,130,500	1,961,100	3,823,200	3,823,300
<b>OK</b>	0	0	0	0	60,000	392,000	1,324,800	3,506,400	9,426,100
<b>OR</b>	1,868,500	4,923,300	8,293,200	6,610,100	4,348,300	8,305,800	15,231,100	22,794,200	34,189,400
<b>PA</b>	0	0	2,760,300	8,104,900	18,339,500	35,974,800	70,645,400	81,969,000	107,700,000
<b>RI</b>	0	0	415,700	924,000	4,509,600	5,648,000	4,718,800	9,555,400	12,645,200
<b>SC</b>	0	0	0	0	0	0	0	0	0
<b>SD</b>	0	2,511,200	3,239,600	4,232,900	5,075,500	6,153,300	7,581,400	9,100,900	10,874,500
<b>TN</b>	0	0	0	0	0	1,853,100	5,832,400	6,411,900	7,338,600
<b>TX</b>	0	0	0	0	539,400	1,828,100	4,176,400	6,993,700	12,139,200
<b>UT</b>	0	0	0	0	0	0	6,416,300	7,809,000	10,874,000
<b>VT</b>	0	487,000	3,324,700	5,212,100	4,291,500	4,839,900	5,303,800	7,045,600	8,954,000
<b>VA</b>	0	0	0	0	0	0	0	0	0
<b>WA</b>	0	0	3,378,000	14,591,800	15,269,200	12,068,200	16,973,700	13,748,100	16,791,300
<b>WV</b>	0	0	57,300	277,900	353,700	777,200	1,817,800	2,850,000	7,197,200
<b>WI</b>	0	0	119,000	601,700	1,445,400	3,503,400	9,410,100	14,837,300	23,514,700
<b>WY</b>	0	0	0	0	0	0	0	0	0
<b>Total</b>	2,243,400	17,925,700	99,348,300	182,272,000	224,351,400	304,961,100	453,873,500	703,539,500	948,742,100
<b>N States</b>	2	7	28	32	34	36	39	40	44

Table 3.5 Total Expenditures for Medicaid Waiver Recipients with IDD by Year and State FY 1982 through FY 2013 continued

State	1991	1992	1993	1994	1995	1996	1997	1998
<b>AL</b>	\$12,400,000	\$12,400,000	\$22,182,000	\$30,500,000	\$38,000,000	\$45,690,044	\$72,327,370	\$77,000,000
<b>AK</b>	0	0	0	666,594	2,963,566	7,071,179	17,668,470	19,234,144
<b>AZ</b>	80,100,000	98,716,400	114,161,800	109,357,800	164,160,525	189,920,600	203,897,500	211,970,585
<b>AR</b>	1,600,000	11,250,000	10,391,100	14,057,101	10,471,824	13,238,063	12,063,322	16,814,662
<b>CA</b>	48,648,700	54,048,900	92,414,700	133,839,149	254,508,000	314,614,000	355,246,000	436,829,438
<b>CO</b>	52,713,600	60,191,500	63,488,300	77,602,279	107,034,223	125,499,063	133,282,479	148,628,431
<b>CT</b>	63,137,400	83,575,000	139,890,600	135,134,012	152,291,188	103,750,060	222,364,121	230,357,562
<b>DE</b>	4,704,800	5,105,100	9,667,500	9,074,353	12,352,863	22,911,071	16,279,225	17,678,803
<b>DC</b>	0	0	0	0	0	0	0	0
<b>FL</b>	18,000,000	20,246,000	38,674,500	67,760,413	99,540,114	113,853,000	131,804,756	108,524,462
<b>GA</b>	8,247,000	10,250,000	15,068,100	17,300,000	17,300,000	56,393,709	63,129,643	83,000,000
<b>HI</b>	2,584,100	4,385,200	8,620,300	12,000,000	13,405,492	11,981,568	11,720,944	17,100,000
<b>ID</b>	2,158,300	1,188,000	2,700,000	2,035,028	2,245,383	7,814,865	9,996,472	9,076,917
<b>IL</b>	16,900,000	79,600,000	34,478,000	57,553,816	51,956,907	58,434,675	116,000,000	151,000,000
<b>IN</b>	359,900	0	483,500	4,016,174	16,863,274	23,461,273	33,300,620	34,323,763
<b>IA</b>	53,700	773,500	2,477,300	4,025,328	16,702,038	32,212,470	48,271,477	51,736,950
<b>KS</b>	3,243,100	13,737,300	36,813,100	32,031,858	40,720,000	71,568,974	93,518,741	120,931,737
<b>KY</b>	22,423,200	19,821,000	24,505,700	25,165,278	27,820,162	25,722,000	29,429,581	40,639,763
<b>LA</b>	1,145,500	1,785,000	13,085,500	25,000,000	37,958,360	42,364,945	44,291,400	57,032,862
<b>ME</b>	12,908,000	13,250,000	23,607,000	23,738,025	15,290,875	15,600,000	60,066,647	69,044,033
<b>MD</b>	38,280,000	72,326,500	64,502,000	119,236,508	125,131,096	130,701,576	140,673,425	140,673,425
<b>MA</b>	69,965,100	90,000,00	74,222,400	204,300,000	231,500,000	248,400,000	280,000,000	377,346,680
<b>MI</b>	57,216,600	81,039,00	78,234,700	90,300,000	182,400,000	163,000,000	162,808,522	237,665,599
<b>MN</b>	79,000,000	95,380,700	107,234,600	127,711,222	137,928,120	21,522,511	260,223,164	311,247,578
<b>MS</b>	0	0	0	0	0	25,775	631,007	1,526,446
<b>MO</b>	36,288,300	65,792,000	75,838,400	80,547,488	80,122,000	137,227,661	154,767,652	201,552,642
<b>MT</b>	8,462,900	10,826,700	13,515,900	15,564,370	17,105,242	20,399,850	22,500,000	26,300,000
<b>NE</b>	19,628,000	25,521,600	24,169,400	32,271,390	22,276,820	45,063,000	58,901,127	67,147,923
<b>NV</b>	2,235,900	2,400,000	2,295,400	2,060,407	3,180,142	4,640,192	4,877,293	8,353,342
<b>NH</b>	39,600,000	44,400,000	53,026,300	64,005,401	70,389,719	80,460,077	89,427,245	97,407,278
<b>NJ</b>	97,312,800	108,600,700	113,719,700	130,063,493	141,104,167	154,968,000	180,006,000	199,336,000
<b>NM</b>	2,943,900	8,829,000	7,552,200	10,178,666	43,590,526	71,840,073	46,295,349	91,603,113
<b>NY</b>	1,040,400	34,496,200	163,595,400	403,370,865	403,957,036	728,613,813	1,114,422,787	1,343,414,394
<b>NC</b>	12,831,400	13,833,400	16,223,300	19,846,196	30,503,693	56,651,028	106,199,243	134,166,812
<b>ND</b>	15,189,500	18,974,900	20,585,700	23,269,934	26,589,332	28,924,495	30,176,000	33,850,078
<b>OH</b>	9,923,300	12,824,00	26,512,400	49,739,511	92,920,000	91,365,200	90,058,170	108,500,000
<b>OK</b>	11,818,000	39,375,300	73,728,000	57,848,596	73,677,252	104,988,447	93,592,963	119,327,669
<b>OR</b>	47,863,900	58,604,300	86,646,000	78,199,623	86,714,237	99,133,716	105,178,092	127,802,988
<b>PA</b>	120,100,000	133,681,000	169,500,700	247,511,000	294,264,440	340,698,937	415,398,542	446,453,631
<b>RI</b>	12,575,100	14,366,800	74,432,900	58,725,000	67,465,634	80,600,000	107,961,796	125,265,462
<b>SC</b>	0	4,961,000	14,702,800	18,000,000	22,700,000	32,600,000	51,300,000	70,200,000
<b>SD</b>	13,554,600	16,256,600	20,474,200	22,526,640	27,577,355	33,903,140	38,738,683	40,462,048
<b>TN</b>	11,390,000	14,431,120	10,134,000	16,031,049	23,777,300	71,431,397	72,738,465	96,592,900
<b>TX</b>	20,480,500	39,754,600	10,741,900	47,384,302	72,623,620	82,982,512	159,896,149	210,317,129
<b>UT</b>	13,366,000	23,000,000	29,537,100	31,114,289	35,170,000	40,827,000	50,793,746	58,316,407
<b>VT</b>	10,255,000	14,154,200	28,628,000	33,139,589	39,888,163	45,137,783	47,980,267	63,947,117
<b>VA</b>	8,146,500	15,974,600	12,350,200	26,129,717	31,216,634	50,479,113	67,429,885	88,557,294
<b>WA</b>	35,603,000	39,973,500	79,960,500	77,223,317	102,642,969	97,771,853	105,005,621	115,511,420
<b>WV</b>	10,040,300	13,200,000	38,188,800	19,923,405	29,410,388	36,075,324	43,659,534	57,750,735
<b>WI</b>	30,132,000	39,078,200	50,139,800	60,559,064	87,519,000	103,000,000	155,238,000	193,666,161
<b>WY</b>	846,100	12,508,00	17,308,600	23,986,815	26,694,507	29,157,556	33,428,015	38,222,226
<b>Total</b>	1,187,416,400	1,458,515,820	2,210,412,293	2,971,625,065	3,711,624,186	4,514,691,588	5,964,965,510	7,133,408,609
<b>N States</b>	45	47	47	48	48	50	50	50

1982-1991 - data are from 91-HCB-NAR Chapter 2 HCBS Expenditures. 1992, 1994, 1995, 1996 - data are from 1992 to 1997 Medicaid Expenditures.pdf.

# 2013

Table 3.5 Total Expenditures for Medicaid Waiver Recipients with IDD by Year and State FY 1982 through FY 2013

State	1999	2000	2001	2002	2003	2004	2005	2006
AL	\$77,810,987	\$96,422,235	\$98,004,846	\$120,395,453	\$148,744,647	\$188,908,375	\$219,626,770	\$249,094,947
AK	23,071,168	30,618,719	53,139,773	51,865,764	57,618,903	60,387,69	63,010,000	66,882,303
AZ	252,771,100	287,561,709	322,608,000	386,529,149	332,106,304	368,785,555	399,131,874	476,763,900
AR	25,213,155	34,048,499	43,009,046	53,076,898	55,975,593	62,675,678	75,597,335	83,130,777
CA	461,810,000	478,275,304	532,303,563	853,788,100	928,760,000	1,070,153,000	1,185,664,000	1,338,182,000
CO	176,383,328	191,256,954	217,913,778	205,028,144	237,440,237	243,391,968	237,868,301	253,092,680
CT	294,791,304	344,991,304	350,105,286	386,546,536	393,811,368	410,686,158	421,312,866	420,464,421
DE	18,451,817	27,432,573	32,131,583	34,181,392	45,424,185	48,205,010	53,848,211	68,913,577
DC	0	277,361	970,212	1,647,800	3,507,094	5,119,552	9,082,366	17,532,533
FL	122,022,197	251,835,126	403,110,121	496,921,252	551,082,052	635,135,293	664,000,000	761,391,723
GA	98,200,000	92,058,075	149,447,311	286,389,800	227,611,569	218,216,581	220,234,051	254,584,551
HI	19,700,000	23,000,000	27,227,005	34,727,501	43,995,923	64,199,537	71,968,525	85,000,000
ID	10,804,413	16,279,344	23,180,534	27,804,290	36,035,709	44,700,000	50,531,285	52,367,042
IL	149,300,000	140,200,000	140,200,000	140,200,000	285,368,202	324,900,000	359,100,000	401,424,130
IN	73,133,622	73,046,096	107,430,910	198,630,045	267,608,232	395,771,181	378,412,692	393,536,080
IA	74,235,249	88,572,719	106,033,584	127,081,323	142,647,169	171,690,983	221,483,437	255,981,404
KS	156,893,188	169,350,998	176,570,431	189,358,115	194,212,222	206,000,000	217,398,124	229,623,238
KY	42,191,821	60,431,857	76,424,065	91,755,864	92,622,607	121,821,787	156,788,232	172,622,637
LA	74,549,000	95,374,532	121,145,427	129,015,073	157,447,917	210,067,079	242,183,270	244,331,602
ME	93,074,043	108,340,801	124,371,991	136,460,573	175,000,000	181,000,000	195,171,298	221,117,838
MD	172,822,447	181,153,000	200,724,500	251,357,000	297,236,634	312,912,291	371,692,848	449,636,412
MA	408,875,196	423,921,872	454,624,754	483,391,204	540,113,642	564,725,718	619,925,467	671,087,259
MI	310,750,681	424,429,600	538,108,500	538,108,524	420,689,817	370,728,738	330,688,723	345,618,850
MN	355,967,472	408,223,727	508,066,395	699,686,968	796,837,595	812,253,886	848,406,374	649,093,026
MS	2,640,851	4,421,857	10,414,398	20,699,255	28,348,25	30,200,000	30,200,000	35,458,821
MO	186,560,489	198,881,707	219,298,670	235,896,984	230,180,844	238,437,153	259,443,990	310,567,088
MT	27,315,100	33,561,580	36,886,155	42,005,397	59,850,931	55,109,225	57,896,772	62,986,735
NE	75,600,524	84,257,500	89,063,000	108,402,150	109,030,299	113,748,538	118,702,909	126,925,796
NV	9,182,002	12,245,000	20,046,556	24,367,276	27,432,108	33,976,260	42,934,783	51,479,298
NH	102,433,785	99,742,724	113,414,422	117,921,627	118,532,796	122,893,425	127,314,000	131,770,132
NJ	284,536,000	296,254,000	360,838,000	402,988,000	363,752,000	380,018,000	399,258,000	438,810,000
NM	100,117,392	109,600,000	132,070,000	157,256,000	183,000,000	197,236,981	222,738,154	243,698,835
NY	1,561,068,445	1,694,409,797	1,701,780,235	2,125,806,338	2,120,120,221	2,517,127,492	3,159,343,756	3,187,876,752
NC	136,043,271	182,951,551	217,112,003	254,336,689	259,000,000	265,354,475	266,945,320	289,466,934
ND	37,634,425	41,961,852	44,856,249	47,531,203	49,235,223	53,906,834	57,488,528	64,630,131
OH	179,811,831	178,002,921	195,088,787	245,009,370	392,420,418	436,393,239	476,750,084	600,703,871
OK	134,251,284	147,633,041	177,065,305	222,356,146	205,536,735	216,911,201	211,693,575	228,940,853
OR	161,500,000	232,255,296	292,334,000	361,704,793	285,540,299	314,616,401	332,591,000	365,419,511
PA	532,017,950	677,863,076	789,398,889	977,487,155	1,044,794,054	1,075,805,775	1,040,866,233	1,103,171,251
RI	97,626,752	145,628,986	149,671,043	160,859,473	196,070,593	215,616,211	215,543,542	230,814,338
SC	92,203,030	111,100,000	132,300,000	142,500,000	146,580,000	150,252,896	157,040,121	170,000,000
SD	47,366,789	49,960,426	53,865,219	58,935,238	62,745,447	66,860,575	73,084,934	76,614,415
TN	135,110,933	159,937,100	201,248,800	205,313,600	277,187,621	285,820,095	356,432,472	461,902,874
TX	265,239,750	269,268,002	305,889,856	321,670,578	346,975,027	377,677,104	420,360,352	471,550,617
UT	65,767,673	74,301,900	82,351,388	88,990,989	94,610,074	98,482,043	102,906,108	104,433,390
VT	54,437,829	60,014,162	68,534,479	74,856,153	77,823,489	85,189,945	92,171,784	102,245,503
VA	113,354,506	144,547,915	174,353,926	198,911,231	228,194,157	231,966,984	291,600,000	333,986,715
WA	128,863,254	183,834,623	203,064,281	214,490,497	236,271,793	246,126,604	277,005,512	299,402,222
WV	66,636,000	87,636,000	97,574,478	120,217,738	141,395,773	143,430,620	173,425,792	167,342,384
WI	237,380,229	273,005,532	300,057,883	312,784,855	344,729,117	376,713,247	429,489,581	471,332,097
WY	40,983,380	44,143,517	46,598,095	56,956,535	61,657,608	67,460,731	75,441,712	79,225,096
Total	8,368,505,662	9,644,522,469	11,022,027,732	13,224,202,038	14,094,564,247	15,429,380,424	17,081,795,064	18,372,228,589
N States	50	51	51	51	51	51	51	51

Data from state IDD directors or Eiken et al CMS 64 report summaries of Federal Fiscal Year Expenditures

Table 3.5 Total Expenditures for Medicaid Waiver Recipients with IDD by Year and State FY 1982 through FY 2013

State	2007	2008	2009	2010	2011	2012	2013
AL	\$253,259,493	\$267,362,504	\$272,231,359	\$272,842,019	\$283,511,553	\$288,701,202	\$315,062,765
AK	70,954,834	76,806,107	79,893,54	100,945,278	106,418,060	134,516,256	151,464,381
AZ	556,449,700	619,467,289	584,647,383	606,010,820	633,000,000	640,785,297	707,083,624
AR	91,379,808	97,104,703	129,051,945	139,744,190	160,403,822	173,134,527	180,663,580
CA	1,532,880,000	1,709,007,000	1,844,385,000	1,939,601,000	1,968,798,000	2,107,489,165	2,372,250,800
CO	268,080,321	311,354,728	326,926,030	336,291,937	328,105,411	331,009,675	328,631,387
CT	454,124,513	475,540,000	540,052,679	643,614,884	672,406,023	720,877,844	743,373,207
DE	75,089,815	83,576,384	89,293,726	89,162,020	91,006,830	94,328,870	91,636,647 <sup>e</sup>
DC	19,678,020	54,469,781	123,350,241	139,208,569	182,755,212	147,196,144	146,829,961
FL	908,572,039	945,063,427	870,805,862	933,666,466	959,140,994	879,855,035	840,403,403
GA	263,542,265	381,689,803	330,423,138	352,542,420	354,050,693	407,211,908	307,651,561
HI	97,000,000	104,462,436	107,165,958	100,020,238	101,065,227	102,909,919	102,909,593 <sup>ed</sup>
ID	60,937,200	68,119,007	75,005,934	99,214,014	99,214,014	64,424,617	75,777,942
IL	416,200,000	461,700,000	493,700,000	525,600,000	569,178,078	591,460,042	636,100,000
IN	402,596,549	443,949,814	497,510,169	509,458,094	480,744,356	489,970,561	534,025,571
IA	275,727,517	303,613,019	323,671,279	343,542,519	355,751,954	387,579,845	431,259,100
KS	247,333,699	274,843,524	280,702,208	280,702,208	319,851,455	330,269,359	341,964,660 <sup>T</sup>
KY	163,060,166	233,129,779	247,720,721	266,303,766	340,297,404	406,429,143	459,959,093 <sup>T</sup>
LA	258,219,940	322,451,876	385,861,165	398,178,839	399,348,484	407,247,885	452,163,129
ME	230,661,475	248,956,942	306,723,917	307,266,249	314,041,430	291,071,088	329,737,271 <sup>e</sup>
MD	495,385,519	517,577,519	539,177,818	588,228,135	707,166,715	686,893,892	262,778,313
MA	703,360,749	583,547,891	667,079,913	667,079,913	DNF	841,480,869	842,454,935 <sup>de</sup>
MI	316,274,000	381,731,216	382,926,381	420,833,872	431,253,987	445,712,073	1,184,470,148
MN	889,902,016	925,198,681	981,248,752	998,020,576	1,128,249,479	1,215,080,921	1,160,776,082
MS	39,460,620	38,013,057	43,011,325	35,623,845	35,092,232	43,976,251	49,311,709
MO	379,435,294	392,751,282	427,475,465	463,119,959	469,527,518	533,966,837	610,064,502
MT	68,411,681	78,281,028	81,878,574	98,904,472	89,184,755	90,871,419	91,967,982
NE	140,171,512	147,500,141	165,166,237	205,291,287	221,686,769	239,920,704	175,539,547
NV	61,584,554	65,416,420	71,990,200	72,474,267	72,742,757	78,766,702	75,610,199
NH	143,208,714	155,729,108	165,838,268	174,852,808	186,462,221	192,024,701	198,451,066
NJ	496,612,000	505,880,000	545,803,019	558,107,000	668,774,307	737,870,549	715,098,828 <sup>T</sup>
NM	247,597,401	267,982,051	277,842,944	294,460,077	285,948,508	285,948,508	294,699,983
NY	3,449,069,061	3,825,876,515	4,338,249,379	4,766,908,958	5,261,373,687	5,468,224,696	5,328,884,532
NC	377,746,642	457,750,000	472,187,556	608,294,643	684,771,644	619,805,304	442,241,550 <sup>e</sup>
ND	71,823,487	77,570,212	85,486,252	97,696,826	113,644,260	129,617,461	143,866,691 <sup>T</sup>
OH	660,978,417	813,795,687	1,074,780,499	1,095,712,081	1,179,688,715	1,240,862,952	1,320,136,278
OK	253,400,544	267,877,651	273,415,135	280,201,976	271,849,370	273,951,817	277,760,615 <sup>e</sup>
OR	385,761,698	438,537,585	438,571,369	515,170,446	572,729,368	597,868,202	561,250,632
PA	1,199,738,817	1,224,627,946	1,339,183,108	1,636,580,454	1,827,304,872	1,816,306,161	2,022,669,290
RI	245,521,023	251,288,605	243,023,182	243,023,182	243,023,182	203,663,206	209,000,000 <sup>T</sup>
SC	185,700,000	213,200,000	220,500,000	226,600,000	230,571,345	291,243,055	253,154,908 <sup>e</sup>
SD	81,944,579	86,921,676	90,794,030	96,252,693	101,291,950	101,739,037	105,085,510
TN	525,963,523	553,899,151	569,200,100	574,381,791	583,159,024	604,098,205	624,787,616 <sup>e</sup>
TX	566,475,093	698,358,386	774,481,660	912,609,318	1,006,941,004	1,058,827,386	1,102,141,224
UT	113,867,000	126,595,282	140,448,109	148,512,550	151,270,341	155,514,728	162,828,701
VT	109,071,348	121,270,835	128,447,308	132,937,535	137,907,924	141,617,128	150,655,198
VA	394,326,044	443,732,502	498,672,777	539,806,187	562,873,199	602,412,138	573,768,026 <sup>T</sup>
WA	315,623,788	352,550,599	387,986,540	419,822,564	430,590,885	550,895,554	573,992,065 <sup>e</sup>
WV	203,371,121	222,657,003	263,676,099	245,100,113	249,295,212	303,861,581	335,535,555
WI	439,299,106	629,473,566	696,767,524	694,835,980	694,835,980	855,374,008	915,230,020
WY	87,040,867	93,970,241	96,557,521	90,361,421	95,691,610	98,496,505	97,571,704
Total	20,293,873,572	22,442,229,959	24,311,095,759	26,285,720,458	27,413,991,819	29,503,360,932	28,859,662,776
N States	51	51	51	51	51	51	51

<sup>d</sup> Data from another date (usually 2012), <sup>e</sup> estimate <sup>T</sup> Data as reported by state IDD directors or if missing by Eiken et al CMS 64 report summaries of Federal Fiscal Year Expenditures

**Table 3.6** Total ICF/IID Residents by State on June 30<sup>th</sup> of 1977 through 2013

State	1977	1982	1986	1988	1989	1991	1992	1993	1994	1995	1996	1997
AL	0	1,470	1,364	1,364	1,326	1,288	1,304	1,266	1,145	972	825	745
AK	135	118	99	107	97	91	86	85	78	68	59	10
AZ	0	0	0	0	69	145	214	298	339	200	193	214
AR	1,385	1,420	1,372	1,453	1,441	1,565	1,737	1,724	1,743	1,563	1,572	1,558
CA	0	10,374	9,416	10,871	10,978	11,376	10,923	11,025	12,781	12,125	10,233	10,681
CO	4,537	2,017	1,315	1,149	1,115	927	754	737	420	307	245	229
CT	687	1,598	1,149	1,414	2,335	1,550	1,378	1,272	1,276	1,265	1,298	1,377
DE	477	513	455	439	442	421	325	370	356	313	300	292
DC	0	436	591	666	641	1,027	761	804	722	754	754	754
FL	370	2,128	3,243	3,180	3,180	3,187	3,118	3,207	3,407	3,530	3,442	3,476
GA	2,369	2,491	1,982	1,913	1,944	1,942	1,935	1,933	1,897	1,880	2,019	1,770
HI	524	387	249	296	246	386	154	117	142	132	127	122
ID	583	482	469	461	520	535	519	494	527	540	538	579
IL	5,353	8,144	8,953	10,346	10,864	11,943	12,311	12,160	10,979	10,935	10,416	10,500
IN	1,026	2,798	4,360	4,690	5,512	6,048	6,234	6,213	6,224	6,176	5,986	5,938
IA	1,432	1,673	2,016	1,840	1,818	2,132	2,088	1,890	1,818	1,909	2,182	2,268
KS	1,810	2,078	2,137	2,081	1,955	2,015	1,921	1,837	1,767	1,642	1,586	1,395
KY	999	1,250	1,203	1,187	1,179	1,191	1,200	1,053	1,133	1,201	1,157	1,180
LA	3,682	4,849	5,575	6,016	6,067	5,951	5,645	4,678	6,029	6,044	6,102	6,014
ME	310	630	724	677	668	656	630	630	542	487	445	548
MD	1,367	1,851	2,216	1,429	1,374	1,079	954	894	822	775	652	624
MA	4,242	4041	3,737	3,698	3,548	3,272	3,304	3,520	2,119	1,990	1,795	1,598
MI	5,760	4,002	3,314	3,683	2,959	2,850	3,180	3,342	3,366	3,375	3,185	2,899
MN	5,303	6,899	6,852	6,339	5,769	5,316	5,202	5,072	4,838	4,455	3,826	3,604
MS	491	1,614	1,572	1,678	1,588	1,820	1,825	2,038	2,077	2,059	2,126	2,256
MO	2,051	1,878	2,088	1,868	1,858	2,008	1,751	1,709	1,709	1,678	1,643	1,466
MT	0	290	266	253	250	197	170	165	171	165	165	148
NE	1,356	980	861	808	756	719	739	721	694	678	650	643
NV	0	175	181	188	185	212	146	208	205	214	232	275
NH	288	339	299	204	158	91	81	74	73	72	22	23
NJ	525	4,366	3,881	3,815	3,822	3,818	3,942	3,892	3,975	4,060	4,091	3,948
NM	426	553	649	709	751	706	730	681	585	525	485	348
NY	18,601	15,577	17,099	17,567	17,774	17,812	18,497	21,850	16,083	12,386	11,846	11,472
NC	2,073	2,762	3,104	3,445	3,173	4,378	4,502	4,662	4,732	4,595	4,593	4,777
ND	0	219	878	888	743	634	476	618	551	561	624	609
OH	2,488	6,040	7,786	7,535	7,971	8,220	8,384	8,222	7,821	7,781	7,756	7,615
OK	1,978	1,803	3,001	3,242	3,060	2,916	2,776	2,415	2,268	2,290	2,275	2,292
OR	1,989	1,918	1,577	1,284	1,042	770	668	468	417	442	429	373
PA	7,355	8,598	7,737	7,364	7,085	7,100	7,282	6,768	6,950	6,800	6,469	6,192
RI	763	881	907	1,093	956	766	602	457	353	304	225	21
SC	1,017	2,665	3,100	3,300	3,231	3,224	3,261	3,232	3,111	2,917	2,740	2,555
SD	540	721	663	650	591	549	552	504	502	440	349	328
TN	2,149	2,377	2,411	2,198	2,175	2,380	2,399	2,328	2,350	2,219	2,028	1,900
TX	10,486	13,959	12,049	12,211	12,081	10,771	11,187	12,143	13,742	12,772	13,224	12,985
UT	1,193	1,199	1,319	945	1,005	960	930	938	924	843	866	833
VT	352	385	261	238	236	214	146	79	42	41	15	12
VA	3,558	3,616	3,169	3,018	2,834	2,682	2,743	2,669	2,466	2,356	2,357	2,225
WA	440	2,464	2,595	2,539	2,405	1,951	1,695	1,650	1,302	1,284	1,187	1,126
WV	0	176	265	417	762	680	699	640	640	598	588	574
WI	3,696	3,548	3,680	3,378	4,609	4,126	4,110	3,887	3,749	3,519	3,382	3,187
WY	0	0	0	0	0	60	90	90	156	147	145	139
Total	106,166	140,752	144,189	146,134	147,148	146,657	146,260	147,729	142,118	134,384	129,449	126,697
N States	42	49	49	49	50	51	51	51	51	51	51	51

Data are from annual RISP surveys of State IDD Directors

Table 3.6 Total ICF/IID Residents by State on June 30<sup>th</sup> of 1977 through 2013 continued

State	1998	1999	2000	2001	2002	2003	2004	2005
AL	734	678	633	569	472	363	225	240
AK	0	0	0	0	0	0	0	0
AZ	215	219	173	165	207	202	195	193
AR	1,749	1,764	1,766	1,749	1,684	1,600	1,588	1,586
CA	10,835	11,265	11,158	10,860	10,839	9,813	10,585	10,369
CO	185	168	138	125	111	115	113	122
CT	1,382	1,311	1,276	1,222	1,192	1,175	1,173	1,165
DE	285	264	253	274	241	218	194	172
DC	754	754	840	787	734	749	746	767
FL	3,379	3,391	3,440	3,310	3,338	3,307	3,362	3,370
GA	1,732	1,468	1,645	1,589	1,475	1,441	1,350	1,128
HI	120	95	96	96	94	73	70	88
ID	560	577	592	584	576	577	571	559
IL	10,789	10,678	10,310	10,267	9,923	10,053	9,723	9,592
IN	5,855	5,964	5,423	5,295	4,981	4,729	4,447	3,931
IA	2,154	2,250	3,028	3,016	2,157	2,243	2,212	2,182
KS	1,098	843	853	830	688	661	640	636
KY	1,177	1,172	1,120	887	876	848	793	727
LA	5,843	5,627	5,620	5,553	5,539	5,577	5,442	5,460
ME	309	304	298	275	246	269	225	236
MD	593	562	525	482	502	396	391	367
MA	1,435	1,346	1,266	1,210	1,125	1,136	1,116	1,049
MI	2,830	272	269	212	173	173	129	190
MN	3,419	3,101	2,775	2,828	2,756	2,667	2,570	2,471
MS	2,351	2,432	2,487	2,519	2,534	2,612	2,640	2,655
MO	1,501	1,488	1,371	1,341	1,398	1,310	1,286	1,185
MT	141	138	130	121	119	108	93	79
NE	655	650	648	628	642	617	608	616
NV	286	295	252	246	242	219	209	197
NH	25	25	24	24	25	25	25	25
NJ	3,744	3,531	3,487	3,436	3,370	3,166	3,124	3,053
NM	301	301	405	284	284	287	226	220
NY	11,083	10,230	10,109	9,923	9,815	9,467	9,220	8,558
NC	4,705	4,616	4,520	4,493	4,645	4,500	3,875	4,306
ND	609	580	625	622	629	625	607	610
OH	7,719	7,663	7,691	7,638	7,240	7,121	7,072	6,959
OK	2,705	1,982	1,801	1,907	2,243	2,269	1,717	1,656
OR	350	173	60	64	51	50	50	43
PA	5,747	5,098	4,944	4,521	4,280	3,968	4,124	4,058
RI	0	43	18	18	40	40	39	40
SC	2,439	2,254	2,176	2,077	1,992	1,930	1,820	1,712
SD	263	230	231	200	189	170	176	169
TN	1,709	1,603	1,511	1,456	1,460	1,398	1,332	1,330
TX	12,832	12,942	13,453	13,257	12,684	12,406	12,300	11,924
UT	811	790	758	767	783	788	778	794
VT	12	12	12	12	12	6	6	6
VA	2,109	2,025	1,868	1,899	1,885	1,834	1,837	1,805
WA	1,081	1,280	948	904	880	839	812	796
WV	454	444	444	514	515	515	515	515
WI	3,056	2,899	2,865	2,748	2,580	2,315	2,082	1,822
WY	128	120	106	103	106	95	93	88
Total	124,248	117,917	116,441	113,907	110,572	107,065	104,526	101,821
N States	49	50	50	50	50	50	50	50

# 2013

Table 3.6 Total ICF/IID Residents by State on June 30<sup>th</sup> of 1977 through 2013 continued

State	2006	2007	2008	2009	2010	2011	2012	2013
AL	235	244	236	233	214	164	41	14
AK	0	0	0	0	0	0	0	0*
AZ	190	185	209	200	185	178	148	0
AR	1,575	1,616	1,601	1,598	1,571	1,468	1,467	1,468 <sup>ed*</sup>
CA	9,864	9,598	9,379	9,293	9,080	8,907	8,726	8,468
CO	135	125	128	115	212	177	343	184
CT	1,199	1,148	1,116	1,080	1,047	1,019	984	555*
DE	154	141	138	120	118	DNF	66	56
DC	677	640	533	443	409	373	363	352
FL	3,268	3,205	3,129	3,100	2,919	2,926	2,786	2,857
GA	1,085	1,034	984	761	670	549	300	248 <sup>ed</sup>
HI	79	78	86	91	79	87	79	79 <sup>ed</sup>
ID	542	543	535	535	524	528	485	487 <sup>ed</sup>
IL	9,402	9,213	9,023	8,525	8,567	8,460	8,344	7,560 <sup>s</sup>
IN	4,207	4,012	4,099	4,129	4,042	3,886	3,839	3,760
IA	2,318	2,123	2,134	2,056	2,088	2,047	2,002	2,005
KS	624	599	584	521	516	490	509	509 <sup>ed</sup>
KY	656	637	524	623	615	353	285	394 <sup>ed</sup>
LA	5,603	5,320	5,059	4,988	4,838	4,812	4,604	4,941
ME	211	222	210	183	97	145	189	205
MD	365	336	279	129	153	152	54	152 <sup>ed</sup>
MA	1,012	952	901	866	759	DNF	594	499 <sup>d</sup>
MI	127	151	81	0	0	0	0	0
MN	2,519	2,513	1,832	1,747	1,758	1,775	1,719	1,726
MS	2,630	2,601	2,623	2,644	2,605	2,661	2,765	2,514 <sup>s</sup>
MO	1,054	1,020	965	843	731	660	590	569
MT	72	54	55	52	DNF	53	55	67
NE	602	582	510	184	412	438	433	391
NV	130	118	105	100	101	103	104	46
NH	25	25	25	25	25	25	25	25 <sup>s</sup>
NJ	3,020	2,963	2,878	2,865	2,930	3,255	3,153	3,035 <sup>s</sup>
NM	181	182	181	231	228	234	234	229 <sup>s</sup>
NY	8,124	7,995	7,752	7,664	7,495	7,432	7,288	7,127
NC	4,091	4,124	4,176	3,854	3,946	3,613	3,930	3,273 <sup>e</sup>
ND	592	593	585	584	579	576	559	539
OH	6,656	6,667	6,418	6,136	5,984	7,125	6,926	6,678
OK	1,588	1,630	1,486	1,616	1,535	DNF	1,549	203
OR	41	41	32	22	22	0	0	0
PA	3,743	3,833	3,854	1,230	3,423	3,567	3,419	3,247
RI	40	41	40	38	41	41	42	21 <sup>ed</sup>
SC	1,610	1,615	1,477	1,445	1,396	1,359	1,313	1,256
SD	162	158	150	146	144	204	199	191
TN	1,287	1,223	1,180	1,089	1,088	1,640	1,108	1,007
TX	11,616	11,447	11,177	10,792	10,044	9,626	9,467	9,025
UT	794	794	797	780	780	784	801	206
VT	6	6	6	6	6	6	6	6
VA	1,742	1,684	1,627	1,606	1,531	1,431	1,326	1,346 <sup>ed</sup>
WA	779	767	760	760	724	690	629	834 <sup>e</sup>
WV	477	477	477	477	477	DNF	562	565
WI	1,346	1,059	946	847	769	769	895	877
WY	89	93	82	82	83	82	79	80 <sup>ed</sup>
Total	98,544	96,427	93,164	87,373	87,560	84,870	85,384	79,876
N States	50	50	50	49	48	44	48	47

Unless otherwise specified the 2013 totals were calculated as the sum of children in ICF/IID + adults in ICF/IID. <sup>d</sup>Different date (usually 2012), <sup>e</sup> estimate,

<sup>s</sup>Sum of people in state ICF/IID + people in nonstate ICF/IID

**Table 3.7** Total Expenditures for ICF/IID Residents by State FY 1980 through FY 2013

State	1980	1981	1982	1983	1984	1985	1986
<b>AL</b>		\$17,408,890	\$31,075,591	\$50,103,609	\$41,320,820	\$60,939,817	\$49,427,886
<b>AK</b>	7,430,322	6,336,148	6,830,128	7,263,024	7,150,928	10,147,278	10,108,323
<b>AZ</b>	0	0	0	0	0	0	0
<b>AR</b>	22,318,345	36,724,500	34,603,287	40,604,630	42,930,368	47,135,299	52,573,099
<b>CA</b>	33,151,908	211,464,988	87,543,910	148,755,032	191,358,816	275,616,117	301,352,034
<b>CO</b>	24,007,761	28,556,533	-1,194,225	34,061,622	34,466,914	59,994,979	35,692,394
<b>CT</b>	23,793,266	35,399,078	41,722,212	44,612,172	52,018,196	61,239,031	79,704,788
<b>DE</b>	6,769,496	7,923,324	8,280,692	8,998,936	10,320,086	9,873,068	11,505,139
<b>DC</b>	9,491,204	5,704,158	7,350,298	17,242,854	19,154,209	22,079,874	30,671,836
<b>FL</b>	13,556,671	28,827,608	48,047,516	72,627,885	90,792,972	118,103,440	123,134,684
<b>GA</b>	38,110,759	44,909,275	48,271,338	49,879,282	52,001,801	61,767,670	73,201,461
<b>HI</b>	9,006,870	9,428,638	10,961,878	12,128,824	9,580,395	8,878,335	5,600,085
<b>ID</b>	6,072,487	11,242,513	12,490,191	14,735,452	14,463,380	15,488,187	16,277,811
<b>IL</b>	70,713,110	138,552,144	120,855,066	171,286,970	189,701,087	212,759,188	172,389,852
<b>IN</b>	25,784,220	31,952,252	37,325,581	41,087,062	34,450,068	40,787,773	42,610,630
<b>IA</b>	39,535,494	45,054,485	52,266,560	58,033,899	56,449,692	59,473,876	69,985,549
<b>KS</b>	32,890,258	34,740,547	40,646,701	45,426,558	47,590,066	50,419,124	52,666,114
<b>KY</b>	27,213,708	31,241,648	39,052,684	30,148,319	39,506,664	39,846,162	38,503,765
<b>LA</b>	67,071,821	79,709,374	97,075,753	116,244,343	126,323,719	138,328,512	143,599,380
<b>ME</b>	2,965,669	10,999,609	15,699,455	20,497,089	24,334,853	24,899,434	27,507,099
<b>MD</b>	44,188,406	48,196,902	53,169,976	55,430,112	60,649,932	38,512,310	69,277,496
<b>MA</b>	119,955,111	116,478,750	125,500,190	150,040,640	143,906,789	197,811,027	117,857,583
<b>MI</b>	130,839,632	156,137,416	152,838,152	151,084,614	145,324,270	157,300,485	169,299,080
<b>MN</b>	110,062,507	112,511,213	155,020,197	176,347,345	204,537,487	218,467,005	225,356,795
<b>MS</b>	9,746,656	15,831,305	20,579,205	23,589,654	24,854,792	23,459,332	24,369,557
<b>MO</b>	29,588,469	31,993,706	35,207,045	46,984,987	46,579,631	47,585,351	46,431,803
<b>MT</b>	0	0	0	3,051,301	5,775,826	7,311,054	9,341,488
<b>NE</b>	15,217,379	16,754,415	21,336,101	23,677,134	24,674,106	24,186,811	24,530,907
<b>NV</b>	3,665,378	4,781,982	5,661,466	6,798,774	7,355,422	8,983,335	8,196,532
<b>NH</b>	3,568,683	5,982,720	6,338,717	7,484,846	9,909,926	13,558,764	28,843,207
<b>NJ</b>	112,729,362	113,759,876	122,552,250	144,156,674	173,742,455	173,643,403	183,298,613
<b>NM</b>	6,556,535	9,333,446	12,077,857	13,016,187	17,353,302	17,408,846	19,824,613
<b>NY</b>	44,634,430	775,742,254	797,385,360	764,634,361	771,598,766	974,014,423	1,024,603,959
<b>NC</b>	47,842,054	61,477,380	79,191,812	85,073,690	96,730,580	112,840,859	124,265,216
<b>ND</b>	0	0	498,116	5,438,656	9,818,944	18,505,288	24,618,802
<b>OH</b>	11,724,964	24,282,642	36,633,650	52,337,889	79,531,740	209,484,159	247,323,165
<b>OK</b>	27,540,867	34,010,160	32,395,470	38,511,324	39,886,526	53,675,015	66,072,471
<b>OR</b>	3,921,083	3,958,043	5,286,751	5,604,600	5,970,512	52,911,506	53,328,386
<b>PA</b>	210,269,111	262,438,003	326,339,634	365,573,760	360,120,557	342,691,999	347,153,357
<b>RI</b>	16,103,057	23,275,525	28,759,032	37,058,323	41,362,153	47,468,136	53,567,067
<b>SC</b>	25,960,868	34,185,871	36,019,948	44,665,970	45,559,712	52,411,476	64,153,487
<b>SD</b>	12,484,350	14,688,984	14,889,821	13,569,544	15,638,839	15,877,898	17,175,634
<b>TN</b>	44,129,715	54,160,213	56,831,429	55,524,442	57,335,098	15,447,639	38,170,594
<b>TX</b>	163,018,221	192,740,177	233,538,852	278,043,247	280,264,559	292,840,539	293,197,422
<b>UT</b>	14,749,464	16,897,579	23,710,593	26,740,612	26,279,743	29,425,056	27,998,656
<b>VT</b>	7,426,016	9,235,016	13,420,528	11,781,566	8,385,618	9,579,919	9,772,789
<b>VA</b>	55,388,235	70,029,881	78,609,105	89,740,538	89,807,078	114,813,821	116,749,702
<b>WA</b>	52,341,248	64,567,360	72,202,304	74,199,806	79,054,072	93,192,381	103,700,166
<b>WV</b>	0	1,624,742	1,982,377	2,022,196	2,574,325	2,729,337	4,495,512
<b>WI</b>	57,362,499	76,184,380	63,845,110	72,177,435	72,561,114	68,001,489	74,915,707
<b>WY</b>	0	0	0	2,560,788	0	0	0
<b>Total</b>	1,840,897,670	3,167,435,655	3,350,725,663	3,810,658,573	4,031,058,908	4,751,915,827	4,954,401,695
<b>N States</b>	47	47	48	50	49	49	49

Prior to 2012, the source for ICF/IID expenditures was a series of reports published by Truven under contract with CMS (based on CMS 64 reports).

Table 3.7 Total Expenditures for ICF/IID Residents by State FY 1980 through FY 2013 continued

State	1987	1988	1989	1990	1991	1992	1993
AL	\$87,691,368	\$54,013,748	\$58,157,693	\$64,202,154	\$72,740,041	\$80,701,331	\$79,030,041
AK	21,697,062	9,037,943	11,339,343	10,377,041	9,922,753	10,383,643	10,362,069
AZ	0	0	26,543,223	33,624,070	4,870,618	0	16,911,180
AR	85,288,334	51,086,511	63,265,809	73,495,659	81,745,970	88,047,375	89,553,111
CA	553,999,778	269,637,723	373,079,895	387,393,572	295,394,283	316,071,576	356,304,904
CO	84,892,324	43,403,360	46,924,113	52,182,972	55,897,885	55,494,909	50,704,123
CT	171,062,244	109,463,764	142,375,254	166,082,829	210,181,848	192,888,207	181,959,971
DE	23,931,115	15,246,191	16,846,873	19,288,509	23,582,839	26,543,416	26,574,433
DC	63,863,478	40,108,105	31,655,924	27,964,790	38,855,094	51,773,630	63,961,219
FL	216,398,996	130,435,326	132,871,092	157,146,962	169,283,016	181,801,704	192,151,682
GA	131,779,771	84,730,397	94,263,005	102,561,252	109,575,125	115,391,129	116,223,419
HI	3,972,912	14,290,183	5,897,873	6,742,147	7,490,573	6,570,589	6,155,659
ID	29,884,039	23,129,878	27,436,664	28,441,327	34,237,529	35,454,134	38,497,578
IL	364,645,834	243,824,403	305,317,191	347,013,417	367,136,404	499,573,261	531,667,554
IN	98,512,459	86,776,690	101,940,118	170,264,679	199,343,872	272,735,397	283,528,589
IA	130,531,844	88,710,333	100,349,967	119,614,269	136,649,791	150,455,720	160,959,092
KS	99,207,783	69,567,908	68,779,317	87,971,520	98,424,768	102,522,826	106,648,757
KY	71,713,505	47,597,483	53,305,251	50,466,726	66,415,061	59,843,010	69,885,596
LA	297,514,617	165,291,176	171,141,863	207,335,323	237,731,686	260,924,945	324,034,343
ME	52,547,572	30,613,278	43,621,246	55,997,137	55,092,680	62,854,319	59,821,344
MD	128,819,548	83,621,494	72,556,038	71,061,402	62,726,424	65,023,118	60,767,020
MA	284,357,441	198,722,295	222,738,830	393,190,578	331,071,163	385,149,336	315,569,399
MI	325,445,697	213,104,699	197,430,214	213,074,348	331,722,753	180,560,636	149,187,111
MN	392,188,752	238,699,655	233,090,315	252,233,427	266,659,212	283,108,496	288,650,678
MS	46,988,480	32,523,716	38,892,283	45,541,554	43,200,069	62,156,453	79,043,314
MO	92,363,817	71,080,489	76,004,401	89,119,605	102,834,361	106,866,327	113,792,154
MT	19,984,911	10,178,621	10,971,843	10,996,374	14,033,397	13,123,538	10,387,598
NE	44,329,469	25,477,085	29,478,197	27,839,470	30,260,032	32,910,189	34,216,508
NV	15,694,152	9,522,660	10,461,368	13,639,635	12,511,977	16,670,311	26,810,867
NH	19,486,014	14,141,600	13,422,900	11,014,394	6,808,131	6,127,254	5,364,387
NJ	377,479,713	237,997,341	253,874,333	267,258,597	286,052,933	276,342,092	286,201,207
NM	37,810,440	23,586,513	25,935,085	28,361,540	34,769,500	39,164,075	42,832,979
NY	1,967,475,122	1,158,161,443	1,351,196,585	1,524,113,043	1,644,037,537	1,715,103,364	1,927,559,462
NC	244,416,022	158,440,075	181,919,313	222,635,386	250,823,563	278,484,521	316,571,784
ND	91,913,483	40,215,637	41,789,491	36,902,683	40,624,799	39,980,236	37,077,368
OH	504,747,146	278,623,574	323,711,074	378,352,004	373,457,126	468,321,849	449,570,809
OK	134,225,664	83,724,996	97,426,854	99,526,022	110,832,629	111,772,704	132,075,921
OR	78,810,010	73,726,772	81,421,645	96,781,665	97,902,659	83,138,263	80,043,415
PA	615,862,760	384,251,708	424,030,537	448,720,472	466,266,190	502,754,669	500,105,694
RI	90,030,097	60,547,566	62,213,016	78,276,360	66,307,664	90,367,789	105,169,194
SC	113,176,503	94,198,457	110,153,977	138,815,667	146,751,899	165,299,433	165,306,409
SD	34,854,888	22,004,514	23,498,408	25,305,576	26,645,345	29,221,372	29,613,205
TN	139,219,572	77,504,336	81,959,241	84,049,932	100,001,521	111,714,785	117,122,556
TX	553,689,741	357,822,572	390,099,177	422,305,213	442,204,588	468,605,077	508,053,498
UT	51,363,850	27,666,341	33,587,976	39,165,103	71,855,402	39,659,369	45,245,234
VT	17,157,224	11,335,014	12,689,890	17,314,722	19,751,523	17,840,748	11,213,196
VA	226,971,457	106,785,389	136,800,812	148,385,981	152,155,758	153,992,077	148,246,524
WA	196,449,944	119,319,852	128,515,613	142,056,650	159,358,924	182,044,573	206,468,229
WV	11,975,015	8,661,642	2,427,545	14,985,825	15,697,871	15,030,627	14,607,955
WI	139,973,154	89,337,023	84,798,984	122,879,610	170,063,104	193,185,110	207,826,034
WY	0	0	0	0	8,416,652	2,555,987	6,224,937
Total	9,586,395,121	5,887,947,479	6,628,207,659	7,632,069,193	8,160,376,542	8,706,305,499	9,185,859,310
N States	49	49	49	50	51	50	51

1990 data are from 1987 to 1991 FY Medicaid LTC Expenditures in FY 1991.pdf (annualized). 1991 data are annualized per report. 1992, 1994, 1995, 1996 data are from 1992 to 1997 Medicaid Expenditures.pdf.

Table 3.7 Total Expenditures for ICF/IID Residents by State FY 1980 through FY 2013 continued

State	1994	1995	1996	1997	1998	1999	2000
AL	\$79,259,148	\$79,259,148	\$68,010,973	\$58,305,599	\$56,663,839	\$59,125,121	\$63,946,199
AK	11,589,274	9,205,182	6,891,278	2,032,452	267,539	0	0
AZ	16,911,180	15,647,750	17,791,589	18,732,231	16,189,502	17,787,781	12,457,159
AR	94,186,907	103,458,655	105,334,764	105,949,531	109,175,074	116,542,291	121,239,605
CA	365,970,455	430,321,847	471,048,580	380,655,498	391,151,909	413,635,224	387,213,341
CO	38,872,894	30,659,927	24,164,734	23,574,819	22,251,666	22,247,573	17,985,707
CT	179,704,129	186,971,281	180,935,584	188,190,274	204,211,222	206,448,877	230,624,610
DE	27,269,884	27,752,296	30,886,227	31,232,628	32,557,963	32,794,120	32,544,972
DC	64,030,193	65,875,068	60,969,212	74,257,976	69,176,470	67,571,490	70,280,093
FL	212,266,722	246,691,836	226,117,681	248,207,991	255,994,175	267,534,507	281,143,157
GA	119,694,232	121,949,057	125,847,831	127,303,085	106,844,951	108,958,314	110,219,342
HI	10,540,552	11,237,754	11,237,754	11,627,954	10,026,718	9,557,808	7,975,547
ID	40,364,385	41,588,039	40,571,755	43,453,845	46,795,997	48,928,013	53,210,529
IL	489,074,612	527,061,797	591,718,863	580,152,170	610,073,358	627,992,096	649,195,470
IN	309,133,359	291,180,026	308,112,560	304,187,079	300,946,366	274,513,780	258,454,594
IA	161,161,376	161,161,376	178,843,930	178,213,310	177,479,816	184,609,591	191,252,400
KS	105,435,798	101,787,376	98,690,009	94,467,990	84,830,816	65,802,374	66,924,380
KY	71,528,596	70,213,679	58,064,778	75,690,587	79,354,733	85,576,513	83,523,742
LA	299,878,672	310,047,095	312,379,849	422,009,351	323,914,844	342,418,101	347,438,513
ME	54,806,503	54,806,503	49,475,852	45,548,200	38,824,396	40,722,892	35,306,066
MD	59,588,868	71,607,626	63,594,028	63,699,255	55,636,274	53,700,958	58,820,123
MA	295,029,013	357,357,461	276,184,625	254,061,832	252,869,371	224,951,606	210,037,470
MI	157,233,505	257,249,000	192,725,978	268,275,126	242,896,227	55,437,027	27,883,649
MN	245,807,000	234,000,000	183,855,005	238,628,363	223,835,414	187,921,804	208,714,012
MS	84,960,608	89,934,665	101,925,115	119,385,969	131,470,640	144,188,674	158,201,464
MO	144,138,825	159,944,760	156,510,293	104,771,136	110,152,040	100,667,607	164,291,907
MT	14,221,768	13,723,673	14,747,406	15,809,400	12,132,382	16,374,772	17,425,050
NE	34,234,126	35,246,948	36,497,943	36,895,824	42,975,941	45,105,316	48,861,869
NV	20,334,863	23,877,733	23,737,03	22,844,573	25,448,550	26,715,775	28,496,213
NH	5,979,764	8,295,351	3,290,787	1,299,177	1,502,299	1,593,018	1,660,413
NJ	357,321,411	380,191,658	359,085,307	373,077,452	347,216,494	377,878,919	380,579,725
NM	38,311,007	32,372,158	31,852,627	21,728,673	16,315,752	15,331,900	27,815,226
NY	2,011,018,234	2,041,725,184	2,112,557,194	2,010,005,630	2,047,529,204	2,126,786,280	2,129,387,466
NC	331,537,743	331,537,743	347,958,338	363,152,959	380,157,092	393,413,325	396,863,370
ND	38,746,760	38,746,760	41,528,253	43,652,930	44,306,094	45,057,295	49,980,530
OH	453,032,866	453,032,866	473,811,904	391,631,028	534,896,138	511,978,923	558,612,234
OK	91,297,595	98,743,607	92,345,139	100,899,311	106,414,249	101,701,832	103,178,346
OR	78,885,481	75,644,899	77,571,160	75,273,311	76,395,976	66,732,222	24,519,821
PA	501,094,381	499,551,217	554,620,590	544,000,034	554,600,906	518,343,003	496,918,629
RI	42,164,534	46,650,813	34,010,509	10,401,463	5,893,099	5,270,205	6,292,079
SC	172,312,26	192,753,577	184,919,220	174,750,114	172,453,453	167,756,423	171,931,801
SD	31,815,475	30,935,770	28,309,137	20,194,106	20,468,621	18,483,497	17,999,207
TN	135,559,639	150,393,660	201,502,734	212,774,040	243,619,978	237,723,120	234,719,370
TX	552,768,743	559,009,143	580,187,826	640,849,004	646,617,509	587,317,733	728,986,838
UT	38,094,684	41,253,151	46,127,900	45,047,084	43,954,806	51,317,669	53,199,472
VT	5,525,346	4,064,497	3,091,122	1,478,677	1,566,552	1,559,233	1,661,352
VA	153,543,506	152,407,011	153,656,345	159,666,989	160,216,732	169,784,414	183,139,808
WA	166,587,723	128,623,510	121,522,990	128,968,222	127,047,259	129,584,095	133,127,030
WV	14,288,181	51,383,598	53,704,311	52,705,244	48,655,647	45,810,775	47,088,484
WI	188,315,604	217,226,183	204,564,501	201,998,484	202,485,787	159,078,243	254,700,314
WY	6,829,072	10,197,787	10,483,553	17,777,773	16,630,240	14,385,516	16,054,327
Total	9,049,945,196	9,674,557,701	9,530,991,713	9,729,495,753	9,833,092,080	9,594,717,645	9,962,083,025
N States	51	51	51	51	51	50	50

For 1998 through 2010, the source for ICF/IID expenditures was a series of reports published by Truven under contract with CMS (based on CMS 64 reports). 1994, 1995, 1996 data are from 1992 to 1997 Medicaid Expenditures.pdf.

1990 data are from 1987 to 1991 FY Medicaid LTC Expenditures in FY 1991.pdf (annualized). 1991 data are annualized per report. 1992, 1994, 1995, 1996 data are from 1992 to 1997 Medicaid Expenditures.pdf.

Table 3.7 Total Expenditures for ICF/IID Residents by State FY 1980 through FY 2013 continued

State	2001	2002	2003	2004	2005	2006	2007
AL	\$61,714,388	\$60,308,940	\$54,566,007	\$36,698,512	\$27,248,061	\$25,886,484	\$31,522,229
AK	0	0	0	0	0	0	0
AZ	18,387,188	14,164,573	13,936,642	17,320,043	19,122,854	20,417,839	20,278,138
AR	96,255,399	119,491,147	120,675,042	113,000,000	140,908,587	134,527,835	146,961,679
CA	419,725,174	4,200,000,000	653,090,087	698,896,037	649,831,934	706,596,048	777,520,467
CO	16,034,098	19,202,411	32,424,912	20,545,000	58,726,134	46,063,47	24,415,890
CT	230,489,160	238,700,100	246,911,096	254,582,505	219,690,073	288,306,732	240,164,975
DE	30,869,844	31,219,292	28,514,265	28,453,879	25,821,497	22,750,881	26,647,205
DC	77,914,495	79,480,032	78,838,985	80,808,512	79,196,025	79,031,189	85,050,758
FL	290,508,354	310,393,230	316,110,930	309,107,343	301,190,366	314,472,720	328,230,163
GA	111,980,166	110,659,329	109,347,104	146,178,733	100,254,754	111,653,954	96,730,926
HI	8,000,357	8,589,053	7,563,221	7,466,462	8,605,505	7,707,296	8,683,468
ID	61,011,544	55,250,896	54,266,274	53,543,592	54,588,955	56,855,889	62,397,997
IL	668,984,334	695,913,250	681,494,560	759,063,784	688,155,342	714,280,782	705,351,006
IN	296,849,846	343,222,891	331,913,426	346,161,582	318,265,018	580,564,862	315,284,710
IA	202,856,281	208,167,543	233,812,431	225,591,144	248,752,217	264,363,121	276,941,750
KS	68,926,147	65,927,799	64,035,527	68,847,404	66,999,732	65,014,487	64,298,461
KY	94,311,899	97,888,453	113,264,181	106,755,738	107,747,087	128,758,532	150,345,369
LA	355,268,229	359,384,839	368,831,056	419,201,757	425,679,479	426,075,633	344,197,991
ME	44,841,108	50,370,111	60,571,420	60,794,291	55,769,276	71,845,300	75,512,062
MD	58,419,284	54,062,534	57,640,951	60,159,796	63,085,684	61,676,235	60,133,324
MA	211,383,811	198,048,863	220,310,836	228,172,918	213,106,263	165,698,119	206,594,017
MI	31,213,716	26,913,070	23,541,186	19,101,363	20,778,960	35,285,280	44,729,344
MN	217,662,491	207,899,599	195,215,567	180,916	171,455,673	171,024,693	175,692,901
MS	170,211,742	178,042,983	184,000,113	186,534,891	209,110,070	233,922,247	255,284,250
MO	100,191,414	231,814,000	142,972,076	263,379,163	256,706,484	237,511,700	110,186,884
MT	21,363,372	14,061,080	11,480,253	19,298,621	12,350,308	12,744,628	10,521,257
NE	47,765,756	47,952,610	49,170,975	60,806,628	59,443,762	60,368,305	66,940,338
NV	28,912,477	30,468,264	24,825,043	26,018,917	26,472,598	26,727,879	21,390,455
NH	2,146,938	1,952,826	1,865,866	2,290,044	2,348,269	2,483,541	2,521,518
NJ	421,459,378	462,968,767	426,296,020	512,838,236	565,546,561	644,230,654	628,420,862
NM	18,412,417	18,993,063	19,693,560	22,940,983	21,123,412	21,730,014	21,245,967
NY	2,159,385,111	2,201,916,467	1,439,086,391	2,575,882,341	2,719,055,847	2,893,576,049	2,715,657,045
NC	400,129,463	416,422,558	418,466,631	431,968,043	446,972,145	442,437,262	469,289,209
ND	48,134,972	53,136,733	51,650,764	54,839,065	65,278,839	62,935,692	64,042,332
OH	737,436,136	926,944,101	991,909,033	961,446,334	1,005,053,573	741,765,139	695,440,486
OK	114,123,962	108,821,759	111,600,170	120,545,148	121,544,040	125,060,741	127,234,669
OR	11,216,811	9,895,346	8,151,250	13,280,892	10,835,819	11,281,800	12,271,884
PA	486,148,847	497,866,539	509,008,044	501,747,655	577,222,902	555,407,634	557,623,598
RI	7,094,523	7,244,449	6,979,980	7,686,159	7,067,988	7,813,151	7,835,388
SC	169,106,488	174,843,154	167,696,107	174,884,240	161,433,481	161,278,523	157,179,948
SD	18,503,152	18,447,709	18,508,657	18,793,990	21,296,554	20,785,289	20,148,861
TN	232,818,131	253,862,656	255,674,647	227,494,079	289,361,481	262,019,417	233,574,841
TX	724,584,981	771,325,842	818,269,755	826,576,409	805,708,216	817,810,892	949,328,686
UT	54,230,152	54,883,090	54,664,369	53,977,353	57,513,532	60,702,442	58,133,589
VT	1,628,446	1,630,657	1,528,774	829,376	944,808	959,449,000	978,638
VA	187,411,959	211,837,739	215,350,786	201,974,332	228,819,663	237,898,977	250,653,294
WA	130,662,490	129,321,217	112,399,005	124,232,182	126,200,726	125,984,331	114,313,706
WV	47,763,206	47,513,217	53,018,568	54,248,872	55,100,628	55,756,330	57,575,454
WI	205,681,098	226,316,755	224,092,115	226,961,327	197,374,367	170,088,819	150,301,869
WY	14,856,367	11,662,082	15,807,889	16,908,396	18,335,225	18,296,182	20,006,774
Total	10,234,987,102	14,665,403,618	10,401,042,547	11,749,014,987	12,133,200,774	13,422,860,549	12,045,786,632
N States	50	50	50	50	50	50	50

Data source Truven under contract with CMS (based on CMS 64 reports).

1990 data are from 1987 to 1991 FY Medicaid LTC Expenditures in FY 1991.pdf (annualized). 1991 data are annualized per report. 1992, 1994, 1995, 1996 data are from 1992 to 1997 Medicaid Expenditures.pdf.

Table 3.7 Total Expenditures for ICF/IID Residents by State FY 1980 through FY 2013 continued

State	2008	2009	2010	2011	2012	2013
<b>AL</b>	\$36,179,938	\$37,940,939	\$34,859,100	\$32,663,152	\$10,586,376	\$1,784,376
<b>AK</b>	0	1,393,098	1,595,524	2,653,248	2,509,407	0
<b>AZ</b>	28,242,824	28,383,904	26,114,705	28,946,902	26,844,760	0
<b>AR</b>	147,860,036	144,391,534	158,996,003	156,756,080	168,540,154	163,191,817 <sup>T</sup>
<b>CA</b>	919,377,250	890,037,095	833,594,890	778,752,937	791,264,984	674,213,696
<b>CO</b>	22,289,078	23,160,348	27,617,463	40,284,972	39,137,104	43,509,184
<b>CT</b>	237,075,913	236,997,479	292,218,578	283,941,584	284,641,964	199,451,356
<b>DE</b>	29,834,083	27,903,771	30,733,521	40,994,246	41,391,199	23,222,247 <sup>e</sup>
<b>DC</b>	82,579,121	73,766,501	69,208,790	66,639,204	69,494,028	83,608,160
<b>FL</b>	338,268,160	328,449,347	333,717,786	329,999,412	328,459,559	321,883,150 <sup>T</sup>
<b>GA</b>	119,138,882	90,187,146	120,416,968	102,456,125	41,170,362	40,915,693 <sup>T</sup>
<b>HI</b>	9,027,307	9,903,759	9,026,384	9,201,785	8,834,621	7,714,574 <sup>ed</sup>
<b>ID</b>	62,009,912	55,032,345	49,824,930	86,176,731	23,064,006	48,640,003 <sup>T</sup>
<b>IL</b>	757,158,237	663,147,419	806,994,503	783,632,770	669,814,379	572,400,000
<b>IN</b>	299,324,271	311,758,280	312,445,788	299,344,077	297,472,343	291,497,636
<b>IA</b>	289,767,203	305,772,475	286,591,728	323,859,064	289,899,927	284,048,012
<b>KS</b>	65,305,107	67,462,764	65,309,243	64,165,083	63,008,700	63,521,989 <sup>T</sup>
<b>KY</b>	110,812,933	100,612,742	163,823,770	155,892,466	144,103,306	162,523,174 <sup>T</sup>
<b>LA</b>	480,817,456	468,006,901	472,156,873	436,831,663	467,364,486	390,662,003
<b>ME</b>	64,009,298	65,213,011	62,219,346	69,463,464	74,916,455	35,076,254 <sup>e</sup>
<b>MD</b>	65,317,130	44,205,359	24,983,520	1,453,599	123,036	DNF
<b>MA</b>	234,625,211	107,376,403	417,064,471	142,825,028	178,675,093	122,672,000 <sup>d</sup>
<b>MI</b>	31,160,534	13,000,049	1,276,668	55,280	0	215,843 <sup>T</sup>
<b>MN</b>	178,358,058	173,914,155	169,111,403	166,901,149	164,144,639	122,605,083
<b>MS</b>	285,877,979	277,194,524	269,536,058	267,462,556	270,287,227	283,435,119
<b>MO</b>	129,143,106	152,880,679	133,990,127	330,603,199	363,705,266	97,757,183
<b>MT</b>	13,375,445	12,147,430	12,659,441	12,778,066	11,320,106	10,368,703 <sup>T</sup>
<b>NE</b>	67,853,861	65,946,035	34,877,683	28,412,382	55,830,534	32,607,856
<b>NV</b>	18,993,803	16,426,532	18,509,609	19,783,583	17,924,601	9,653,196
<b>NH</b>	3,005,371	3,252,472	3,106,085	2,991,337	3,252,890	1,841,199 <sup>T</sup>
<b>NJ</b>	621,059,289	664,701,928	610,587,714	634,977,564	650,873,269	697,128,262 <sup>T</sup>
<b>NM</b>	23,171,893	24,014,829	24,694,512	25,024,656	24,809,317	24,977,074 <sup>T</sup>
<b>NY</b>	3,155,327,190	3,275,314,631	3,568,222,950	3,633,156,974	3,382,400,761	2,710,068,708
<b>NC</b>	502,682,627	517,580,498	494,267,815	496,880,854	443,800,862	213,838,383 <sup>e</sup>
<b>ND</b>	67,046,731	74,367,940	82,816,925	88,837,290	95,202,092	96,166,336 <sup>T</sup>
<b>OH</b>	691,993,529	738,206,926	763,130,049	747,592,604	757,787,874	758,249,245
<b>OK</b>	126,909,980	126,206,862	123,591,517	128,814,436	113,227,985	111,630,604 <sup>T</sup>
<b>OR</b>	13,946,950	6,763,791	2,248,244	0	0	0
<b>PA</b>	584,304,035	620,371,984	600,047,669	594,398,889	580,876,887	568,539,061
<b>RI</b>	8,737,800	11,424,253	11,418,913	11,347,035	9,159,578	4,038,624 <sup>ed</sup>
<b>SC</b>	154,255,458	166,524,666	140,569,551	136,350,495	155,037,462	214,796,614 <sup>e</sup>
<b>SD</b>	22,366,550	23,336,646	26,585,788	24,714,946	29,593,899	29,351,861
<b>TN</b>	241,018,741	267,505,167	227,917,189	221,902,420	216,276,177	221,987,200
<b>TX</b>	959,803,209	1,018,543,233	1,089,485,269	1,099,420,908	1,047,581,096	1,076,531,378
<b>UT</b>	72,109,391	70,096,237	65,335,079	62,031,881	63,278,359	33,760,310
<b>VT</b>	1,183,582	1,226,274	1,210,257	1,202,994	1,211,654	1,150,464
<b>VA</b>	279,437,105	292,532,000	294,207,739	291,785,192	289,132,827	283,729,646 <sup>T</sup>
<b>WA</b>	150,355,817	154,362,760	139,888,403	130,812,699	106,126,063	166,514,999
<b>WV</b>	60,128,913	63,958,052	62,594,827	62,024,336	65,414,249	67,189,436
<b>WI</b>	70,578,857	259,530,318	145,564,953	148,213,781	198,477,673	162,450,803
<b>WY</b>	18,312,242	17,520,919	18,503,355	20,164,145	20,744,605	19,640,307 <sup>T</sup>
<b>Total</b>	12,951,517,396	13,189,954,410	13,735,469,676	13,625,575,243	13,158,794,201	11,550,758,821
<b>N States</b>	50	51	51	50	49	47

Data for 2008 through 2010 provided by Truven under contract with CMS (based on CMS 64 reports). FY 2011 data were provided by the State of the States Project (Braddock et al., 2013). Since 2012, the state IDD agency have furnished ICF/IID expenditure data with the Truven reports used only when the state was unable to furnish it.





## **SECTION FOUR**

# **Status and Trends in the Utilization of State-Operated IDD Facilities**

**FY 2013**



## SECTION 4: STATUS OF STATE-OPERATED IDD SETTINGS

Section 4 describes state-operated long-term services and supports for people with IDD by size, funding source and average daily cost per person in FY 2013. It also summarizes the number of admissions, readmissions, discharges and deaths in large state IDD facilities. The section continues with a review of trends over time in the use of large state IDD facilities. Data for **Tables 4.1** through **4.8** were provided by State IDD Directors. Both State IDD Directors and designated staff in state-operated facilities contributed data for **Tables 4.9** through **4.13** with most of the facility level data provided by facility representatives.

### State-Operated IDD Facilities

#### Number of State IDD Settings

States operated an estimated 2,192 residential facilities for people with IDD on June 30, 2013 (see **Tables 4.1** and **4.2**). Of those residences, 1,819 (83%) were funded by a Medicaid Waiver authority, 363 (17%) were funded as ICF/IID, and 10 (less than 1%) were funded using only non-Medicaid resources. Of the 2,192 facilities, 363 (17%) served three or fewer people, 952 (43%) served between four and six people, 710 (32%) served between seven and fifteen people, and 167 (8%) served sixteen or more people.

#### By Funding Authority

In 2013, the largest numbers of state-operated IDD residences were in Massachusetts (258), Minnesota (115), Mississippi (164), and New York (1,053). There were no state IDD residences in Alabama, Alaska, the District of Columbia, Hawaii, Indiana, Michigan, or Vermont. Of the seventeen states operating one or more Medicaid Waiver funded setting, the largest numbers were in Massachusetts (255), Minnesota (100), Mississippi (95), and New York (1,006). Of the thirty-eight states reporting one or more state-operated ICF/IID, Mississippi (69), New York (47), Tennessee (27), and West Virginia (70) operated the greatest number of facilities. Six states reported state IDD settings not funded by Medicaid Waiver or

**Table 4.1** Number of State-Operated IDD Facilities on June 30, 2013: Totals by State, Size and by Funding Authority

State	Total Any Funding Authority						Total Any Size		
	1-3	4-6	1-6	7-15	16+	Waiver ICF/IID	Other	Total	
AL	0	0	0	0	0	0	0	0	0
AK	0	0	0	0	0	0	0	0	0
AZ	7	5	12	3	1	16	0	0	16
AR	0	0	0	0	5	0	5	0	5
CA	0	0	0	0	5	0	5	0	5
CO	0	2*	2	2*	2	2	4	0	6
CT	14	41	55	14	6	69	6	0	75
DE	2	2	4	0	1	4	1	0	5
DC	0	0	0	0	0	0	0	0	0
FL	0	0	0	0	5	0	2	3	5
GA	0	0	0	0	3	0	3	0	3
HI	0	0	0	0	0	0	0	0	0
ID	0	1	1	0	1	0	2	0	2
IL	0	0	0	0	7	0	7	0	7
IN	0	0	0	0	0	0	0	0	0
IA	6	12	18	0	2	18	2	0	20
KS	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	2 <sup>ed</sup>	0	2	0	2
KY	0	0	0	0	3	0	3	0	3
LA	0	2	2	2	2	0	6	0	6
ME	4	0	4	0	0	0	0	4	4
MD	0	0	0	0	3	0	2	1	3
MA	33 <sup>d</sup>	199 <sup>d</sup>	232 <sup>d</sup>	23 <sup>d</sup>	3 <sup>d</sup>	255	3	0	258
MI	0	0	0	0	0	0	0	0	0
MN	10	105	115	0	0	100	15	0	115
MS	74 <sup>e</sup>	24 <sup>e</sup>	98 <sup>e</sup>	60 <sup>e</sup>	6	95	69	0	164
MO	66	9	75	0	7	75	7	0	82
MT	0	0	0	0	1	0	1	0	1
NE	0	0	0	1	4	1	4	0	5
NV	0	0	0	0	1	0	1	0	1
NH	0	1	1	0	0	0	0	1	1
NJ	2	7	9	13	7	22	7	0	29
NM	DNF	DNF	DNF	0	0	DNF	0	0	DNF
NY	69	418	487	537	29	1,006	47	0	1,053
NC	0	2	2	0	4	2	4	0	6
ND	0	0	0	0	1	0	1	0	1
OH	0	0	0	0	10	0	10	0	10
OK	0	0	0	0	2	0	2	0	2
OR	0	23	23	0	0	23	0	0	23
PA	0	0	0	0	5	0	5	0	5
RI	26 <sup>ed</sup>	26 <sup>ed</sup>	52 <sup>ed</sup>	3 <sup>ed</sup>	2 <sup>e</sup>	53	4	0	57
SC	0	0	0	0	5	0	5	0	5
SD	0	0	0	0	1	0	1	0	1
TN	0	26	26	0	2	0	27	1	28
TX	0	2	2	0	13	0	15	0	15
UT	0	0	0	0	1	0	1	0	1
VT	0	0	0	0	0	0	0	0	0
VA	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	5 <sup>e</sup>	0	5	0	5
WA	DNF	21 <sup>e</sup>	DNF	0	4	DNF	4	0	DNF
WV	0	16	16 <sup>0</sup>	52	2	0	70	0	70
WI	0	0	0	0	3	0	3	0	3
WY	0	0	0	0	1	0	1	0	1
<b>Reported Total</b>	313	944	1,236	710	167	1,741	362	10	2,113
<b>Estimated Total</b>	363	952	1,315	710	167	1,819	363	10	2,192

**Table 4.2** Number of State-Operated IDD Settings on June 30, 2013 by State, Size and Funding Authority

State	1-3 Residents			4-6 Residents			1-6 Residents			7-15 Residents			16+ IDD Facilities/Units		
	ICF/IID	Waiver	Other	ICF/IID	Waiver	Other	ICF/IID	Waiver	Other	ICF/IID	Waiver	Other	ICF/IID	Waiver	Other
<b>N States</b>	<b>49</b>	<b>51</b>	<b>51</b>	<b>50</b>	<b>51</b>	<b>51</b>	<b>49</b>	<b>51</b>	<b>51</b>	<b>51</b>	<b>51</b>	<b>51</b>	<b>51</b>	<b>51</b>	<b>51</b>
AL	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AK	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AZ	7	0	0	5	0	0	12	0	0	3	0	0	1	0	0
AR	0	0	0	0	0	0	0	0	0	0	0	0	5	0	0
CA	0	0	0	0	0	0	0	0	0	0	0	0	5	0	0
CO	2	0	0	0	0	0	2	0	2	0	0	0	2	0	0
CT	14	0	0	41	0	0	55	0	0	14	0	0	6	0	0
DE	2	0	0	2	0	0	4	0	0	0	0	0	1	0	0
DC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FL	0	0	0	0	0	0	0	0	0	0	0	0	2	0	3
GA	0	0	0	0	0	0	0	0	0	0	0	0	3	0	0
HI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ID	0	0	1 <sup>e</sup>	0	0	1 <sup>e</sup>	0	0	0	0	0	0	1	0	0
IL	0	0	0	0	0	0	0	0	0	0	0	0	7	0	0
IN	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
IA	6	0	0	12	0	0	18	0	0	0	0	0	2	0	0
KS	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	2	0 <sup>ed</sup>	0 <sup>ed</sup>	
KY	0	0	0	0	0	0	0	0	0	0	0	0	3	0	0
LA	0	0	2	0	0	2	0	0	2	0	0	0	2	0	0
ME	0	4	0	0	0	0	0	4	0	0	0	0	0	0	0
MD	0	0	0	0	0	0	0	0	0	0	0	0	2	0	1
MA	33 <sup>d</sup>	0 <sup>d</sup>	0 <sup>d</sup>	199 <sup>d</sup>	0 <sup>d</sup>	0 <sup>d</sup>	232 <sup>d</sup>	0 <sup>d</sup>	0 <sup>d</sup>	23 <sup>d</sup>	0 <sup>d</sup>	0 <sup>d</sup>	3 <sup>d</sup>	0 <sup>d</sup>	0 <sup>d</sup>
MI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MN	10	0	15	90	0	15	100	0	0	0	0	0	0	0	0
MS	74 <sup>e</sup>	0	5	19 <sup>e</sup>	0	5	93 <sup>e</sup>	0	58	2 <sup>e</sup>	0	6	0	0	0
MO	66	0	0	9	0	0	75	0	0	0	0	0	7*	0	0
MT	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
NE	0	0	0	0	0	0	0	0	0	1	0	0	4	0	0
NV	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
NH	0	0	0	0	1	0	0	1	0	0	0	0	0	0	0
NJ	2	0	0	7	0	0	9	0	0	13	0	0	7	0	0
NM	DNF	0	0	DNF	0	0	DNF	0	0	0	0	0	0	0	0
NY	69	0	8	410	0	8	479	0	10	527	0	29	0	0	0
NC	0	0	0	2	0	0	2	0	0	0	0	0	4	0	0
ND	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
OH	0	0	0	0	0	0	0	0	0	0	0	0	10	0	0
OK	0	0	0	0	0	0	0	0	0	0	0	0	2	0	0
OR	0	0	0	23	0	0	23	0	0	0	0	0	0	0	0
PA	0	0	0	0	0	0	0	0	0	0	0	0	5	0	0
RI	26	0 <sup>e</sup>	4 <sup>ed</sup>	22	0 <sup>e</sup>	4 <sup>ed</sup>	48	0 <sup>e</sup>	0 <sup>ed</sup>	3	0 <sup>e</sup>	0	2 <sup>ed</sup>	0 <sup>e</sup>	
SC	0	0	0	0	0	0	0	0	0	0	0	0	5	0	0
SD	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
TN	0	0	25	0	1	25	0	1	0	0	0	0	2	0	0
TX	0	0	2 <sup>e</sup>	0	0	2 <sup>e</sup>	0	0	0	0	0	0	13	0	0
UT	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
VT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
VA	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	5	0	0
WA	DNF	0	0	21	0	0	DNF	0	0	0	0	0	4	0	0
WV	0	0	16 <sup>e</sup>	0	0 <sup>e</sup>	16 <sup>e</sup>	0	0 <sup>e</sup>	52	0	0 <sup>e</sup>	2 <sup>e</sup>	0	0	0
WI	0	0	0	0	0	0	0	0	0	0	0	0	3	0	0
WY	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0
<b>US Total</b>	<b>311</b>	<b>4</b>	<b>78</b>	<b>862</b>	<b>2</b>	<b>78</b>	<b>1,152</b>	<b>6</b>	<b>124</b>	<b>586</b>	<b>0</b>	<b>160</b>	<b>3</b>	<b>4</b>	
<b>Estimated US Total</b>	<b>361</b>	<b>4</b>	<b>78</b>	<b>869</b>	<b>2</b>	<b>79</b>	<b>1,457</b>	<b>6</b>	<b>124</b>	<b>586</b>	<b>0</b>	<b>160</b>	<b>3</b>	<b>4</b>	

<sup>d</sup> Other date (Usually June 30, 2012) <sup>e</sup> Estimate <sup>DNF</sup> Did not furnish <sup>\*</sup> See state notes in Appendix

ICF/IID funding authorities including Florida (3), Maine (4), Maryland (1), New Hampshire (1), Tennessee (1), and Virginia (5).

### By Size

Among the 39 states operating IDD settings serving 16 or more people, Missouri (7), New Jersey (7), New York (29), Ohio (10), and Texas (13) operated the largest number of facilities. Of the 11 states operating settings serving 7 to 15 people, three had 50 or more: Mississippi (60), New York (537), and West Virginia (52). Among the 23 with state operated facilities serving six or fewer people, Connecticut (55), Massachusetts (232), Minnesota (115), Mississippi (98), Missouri (75), New York (487), and Rhode Island (52) operated the largest number of facilities. Of the state-operated settings funded by a source other than Medicaid Waiver or ICF/IID, 4 housed three or fewer people, 2 housed four to six people, and 9 housed 16 or more people.

### People in State-Operated IDD Facilities

On June 30, 2013, 35,602 people living in state-operated IDD facilities (see **Tables 4.3** and **4.4**) including 10,298 in Medicaid Waiver funded settings, 24,655 in an ICF/IID, and 649 in a state-operated setting funded by another source. Overall, 828 people with IDD lived in state-operated settings of one to three people, 4,467 lived in settings of four to six people, 6,431 lived in settings of seven to fifteen people, and 23,854 lived in settings of sixteen or more people.

States with the most people with IDD living in state-operated residences were Illinois (1,810), Mississippi (1,995), New Jersey (2,557), New York (7,470) and Texas (3,557). The largest number of people in Waiver-funded state-operated residences were in Connecticut (363), Massachusetts (1,119), Minnesota (366), New York (6,925), and Rhode Island (245). The largest number of people in state-operated ICF/IID settings were in California (1,567), Illinois (1,810), Mississippi (1,782), New Jersey (2,413), and Texas (3,557). The largest number of people in state-operated settings with another funding source were in Florida (214), Georgia (293), and Maryland (124).

The largest numbers of people in state-operated settings of 16 or more were in California (1,567), Illinois (1,810), New Jersey (2,413), North Carolina (1,272), and Texas (3,547). The largest number of people in state-operated settings of 7 to 15 people

were in Mississippi (561), New York (4,837), and West Virginia (390). The largest number of people in state-operated settings of four to six people were in Connecticut (226), Massachusetts (858), Minnesota (430), New York (2,027) and Rhode Island (150). Finally, the largest number of people in state-operated settings with three or fewer people were in Mississippi (127), Missouri (180), and New York (169).

Nearly all of the people with IDD living in state-operated facilities serving 16 or more people were in an ICF/IID (94%, See **Table 4.4**). In the other size categories the overwhelming majority were in settings funded by a Medicaid Waiver authority including 81% of people in settings with 7 to 15 people, 93% of people in settings of 4 to 6 people, and 99% of people in settings of 1 to 3 people. Nearly all of the people in state settings funded by an authority other than Medicaid Waiver or ICF/IID lived in facilities serving 16 or more people (631 of 649).

### Average per Person Costs

Average daily per person costs in state-operated Waiver-funded settings of 16 or more people were \$446 in Arizona and \$440 in Rhode Island (See **Table 4.5**). Average daily per person costs in state-operated ICF/IIDs with 16 or more people were reported by 29 of 35 states and averaged \$715 (an annual per person cost of \$260,975). Average daily costs ranged from \$317 in Arkansas (\$115,705 per person per year) to \$1,852 in New Jersey (\$675,980 per person per year). Average per person daily costs in state-operated settings not funded by Medicaid Waiver or ICF/IID averaged \$418 (\$152,570 per year) and were \$331 in Florida, \$421 in Georgia, and \$502 in Maryland.

### Admissions, Discharges and Deaths

The average daily population of large state-operated facilities in FY 2013 was 24,779 people (See **Table 4.6**). The number of people in large state-operated facilities declined from 26,981 on July 1, 2012, to 23,854 on June 30, 2013 (a decrease of 12%). The largest decreases were in Colorado (43%), Kentucky (42%), Louisiana (44%), and New York (73%).

### Admissions

During FY 2013, an estimated 1,130 people with IDD were admitted to large state IDD facilities (5% of the year's average daily population). Five states with large state-operated facilities reported no short- or

# 2013

**Table 4.3** People in State-Operated IDD Facilities on June 30, 2013: Totals by State, Size and Funding Authority

State	Total Any Funding Authority					Total Any Size			Total
	1-3	4-6	1-6	7-15	16+	Waiver	ICF/IID	Other	
AL	0	0	0	0	0	0	0	0	0
AK	0	0	0	0	0	0	0	0	0
AZ	17	23	40	25	106	171	0	0	171
AR	0	0	0	0	934	0	934	0	934
CA	0	0	0	0	1,567	0	1,567	0	1,567
CO	0	135	135	166	168	135	334	0	469
CT	32	226	258	108	552	363	555	0	918
DE	5	9	14	0	61	13	61	1	75
DC	0	0	0	0	0	0	0	0	0
FL	0	0	0	0	899	0	685	214	899
GA	0	0	0	0	293	0	0	293	293
HI	0	0	0	0	0	0	0	0	0
ID	0	5	5	0	46	0	51	0	51
IL	0	0	0	0	1,810	0	1,810	0	1,810
IN	0	0	0	0	0	0	0	0	0
IA	18	46	64	0	429	64	429	0	493
KS	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	327 <sup>e</sup>	0	327	0	327
KY	0	0	0	0	203	0	203	0	203
LA	0	8	8	19	468	0	495	0	495
ME	5	0	5	0	0	0	0	5	5
MD	0	0	0	0	277	0	153	124	277
MA	90 <sup>d</sup>	858 <sup>d</sup>	948 <sup>d</sup>	173 <sup>d</sup>	516 <sup>d</sup>	1,119	518	0	1,637
MI	0	0	0	0	0	0	0	0	0
MN	23	430	453	0	0	366	87	0	453
MS	137 <sup>e</sup>	85 <sup>e</sup>	222 <sup>e</sup>	561 <sup>e</sup>	1,212	213	1,782	0	1,995
MO	180	35	215	0	463	215	463	0	678
MT	0	0	0	0	53	0	53	0	53
NE	0	0	0	8	124	8	124	0	132
NV	0	0	0	0	46	0	46	0	46
NH	0	6	6	0	0	0	0	6	6
NJ	6	41	47	97	2,413	144	2,413	0	2,557
NM	31	38	69	0	0	65	4	0	69
NY	169	2,027	2,196	4,837	437	6,925	545	0	7,470
NC	0	8	8	0	1,272	8	1,272	0	1,280
ND	0	0	0	0	87	0	87	0	87
OH	0	0	0	0	952	0	952	0	952
OK	0	0	0	0	203	0	203	0	203
OR	0	108	108	0	0	108	0	0	108
PA	0	0	0	0	1,041	0	1,041	0	1,041
RI	36	150	186	47 <sup>*</sup>	33 <sup>e</sup>	245	21	0	266
SC	0	0	0	0	721	0	721	0	721
SD	0	0	0	0	127	0	127	0	127
TN	0	101	101	0	172	0	267	6	273
TX	0	10	10	0	3,547	0	3,557	0	3,557
UT	0	0	0	0	206	0	206	0	206
VT	0	0	0	0	0	0	0	0	0
VA	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	779	0	779	0	779
WA	81 <sup>e</sup>	55 <sup>e</sup>	136 <sup>e</sup>	0	808 <sup>*</sup>	136	808	0	944
WV	0	83 <sup>e</sup>	83 <sup>e</sup>	390 <sup>e</sup>	39	0	512	0	512
WI	0	0	0	0	373	0	373	0	373
WY	0	0	0	0	90	0	90	0	90
Total	830	4,487	5,317	6,431	23,854	10,298	24,655	649	35,602

Note: All states reported all of the data elements for this table so estimated totals are the same as reported totals.

**Table 4.4** People in State-Operated IDD Facilities on June 30, 2013 by State, Size and Funding Authority

State	1-3 Residents			4-6 Residents			1-6 Residents			7-15 Residents			16+ Residents		
	ICF/ IID	Waiver	Other	ICF/ IID	Waiver	Other	ICF/ IID	Waiver	Other	ICF/IID	Waiver	Other	ICF/ IID	Waiver	Other
AL	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AK	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AZ	17	0	0	23	0	0	40	0	0	0	25	0	0	106	0
AR	0	0	0	0	0	0	0	0	0	0	0	0	934	0	0
CA	0	0	0	0	0	0	0	0	0	0	0	0	1,567 <sup>e</sup>	0	0
CO	0	0	0	135	0	0	135	0	166	0	0	0	168	0	0
CT	32	0	2	224	0	2	256	0	1	107	0	0	552	0	0
DE	4	1	0	9	0	0	13	1	0	0	0	0	61	0	0
DC	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FL	0	0	0	0	0	0	0	0	0	0	0	0	685	0	214
GA	0	0	0	0	0	0	0	0	0	0	0	0	0	0	293
HI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
ID	0	0	5	0	0	5	0	0	0	0	0	0	46	0	0
IL	0	0	0	0	0	0	0	0	0	0	0	0	1,810	0	0
IN	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
IA	18	0	0	24	0	0	64	0	0	0	0	0	429	0	0
KS	0 <sup>e</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	327 <sup>e</sup>	0	0
KY	0	0	0	0	0	0	0	0	0	0	0	0	203	0	0
LA	0	0	8	0	0	8	0	0	19	0	0	0	468	0	0
ME	0	5	0	0	0	0	0	5	0	0	0	0	0	0	0
MD	0	0	0	0	0	0	0	0	0	0	0	0	153	0	124
MA	88 <sup>de</sup>	0 <sup>d</sup>	2 <sup>d</sup>	858 <sup>de</sup>	0 <sup>d</sup>	2 <sup>d</sup>	946 <sup>de</sup>	0 <sup>d</sup>	0 <sup>d</sup>	173 <sup>de</sup>	0 <sup>d</sup>	0 <sup>d</sup>	516	0 <sup>d</sup>	0 <sup>d</sup>
MI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
MN	23	0	87	343	0	87	366	0	0	0	0	0	0	0	0
MS	137 <sup>e</sup>	0	26	59 <sup>e</sup>	0	26	196 <sup>e</sup>	0	544	17 <sup>e</sup>	0	1,212	0	0	0
MO	180	0	0	35	0	0	215	0	0	0	0	0	463	0	0
MT	0	0	0	0	0	0	0	0	0	0	0	0	53	0	0
NE	0	0	0	0	0	0	0	0	0	8	0	0	124	0	0
NV	0	0	0	0	0	0	0	0	0	0	0	0	46	0	0
NH	0	0	0	0	6	0	0	6	0	0	0	0	0	0	0
NJ	6	0	0	41	0	0	47	0	0	97	0	0	2,413	0	0
NM	31	0	4 <sup>e</sup>	34	0	4 <sup>e</sup>	65	0	0	0	0	0	0	0	0
NY	169	0	33	1,994	0	33	2,163	0	75	4,762	0	0	437	0	0
NC	0	0	0 <sup>e</sup>	8 <sup>e</sup>	0	0 <sup>e</sup>	8 <sup>e</sup>	0	0	0	0	0	1,272	0	0
ND	0	0	0	0	0	0	0	0	0	0	0	0	87	0	0
OH	0	0	0	0	0	0	0	0	0	0	0	0	952	0	0
OK	0	0	0	0	0	0	0	0	0	0	0	0	203	0	0
OR	0	0	0	108	0	0	108	0	0	0	0	0	0	0	0
PA	0	0	0	0	0	0	0	0	0	0	0	0	1,041	0	0
RI	36	0 <sup>ed</sup>	21 <sup>ed</sup>	129	0 <sup>ed</sup>	21 <sup>ed</sup>	165	0 <sup>ed</sup>	0 <sup>ed</sup>	47	0 <sup>ed</sup>	0	33 <sup>ed</sup>	0 <sup>e</sup>	
SC	0	0	0	0	0	0	0	0	0	0	0	0	721	0	0
SD	0	0	0	0	0	0	0	0	0	0	0	0	127	0	0
TN	0	0	95	0	6	95	0	6	0	0	0	0	172	0	0
TX	0	0	10	0	0	10	0	0	0	0	0	0	3,547	0	0
UT	0	0	0	0	0	0	0	0	0	0	0	0	206	0	0
VT	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
VA	0 <sup>e</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	0 <sup>ed</sup>	779	0	0
WA	81 <sup>e</sup>	0	0	55 <sup>e</sup>	0	0	136 <sup>e</sup>	0	0	0	0	0	808 <sup>*</sup>	0	0
WV	0 <sup>*</sup>	0	83 <sup>e</sup>	0	0	83 <sup>e</sup>	0	0	390 <sup>e</sup>	0	0	0	39 <sup>e</sup>	0	0
WI	0	0	0	0	0	0	0	0	0	0	0	0	373	0	0
WY	0	0	0	0	0	0	0	0	0	0	0	0	90	0	0
Total	822	6	376	4,079	12	376	4,923	18	1,195	5,236	0	23,084	139	631	

<sup>d</sup> Other date (Usually June 30, 2012) <sup>e</sup> Estimate <sup>DNF</sup> Did not furnish <sup>\*</sup> See state notes in the Appendix

long-term admissions in FY 2013 (Arizona, Georgia, Nebraska, Oklahoma and Tennessee; See **Tables 4.6 and 4.7**) while three states reported long-term admissions equaling or exceeding 20% of the year's average daily population (Colorado, Kentucky and Montana).

## Discharges

During FY 2013, an estimated 2,288 people with IDD were discharged from large state-operated facilities (10% of the average daily population). Of the 39 states operating large state-operated facilities, three discharged more than 30% of their average daily residents (Idaho, 58%; Kentucky, 33%; and Montana, 48%) in 2013.

## Deaths

During FY 2013, an estimated 620 people with IDD (3% of the average daily population) died while residing in large state-operated facilities. Three states with large state-operated facilities reported no deaths during the year (Arkansas, Nevada, and South Dakota). Two states reported that the number of deaths was equal to or greater than 5% of the average daily population (Maryland, 6%; and North Dakota, 8%).

## Short-Term Admissions

In FY 2013, 12 states reported a total of 887 short-term admissions for crisis or respite stays of less than 90 days to a large state IDD facility (See **Table 4.7**). None of the states reported short-term admissions to a large Waiver funded facility, one state reported a short-term admission to a non-Medicaid facility (Maryland), and twelve states reported short-term admissions to a large state ICF/IID. States reporting the most short-term ICF/IID admissions were South Carolina (126), Washington (385), and Wisconsin (177). Of the 2,017 short and long-term admissions in FY 2013, 887 (43%) were for short-term stays.

## Facility Closures in FY 2013 and Projections through FY 2020

Of the 384 state-operated IDD facilities serving 16 or more people between 1960 and 2013, 211 had closed, converted to non-IDD use, privatized, or downsized to 15 or fewer residents by June 30, 2012, 8 facilities closed, converted, privatized or downsized in FY 2013, 165 were open on June 30, 2013, and 9 were projected to close in FY 2014 or FY 2015. Eleven states (Alabama, Alaska, Hawaii, Indiana, Maine,

**Table 4.5** Daily Per Person Cost in State-Operated IDD Facilities with 16 or More Residents by State and Funding Authority for FY 2013

State	HCBS	ICF/IID	Other
AL	N/A	N/A	N/A
AK	N/A	N/A	N/A
AZ	446	N/A	N/A
AR	DNF	317	N/A
CA	N/A	946	N/A
CO	N/A	657	N/A
CT	N/A	1,090*	N/A
DE	N/A	1,058	N/A
DC	N/A	N/A	N/A
FL	N/A	335	331
GA	N/A	N/A	421
HI	N/A	N/A	N/A
ID	N/A	819	N/A
IL	N/A	400 <sup>e</sup>	N/A
IN	N/A	N/A	N/A
IA	N/A	775	N/A
KS	N/A	DNF	N/A
KY	N/A	DNF	N/A
LA	N/A	725	N/A
ME	N/A	N/A	N/A
MD	N/A	DNF	502
MA	N/A	DNF	N/A
MI	N/A	N/A	N/A
MN	N/A	N/A	N/A
MS	N/A	329	N/A
MO	N/A	619	N/A
MT	N/A	750	N/A
NE	N/A	1,086	N/A
NV	N/A	579	N/A
NH	N/A	N/A	N/A
NJ	N/A	1,852	N/A
NM	N/A	N/A	N/A
NY	N/A	DNF	N/A
NC	N/A	569	N/A
ND	N/A	740	N/A
OH	N/A	519	N/A
OK	N/A	563	N/A
OR	N/A	N/A	N/A
PA	N/A	754	N/A
RI	440 <sup>ed</sup>	N/A	N/A
SC	N/A	DNF	N/A
SD	N/A	456	N/A
TN	N/A	1,120	N/A
TX	N/A	648	N/A
UT	N/A	449	N/A
VT	N/A	N/A	N/A
VA	N/A	779	N/A
WA	N/A	518	N/A
WV	N/A	379 <sup>e</sup>	N/A
WI	N/A	908	N/A
WY	N/A	718	N/A
Average	443	715	418

<sup>a</sup> Other date (Usually June 30, 2012) <sup>e</sup> Estimate DNF Did not furnish N/A No facilities of this type \* See state notes in the Appendix

**Table 4.6** Average Daily Population, Admissions, Discharges and Deaths for State-Operated IDD Facilities by State in Fiscal Year 2013

State	Average Daily Population	Admissions		Discharges		Deaths		Total Residents		
		N	% of Population	N	% of Population	N	% of Population	7/1/2012	6/30/2013	% Change
<b>N States</b>	51	48	35	49	36	48	35	50	51	37
AL	0	0	N/A	0	N/A	0	N/A	0	0	N/A
AK	0	0	N/A	0	N/A	0	N/A	0	0	N/A
AZ	106	0	0%	0	0%	1	1%	108	106	-2%
AR	1,021	77	8%	0	0%	0	0%	951	934	-2%
CA	1,567	54	3%	210	13%	41	3%	1,682	1,567	-7%
CO	168	34	20%	33	20%	4	2%	296	168	-43%
CT	579	6	1%	44	8%	23	4%	612	552	-10%
DE	65	1	2%	2	3%	2	3%	66	61	-8%
DC	0	0	N/A	0	N/A	0	N/A	0	0	N/A
FL	896	108	12%	86	10%	19	2%	899	899	0%
GA	332	0	0%	77	23%	10	3%	300	293	-2%
HI	0	0	N/A	0	N/A	0	N/A	0	0	N/A
ID	36	6	17%	21	58%	1	3%	47	46	-2%
IL	1,688	70	4%	216	13%	12	1%	1,928	1,810	-6%
IN	0	0	N/A	0	N/A	0	N/A	0	0	N/A
IA	435	14	3%	35	8%	10	2%	444	429	-3%
KS	323 <sup>e</sup>	21 <sup>e</sup>	7%	15 <sup>e</sup>	5%	6 <sup>e</sup>	2%	334	327 <sup>e</sup>	-2%
KY	194	47	24%	64	33%	4	2%	143	203	42%
LA	453	42	9%	56	12%	12	3%	839	468	-44%
ME	0	0	N/A	0	N/A	0	N/A	0	0	N/A
MD	266	46	17%	40	15%	17	6%	DNF	277 <sup>*</sup>	DNF
MA	497	DNF	DNF	24	5%	19	4%	580	516 <sup>d</sup>	-11%
MI	0	0	N/A	0	N/A	0	N/A	0	0	N/A
MN	0	0	N/A	0	N/A	0	N/A	0	0	N/A
MS	1,263	49	4%	113	9%	29	2%	1,458	1,212	-17%
MO	444	0	0%	38	9%	14	3%	510	463	-9%
MT	50 <sup>e</sup>	16	32%	24	48%	DNF	DNF	55	53	-4%
NE	126	0	0%	8	6%	2	2%	136	124	-9%
NV	48	6	13%	8	17%	0	0%	48	46	-4%
NH	0	0	N/A	0	N/A	0	N/A	0	0	N/A
NJ	2,312	0	0%	184	8%	64	3%	2,434	2,413	-1%
NM	0	0	N/A	0	N/A	0	N/A	0	0	N/A
NY	DNF	DNF	DNF	DNF	DNF	DNF	DNF	1,633	437	-73%
NC	1,294	40	3%	42	3%	48	4%	1,506	1,272	-16%
ND	92	10	11%	9	10%	7	8%	94	87	-7%
OH	1,066	126	12%	220	21%	28	3%	1,134	952	-16%
OK	225	0	0%	25	11%	8	4%	235	203	-14%
OR	0	0	N/A	0	N/A	0	N/A	0	0	N/A
PA	1,074	10	1%	24	2%	45	4%	1,106	1,041	-6%
RI	33 <sup>e</sup>	DNF	DNF	DNF	DNF	DNF	DNF	33	33 <sup>e</sup>	0%
SC	734	61	8%	70	10%	22	3%	745	721	-3%
SD	136	23	17%	36	26%	0	0%	140	127	-9%
TN	178	0	0%	10	6%	4	2%	183	172	-6%
TX	3,649	182	5%	329	9%	93	3%	3,787	3,547	-6%
UT	205	12	6%	10	5%	4	2%	207	206	-0%
VT	0	0	N/A	0	N/A	0	N/A	0	0	N/A
VA	868	35	4%	178	21%	21	2%	948	779	-18%
WA	834	9	1%	8	1%	27	3%	833	808 <sup>*</sup>	-3%
WV	DNF	16	DNF	16	DNF	9	DNF	0	39	DNF
WI	376	0	0%	0	0%	7	2%	390	373	-4%
WY	90	3	3%	0	0%	4	4%	79	90	14%
<b>Reported Total</b>	23,724	1,124	5%	2,275	10%	617	3%	26,981	23,854	-12%
<b>US Estimated Total</b>	24,779	1,130	5%	2,288	10%	620	3%	26,981	23,854	-12%

<sup>a</sup>2011 data <sup>e</sup> Estimate <sup>DNF</sup> Did not furnish <sup>\*</sup> See state notes in the Appendix

# 2013

**Table 4.7** Number of Short-Term Admissions to State-Operated IDD Facilities with 16 or More Residents in FY 2013 by State

Funding Authority				
State	ICF/IID	Waiver	Non-Medicaid	Total
AL	0	0	0	0
AK	0	0	0	0
AZ	0	0	0	0
AR	DNF	DNF	0	DNF
CA	DNF	0	0	DNF
CO	DNF	0	0	DNF
CT	0	0	0	0
DE	2	0	0	2
DC	0	0	0	0
FL	0	0	0	0
GA	0	0	0	0
HI	0	0	0	0
ID	DNF	0	0	DNF
IL	0	0	0	0
IN	0	0	0	0
IA	1	0	0	1
KS	DNF	0	0	DNF
KY	0	0	0	0
LA	0	0	0	0
ME	0	0	0	0
MD	0	0	3	3
MA	11	0	0	11
MI	0	0	0	0
MN	0	0	0	0
MS	14	0	0	14
MO	14	0	0	14
MT	DNF	0	0	DNF
NE	0	0	0	0
NV	0	0	0	0
NH	0	0	0	0
NJ	DNF	0	0	DNF
NM	0	0	0	0
NY	DNF	0	0	DNF
NC	56	0	0	56
ND	0	0	0	0
OH	94	0	0	94
OK	0	0	0	0
OR	0	0	0	0
PA	2	0	0	2
RI	0	DNF	0 <sup>e</sup>	DNF
SC	126	0	0	126
SD	0	0	0	0
TN	0	0	0	0
TX	0	0	0	0
UT	2	0	0	2
VA	0	0	0	0
VT	DNF	0	0	DNF
WA	385 <sup>e</sup>	0	0	385
WV	DNF	0	0	DNF
WI	177	0	0	177
WY	0	0	0	0
Reported total	884	0	3	887

<sup>e</sup> Estimate

**Table 4.8** Number of State-Operated IDD Facilities Open on June 30, 2013, in Operation Since 1960, Closed, and Projected To Close Through 2016 by State

State	Operating Between 1960-2015	Closed FY 1960-2012	Closed, Converted or Downsized in FY 2013	Open June 30, 2013	Projected Closures through 2015
AL	5	5	0	0	0
AK	1	1	0	0	0
AZ	4	3	0	1	0
AR	6	1	0	5	0
CA	13	8	0	5	1
CO	3	1	0	2	0
CT	15	9	0	6	0
DE	1	0	0	1	0
DC	3	3	0	0	0
FL	10	5	0	5	0
GA*	12	8	1	3	0
HI	2	2	0	0	0
ID	1	0	0	1	0
IL	17	9	1	7	0
IN	11	11	0	0	0
IA	2	0	0	2	0
KS	4	2	0	2	0
KY	5	2	0	3	0
LA	10	6	2	2	0
ME	3	3	0	0	0
MD	9	6	0	3	0
MA	11	7	1	3	1
MI	13	13	0	0	0
MN	9	9	0	0	0
MS	6	0	0	6	0
MO	20	10	3	7	0
MT	2	1	0	1	0
NE*	1	0	0	1	0
NV	2	1	0	1	0
NH	2	2	0	0	0
NJ	11	4	0	7	3
NM	3	3	0	0	0
NY*	48	19	0	29	0
NC	6	1	0	5	0
ND	2	1	0	1	0
OH	23	13	0	10	0
OK	4	2	0	2	2
OR	3	3	0	0	0
PA	23	18	0	5	0
RI*	5	3	0	2	0
SC	5	0	0	5	0
SD	2	1	0	1	0
TN	5	3	0	2	0
TX	15	2	0	13	0
UT	1	0	0	1	0
VT	1	1	0	0	0
VA	8	3	0	5	2
WA	6	2	0	4	0
WV*	6	4	0	2	0
WI	3	0	0	3	0
WY	1	0	0	1	0
US Total	384	211	8	165	9

\*See state notes Note: The total number of facilities operating since 1960 was updated to include 21 facilities in NY, 1 in GA, 4 in MO, 1 in MS, 2 in RI, and 2 in WV previously not reported on this table.

Michigan, Minnesota, New Hampshire, New Mexico, Oregon, and Vermont) and the District of Columbia reported no open state IDD facilities with 16 or more residents on June 30, 2013.

Large state-operated IDD facilities that closed, downsized to fewer than 15 people, or converted to a Non-IDD use between July 1, 2012 and June 30, 2013 were:

- Southwestern State Hospital (Thomasville, GA)
- Jacksonville Dev. Ctr. (Jacksonville, IL)
- North Lake Supports and Services Center (Hammond, LA)
- Northwest Louisiana Dev. Ctr. (Bossier City, LA)
- Glavin Regional Ctr. (Shrewsbury, MA)
- South County Habilitation Ctr. (MO)
- Nevada Habilitation Ctr. (Nevada, MO)
- Northwest Habilitation Ctr. (St. Louis, MO)

Facilities closed between July 1, 2013 and June 30, 2014 included:

- Lanterman Dev. Ctr. (Pomona, CA)
- Templeton Dev. Ctr. (Baldwinsville, MA)
- Vineland Dev. Ctr. (Vineland, NJ)
- North Jersey Dev. Ctr. (Totowa, NJ)
- Southside Virginia Training Ctr. (Petersburg, VA)

Projected facility closures for FY 2015 included one facility in New Jersey, two facilities in Oklahoma and one facility in Virginia. Projected facility closures for FY 2016 included one facility in Missouri, two facilities in New York and two facilities in Tennessee. Other projected closures included one in New York (in FY 2017), two in Ohio (in FY 2017), and two in Virginia (one in FY 2018, one in FY 2020). Those facilities are:

## 2014

- Southern Oklahoma Resource Ctr. (Pauls Valley, OK)
- Northern Oklahoma Resource Ctr. (Enid, OK)

## 2015

- Marshall Habilitation Ctr. (Marshall, MO)
- Woodbridge Ctr. (Woodbridge, NJ)
- Brooklyn DDSO (Brooklyn, NY)
- Clover Bottom Dev. Ctr. (Nashville, TN)
- Northern Virginia Training Ctr. (Fairfax, VA)

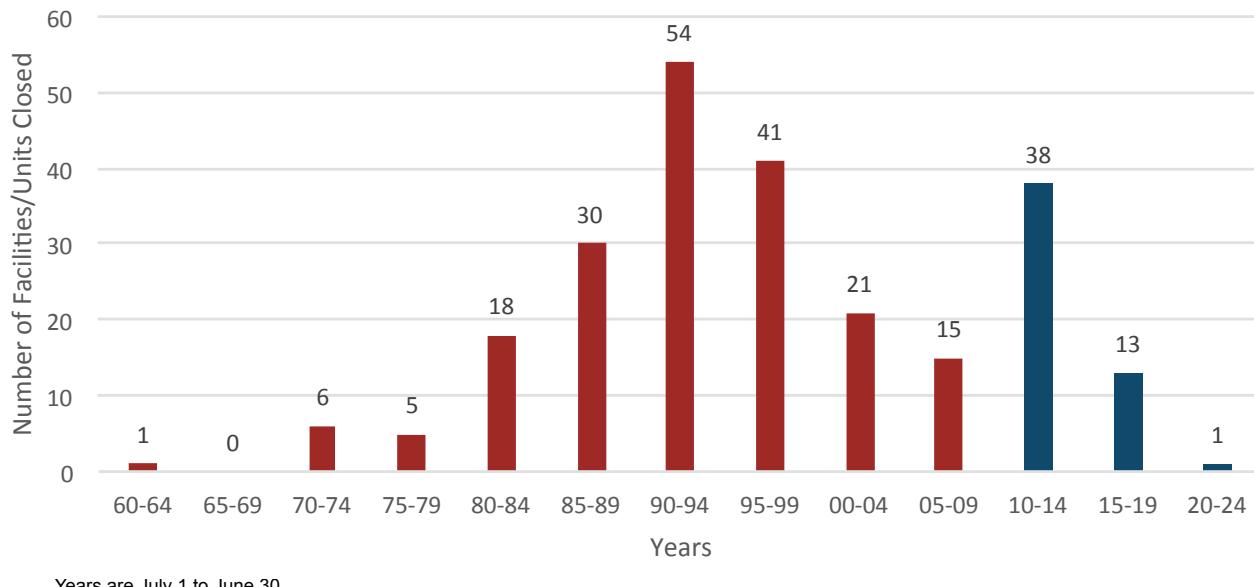
## 2016

- Broome DDSO (Binghamton, NY)
- Greene Valley Dev. Ctr. (Greeneville, TN)

## 2017

- Bernard M. Fineson Dev. Ctr (Hillside; Howard Park, NY)

**Figure 4.1** Closures, Conversions and Anticipated Closures of State IDD Facilities with 16 or More Residents, FY 1960-2024 in 5-year Intervals



# 2013

- Montgomery Dev. Ctr. (Huber Heights, OH)
- Youngstown Ctr. (Mineral Ridge, OH)

## 2018

- Southwestern Virginia Training Ctr. (Hillsville, VA)

## 2020

- Central Virginia Training Ctr. (Lynchburg, VA)

### Historical Trends

The RISP data archives include information about large state IDD facilities dating back to 1880 with data from 1926 through 2013 included in this report (See Lakin, 1979 at <https://risp.umn.edu/publications> for more details).

### Closures and Conversions of Large State IDD Facilities.

**Facilities.** The number of people with IDD living in large state IDD facilities peaked in 1967. The number of facilities to close, downsize to less than 15 people or be converted for other purposes was 11 during the 1970's, 47 during the 1980's, 95 during the 1990's, and 36 in the 2000's. Between 2010 and 2014, 38 facilities closed or were projected to close with an additional 13 facilities projected to close between 2015 and 2019 (See **Figure 4.1**).

### People Living in Large State IDD Facilities.

Between 1980 and 2013, the average daily population of large state IDD facilities decreased by 82% from 131,345 to 23,724 (an average decrease of 2.5% per year; See **Table 4.9**). Between 2010 and 2013 the average daily population decreased by 25% (an average decrease of 8.3% per year). Twelve states reported closing, downsizing or converting all of their large facilities between 1980 and 2010. States with one or more facility open on June 30, 2013 reporting the most change between 1980 and 2013 were Idaho, North Dakota and Tennessee all of whom reported 91% declines.

Alabama, Indiana, Minnesota, and Oregon reduced their average daily population to zero between 2010 and 2013. States operating large state IDD facilities reporting the greatest reductions in average daily populations between 2010 and 2013 were Georgia (-59%), Louisiana (-60%), and Tennessee (-57%). Two states reported average daily populations of 0 in 2010 but more than 1 in 2013 (For 2013, Colorado reported an average daily population of 168, and Rhode Island reported an average daily population of 33).

The average daily population of large state-operated IDD facilities grew from 55,456 in 1926 to 194,650 people in 1965 (See **Figure 4.2**) before beginning to decline as the deinstitutionalization movement gained momentum. By 1992, the average daily population of large state-operated IDD facilities was less than 55,000. By June 30, 2013 only 23,724 people with IDD lived in large state-operated IDD facilities.

Although the populations of state psychiatric facilities began to decline in 1956, the number of persons with a primary diagnosis of IDD in state psychiatric facilities increased until 1961 (See **Table 4.10** and **Figure 4.3**). In 1961, 41,823 persons with a primary diagnosis ID lived in a state psychiatric facility. Medicaid legislation in the late 1960s and early 1970s allowed states to obtain federal cost-sharing of institutional services to persons with IDD in ICF/IIDs and in nursing homes, but prohibited use of Medicaid funding people for ages 18 to 64 years in facilities for "mental disease." The number of people with IDD living in state psychiatric facilities declined from 31,884 in 1970 to 267 in 2002 but has since increased and was 1,151 on June 30, 2013. Of the 24,875 people in large state IDD or psychiatric facilities in 2013, 5% were in a psychiatric facility (the largest proportion since 1982 when 6% were in psychiatric facilities).

### Utilization Per 100,000

The average number of people with IDD per 100,000 of the U.S. population who lived in large state IDD and psychiatric facilities peaked in 1965 at 115.8 per 100,000 (See **Table 4.11**). The combined number declined to 107.2 per 100,000 in 1970, 34.5 per 100,000 in 1990, 17.2 per 100,000 in 2000, and 8.0 per 100,000 in 2013. The number of people with IDD per 100,000 in large IDD state-operated facilities declined from 96.8 in 1965 to 7.5 in 2012. The number in large state psychiatric facilities declined from 21.2 in 1955 to 0.5 in 2013.

### Average Annual Change

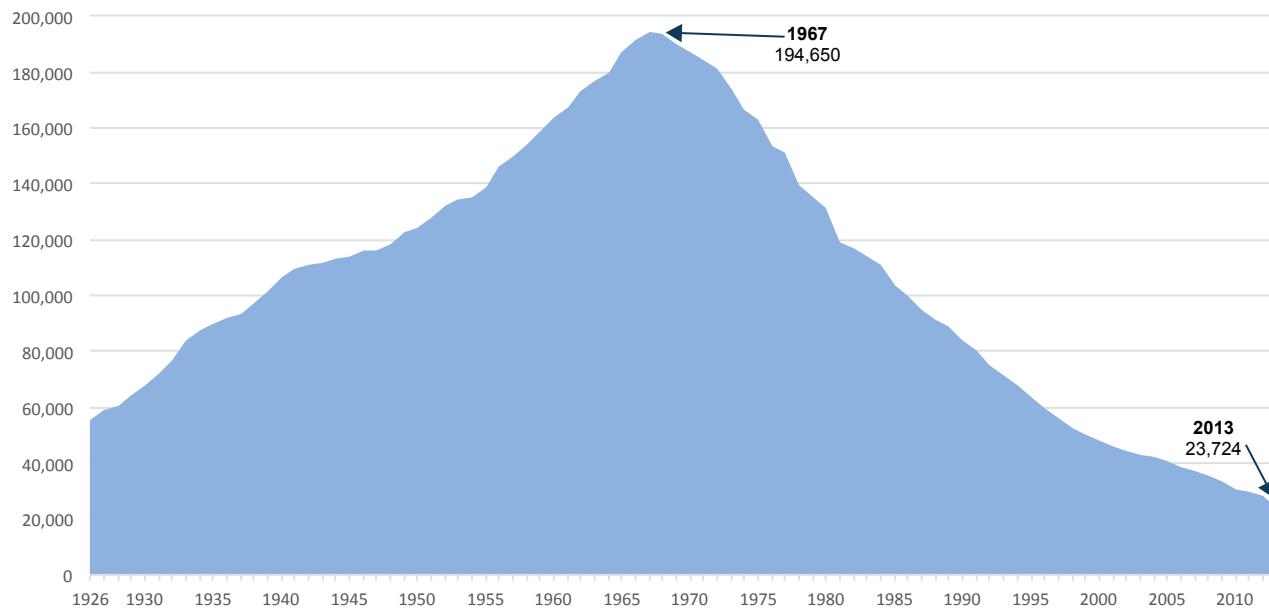
Between 1965 and 1969 the average daily population of large state IDD or psychiatric facilities declined by 993 people per year (See **Figure 4.4**). The rate of change increased to an average annual reduction of 8,957 people per year between 1975 and 1979. After 1979 the annual reduction in the number of people in large state IDD and psychiatric facilities slowed as the total populations of those facilities declined.

**Table 4.9** Change in Average Daily Population of State-Operated IDD Facilities Serving 16 or more People by State Selected Years 1980-2013

State	Average Daily Population							% change		
	1980	1985	1990	1995	2000	2005	2010	2013	1980- 2013	2010-2013
AL	1,651	1,422	1,305	985	642	212	178	0	-100	-100
AK	86	76	58	33	0	0	0	0	-100	N/A
AZ	672	538	360	183	166	138	119	106	-84	-11
AR	1,550	1,254	1,260	1,262	1,229	1,079	1,067	1,021	-34	-4
CA	8,812	7,524	6,768	5,494	3,879	3,307	2,149	1,567	-82	-27
CO	1,353	1,125	466	241	129	110	0	168	-88	N/A
CT	2,944	2,905	1,799	1,316	992	847	705	579	-80	-18
DE	518	433	345	308	256	123	71	65	-87	-8
DC	775	351	309	0	0	0	0	0	-100	N/A
FL	3,750	2,268	1,992	1,502	1,508	1,341	963	896	-76	-7
GA	2,535	2,097	2,069	1,979	1,510	1,202	802	332	-87	-59
HI	432	354	162	83	0	0	0	0	-100	N/A
ID	379	317	210	139	110	94	68	36	-91	-47
IL	6,067	4,763	4,493	3,775	3,237	2,833	2,183	1,688	-72	-23
IN	2,592	2,248	1,940	1,389	854	456	205	0	-100	-100
IA	1,225	1,227	986	719	674	646	525	435	-64	-17
KS	1,327	1,309	1,017	756	379	360	340	323 <sup>e</sup>	-76	-5
KY	907	671	709	679	628	489	170	194	-79	14
LA	3,171	3,375	2,622	2,167	1,749	1,571	1,144	453	-86	-60
ME	460	340	283	150	0	0	0	0	-100	N/A
MD	2,527	1,925	1,289	817	548	380	138	266	-89	93
MA	4,531	3,580	3,000	2,110	1,306	1,089	759	497	-89	-35
MI	4,888	2,191	1,137	392	271	173	0	0	-100	N/A
MN	2,692	2,065	1,392	610	42	29	25	0	-100	-100
MS	1,660	1,828	1,498	1,439	1,383	1,359	1,324	1,263	-24	-5
MO	2,257	1,856	1,860	1,492	1,286	1,152	671	444	-80	-34
MT	316	258	235	163	131	84	52	50 <sup>e</sup>	-84	-4
NE	707	488	466	414	401	372	182	126	-82	-31
NV	148	172	170	160	157	93	47	48	-68	2
NH	578	267	87	0	0	0	0	0	-100	N/A
NJ	7,262	5,705	5,069	4,325	3,555	3,096	2,711	2,312	-68	-15
NM	500	471	350	221	0	0	0	0	-100	N/A
NY	15,140	13,932	7,694	4,552	2,466	2,233	2,019	DNF	DNF	DNF
NC	3,102	2,947	2,654	2,288	1,939	1,736	1,515	1,294	-58	-15
ND	1,056	763	232	156	144	140	120	92	-91	-23
OH	5,045	3,198	2,665	2,150	1,996	1,728	1,376	1,066	-79	-23
OK	1,818	1,505	935	618	391	368	270	225	-88	-17
OR	1,724	1,488	838	462	62	43	22	0	-100	-100
PA	7,290	5,980	3,986	3,460	2,127	1,452	1,189	1,074	-85	-10
RI	681	415	201	0	0	0	0	33 <sup>e</sup>	-95	N/A
SC	3,043	2,893	2,286	1,788	1,129	953	786	734	-76	-7
SD	678	557	391	345	196	172	149	136	-80	-9
TN	2,074	2,107	1,932	1,669	948	680	416	178	-91	-57
TX	10,320	9,638	7,320	5,459	5,431	4,977	4,337	3,649	-65	-16
UT	778	706	462	357	240	230	215	205	-74	-5
VT	331	200	180	0	0	0	0	0	-100	N/A
VA	3,575	3,069	2,650	2,249	1,625	1,524	1,197	868	-76	-27
WA	2,231	1,844	1,758	1,320	1,143	973	914	834	-63	-9
WV	563	498	304	94	0	0	0	DNF	DNF	DNF
WI	2,151	2,058	1,678	1,341	900	590	448	376	-83	-16
WY	473	413	367	151	113	98	83	90	-81	8
Total	131,345	109,614	84,239	63,762	47,872	40,532	31,654	23,724	-82	-25

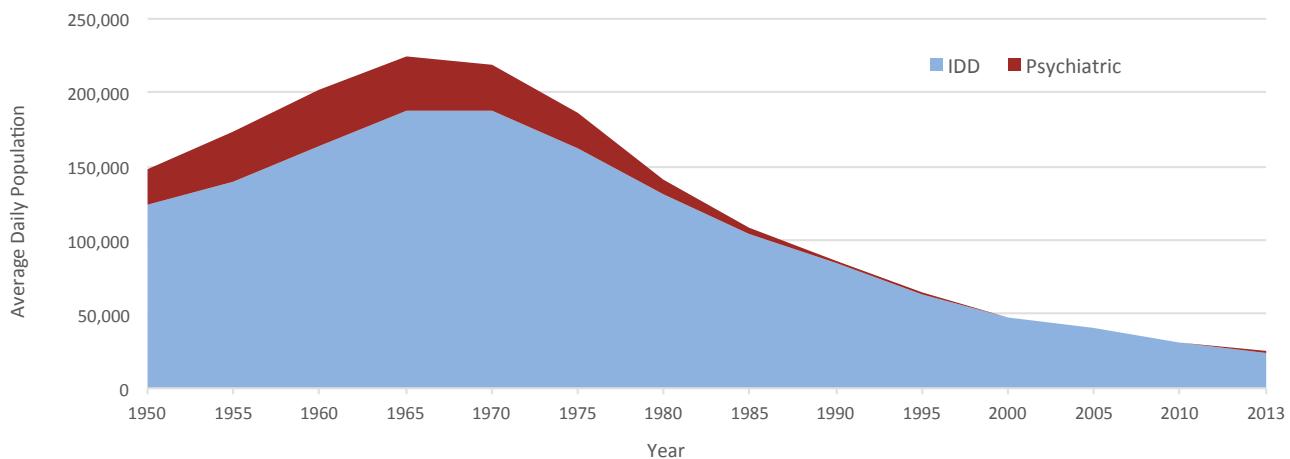
<sup>d</sup> 2011 data <sup>e</sup> Estimate <sup>DNF</sup> Did not furnish <sup>N/A</sup> No people in large state facilities in 2010, 2013, or both <sup>†</sup> See state notes in the Appendix

**Figure 4.2** Average Daily Population of State-Operated IDD Facilities with 16 or More Residents 1926 through 2013



\* Data imputed from adjacent years for 1971, 1979, 1983

**Figure 4.3** Average Daily Population of State-Operated IDD Facilities with 16 or More Residents and State Psychiatric Facilities 1950 to 2013



**Table 4.10** National Change in Average Daily Population of State-Operated IDD Facilities Serving 16 or More People and State Psychiatric Facilities 1950-2013

Year	IDD	Psychiatric <sup>1</sup>	Total	% in Psychiatric
1950	124,304	23,905	148,209	16%
1955	138,831	34,999	173,830	20%
1960	163,730	37,641	201,371	19%
1965	187,305	36,285	223,590	16%
1970	186,743	31,884	218,627	15%
1975	162,654	22,881	185,535	12%
1980	131,345	9,405	140,750	7%
1985	103,629	4,536	108,165	4%
1990	84,239	1,487	85,726	2%
1995	63,762	1,381	65,143	2%
2000	47,872	488	48,360	1%
2001	46,236	565	46,801	1%
2002	44,598	267	44,865	1%
2003	43,289	386	43,675	1%
2004	42,120	394	42,514	1%
2005	40,076	396	40,472	1%
2006	38,810	361	39,171	1%
2007	37,172	782	37,954	2%
2008	35,651	300	35,951	1%
2009	33,682	417	34,099	1%
2010	30,602	873	31,475	3%
2011	29,809	864	30,673	3%
2012	28,146	1,075	29,221	4%
2013	23,724	1,151	24,875	5%

<sup>1</sup> States that did not furnish information about people with IDD in psychiatric settings by year are as follows: 2000 (NY); 2001 (NJ,NY,VA); 2002 (NJ, NY, VA); 2003 (CO,NY,VT); 2004 (IN, NJ); 2005 (CO, NJ, VT); 2006 and 2007 (CO,CT,NJ,VT); 2008 (CT, IN, NJ, VT); 2009 (CT, NJ, VT); 2010 (CA, CO, CT, ID, NC); 2011 (CO, DE, ID, MA, NC, VT) 2012 ; 2013 (AR, CO, GA, HI, ID, IA, KS, ME, MA, MS, MT, NH, NM, NY, OH, OK, TN, TX, UT, VA, WV)

**Table 4.11** National Change in Number of Residents in State-Operated IDD Facilities Serving 16 or More People and State Psychiatric Facilities Per 100,000 of the Population, Selected Years 1950 - 2013

Year	US Population (100,000)	IDD	Psychiatric <sup>1</sup>	Total
1950	1,518.68	81.9	15.8	97.6
1955	1,650.69	84.1	21.2	105.3
1960	1,799.79	91.0	20.9	111.9
1965	1,935.26	96.8	19.0	115.8
1970	2,039.84	91.6	15.6	107.2
1975	2,113.57	82.2	14.3	96.5
1980	2,272.36	57.8	4.1	61.9
1985	2,361.58	47.1	2.2	49.3
1988	2,444.99	37.3	0.8	38.1
1989	2,482.43	35.7	0.7	36.4
1990	2,487.09	33.9	0.6	34.5
1991	2,521.77	31.8	0.6	32.5
1992	2,540.02	29.6	0.6	30.2
1993	2,559.50	27.9	0.7	28.6
1994	2,579.04	26.2	0.6	26.9
1995	2,634.37	24.2	0.5	24.7
1996	2,659.99	22.5	0.4	22.9
1997	2,711.21	20.7	0.3	21.0
1998	2,708.09	19.4	0.4	19.7
1999	2,726.91	18.4	0.4	18.7
2000	2,746.34	17.0	0.2	17.2
2001	2,769.03	16.2	0.2	16.4
2002	2,791.72	15.5	0.1	15.6
2003	2,814.41	15.4	0.1	15.5
2004	2,936.55	14.3	0.1	14.5
2005	2,964.10	13.7	0.1	13.8
2006	2,993.98	13.0	0.1	13.1
2007	3,016.21	12.3	0.3	12.6
2008	3,040.60	11.5	0.3	11.8
2009	3,070.07	10.7	0.2	10.9
2010	3,087.46	10.1	0.3	10.4
2011	3,115.93	9.3	0.3	9.6
2012	3,139.14	8.4	0.4	8.8
2013	3,161.29	7.5	0.5	8.0

<sup>1</sup> States that did not furnish information about people with IDD in psychiatric settings by year are as follows: 2000 (NY); 2001 (NJ,NY,VA); 2002 (NJ, NY, VA); 2003 (CO,NY,VT); 2004 (IN, NJ); 2005 (CO, NJ, VT); 2006 and 2007 (CO,CT,NJ,VT); 2008 (CT, IN, NJ, VT); 2009 (CT, NJ, VT); 2010 (CA, CO, CT, ID, NC); 2011 (CO, DE, ID, MA, NC, VT) 2012 ; 2013 (AR, CO, GA, HI, ID, IA, KS, ME, MA, MS, MT, NH, NM, NY, OH, OK, TN, TX, UT, VA, WV)

# 2013

Between 2010 and 2013, the average annual population decrease was 1,650 people.

When the rate of change is adjusted to show the annual percent reduction rather than the annual numeric reduction, a different pattern emerges. Between 1980 and 1984 the average annual percent

reduction was 5.3%. By contrast, between 2000 through 2004 the population declined by only 3.1% per year on average. Between 1995 and 1999, and again between 2010 and 2013 the average annual percent reduction in the population of large state IDD and psychiatric facilities spiked to 5.2%.

**Table 4.12** National Change in Admissions, Discharges and Deaths for State-Operated IDD Facilities Serving 16 or More People Selected Years 1950-2013

Year	Average Daily Population	Annual State Facility		
		Admissions	Discharges	Deaths
1950	124,304	12,197	6,672	2,761
1955	138,831	13,906	5,845	2,698
1960	163,730	14,182	6,451	3,133
1965	187,305	17,225	9,358	3,585
1970	186,743	14,979	14,702	3,496
1975	168,214	18,075	16,807	2,913
1980	128,058	11,141	13,622	2,019
1986	100,190	6,535	9,399	1,322
1990	84,732	5,034	6,877	1,207
1991	80,269	3,654	5,541	1,077
1992	75,151	4,349	6,316	1,075
1993	71,477	2,947	5,536	1,167
1994	67,673	2,243	5,490	995
1995	63,697	2,338	5,337	1,068
1996	59,936	2,537	4,652	996
1997	56,161	2,467	4,495	777
1998	52,469	2,414	4,761	908
1999	50,094	2,317	3,305	927
2000	47,872	1,936	2,425	915
2001	46,236	1,927	2,433	897
2002	44,598	2,149	2,785	803
2003	43,289	2,117	2,679	873
2004	42,120	2,215	2,534	887
2005	40,076	2,106	2,561	909
2006	38,810	1,994	2,559	886
2007	37,172	2,128	2,637	821
2008	35,651	2,056	2,879	918
2009	33,682	1,981	3,111	870
2010	30,602	1,833	2,690	820
2011	29,809	1,593	2,690	810
2012	27,665	1,141	2,436	747
2013	23,724	1,124	2,275	617

**Table 4.13** National Change in Annual Per Person Expenditures for State-Operated IDD Facilities Selected Years Selected Years 1950-2013

Year	Cost (\$)	Cost (\$1=2013)*
1950	746	7,211
1955	1,286	11,178
1960	1,868	14,701
1965	2,361	17,461
1970	4,635	27,829
1975	10,155	43,972
1980	24,944	70,520
1985	44,271	95,848
1988	57,221	112,680
1989	67,200	126,248
1990	71,660	127,725
1991	75,051	128,368
1992	76,946	127,763
1993	81,453	131,315
1994	82,256	129,299
1995	85,760	131,092
1996	92,345	137,109
1997	98,561	143,056
1998	104,098	148,775
1999	107,536	150,368
2000	113,863	154,037
2001	121,406	159,787
2002	125,746	162,832
2003	131,123	166,011
2004	138,996	171,414
2005	148,811	177,504
2006	167,247	193,261
2007	176,226	197,997
2008	188,318	203,759
2009	196,710	213,599
2010	195,197	208,537
2011	226,106	234,166
2012	237,149	240,623
2013	265,161	265,161

\*Source: [www.usinflationcalculator.com/](http://www.usinflationcalculator.com/)

## Admissions, Discharges and Deaths

**Table 4.12** and **Figure 4.5** show admissions, discharges and deaths for large state IDD facilities between 1950 and 2013. In 1950 large state IDD facilities had an average daily population of 124,304 with 12,197 admissions, 6,672 discharges and 2,761 deaths. Between 1950 and 1967, populations of large state IDD facilities grew as admissions outnumbered discharges and deaths. The number of admissions to large state IDD facilities peaked in 1975 when 18,075 people were admitted. The number of annual admissions to large state IDD facilities declined to 5,034 in 1990, 1,936 in 2000, and 1,833 in 2010. In 2013 only 1,124 people were admitted to large state IDD facilities. The total number of people discharged from large state-operated facilities peaked in 1975 at 16,807. The number of annual discharges was 5,034 in 1990, 2,325 in 2000, 2,690 in 2010 and 2,275 in 2013. The annual number of deaths in large state IDD facilities was 2,761 in 1950. Annual deaths peaked in 1965 at 3,585 and then declined to 1,207 in 1990, 915 in 2000, 820 in 2010, and 617 in 2013.

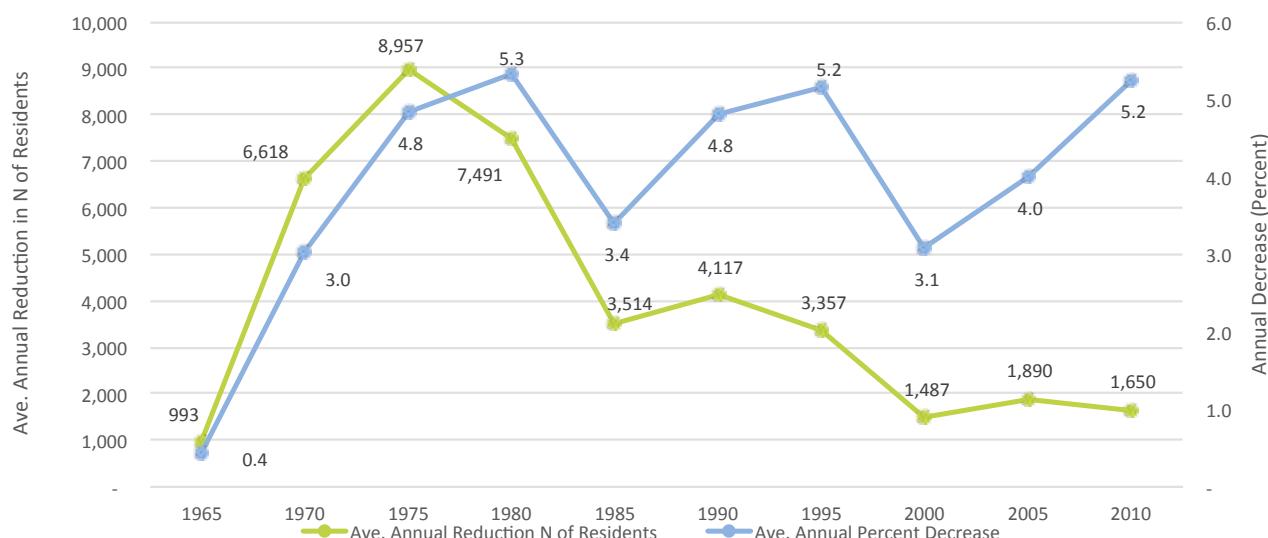
## Annual per Person Expenditures

Expenditures for large state IDD facilities have increased dramatically since 1950 when it cost \$7,211 per year (in inflation adjusted 2013 dollars) to support one person in a state IDD facility (See **Table**

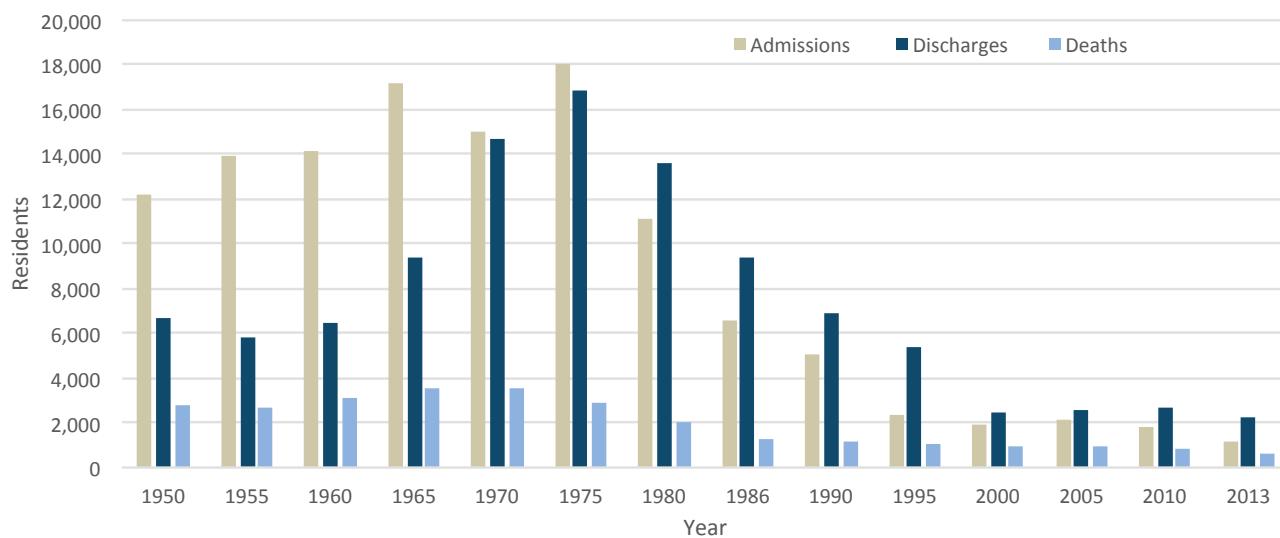
**4.13** and **Figure 4.6**). In 2013 dollars, per person per year expenditures increased from \$7,211 in 1950 to \$27,829 in 1970, \$127,725 in 1990, \$154,037 in 2000, and \$208,537 in 2010. In 2013 dollars, average annual expenditures per person in 2013 (\$276,457 per year) were 38.4 times higher than in 1950.

Increasing costs are associated with several factors. For example, in 1970, one year before enactment of the ICF/IID program, average annual per person expenditures in 2013 dollars were \$27,829. By 1977, more than 70% of all large state-operated facilities were certified as ICF/IID and average annual per person expenditures had more than doubled to \$62,060 (an increase of 17.5% per year). Court decisions and settlement agreements continued to drive increases in large state-operated facility expenditures with their requirements for upgrading staffing levels, adding programs, improving physical environments, and, often, reducing resident populations. Rapid reductions in the number of people living in large state IDD facilities increased per person costs as fixed costs (e.g. grounds, utilities, food service, laundry, physical plant and so forth) were shared by fewer and fewer residents. While the average cost per person decreased slightly in 2010 as the nation recovered from the great recession, costs grew a rate of 8.1% per year between 2010 and 2013.

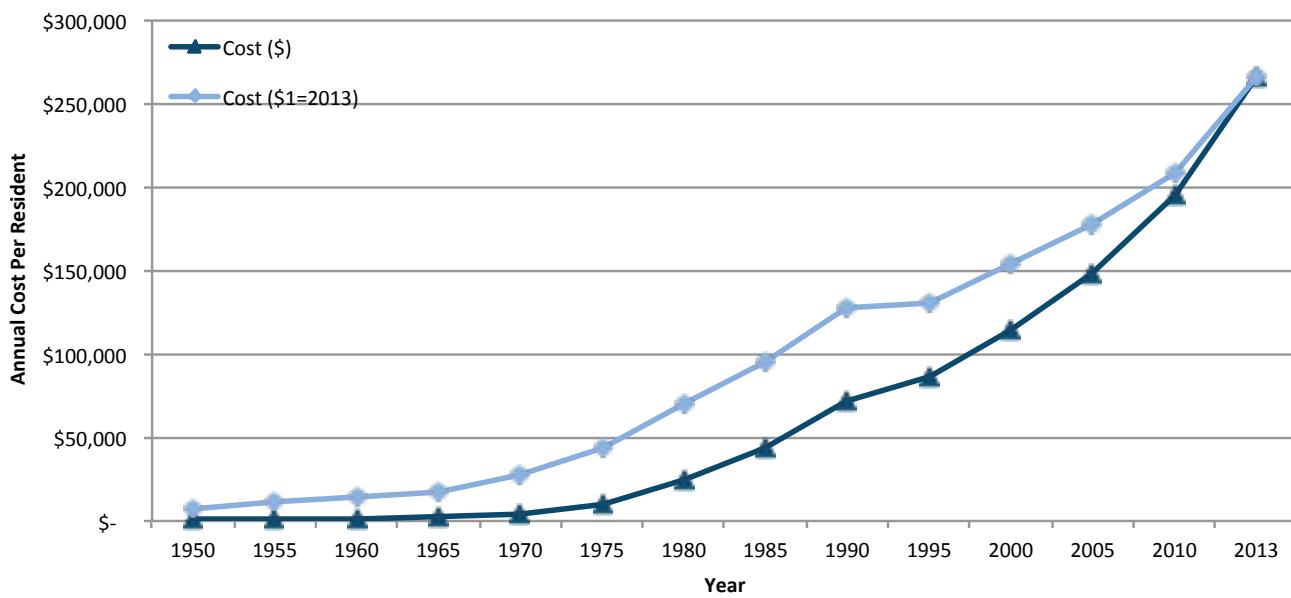
**Figure 4.4** Average Annual Numeric and Percentage Decrease in the Number of People with IDD in Large State IDD and Psychiatric Facilities in Five Year Intervals 1965 to 2013



**Figure 4.5** Movement Patterns for State-Operated IDD Facilities Serving 16 or More People, Selected Years 1950-2013



**Figure 4.6** Average Annual Per Person Expenditures for State-Operated IDD Facilities, Selected Years 1950-2013





## SECTION FIVE

# Survey of Large State IDD Facilities

**FY 2013**



## SECTION 5: SURVEY OF LARGE STATE IDD FACILITIES

Section 5 summarizes findings from the FY 2013 survey of state-operated IDD facilities. In most cases the survey was completed by facility staff but in a few states, a person in the state IDD office completed the survey for one or more facility(ies). The RISP project and its predecessors have tracked the status of people living in a set of large state IDD facilities for several decades. Annual surveys alternate between a short form and a long form with 2013 being a short form year. The Public Residential Facilities (PRF) short form survey asked about the status of the facility on June 30, 2013, actual or projected closure dates, the number and age people with IDD living at the facility, average per cost per person per day, and annual admissions, readmissions discharges and deaths. Changes over time in the number of children in large state-operated facilities and the number of people in IDD facilities versus state psychiatric facilities are also reported. FY 2013 surveys were returned for 104 (76.5%) of the 136 large public IDD facilities in the RISP sample frame. One state-operated IDD facility with 16 or more residents in Georgia, four facilities in Missouri, one facility in Mississippi, twenty-one facilities in New York, two facilities in Rhode Island, and two facilities in West Virginia open on June 30, 2013 were not in the RISP longitudinal study sample frame.

### Facility Status

**Tables 5.1** and **5.2** list the state IDD facilities in the longitudinal study sample. **Table 5.1** lists facilities that were open on June 30, 2013, while **Table 5.2** shows final disposition status for facilities that closed, downsized to fewer than 15 residents, converted for use by a different population, or were been privatized before June 30, 2013.

### People per Facility on June 30, 2013

The number people IDD per facility on June 30, 2013 ranged from a high of 547 in Illinois' Shapiro Development Center to 20 in Georgia's Regional Hospital of Atlanta. In two facilities (Boswell Regional Center, MS; Georgia Regional Hospital of Atlanta), people with IDD accounted for half or less of the total population.

### Admissions, Readmissions, Discharges and Deaths

The number of admissions and readmissions reported for FY 2013 ranged from one (Stockley Center, DE; Kansas Neurological Institute, KS; Hunterdon Development Center, NJ; Gallipolis Development Center, OH; Ebensburg and Hamburg Centers, PA) to 65 (Mexia State School and Richmond State School, TX). Seventeen facilities reported no new admissions or readmissions during FY 2013.

Four facilities reported no discharges in FY 2013. The number of people discharged from the other facilities ranged from one (Yakima Valley School, WA; Southeast Missouri Residential Services; MO) to 101 (Porterville Development Center, CA).

Twelve facilities reported no deaths in FY 2013. The number of deaths in the other facilities ranged from one in 15 facilities to 19 at Sonoma Developmental Center (CA), and 18 at Southbury Training School, (CT) and Caswell Center (NC).

### Average Expenditures per Person per Day by Facility

Average expenditures ranged from \$74 to \$1,222 per day per person for FY 2013 for the surveyed facilities. Eleven facilities reported per diem rates of less than \$400, 40 facilities reported rates of between \$400 and \$599, 15 facilities reported rates of between \$600 and \$799, and 10 reported rates of more than \$800, including 3 that reported expenditures exceeding \$1,000 per person per day (more than \$365,000 per person per year).

### Final Facility Disposition

Information is available for 221 large state IDD facilities that closed, converted to non-IDD use, privatized, or downsized to fewer than 16 people with IDD prior to June 30, 2013 (See **Table 5.2**). A few facilities had either split or merged during the time they were open which accounts for the difference between the number of facilities on **Tables 4.8** and **5.2**.

Most of the facilities had closed but five were converted for use by a different population, six were turned over to a nonstate entity to run, and three continued to operate but with 15 or fewer people

with IDD. The date of closure or final disposition was prior to 1980 for 12 facilities, 1980 to 1989 for 46 facilities, 1990 to 1999 for 95 facilities, 2000 to 2009 for 37 facilities and 2010 or later for 31 facilities.

## Age of People Living in Large State IDD Facilities

### Age in 2013

Across the 89 reporting facilities, 1.8% of all residents were 18 years or younger (84 people were 14 or younger and 229 were 15 to 18 years), 2% were 19 to 21 years, 18.5% were 22 to 39 years, 36.6% were 40 to 54 years, 23.4% were 55 to 62 years, and 17.6% were 63 years or older (See **Table 5.3** and **Figure 5.1**).

### By State

Seven states with one or more open facilities reported no residents 21 years or younger (Connecticut, Illinois, Massachusetts, New Jersey, Oklahoma, Pennsylvania, and Tennessee). States with the highest proportion of people ages 21 or younger were North Dakota (23%), South Dakota (20%) and Idaho (16%; See **Table 5.4**).

In all but three states (Idaho, Nevada, and South Dakota), the majority of large state-operated facility residents were 40 years or older. In six states 90% or more of residents were 40 years or older (Connecticut, 99%; Georgia, 91%; Massachusetts, 97%; New Jersey, 90%; Pennsylvania, 97%; and Tennessee, 91%).

States with the highest proportion of residents ages 63 and older were Connecticut (55%), Delaware (29%), Massachusetts (29%), and Pennsylvania (28%). States with the lowest proportion of residents ages 63 years or older included Nevada (2%), Arizona and South Dakota (5%).

### Change From 1950 to 2013

The number of people in large state IDD facilities who were 21 years or younger increased from 48,354 in 1950 to a high of 91,592 in 1965 before declining to 34,796 in 1980; 8,170 in 1990; 2,130 in 2000; 901 in 2010; and 651 in 2013 (See Figure 5.2). The proportion who were 21 years or younger was 39% in 1950, peaked at 49% in 1964 then declined to 43% in 1970, 27% in 1980, 10% in 1990, 4% in 2000, 3% in 2010, and 3% in 2013.

**Table 5.1** State Operated IDD Facilities Open on June 30, 2013<sup>1</sup> and Movement Patterns and Expenditures in FY 2013

State	Facility Name (City)	Year Opened	(Projected) Closure Date	Residents With IDD June 2013	All Residents June 2013	Average Daily with IDD FY 2013	Residents With IDD June 2012	% Change 2012 - 2013	Average Daily Per Person Cost (\$)	Admissions/ Readmissions	Discharges	Deaths
AR	Arkadelphia Human Dev. Ctr. (Arkadelphia)	1968		119	119	119	119	0.0	383	9	8	1
AR	Booneville HDC (Booneville)	1972		149	149	133	133	12.0	DNF	16	2	2
AR	Conway HDC (Conway)	1959		483	483	481	481	0.4	377	22	5	15
AR	Jonesboro HDC (Jonesboro)	1970		103	103	111	111	-7.2	283	26	26	0
AR	Southeast Arkansas HDC (Warren)	1978		92	92	91	96	-4.2	415	15	17	2
AZ	Arizona Trng. Program (Coolidge)	1952		106	106	107	107	-0.9	421	0	0	1
CA	Canyon Springs (Cathedral City)	2001		54	54	55	56	-3.6	DNF	DNF	10	0
CA	Fairview Dev. Ctr. (Costa Mesa)	1959		342	342	356	373	-8.3	DNF	DNF	25	13
CA	Lanterman Dev. Ctr. (Pomona)	1927	Dec 2014	170	170	213	256	-33.6	DNF	DNF	62	8
CA	Porterville Dev. Ctr. (Porterville)	1953		437	437	452	467	-6.4	DNF	DNF	101	8
CA	Sonoma Dev. Ctr. (Eldridge)	1891		485	485	508	530	-8.5	DNF	DNF	28	19
CO	Grand Junction Regional Ctr. (Grand Junction)	1919		38	0	39.98	40	-5.0	824	11	10	3
CO	Wheat Ridge Regional Ctr. (Wheatridge)	1912		125	125	128	128	-2.3	604	23	23	1
CT	DMR Northwest Ctr. (Torrington)	1984		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
CT	Ella Grasso Ctr. (Stratford)	1981		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
CT	Hartford Ctr. (Newington)	1965		44	DNF	47.4	53	-17.0	1,222	0	13	2
CT	Lower Fairfield County Ctr. (Norwalk)	1976		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF

Table 5.1 State Operated IDD Facilities Open on June 30, 2013<sup>1</sup> and Movement Patterns and Expenditures in FY 2013 Continued

State	Facility Name (City)	Year Opened	(Projected) Closure Date	Residents With IDD June 2013	All Residents June 2013	Average Daily with IDD FY 2013		Residents With IDD June 2012	% Change 2012 - 2013	Average Daily Per Person Cost (\$)	Admissions/ Readmissions Discharges Deaths		
						DNF	DNF				DNF	DNF	DNF
CT	Meridan Ctr. (Wallingford)	1979		DNF	DNF	DNF	DNF	DNF	-10.0	995	0	22	18
CT	Southbury Trng. School (Southbury)	1940		361	361	380	401	DNF	-10.0	995	0	22	18
DE	Stockley Ctr. (Georgetown)	1921		62	62	64	66	DNF	-6.1	1,055	1	2	3
FL	Florida State Hospital (Chattahoochee), Unit 27	1976		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
FL	Developmental Disabilities Defendant Program (DDDP)	1977		135	135	126	119	DNF	13.4	DNF	85	68	0
FL	Seguin Unit-Alachua Retarded Defendant Ctr. (Gainesville)	1989		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
FL	Sunland Ctr. (Marianna)	1961		339	339	336	333	1.8	322	17	7	4	
FL	Tacachale Community of Excellence	1921		425	425	435	448	DNF	-5.1	358	5	14	15
GA	East Central Regional Hospital (Gracewood)	1921		223	223	251	270	DNF	-17.4	352	4	43	8
GA	Georgia Regional Hospital of Atlanta (Decatur)	1968		20	273	20.3	DNF	DNF	DNF	DNF	0	2	1
IA	Glenwood Resource Ctr. (Glenwood)	1876		251	251	250	262	DNF	-4.2	772	5	11	5
IA	Woodward Resource Ctr. (Woodward)	1917		177	177	175	182	DNF	-2.7	853	5	11	2
ID	Southwest Idaho Treatment Center (Nampa)	1918		31	32	36	46	DNF	-32.6	819	6	21	1
IL	Choate Dev. Ctr. (Anna)	1873		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
IL	Fox Dev. Ctr. (Dwight)	1965		117	117	115	113	3.5	679	11	7	0	
IL	Kiley Dev. Ctr. (Waukegan)	1975		199	199	208	210	DNF	-5.2	693	DNF	23	2
IL	Ludeman Dev. Ctr. (Park Forest)	1972		418	418	418	406	DNF	3.0	652	23	14	4
IL	Mabley Dev. Ctr. (Dixon)	1987		99	99	99	91	8.8	671	15	7	0	
IL	Murray Dev. Ctr. (Centralia)	1964		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
IL	Shapiro Dev. Ctr. (Kankakee)	1879		547	547	548	545	0.4	613	34	28	3	
KS	Kansas Neurological Institute (Topeka)	1960		146	146	147	152	DNF	-3.9	511	1	2	5
KS	Parsons State Hospital (Parsons)	1952		181	181	176	174	4.0	427	20	13	1	
KY	Bingham Gardens	1873		25	25	24	DNF	DNF	DNF	DNF	DNF	DNF	0
KY	Oakwood ICF/IID (Somerset)	1972		126	126	124	DNF	DNF	DNF	DNF	DNF	DNF	DNF
KY	Hazelwood Ctr. (Louisville)	1971		136	139	128	DNF	DNF	DNF	DNF	DNF	DNF	3
LA	Louisiana Special Education Center (Alexandria)	1952		69	DNF	61	DNF	DNF	648	7	20	2	
LA	Pinecrest Supports and Services Center (Pineville)	1918		398	398	402	412	DNF	-3.4	803	37	42	9
MA	Hogan Regional Ctr. (Hawthorne)	1967		139	139	144	144	DNF	-3.5	745	2	1	6
MA	Templeton Dev. Ctr. (Baldwinsville)	Feb 2015		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
MA	Wrentham Dev. Ctr. (Wrentham)	1907		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
MD	Holly Ctr. (Salisbury)	1975		77	77	77.93	80	DNF	-3.8	463	2	4	1
MD	Potomac Ctr. (Hagerstown)	1978		47	47	48.98	53	DNF	-11.3	621	12	15	3
MO	Bellefontaine Habilitation Ctr. (St. Louis)	1924		138	138	139	138	0.0	600	9	5	4	
MO	Higginsville Habilitation Ctr. (Higginsville)	1956		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
MO	Marshall Habilitation Ctr. (Marshall)	1901	Dec 2015	74	74	92	92	DNF	-19.6	514	0	18	1
MO	Southeast Missouri Residential Services (Poplar Bluff and Sikeston)	1992		61	70	61	61	0.0	452	0	1	0	

Table 5.1 State Operated IDD Facilities Open on June 30, 2013<sup>1</sup> and Movement Patterns and Expenditures in FY 2013 Continued

State	Facility Name (City)	Year Opened	(Projected) Closure Date	Residents	All	Average Daily with IDD FY 2013	Residents With IDD June 2012	% Change 2012-2013	Average Daily Per Person Cost (\$)	Admissions/ Readmissions Discharges Deaths		
				With IDD June 2013	All Residents June 2013					Admissions/ Readmissions	Discharges	Deaths
MO	St. Charles Habilitation Ctr. (St. Louis)*			69	69	65	69	0.0	498	0	4	2
MS	Boswell Regional Ctr. (Sanatorium)	1976		110	220	124	139	-20.9	325	19	47	1
MS	Ellisville State School (Ellisville)	1920		369	369	395	428	-13.8	335	6	54	11
MS	Hudspeth Regional Ctr. (Whitfield)	1974		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
MS	North Mississippi Regional Ctr. (Oxford)	1973		274	274	278	280	-2.1	267	12	9	9
MS	South Mississippi Regional Ctr. (Long Beach)	1978		156	156	159	160	-2.5	326	2	4	2
MT	Montana Developmental Ctr. (Boulder)	1905		51	DNF	DNF	DNF	DNF	DNF	DNF	DNF	0
NC	Black Mountain Ctr. (Black Mountain)	1982		84	146	83	82	2.4	441	10	1	9
NC	Caswell Ctr. (Kinston)	1914		355	355	360	373	-4.8	638	9	9	18
NC	J. Iverson Riddle Dev.Ctr. (Morganton)	1963		305	305	306	314	-2.9	494	7	6	10
NC	Murdoch Ctr. (Butner)	1957		461	461	471	477	-3.4	556	23	25	15
NC	O'Berry Ctr. (Goldsboro)	1957		247	247	DNF	DNF	DNF	DNF	DNF	DNF	DNF
ND	Life Skills and Transition Center (Grafton)	1904		87	87	92	94	-7.4	740	10	9	7
NE	Beatrice State Dev. Ctr. (Beatrice)	1875		126	126	132	136	-7.4	970	0	8	2
NJ	Green Brook Regional Ctr. (Green Brook)	1981		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
NJ	Hunterdon Dev. Ctr. (Clinton)	1969		504	504	509	514	-1.9	749	1	4	11
NJ	New Lisbon Dev. Ctr. (New Lisbon)	1914		371	371	391	411	-9.7	931	13	31	9
NJ	North Jersey Dev. Ctr. (Totowa)	1928	Jan 2014	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
NJ	Vineland Dev. Ctr. (Vineland)	1888	July 2013	237	237	251	269	-11.9	1,013	9	31	10
NJ	Woodbine Dev. Ctr. (Woodbine)	1921		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
NJ	Woodbridge Ctr. (Woodbridge)	1965	Jan 2015	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
NV	Desert Regional Ctr. (Las Vegas)	1975		46	46	48	48	-4.2	579	6	8	0
NY	Bernard M. Fineson Dev. Ctr. (Hillside; Howard Park)	1970	Mar 2017	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
NY	Brooklyn DDSO (Brooklyn)	1972	Dec 2015	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
NY	Broome DDSO (Binghamton)	1970	2016	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
NY	Finger Lakes DDSO (Rochester)	1969		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
NY	Staten Island DDSO (Staten Island)	1987		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
NY	Sunmount DDSO (Tupper Lake)	1965		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
NY	Taconic DDSO (Wassaic)	1930		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
NY	Valley Ridge	2000		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
OH	Cambridge Dev. Ctr. (Cambridge)	1965		86	86	92	91	-5.5	460	3	5	3
OH	Columbus Dev. Ctr. (Columbus)	1857		91	91	93	97	-6.2	601	5	8	1
OH	Gallipolis Dev. Ctr. (Gallipolis)	1893		120	120	145	163	-26.4	493	1	39	4
OH	Montgomery Dev. Ctr. (Huber Heights)	1981	June 2017	94	94	91	DNF	DNF	495	7	5	1
OH	Mount Vernon Dev. Ctr. (Mount Vernon)	1948		106	106	117.5	132	-19.7	511	7	24	9
OH	Northwest Ohio Dev. Ctr. (Toledo)	1977		100	0	114	125	-20.0	557	4	20	1
OH	Southwest Ohio Dev. Ctr. (Batavia)	1981		93	93	94	DNF	DNF	521	19	32	2
OH	Tiffin Dev. Ctr. (Tiffin)	1975		103	103	109	119	-13.4	544	0	12	4
OH	Warrensville Dev. Ctr. (Warrensville)	1975		90	90	88	95	-5.3	592	4	7	2
OH	Youngstown Ctr. (Mineral Ridge)	1980	June 2017	92	92	99	101	-8.9	481	0	7	2
OK	Northern Oklahoma Resource Ctr. (Enid)	1909	Nov 2014	93	93	104.3	111	-16.2	555	0	13	6

Table 5.1 State Operated IDD Facilities Open on June 30, 2013<sup>1</sup> and Movement Patterns and Expenditures in FY 2013 Continued

State	Facility Name (City)	Year Opened	(Projected) Closure Date	Residents	All	Average Daily with IDD FY 2013	Residents June 2012	% Change 2012-2013	Average Daily Per Person Cost (\$)	Admissions/ Readmissions		
				With IDD June 2013	Residents June 2013					Readmissions	Discharges	Deaths
OK	Southern Oklahoma Resource Ctr. (Pauls Valley)	1952	Aug 2014	110	110	120	124	-11.3	565	0	12	2
PA	Ebensburg Ctr. (Ebensburg)	1957		252	252	353	267	-5.6	DNF	1	6	10
PA	Hamburg Ctr. (Hamburg)	1960		104	104	105	113	-8.0	853	1	4	6
PA	Polk Ctr. (Polk)	1897		268	268	271	277	-3.2	749	3	5	7
PA	Selinsgrove Ctr. (Selinsgrove)	1929		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
PA	White Haven Ctr. (White Haven)	1956		145	145	147	156	-7.1	74	4	5	10
SC	Coastal Ctr. (Ladson)	1968		163	163	165	173	-5.8	DNF	19	25	4
SC	Midlands Ctr. (Columbia)	1956		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
SC	Pee Dee Regional Ctr. (Florence)	1971		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
SC	Thad E. Saleeby Ctr. (Hartsville)			DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
SC	Whitten Ctr. (Clinton)	1920		DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
SD	South Dakota Dev. Ctr. (Redfield)	1902		127	127	131.52	140	-9.3	489	23	36	0
TN	Clover Bottom Dev. Ctr. (Nashville)	1923	Aug 2015	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
TN	Greene Valley Dev. Ctr. (Greeneville)	1960	June 2016	128	128	133	140	-8.6	DNF	0	8	4
TX	Abilene State School (Abilene)	1957		385	385	402	418	-7.9	476	6	34	11
TX	Austin State School (Austin)	1917		294	294	314	334	-12.0	476	14	15	10
TX	Brenham State School (Brenham)	1974		289	289	293	300	-3.7	476	6	11	7
TX	Corpus Christi State School (Corpus Christi)	1970		244	244	250	259	-5.8	476	6	11	7
TX	Denton State School (Denton)	1960		487	487	489	499	-2.4	476	4	17	8
TX	El Paso State Ctr. (El Paso)	1973		117	117	120	126	-7.1	476	4	12	1
TX	Lubbock State School (Lubbock)	1969		212	212	211	216	-1.9	476	10	10	3
TX	Lufkin State School (Lufkin)	1962		347	347	355	363	-4.4	476	14	21	9
TX	Mexia State School (Mexia)	1946		336	336	348	377	-10.9	476	65	85	3
TX	Richmond State School (Richmond)	1968		344	344	348	377	-8.8	476	65	85	3
TX	Rio Grande State Ctr. (Harlingen)	1973		63	63	67	71	-11.3	476	5	13	0
TX	San Angelo State School (Carlsbad)	1969		215	215	223	232	-7.3	476	24	37	5
TX	San Antonio State School (San Antonio)	1978		257	257	267	277	-7.2	476	5	19	8
UT	Utah State Dev. Ctr. (American Fork)	1931		206	206	206	206	0.0	449	8	4	1
VA	Central Virginia Trng. Ctr. (Lynchburg)	1911	2020	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
VA	Northern Virginia Trng. Ctr. (Fairfax)	1973	June 2015	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
VA	Southeastern Virginia Trng. Ctr. (Chesapeake)	1975		84	84	92	104	-19.2	694	0	20	0
VA	Southside Virginia Trng. Ctr. (Petersburg)	1939	June 2014	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
VA	Southwestern Virginia Trng. Ctr. (Hillsville)	1976	June 2018	156	156	165.5	173	-9.8	530	19	31	5
WA	Fircrest (Seattle)	1959		217	217	215	215	0.9	595	8	0	5
WA	Lakeland Village School (Medical Lake)	1915		220	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
WA	Rainier School (Buckley)	1939		309	309	315	307	0.7	488	3	6	11
WA	Yakima Valley School (Selah)	1958		74	74	77.5	80	-7.5	DNF	0	1	5
WI	Central Wisconsin Ctr. (Madison)	1959		228	228	230	231	-1.3	872	0	0	3
WI	Southern Wisconsin Ctr. (Union Grove)	1919		147	147	152	153	-3.9	940	0	0	4
WI	Northern Wisconsin Ctr. (Chippewa Falls)	1897	2005**									
WY	Wyoming Life Resource Ctr. (Lander)	1912		78	90	78	79	-1.3	718	3	DNF	4

\*\*Converted to short-term treatment center Reported as closed by state in 2011 but in 2013 reported as open by stat

**Table 5.2** Year Large State IDD Facilities or Units Closed; Converted to Non-IDD Use; Privatized; or Downsized to Fewer than 15 People with IDD by June 30, 2013

State	Facility Name at Closure, Downsizing or Conversion	Final Status			State	Facility Name at Closure, Downsizing or Conversion	Final Status		
		Year Opened	Year	Disposition <sup>1</sup>			Year Opened	Year	Disposition <sup>1</sup>
AK	Harborview Ctr. (Valdez)	1967	1997		GA	Southwestern State Hospital (Thomasville)	1967	2013	
AL	Albert P. Brewer Dev. Ctr. (Daphne)	1973	2004		GA	West Central Georgia Regional Hospital (Columbus)	2000	2004	
AL	Glen Ireland II Ctr. (Tarrant City)	1986	1996		HI	Kula Hospital (Kula)	1984	1994	
AL	J.S. Tarwater Dev. Ctr. (Wetumpka)	1976	2004		HI	Waimano Trng. School and Hospital (Pearl City)	1921	1999	
AL	Lurleen B. Wallace Dev. Ctr. (Decatur)	1971	2003		IL	Alton Mental Health & Dev. Ctr. (Alton)	1914	1994	
AL	Wm. D. Partlow Dev. Ctr. (Tuscaloosa)	1923	2011		IL	Bowen Ctr. (Harrisburg)	1966	1982	
AR	Alexander Human Dev. Ctr. (Alexander)	1968	2011		IL	Dixon Ctr. (Dixon)	1918	1987	
AZ	Arizona State Hospital (Phoenix)	1978	1994		IL	Elgin Mental Health & Dev. Ctr. (Elgin)	1872	1994	
AZ	Arizona Trng. Program (Tucson)	1970	1995		IL	Galesburg Ctr. (Galesburg)	1959	1985	
AZ	Arizona Trng. Program (Phoenix)	1973	1988		IL	Howe Dev. Ctr. (Tinley Park)	1973	2010	
CA	Agnews Dev. Ctr. (San Jose)	1966	2009		IL	Jacksonville Dev. Ctr. (Jacksonville)	1851	2012	
CA	Camarillo Ctr. (Camarillo)	1968	1997		IL	Lincoln Dev. Ctr. (Lincoln)	1866	2002	
CA	DeWitt State Hospital (Auburn)	1946	1972		IL	Meyer Mental Health Ctr. (Decatur)	1967	1993	
CA	Modesto State Hospital (Modesto)	1947	1962		IL	Singer Mental Health & Dev. Ctr. (Rockford)	1966	2002	
CA	Napa State Hospital Forensic Unit (Napa)	1995	2000		IN	Central State Hospital (Indianapolis)	1848	1995	
CA	Patton State Hospital (Patton)	1963	1982		IN	Evansville State Hospital (Evansville)	1890	2011	
CA	Sierra Vista (Yuba City)	2000	2009		IN	Fort Wayne Dev. Ctr. (Fort Wayne)	1890	2007	
CA	Stockton Ctr. (Stockton)	1972	1996		IN	Logansport State Hospital (Logansport)	1888	2012	Converted
CO	Pueblo State Regional Ctr. (Pueblo)	1935	1988		IN	Madison State Hospital (Madison)	1910	2012	Converted
CT	Bridgeport Ctr. (Bridgeport)	1965	1981		IN	Muscatatuck Dev. Ctr. (Butlerville)	1920	2005	
CT	Clifford Street Group Home (Hartford)	1982	1995		IN	New Castle Ctr. (New Castle)	1907	1998	
CT	John Dempsey Ctr. (Putnam)	1964	1997		IN	Norman Beatty Memorial Hospital (Westville)	1951	1979	
CT	Mansfield Trng. School (Mansfield)	1917	1993		IN	Northern Indiana Ctr. (South Bend)	1961	1998	
CT	Martin House Group Home (Norwalk)	1971	2000		IN	Richmond State Hospital (Richmond)	1890	2010	
CT	Mystic Ctr. (Groton)	1979	2010		IN	Silvercrest State Hospital (New Albany)	1974	1995	
CT	New Haven Ctr. (New Haven)	1962	1994		KS	Norton State Hospital (Norton)	1963	1988	
CT	Seaside Ctr. (Waterford)	1961	1996		KS	Winfield State Hospital (Winfield)	1884	1998	
CT	Waterbury Ctr. (Cheshire)	1971	1989		KY	Frankfort State Hospital and School (Frankfort)	1860	1973	
DC	Bureau of Forest Haven (Laurel, MD)	1925	1990		KY	Outwood ICF/IID (Dawson Springs) <sup>1</sup>	1962	1994	Privatized
DC	D.C. Village (Washington, DC)	1975	1994		LA	Acadiana Region Supports and Services Center (Iota)	1972	2011	Privatized
DC	St. Elizabeth's Hospital (Washington, DC)	1987	1994		LA	Bayou Region Supports and Services Center (Thibodaux)	1982	2010	Privatized
FL	Community of Landmark (Miami)	1966	2005		LA	Columbia Dev. Ctr. (Columbia) <sup>1</sup>	1970	2009	Downsized
FL	Gulf Coast Ctr. (Fort Meyers)	1960	2010		LA	Leesville Dev. Ctr. (Leesville)	1964	2012	Downsized
FL	N.E. Florida State Hospital (MacClenny)	1981	2000		LA	Metropolitan Development Center	1967	2007	
FL	Sunland Trng. Ctr. (Orlando)	1960	1984		LA	North Lake Supports and Services Center (Hammond)		2012	Privatized
FL	Sunland Trng. Ctr. (Tallahassee)	1968	1983		LA	Northeast Supports and Services Center (Ruston)	1959	2010	
GA	Brook Run (Atlanta)	1969	1997		LA	Northwest Louisiana Dev. Ctr. (Bossier City)	1973	2012	
GA	Central State Hospital (Milledgeville)	1842	2012	Converted	MA	Belchertown State School (Belchertown)	1922	1992	
GA	Georgia Regional Hospital (Savannah)	2000	2005						
GA	Northwest Regional Hospital (Rome)	1971	2011						
GA	River's Crossing (Athens)		1996						
GA	Rose Haven	1968	2000						

State	Facility Name at Closure, Downsizing or Conversion	Year Opened	Final Status		
			Year	Disposition <sup>1</sup>	
MA	Berry Regional Ctr. (Hawthorne)	1967	1994		
MA	Glavin Regional Ctr. (Shrewsbury)	1974	2013		
MA	Medfield State Hospital (Medfield)		1994		
MA	Monson Dev. Ctr. (Palmer)	1898	2012		
MA	Paul A. Dever Dev. Ctr. (Taunton)	1946	2001		
MA	The Fernald Ctr. (Waltham)	1848	2014	Downsized	
MA	Worcester State Hospital (Worcester)		1994		
MD	Great Oaks Ctr. (Silver Springs)	1970	1996		
MD	Henryton Ctr. (Henryton)	1962	1985		
MD	Highland Health Facility (Baltimore)	1972	1989		
MD	Joseph Brandenburg Ctr. (Cumberland)	1978	2011		
MD	Rosewood Ctr. (Owings Mills)	1887	2009		
MD	Victor Cullen Ctr. (Sabillasville)	1974	1992		
MD	Walter P. Carter Ctr. (Baltimore)	1978	1990		
ME	Aroostook Residential Ctr. (Presque Isle)	1972	1995		
ME	Elizabeth Levinson Ctr. (Bangor)	1971	1998		
ME	Pineland Ctr. (Pownal)	1908	1995		
MI	Alpine Regional Ctr. for DD (Gaylord)	1960	1981		
MI	Caro Regional Mental Health Ctr. (Caro)	1914	1997		
MI	Coldwater Regional Ctr. for DD (Coldwater)	1935	1987		
MI	Fort Custer State Home (Augusta)	1956	1972		
MI	Hillcrest Regional Ctr. for DD (Howell)	1959	1982		
MI	Macomb-Oakland Regional Ctr. for DD (Mt. Clemens)	1967	1989		
MI	Mount Pleasant Ctr. (Mount Pleasant)	1937	2009		
MI	Muskegon Regional Ctr. for DD (Muskegon)	1969	1992		
MI	Newberry Regional Mental Health Ctr. (Newberry)	1895	1992		
MI	Northville Residential Trng. Ctr. (Northville)	1972	1983		
MI	Oakdale Regional Ctr. for DD (Lapeer)	1895	1992		
MI	Plymouth Ctr. for Human Development (Northville)	1960	1984		
MI	Southgate Regional Ctr. (Southgate)	1977	2002		
MN	Brainerd Regional Human Services Ctr. (Brainerd)	1958	1999		
MN	Cambridge Regional Human Services Center (Cambridge)	1925	1999		
MN	Faribault Regional Ctr. (Faribault)	1879	1998		
MN	Fergus Falls Regional Treatment Ctr. (Fergus Falls)	1969	2000		
MN	MN Ext. Treatment Options Program (Cambridge)	1997	2011	Converted	
MN	Moose Lake Regional Treatment Ctr. (Moose Lake)	1970	1994		
MN	Owatonna State Hospital (Owatonna)	1945	1972		
MN	Rochester State Hospital (Rochester)	1968	1982		
MN	St. Peter Regional Treatment Ctr. (St. Peter)	1968	1996		
MN	Willmar Regional Treatment Ctr. (Willmar)	1973	1996		
MO	Albany Regional Ctr. (Albany)	1967	1989		
MO	Hannibal Regional Ctr. (Hannibal)	1967	1991		
MO	Joplin Regional Ctr. (Joplin)	1967	1992		
MO	Kansas City Regional Ctr. (Kansas City)	1970	1993		
MO	Kirksville Regional Ctr. (Kirksville)	1968	1988		
MO	Midtown Habilitation Ctr. (St. Louis)		2004		
MO	Nevada Habilitation Ctr. (Nevada)	1973	2013		
MO	Northwest Habilitation Ctr. (St. Louis)		2012		
MO	Poplar Bluff Regional Ctr. (Poplar Bluff)	1968	1992		
MO	Rolla Regional Ctr. (Rolla)	1968	1984		
MO	Sikeston Regional Ctr. (Sikeston)	1969	1992		
MO	South County Habilitation Ctr. (St. Louis)		2012		
MO	Springfield Regional Ctr. (Springfield)	1967	1990		
MT	Eastmont Human Services Ctr. (Glendive)	1969	2003		
NC	Broughton Ctr. (Morganton)	1883	1994		
ND	San Haven State Hospital (Dunseith)	1973	1987		
NH	Laconia State School and Trng. Ctr. (Laconia)	1903	1991		
NH	New Hampshire Hospital, Brown Building (Concord)	1842	1990		
NJ	Ctr. at Ancora (Hammonton)		1992		
NJ	E.R. Johnstone Trng. & Research Ctr. (Bordentown)	1955	1992		
NJ	Edison Habilitation Ctr. (Princeton)	1975	1988		
NJ	North Princeton Ctr. (Princeton)	1975	1998		
NM	Fort Stanton Hospital and Trng. Ctr. (Fort Stanton)	1964	1995		
NM	Los Lunas Hospital and Trng. Ctr. (Los Lunas)	1929	1997		
NM	Villa Solano-Hagerman Residential School (Roswell)	1964	1982		
NV	Sierra Regional Ctr. (Sparks)	1977	2008		
NY	Bronx DDSO (Bronx)	1971	1992		
NY	Capital District DDSO (Schenectady)	1973	2012		
NY	Central New York DDSO (Syracuse)	1851	1998		
NY	Craig DDSO (Sonyea)	1935	1988		
NY	Gouverneur (New York)	1962	1978		
NY	Hudson Valley DDSO (Thiells)	1911	2000		
NY	J.N. Adams (Perrysburg)	1960	1993		
NY	Long Island DDSO (Commack)	1965	1993		
NY	Long Island DDSO (Melville)	1965	1992		
NY	Manhattan Ctr. (New York)	1972	1992		
NY	Newark Ctr. (Newark)	1878	1991		
NY	Rome Ctr. (Rome)	1894	1989		
NY	Sampson State School (Willard)	1961	1971		
NY	Staten Island DDSO (Staten Island)	1947	1988		
NY	Valatie (Valatie)	1971	1974		
NY	Westchester NY DDSO (Tarrytown)	1979	1988		
NY	Western NY DDSO (West Seneca)	1962	2011		
NY	Willowbrook State School (Staten Island)	1947	1988		

State	Facility Name at Closure, Downsizing or Conversion	Year Opened	Final Status		
			Year	Disposition <sup>1</sup>	
NY	Wilton DDSO (Wilton)	1960	1995		
OH	Apple Creek Dev. Ctr. (Apple Creek)	1931	2006		
OH	Athens Mental Health & Dev. Ctr. (Athens)	1975	1994		
OH	Broadview Ctr. (Broadview Hghts.)	1967	1992		
OH	Cambridge Mental Health Ctr. (Cambridge)	1978	1990		
OH	Central Ohio Psychiatric Hospital (Cleveland)	1978	1994		
OH	Cleveland Ctr. (Cleveland)	1976	1988		
OH	Dayton Ctr. (Dayton)	1979	1983		
OH	Dayton Mental Health Ctr. (Dayton)	1978	1994		
OH	Massillon State Hospital (Massillon)	1978	1994		
OH	Orient Ctr. (Orient)	1898	1984		
OH	Springview Developmental Ctr. (Springfield)	1975	2005		
OH	Toledo Mental Health Ctr. (Toledo)	1978	1994		
OH	Western Reserve Psychiatric Hab. Ctr. (Northfield)	1978	1990		
OK	Hisson Memorial Ctr. (Sand Springs)	1964	1994		
OK	Robert M. Greer Memorial Ctr. (Enid)	1992	2000	Privatized	
OR	Columbia Park Hospital & Trng. Ctr. (The Dalles)	1963	1977		
OR	Eastern Oregon Trng. Ctr. (Pendleton)	1964	2009		
OR	Fairview Trng. Ctr. (Salem)	1908	2000		
PA	Allentown Mental Retardation Unit (Allentown)	1974	1988		
PA	Altoona Ctr. (Altoona)	1982	2006		
PA	Clarks Summit Mental Retardation Unit (Clarks Summit)	1974	1992		
PA	Cresson Ctr. (Cresson)	1964	1982		
PA	Embreveille Ctr. (Coatesville)	1972	1997		
PA	Harrisburg Mental Retardation Unit (Harrisburg)	1972	1982		
PA	Hollidaysburg Mental Retardation Ctr. (Hollidaysburg)	1974	1976		
PA	Laurelton Ctr. (Laurelton)	1920	1998		

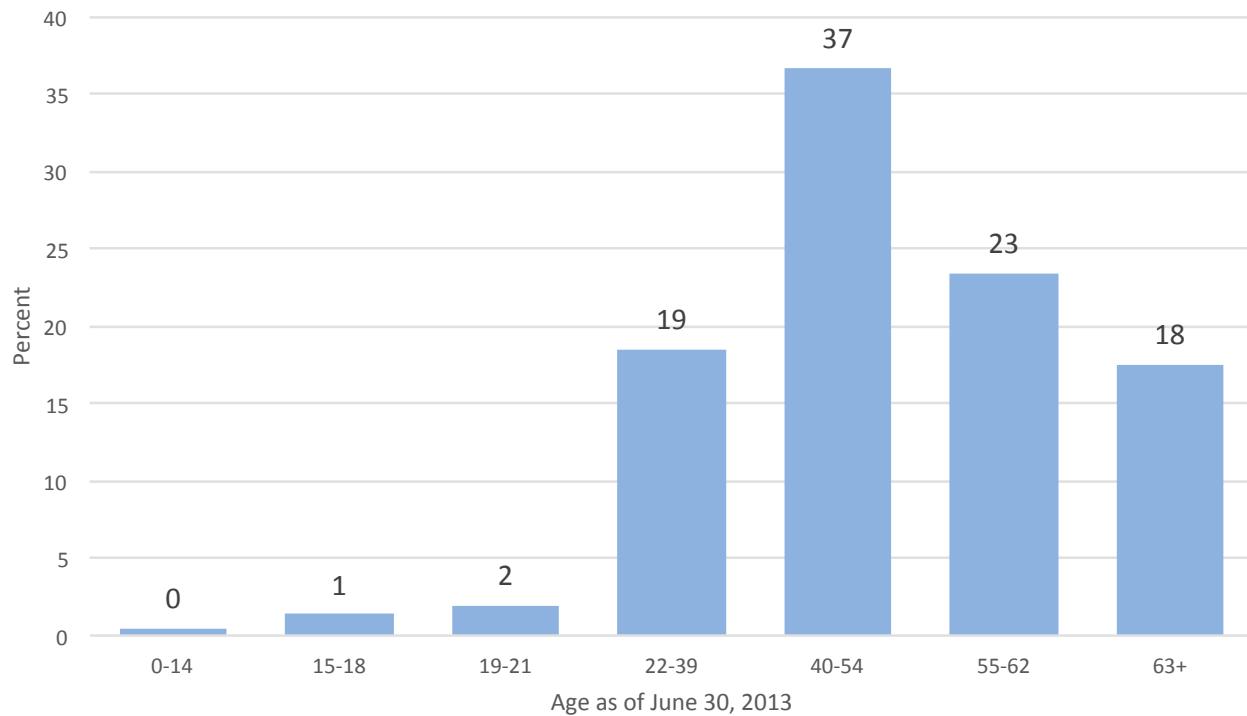
<sup>1</sup>Disposition is closed unless otherwise noted. Downsized - No services 15 or fewer people with IDD, Converted - Open serving a different population, Privatized- Converted from a state operated to a nonstate facility.

State	Facility Name at Closure, Downsizing or Conversion	Year Opened	Final Status		
			Year	Disposition <sup>1</sup>	
PA	Marcy Ctr. (Pittsburgh)	1975	1982		
PA	Mayview Mental Retardation Unit (Mayview)	1974	2001		
PA	Pennhurst Ctr. (Pennhurst)	1908	1988		
PA	Philadelphia Mental Retardation Unit (Philadelphia)	1983	1989		
PA	Somerset Mental Retardation Unit (Somerset)	1974	1996		
PA	Torrance Mental Retardation Unit (Torrance)	1974	1998		
PA	Warren Mental Retardation Unit (Warren)	1975	1976		
PA	Wernersville Mental Retardation Unit (Wernersville)	1974	1987		
PA	Western Ctr. (Cannonsburg)	1962	2000		
PA	Woodhaven Ctr. (Philadelphia)	1974	1995	Privatized	
RI	Dorothea Dix Unit (Cranston)	1982	1989		
RI	Dr. Joseph H. Ladd Ctr. (N. Kingstown)	1908	1994		
RI	Zamborano Memorial Hospital (Wallum Lake)	1967	1989		
SD	Custer State Ctr. (Custer)	1964	1996		
TN	Arlington Dev. Ctr. (Arlington)	1969	2010		
TN	Harold Jordan Habilitation Ctr. (Nashville)	1979	2003		
TN	Winston Ctr. (Bolivar)	1979	1998		
TX	Ft. Worth State School (Ft. Worth)	1976	1996		
TX	Travis State School (Austin)	1961	1996		
VA	Eastern State Hospital (Williamsburg)		1990		
VA	Southwestern State Hospital (Marion)	1887	1988		
VA	Western State Hospital (Stanton)	1828	1990		
VT	Brandon Trng. School (Brandon)	1915	1993		
WA	Frances Haddon Morgan Ctr. (Bremerton)	1972	2011		
WA	Interlake School (Medical Lake)	1967	1994		
WV	Colin Anderson Ctr. (St. Mary's)	1932	1998		
WV	Greenbrier Ctr. (Lewisburg)	1974	1994		
WV	Spencer State Hospital (Spencer)	1893	1989		
WV	Weston State Hospital (Weston)	1985	1988		

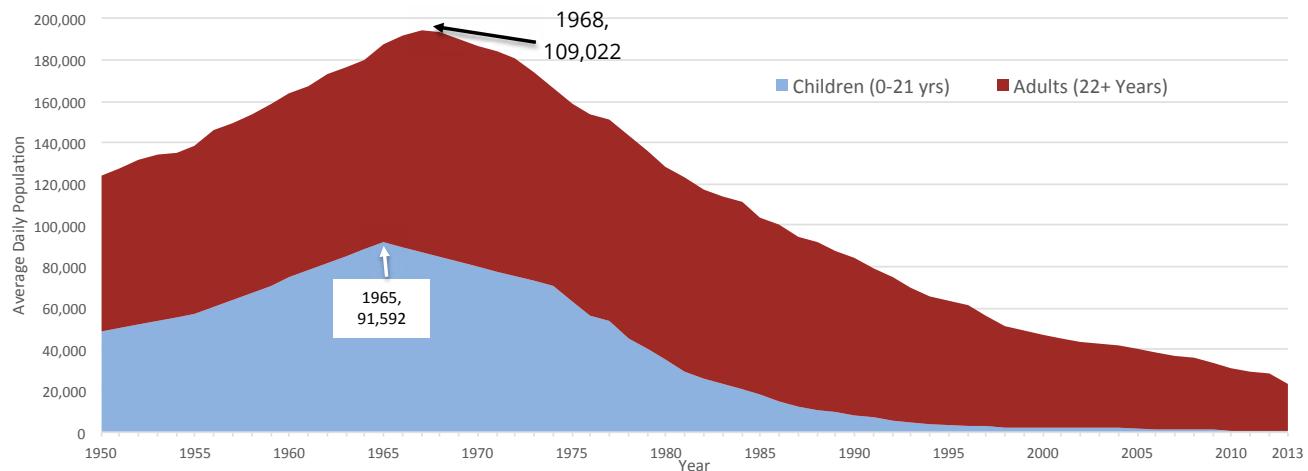
**Table 5.3** Age Group of Residents of Large State Facilities on June 30, 2013

Age Group	N	%
0-14 years	84	0.5
15-18 years	229	1.3
19-21 years	338	2.0
22-39 years	3,143	18.5
40-54 years	6,225	36.6
55-62 years	3,982	23.4
63+ years	2,984	17.6
Reported Total	16,985	100.0
Estimated US Total	24,655	

**Figure 5.1** Age Distribution (Percent) of People Living in State-Operated IDD Facilities with 16 or More Residents on June 30, 2013



**Figure 5.2** Average Daily Population of State-Operated IDD Facilities with 16 or More Residents by Age Group, 1950-2013



# 2013

**Table 5.4** Age of Residents in Large State Facilities by State on June 30, 2013

State	Age of Residents in Years (% of residents)								Total Residents
	0-14	15-18	19-21	22-39	40-54	55-62	63+	Unknown	
AL	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AK	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AZ	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
AR	2	2	3	28	39	20	5	0	961
CA	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
CO	0	1	5	34	39	17	6	0	163
CT	0	0	0	1	17	27	55	0	405
DE	0	0	2	11	35	23	29	0	62
DC	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FL	0	0	4	21	32	23	19	0	899
GA	0	0	1	8	40	28	24	0	223
HI	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ID	3	3	10	35	29	10	10	0	31
IL	0	0	0	17	44	23	15	0	1,380
IN	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
IA	1	2	4	26	33	21	15	0	427
KS	2	5	3	22	39	20	9	0	327
KY	0	0	1	19	44	24	12	0	329
LA	1	6	6	19	24	21	23	0	398
ME	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
MD	0	0	2	22	38	22	17	0	124
MA	0	0	0	3	40	27	29	0	144
MI	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
MN	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
MS	2	2	2	33	36	13	13	0	909
MO	0	0	1	12	35	37	15	0	345
MT	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
NE	0	0	1	10	37	32	20	0	126
NV	0	0	13	65	20	0	2	0	46
NH	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NJ	0	0	0	10	46	25	19	0	1,112
NM	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
NY	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
NC	0	1	1	12	35	27	25	0	1,205
ND	7	11	5	15	24	22	16	0	87
OH	0	1	1	22	34	24	18	0	881
OK	0	0	0	16	54	23	7	0	203
OR	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
PA	0	0	0	3	28	42	28	0	769
RI	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SC	0	1	6	28	35	17	13	0	163
SD	1	6	13	52	19	4	5	0	127
TN	0	0	0	9	36	32	23	0	128
TX	1	3	2	21	38	21	14	0	3,590
UT	0	2	2	22	35	24	14	0	206
VT	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
VA	0	0	1	27	37	21	15	0	240
WA	0	1	2	12	34	26	26	0	600
WV	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
WI	0	0	1	14	44	21	20	0	375
WY	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF	DNF
US Total	0.5	1.3	2.0	18.5	36.6	23.4	17.6	0.0	16,985

N/A = Not applicable (state without large state facilities), DNF = Data not furnished or insufficient reporting (60% or less) from among the large state facilities, N = 89 facilities reported; N=16,985



**SECTION SIX**

**State Profiles**

**and Notes**

**FY 2013**



## SECTION 6: STATE PROFILES AND NOTES

The following profiles highlight key findings from the FY 2013 RISP report for each state and for the United States as a whole. Blank spaces for a data element indicate that state did not furnish complete information for that element for that year.

**Figure 1** shows the number people with IDD living in an ICF/IID between 1977 and 2013 and the number receiving Medicaid Waiver funded supports between 1982 and 2013. Breaks in the line indicate that data were not available for that year. Section 3 of the annual RISP report provides additional information about longitudinal trends, including total Medicaid Waiver recipients by state since 1982.

**Figure 2** shows average annual per person expenditures for Medicaid Waiver recipients with IDD and for people living in ICF/IIDs. See Section 2 of the annual RISP report for more detailed information about Medicaid funded supports as of June 30, 2013.

**Figure 3** shows residential setting types and sizes for people with IDD receiving LTSS under the auspices of the state IDD agency as of June 30, 2013. People living in the home of a family member, a home they own or lease or a host home or family foster setting are shown first. Then people living in

group settings are shown by setting size with state and nonstate settings with 16 or more residents displayed separately. Finally the number of people living in state or nonstate nursing facilities or in state psychiatric facilities are shown. Section 1 of the annual RISP report provides additional information about the types and sizes of places people with IDD lived on June 30, 2013.

**Table 1** shows living arrangements for people with IDD, the number of people waiting for LTSS, and the number of people whose residence type was known by state IDD agencies for selected years. It also shows changes over time in utilization and expenditures for Medicaid funded LTSS for people with IDD. Utilization is computed as number of recipients with IDD per 100,000 of the state's population. Expenditures are the average annual cost per participant.

For **Figure 1** and **Table 1**, large changes from one year to the next typically reflect a change in how a state compiled or reported data, the use of a different data source, or reporting on an expanded category of participants. State notes in this section provide descriptions of changes in state reporting and other special circumstances affecting the data.



## STATE NOTES

**Alaska:** This state does not have ICF/IID facilities, but there are 14 people with IDD placed out of state in ICF/IID facilities.

**Arizona:** ICF/IID services are funded through the 1115 Waiver. Expenditures for ICF/IID are combined with Medicaid Waiver expenditures.

**Colorado:** The state reported two state-operated Regional Centers, which operate group homes. The exact number of group homes could not be reported, however, 135 people were reported to live in group homes sized 4-6 people and 166 people were reported to live in group homes sized 7-15 people.

**Connecticut:** FY 2013 waiting list data are incomplete and may not include all individuals requesting to move to a setting other than the home of a family member. The waiting list includes both people waiting for Medicaid Waiver funding and those waiting for other types of funding. Most individuals who move from the home of a family member will receive Medicaid Waiver funded residential services, but a few move to an ICF/IID.

The reported FY 2013 ICF/IID expenditures of \$199,451,356 were for the 555 individuals in state-operated ICFs/IID. FY 2013 expenditures for all 917 state and nonstate ICF/IID recipients were estimated to be \$294,694,866 (Eiken, et.al. 2015).

State IDD facilities with 16 or more people include Southbury Training School and five regional centers. Differing costs between the centers impacts the average daily cost per resident when aggregated by state. Admission and readmission numbers only include data from the five regional centers, as there have been no admissions to Southbury Training School since 1986.

**Delaware:** Non-state ICF/IID facility data excludes information about one facility with 67 residents. While 2,610 people lived in the home of a family member and had a Family Support Specialist (FSS), only the 1,630 living in the home of a family member who also received one or more additional services like respite and/or stipends are included in the reported number of Waiver recipients in family home settings.

**District of Columbia:** Fifteen residents of DC live in one of nine large public facilities located in other

states. Seven nonstate ICF/IID facilities had fewer than 4 people in them. These facilities and the people with IDD who live in them were reported only in the size 1 to 6 category, not in the 4 to 6 size category.

**Georgia:** The sum of people by setting type differs from the reported total caseload because the numbers come from different reporting systems. One state-operated IDD facility with 16 or more people was added to the **Table 4.8** count of the total operating number of facilities operating between 1960 and 2013 that had not previously been included.

**Hawaii:** The number of host family/family foster care settings, size 4 to 6 people, exceeds the number of people living in them because this setting type is available to people with and without IDD.

**Louisiana:** The total caseload reported excludes children receiving early intervention services as they may not be eligible for DD services after age 3.

**Maine:** This state has four state-operated facilities with 1 to 3 people, which were reported for the first time in the FY 2013 survey. Maine offers a waiver service called Shared Living Option (SLO) in which services and support are provided 24/7 by a qualified professional to assist a recipient in living as independently as possible. The SLO provider receives a stipend from an Administering Agency who is responsible for provider oversight and management and receives the Medicaid reimbursement. This service can be delivered in the recipient's home or in the home of the SLO provider. The SLO provider may be a guardian or relative or the recipient. Details about the owner of the home where services are delivered and the relationship of the provider to the recipient are not available in the format requested so people receiving SLO services were included with the nonstate group home data.

**Maryland:** The state reports having three large state IDD facilities open, only two of which are named on **Table 5.1**.

**Massachusetts:** FY 2014 data are reported throughout the report. Data on non-state group homes of all sizes include only adults.

**Michigan:** FY 2014 data (rather than 2013 data) are reported for state-operated facilities, expenditures,

waiting lists, nursing homes, and age of recipients. People with IDD receiving LTSS through the 1915 (b/c) Waiver were not reported as Waiver recipients until FY 2011.

**Minnesota:** Some nonstate group homes serving 4 to 6 people have vacancies and may serve fewer than four people on a particular date. In Minnesota, being on the developmental disabilities waiting list does not necessarily mean the person is not receiving any supports or services. Typically people on the waiting list are eligible for one or more state plan service such as home care. As of 1/3/15, 34% of people on the DD waiver waiting list received home care services, while 7.8% received family support grants and 99.2% received case management services. Strategies used to ensure that people with IDD receive the services they need in a community setting include enhanced assessment, designating the urgency of need for services for people on the waiting list in four categories (people leaving an institution, immediate need, defined year within one year, future need), requiring more information to be tracked by lead agencies about people who are waiting, and provision of increased training, technical assistance, outreach, and monitoring.

**Mississippi:** This state does not collect information about nonstate-operated facilities and the people living in them by facility type, with the exception of group homes. Data are collected by the types of funding people receive. Only 5 of the 6 large state-operated facilities in Mississippi are named on **Table 5.1**.

**Missouri:** The number of large, state-operated facilities reported increased for FY 2013 because two facilities with multiple sites were counted more than once. No new large, state-operated facilities were opened in FY 2013.

**Montana:** The number of people living in ICF/IID settings increased significantly because of a change in reporting. Several individuals previously reported to live in a state funded (non-Medicaid) setting were reported in FY 2013 to be living in an ICF/IID.

**New Jersey:** The number of people living in family homes decreased substantially in FY 2013 because the management of developmental disabilities services moved to the Department of Children and Families.

**Nebraska:** The state reports four large state IDD facilities but only one of those facilities (Beatrice) is tracked on **Tables 4.8, 5.1** and **5.2**.

**New York:** The ages of 35,389 people who received a total of \$87,622,674 in state-funded (Non-Medicaid) IDD Services expenditures were not available. **Table 4.8** was updated to include 21 state-operated IDD facilities serving 16 or more people reported by the state as being open but not previously included on the table.

**North Carolina:** NC IDD services are managed by nine Local Management Entities/Managed Care Organizations. Nine of nine LME/MCOs have data represented in this survey project. Not all LME/MCOs have systems built to capture all the data points requested. For section 3, only 5 or 6 of the nine LME/MCOs provided data. NC will continue to work with LME/MCOS to improve data collection processes. The state reports four open large state IDD facilities but 5 facilities are named as being open in **Table 5.1**.

**North Dakota:** Expenditure data were not available by age. Total FY 2013 Medicaid HCBS Waiver expenditures were \$135,108,940 and total ICF/IID expenditures were \$67,883,233. Total Waiver expenditures were \$12,040,454 for people living the home of a family member and \$123,068,486 for people living in any other setting.

**Oklahoma:** OK reported the age of only 203 of the 1,421 ICF/IID recipients for whom expenditure data were available which inflated their cost per year end recipient to \$549,904. The cost per average daily resident (1,485) of \$75,172 is probably a more accurate reflection of ICF/IID expenditures.

**Rhode Island:** Two state-operated IDD facilities with 16 or more people not previously tracked individually by the RISP project were added to the total number of facilities operating between 1960 and 2015 in **Table 4.8**.

**South Carolina:** The number of settings reported for people living in their own homes is based on the number of contracts. There may be more than one residence per contract, so when the number of people in 1 to 3 settings is compared to the number of 1 to 3 settings, it appears that there are more than three people per setting but this is not the case. The increase in number of people on the waiting list between FY 2012 and FY 2013 is due to a change in reporting. In FY 2012, the number of

# 2013

people reported were those who were waiting Waiver funded residential services, and in FY 2013, the total number of people waiting for any Waiver services were reported.

**Utah:** UT reported ICF/IID expenditures of \$33,760,310 for 206 people in state-operated ICF/IID settings (an average per person expenditure of \$163,885). Total state plus nonstate ICF/IID expenditures for FY 2013 reported on the CMS 64 report were \$61,923,268 (Eiken, 2015). The state reported 786 people living in state plus nonstate ICF/IID. When combined these number produce an estimated average per person ICF/IID expenditure of \$78,783. Average per person ICF/IID expenditures for FY 2012 were \$96,976.

**West Virginia:** Two state-operated IDD facilities serving 16 or more people reported by the state to be open on June 30, 2013 but not captured on **Table 4.8** in prior reports were added to the number of open facilities. Those facilities are not named on **Table 5.1**.

**Washington:** Large state-operated ICF/IID and non-ICF facilities are located on the same campus, and their total populations are reported in aggregate. This state's nonstate residential settings often have the capacity for more people than are served within them. There are typically three or fewer people in a facility even if there is a capacity for more than three people. Medicaid Waiver expenditures by age group were estimated because Washington State's Developmental Disabilities Administration does not track expenditures using the age categories reported requested. The number of people reported to be waiting for Medicaid funded LTSS excludes 118 people whose request for IDD Waiver funding was denied because the individual's health and welfare needs were being met on a different waiver, and one person whose request was denied because the state determined that the individual's need could be met on a lesser waiver.

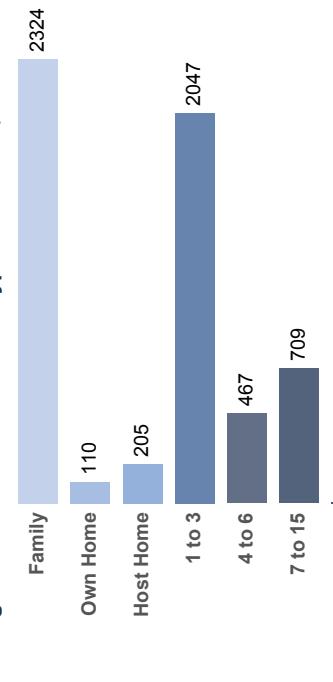
## FY 2013 RISP State Profile

### ALABAMA

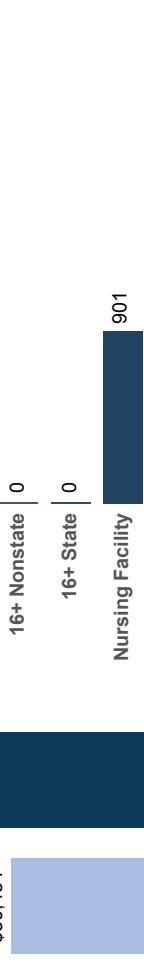
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**



**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					202	27	222	213	110
		Family					3,941	66	3,441	3,659	2,324
		Host Home					0	101	247	220	205
Congregate DD settings by size and type	4 to 15	1 to 3						975	1,202	1,715	2,047
	4 to 6	49	121	273	295	650	1,228	1,601	2,203	2,514	467
	1 to 6	61	183	256	585	712	795	897	907	709	0
	7 to 15										
16+	16+ Nonstate				0	31	32	0	0	0	0
	16+ State					1,258	800	633	214	173	0
	16+ Total		1,995	1,639	1,447						
Non-DD Specific	16+	Nursing Facility*				1,321	162	26	971	777	901
		Psychiatric Facility				0	0	0	0	0	0
People with IDD in the System	All Types	Waiting list				1,171	214	807	2,372	2,975	
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	2,105	1,943	1,976	2,138	6,336	2,882	6,622	7,375	6,763
	ICF/IID	Waiver Expenditures per Person				6,136	13,379	23,518	44,111	48,505	50,434
		Waiver Recipients per 100,000				49	79	92	109	118	129
		ICF/IID Expenditures per Person*				56,475	75,694	101,021	113,534	162,893	127,455
		ICF/IID per 100,000*				32	19	14	5	5	0

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

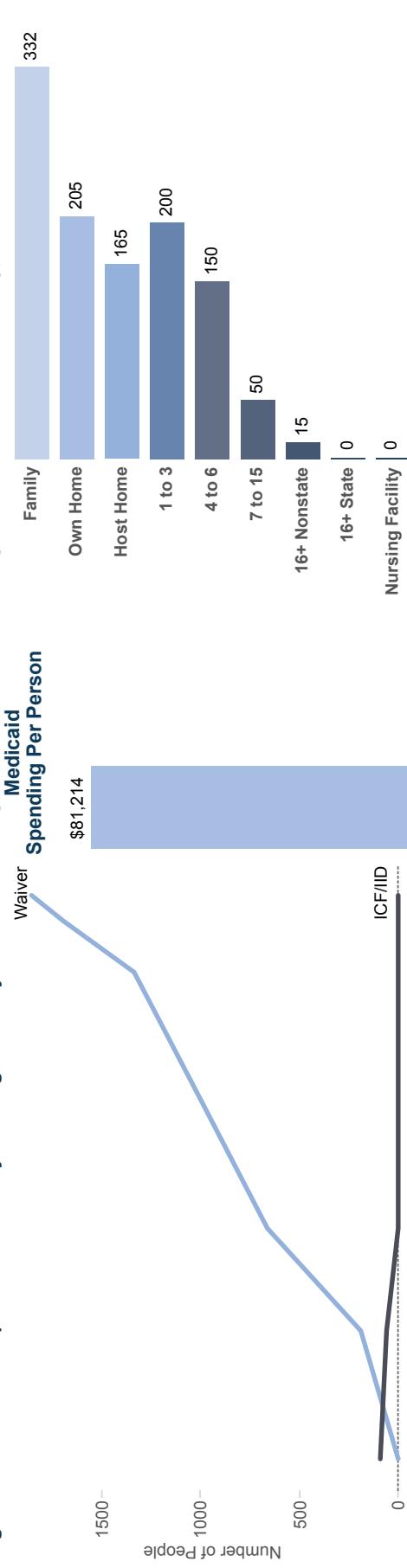


Figure 2: Annual Medicaid Spending Per Person

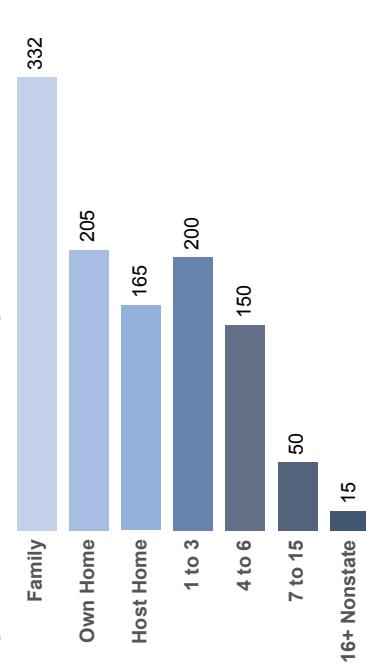


Figure 3: Residence Size and Type on June 30, 2013



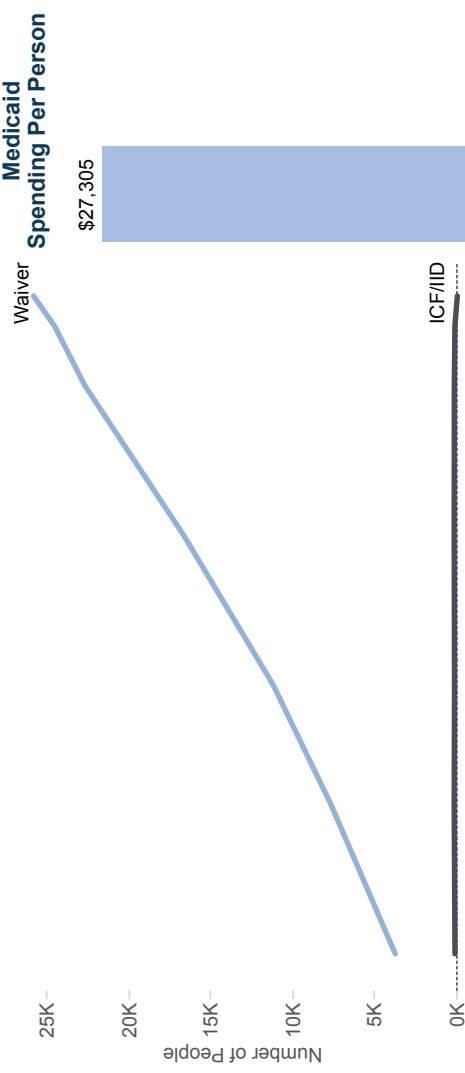
Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					156	365	224	399	205
	Family						975	3,737	3,700	231	332
	Host Home						114	425	172	209	165
	1 to 3							285	239	234	200
Congregate DD settings by size and type	4 to 15		53	122	202	291	222	430	440	340	350
	1 to 6		17	38	45	37	73	25	0	5	50
	7 to 15						0	0	0	11	15
	16+ Nonstate						51	19	0	0	0
	16+ State										
	16+ Total		173	88	83						
Non-DD Specific	16+	Nursing Facility*			48	28	0	8	20	0	0
		Psychiatric Facility			1	0	0	0	0	0	0
People with IDD in the System	All Types	Waiting list					318	958	1,400	662	613
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	243	248	330	379	1,559	4,982	4,536	1,195	1,131
	ICF/IID	Waiver Expenditures per Person				0	37,217	46,043	62,822	75,164	81,214
	ICF/IID	Waiver Recipients per 100,000				0					254
	ICF/IID	ICF/IID Expenditures per Person*				109,041	108,524	0	0	0	189
	ICF/IID	ICF/IID per 100,000*				16	9	0	0	0	0

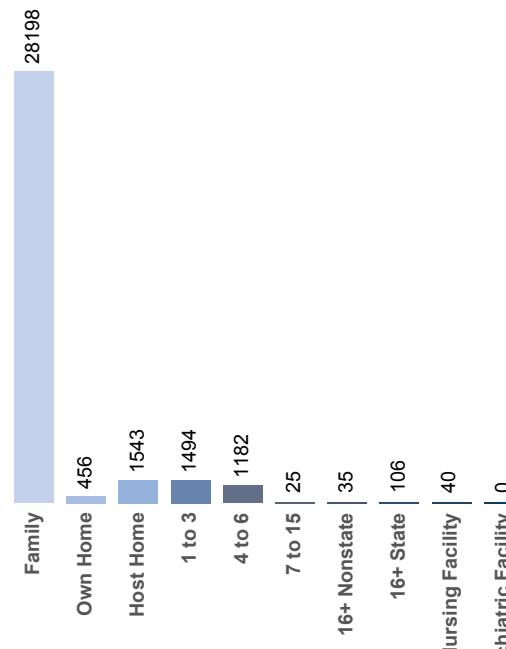
\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

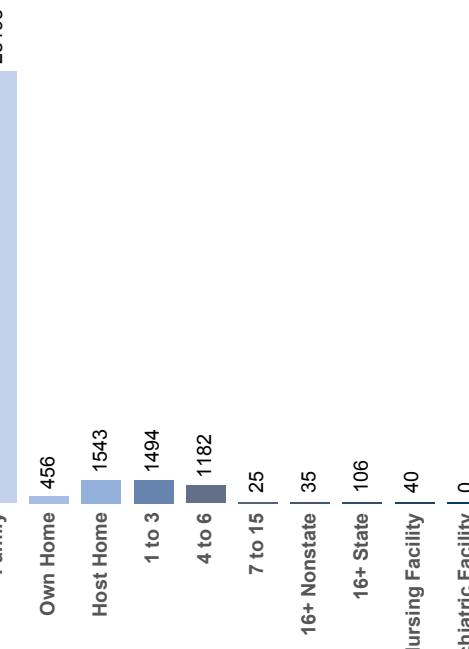
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**



**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home						36	207	434	454
		Family						9,216	15,412	20,914	26,185
		Host Home						212	623	749	1,166
		1 to 3						721	1,342	1,422	1,494
Congregate DD settings by size and type	4 to 15	4 to 6	120	689	1,571	2,263	2,155	1,848	1,058	1,117	1,182
	1 to 6	1 to 6	116	137	225	91	108	70	40	32	25
	7 to 15	7 to 15									
	16+ Nonstate	16+ Nonstate						45	83	59	45
	16+ State	16+ State						193	103	166	136
	16+ Total	16+ Total	1,216	907	423						
Non-DD Specific	16+	Nursing Facility*						89	67	96	53
		Psychiatric Facility						0	0	0	2
		Waiting list								173	29
People with IDD in the System	All Types	Estimated Total, Residence Type Known	1,452	1,733	2,219	2,592	11,913	19,106	24,718	30,529	33,079
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person						21,112	24,579	25,541	23,866
	ICF/IID	Waiver Recipients per 100,000						101	186	219	282
		ICF/IID Expenditures per Person*						33,590	90,542	98,237	99,082
		ICF/IID per 100,000*						4	5	3	3

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).



## ARKANSAS

## FY 2013 RISP State Profile

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

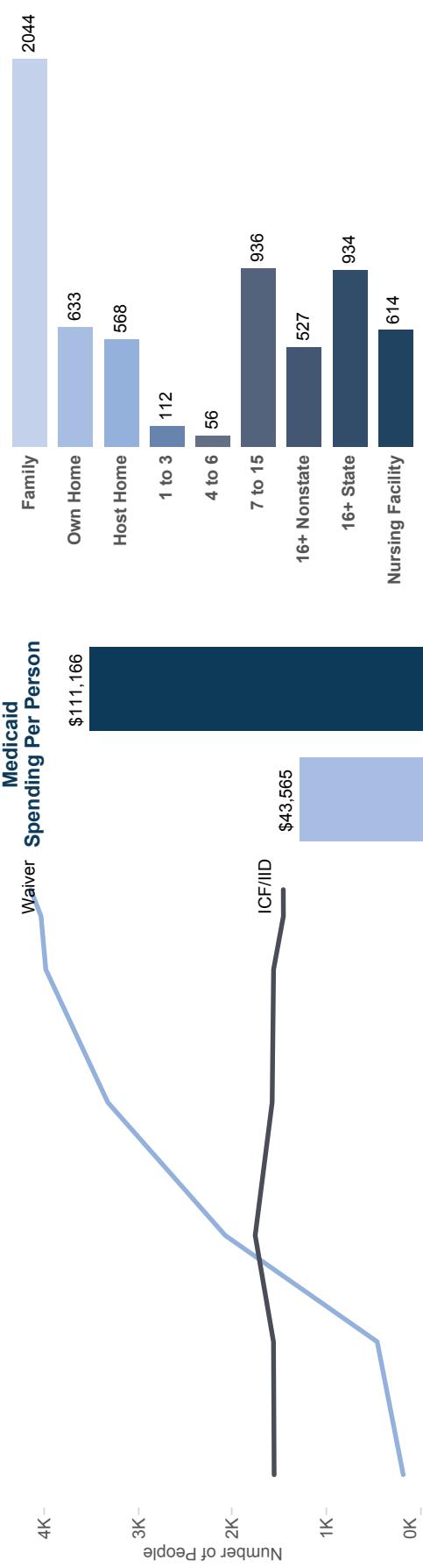


Figure 2: Annual Medicaid Spending Per Person

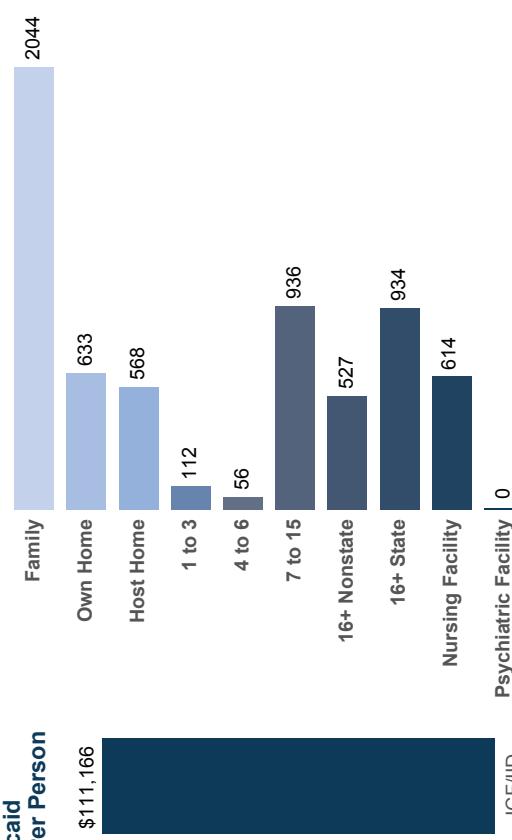


Figure 3: Residence Size and Type on June 30, 2013

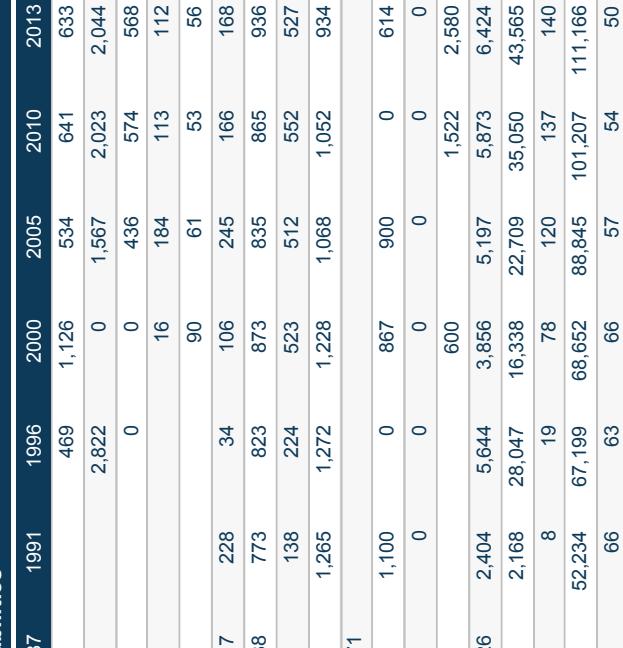


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home									
	Family										
	Host Home										
	1 to 3										
Congregate DD settings by size and type	4 to 15										
	4 to 6										
	1 to 6										
	7 to 15										
	16+ Nonstate										
	16+ State										
	16+ Total		1,767	1,505	1,471	1,100	0	867	900	0	614
Non-DD Specific	16+	Nursing Facility*									
		Psychiatric Facility									
People with IDD in the System	All Types	Waiting list									
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	1,913	1,695	1,926	2,404	5,644	3,856	5,197	5,873	6,424
	ICF/IID	Waiver Expenditures per Person									
	ICF/IID	Waiver Recipients per 100,000									
	ICF/IID	ICF/IID Expenditures per Person*									
	ICF/IID	ICF/IID per 100,000*									

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).



## FY 2013 RISP State Profile

## COLORADO

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013



Figure 2: Annual Medicaid Spending Per Person

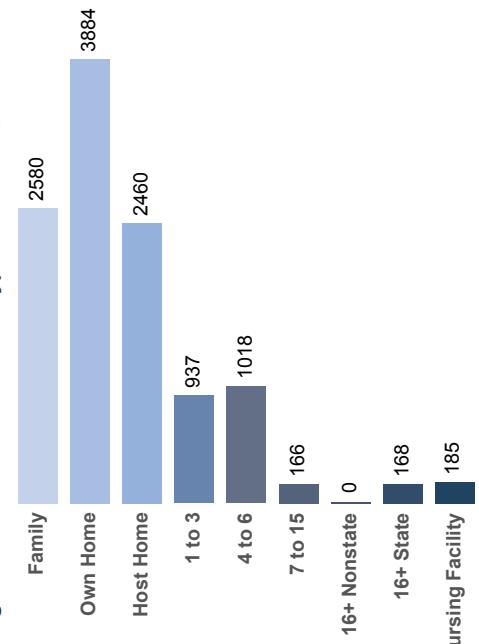


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

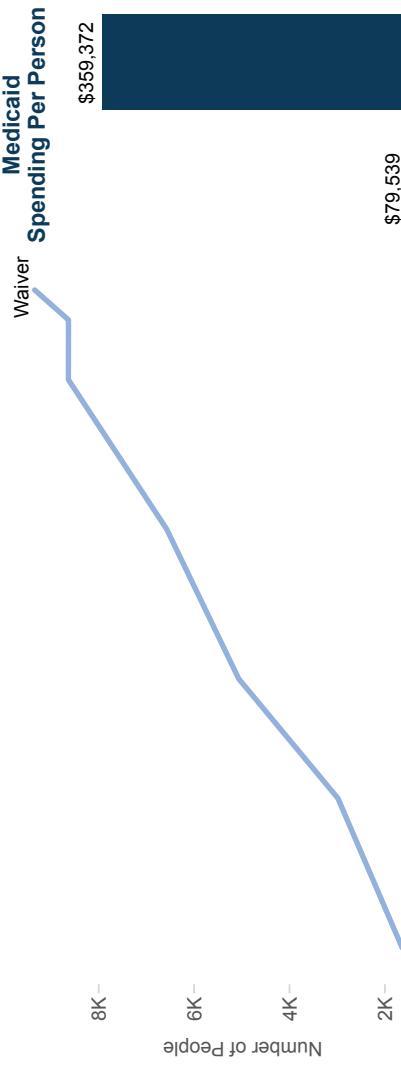
Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings										
	Own Home										
	Family										
	Host Home										
	1 to 3										
Congregate DD settings by size and type	4 to 15										
	4 to 6										
	1 to 6										
	7 to 15										
16+	16+ Nonstate										
	16+ State										
	16+ Total										
Non-DD Specific	16+										
People with IDD in the System	All Types										
Medicaid Recipients and Expenditures	HCBS										
	ICF/IID										

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

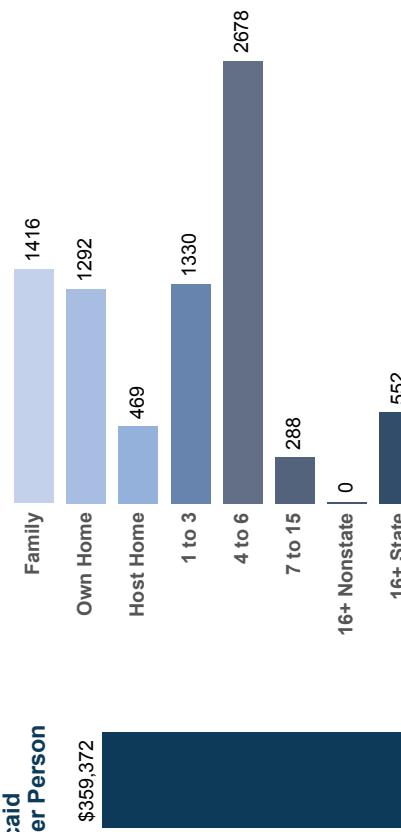
## FY 2013 RISP State Profile

### CONNECTICUT

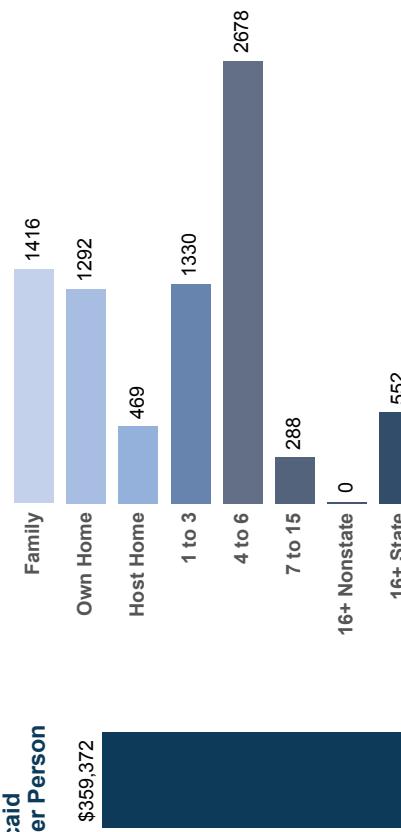
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**



**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013	
Individualized Settings	Individualized Settings	Own Home					636	1,363	859	1,388	1,292	
		Family					12,141	6,612	7,546	7,896	1,416	
		Host Home					523	503	542	497	469	
		1 to 3					622	742	1,293	1,330		
Congregate DD settings by size and type	4 to 15	251	353	1,630	3,113	2,870	2,197	2,685	2,696	2,678		
	1 to 6	364	540	806	570	383	2,819	3,427	4,000	4,008		
	7 to 15											
16+	16+ Nonstate				0	0	0	0	0	0		
	16+ State					1,652	1,209	988	839	686	552	
16+ Total		3,881	3,660	2,384	482	394	358	411	382	388		
Non-DD Specific	16+	Nursing Facility*										
		Psychiatric Facility										
People with IDD in the System	All Types	Waiting list	3	15	8	0					4	
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	4,496	4,553	4,820	5,335	17,762	12,737	13,685	14,821	8,417	
	ICF/IID	Waiver Expenditures per Person					37,205	34,595	67,965	64,000	74,492	79,539
		Waiver Recipients per 100,000					50	92	149	188	242	260
		ICF/IID Expenditures per Person*					135,601	141,190	180,740	188,575	279,101	359,372
		ICF/IID per 100,000*					47	40	38	33	29	15

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).



## FY 2013 RISP State Profile

## DELAWARE

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

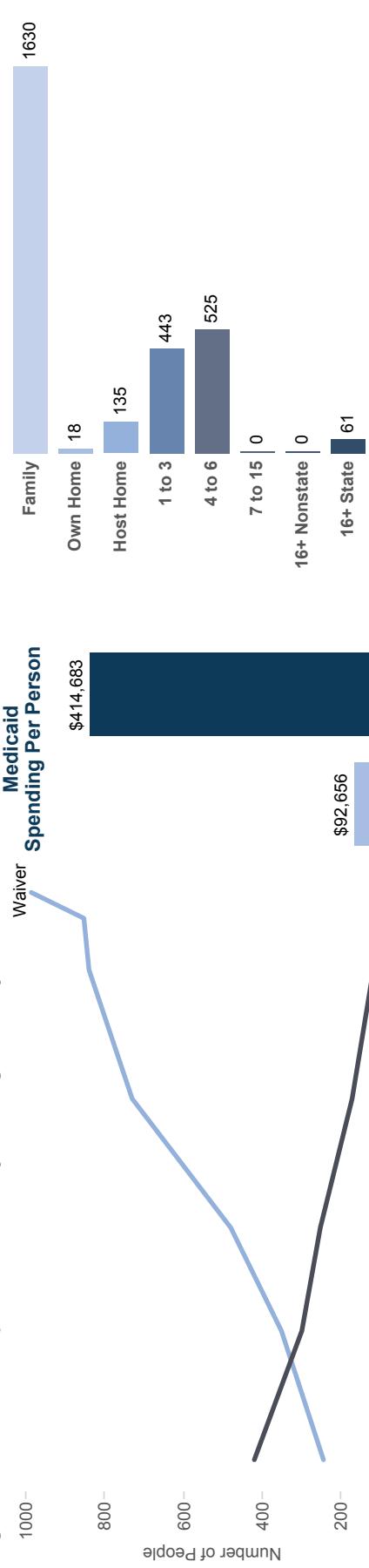


Figure 2: Annual Medicaid Spending Per Person

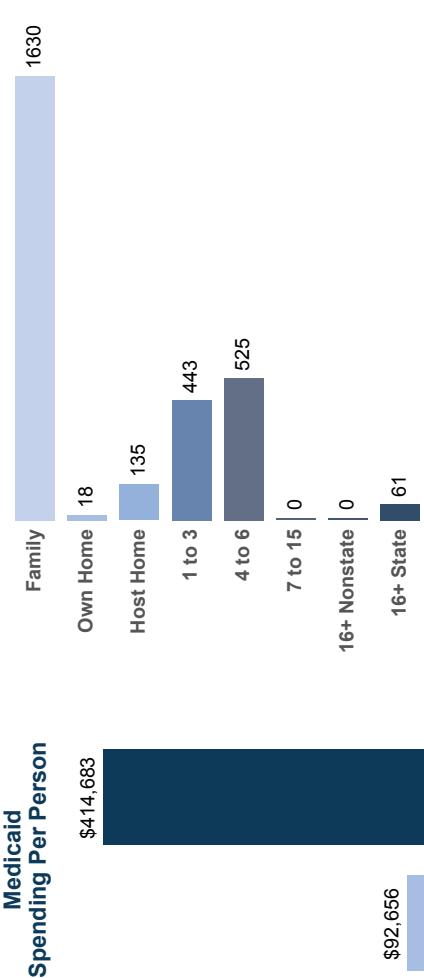


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities								
			1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					21	19	25	27	18
		Family					1,499	1,209	1,691	2,149	1,630
		Host Home					159	154	206	145	135
		1 to 3					160	207	259	443	
Congregate DD settings by size and type	4 to 15	4 to 6	179	148	248	278	241	377	547	467	525
	1 to 6	1 to 6	9	10	49	89	31	0	0	0	0
	7 to 15	7 to 15									
16+	16+ Nonstate	16+ Nonstate									
	16+ State	16+ State									
	16+ Total	16+ Total	622	606	383		332	291	253	111	70
Non-DD Specific	16+	Nursing Facility*					60	0	78	60	43
		Psychiatric Facility					0	0	11	7	2
People with IDD in the System	All Types	Waiting list					479	145	7	174	0
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	810	764	680	699	2,242	2,012	2,641	3,165	2,821
	ICF/IID	Waiver Expenditures per Person					19,204	65,088	57,032	73,563	105,893
		Waiver Recipients per 100,000					36	49	61	87	94
		ICF/IID Expenditures per Person*					56,016	100,771	128,636	150,125	260,454
		ICF/IID per 100,000*					62	41	32	20	13
											6

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013



Figure 2: Annual Medicaid Spending Per Person

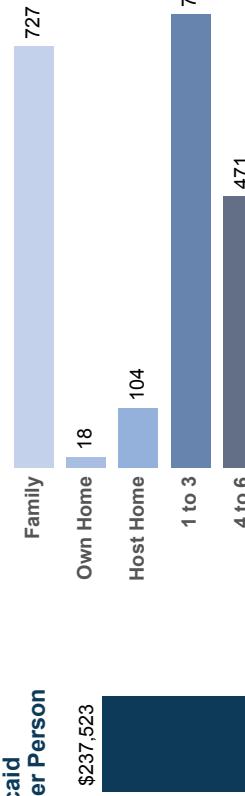


Figure 3: Residence Size and Type on June 30, 2013

Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities						2013
			1977	1982	1987	1991	1996	2000	
Individualized Settings	Individualized Settings	Own Home					1	0	22
		Family					1,077	0	540
		Host Home					84	70	72
		1 to 3					4	4	687
Congregate DD settings by size and type	4 to 15	4 to 6	28	139	235	646	545	695	487
	1 to 6	1 to 6	0	76	235	304	374	340	118
	7 to 15	7 to 15							52
16+	16+ Nonstate	16+ Nonstate					60	0	0
	16+ State	16+ State					77	0	0
	16+ Total	16+ Total	960	671	258				0
Non-DD Specific	16+	Nursing Facility*				34	28	0	15
		Psychiatric Facility				1	0	0	0
People with IDD in the System	All Types	Waiting list				87	0	0	0
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	988	886	728	1,087	2,081	1,105	1,855
	ICF/IID	Waiver Expenditures per Person				0	0	4,140	14,914
		Waiver Recipients per 100,000				0	0	12	111
		ICF/IID Expenditures per Person*				36,860	80,861	83,667	103,254
		ICF/IID per 100,000*				172	136	147	139

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).

## FLORIDA

## FY 2013 RIISP State Profile

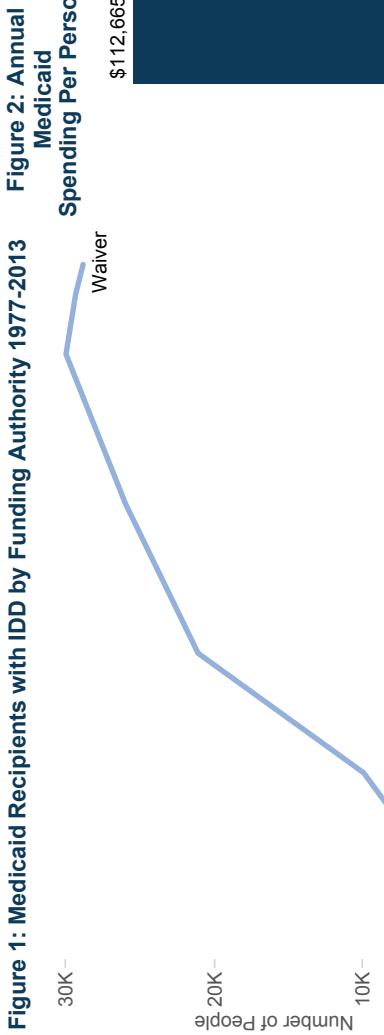


Figure 2: Annual Medicaid Spending Per Person

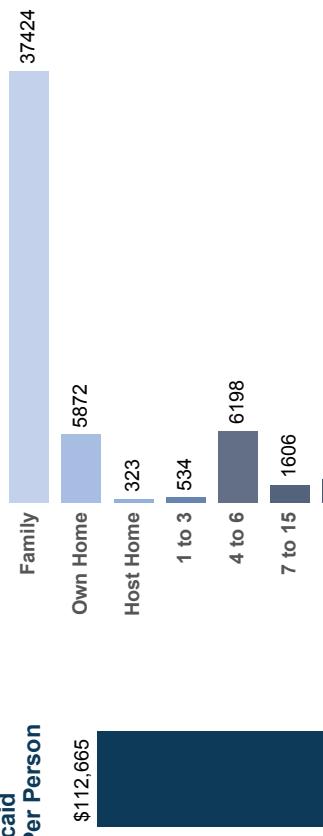


Figure 3: Residence Size and Type on June 30, 2013

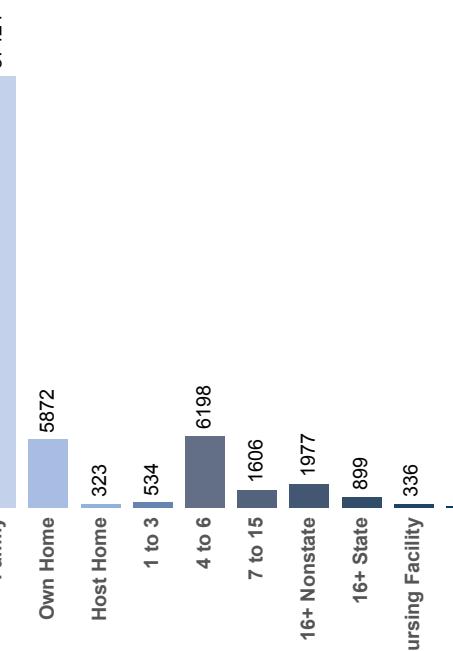


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities						2013
			1977	1982	1987	1991	1996	2000	
Individualized Settings	Individualized Settings	Own Home					1,937	3,127	4,012
		Family					25,973	19,417	31,173
		Host Home					0	0	323
		1 to 3					179	236	359
		4 to 6					3,309	4,515	5,704
Congregate DD settings by size and type	4 to 15	1 to 6	791	937	593	1,987	2,602	3,482	4,751
		7 to 15	1,008	1,474	2,654	2,244	1,572	1,359	1,286
		16+ Nonstate					2,651	2,418	3,160
		16+ State					1,977	1,459	1,502
		16+ Total	6,304	5,649	4,952			1,331	916
		Nursing Facility*							899
		Psychiatric Facility							
Non-DD Specific	16+	Waiting list							
People with IDD in the System	All Types	Estimated Total, Residence Type Known	8,103	8,060	8,199	8,859	DNF	32,047	44,569
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person							DNF
	ICF/IID	Waiver Recipients per 100,000							55,204
		ICF/IID Expenditures per Person*							
		ICF/IID per 100,000*							

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

## GEOGRAPHIA

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

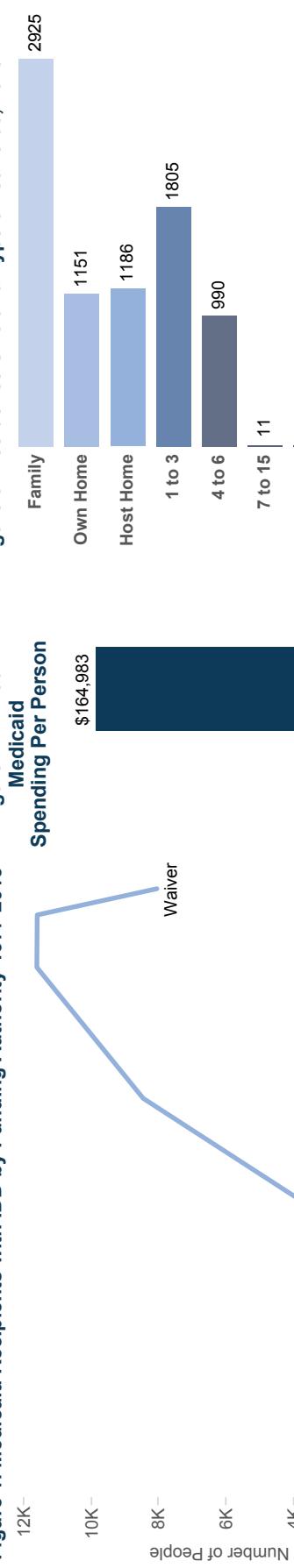


Figure 2: Annual Medicaid Spending Per Person



Figure 3: Residence Size and Type on June 30, 2013

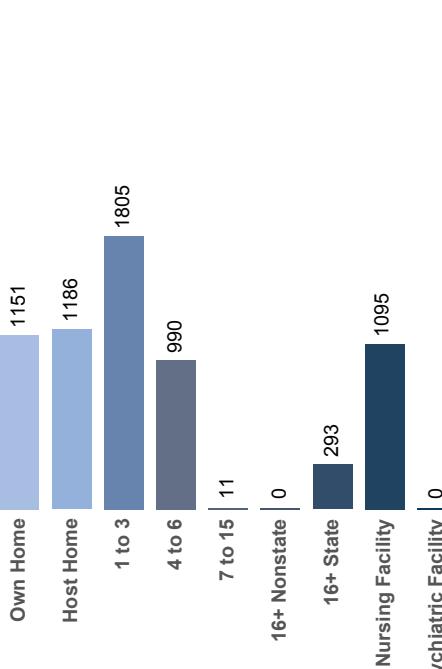


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home	433	1,640	2,228	3,316	1,151				
		Family					6,186	4,123	5,344	2,925	
		Host Home					351	462	542	1,240	1,186
Congregate DD settings by size and type	4 to 15	96	709	1,181	1,608	754					
	1 to 6	236	138	61	11	0	630	1,004	1,155	1,805	
	7 to 15						419	598	1,225	990	
16+	16+ Nonstate						238	110	110	0	0
	16+ State						2,054	1,909	1,535	1,190	751
	16+ Total	2,994	2,710	3,469			1,941	2,200	1,800	1,576	754
Non-DD Specific	16+	Nursing Facility*					6	36	0	0	0
		Psychiatric Facility						1,644	2,004	1,441	1,640
People with IDD in the System	All Types	Waiting list									7,074
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	3,326	3,557	4,711	3,911	DNF	10,982	9,795	13,031	9,456
	ICF/IID	Waiver Expenditures per Person			14,348	34,832	37,301	25,986	30,311	38,132	
		Waiver Recipients per 100,000			5	23	30	93	120	81	
		ICF/IID Expenditures per Person*			56,424	64,554	67,003	88,878	137,249	164,983	
		ICF/IID per 100,000*					29	28	20	12	7

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

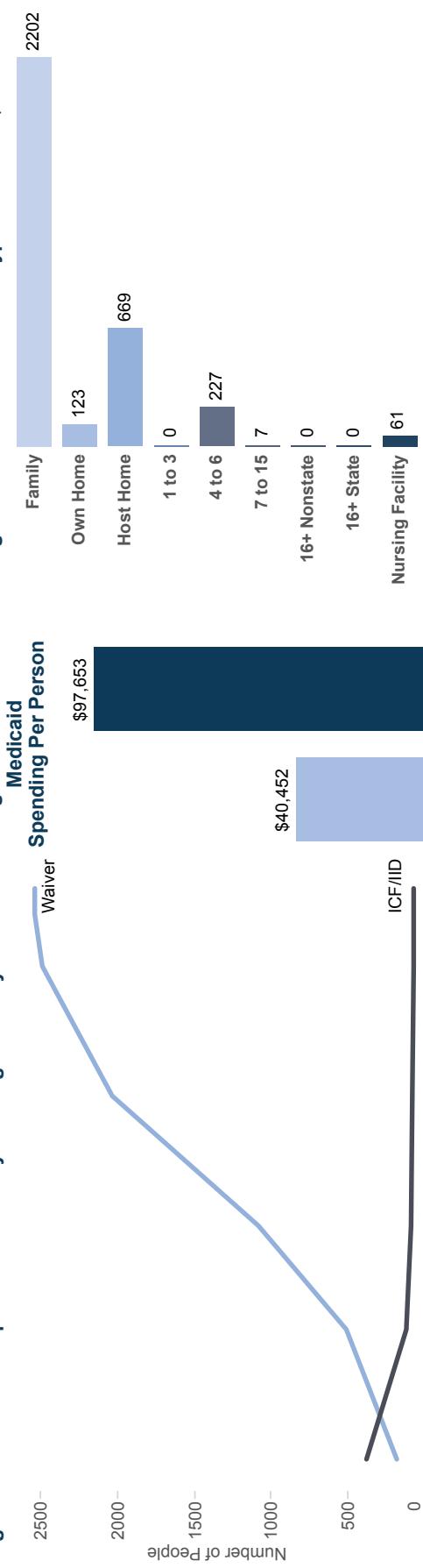


Figure 2: Annual Medicaid Spending Per Person

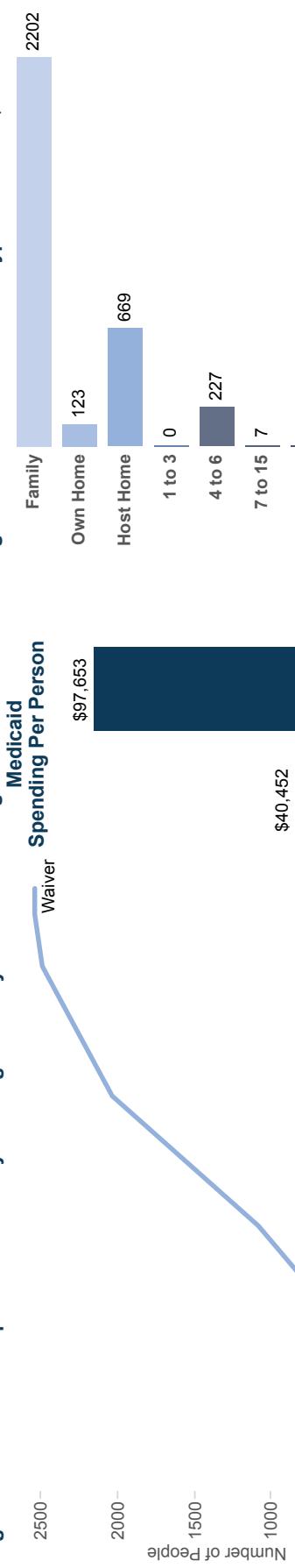


Figure 3: Residence Size and Type on June 30, 2013

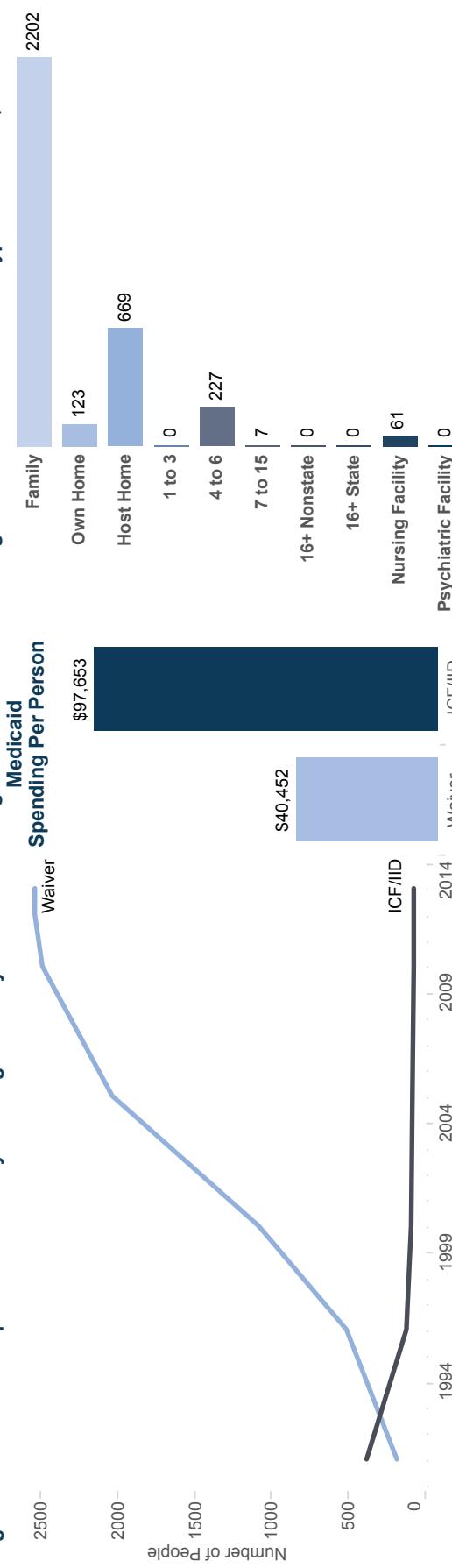


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home									
		Family									
		Host Home									
		1 to 3									
Congregate DD settings by size and type	4 to 15	4 to 6	366	445	576	948	198	1,175	167	166	227
		1 to 6	18	12	5	7	7	0	8	8	7
		7 to 15									
		16+ Nonstate									
		16+ State									
		16+ Total	543	400	260						
Non-DD Specific	16+	Nursing Facility*									
		Psychiatric Facility	0	0	0	0	0	0	0	0	0
People with IDD in the System	All Types	Waiting list									
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	927	857	841	1,101	3,532	3,523	3,173	2,360	3,289
		Waiver Expenditures per Person									
		Waiver Recipients per 100,000									
		ICF/IID Expenditures per Person*									
		ICF/IID per 100,000*									

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

### IDAHO

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

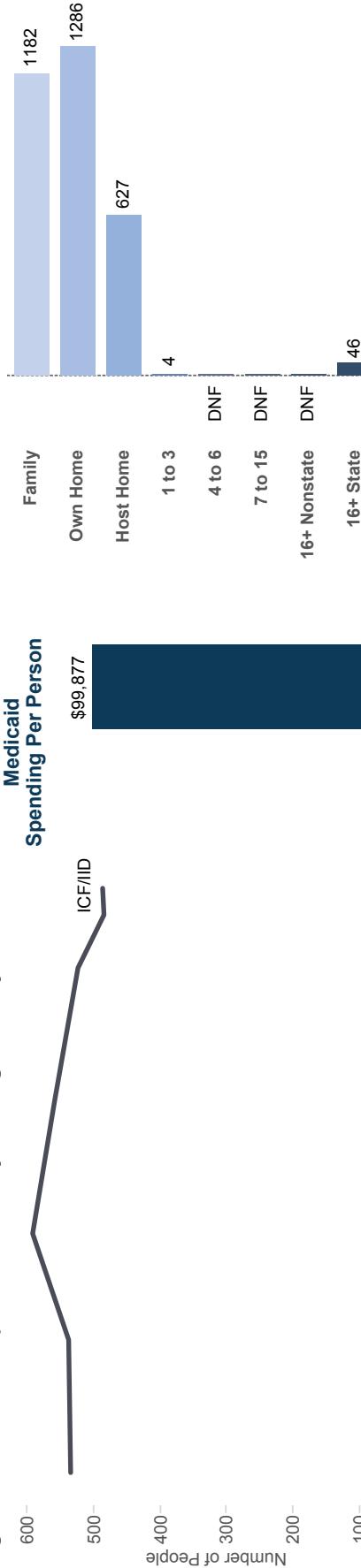


Figure 2: Annual Medicaid Spending Per Person

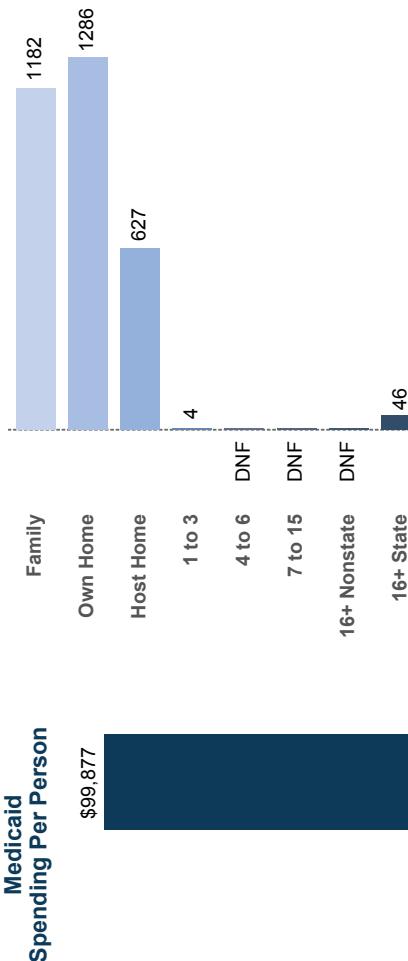


Figure 3: Residence Size and Type on June 30, 2013

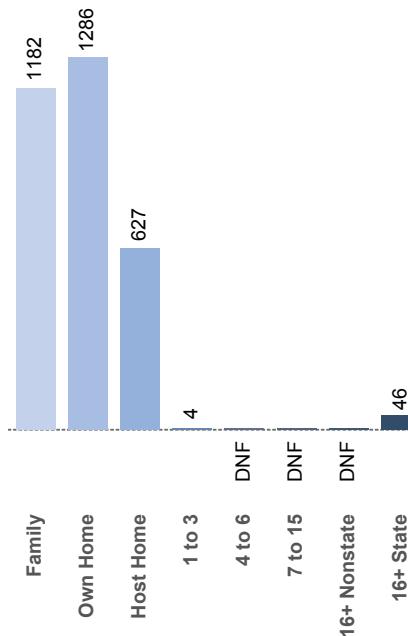


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home	500	931	1,228	1,437	1,286				
		Family	6,061	6,713	8,992	12,791	1,182				
		Host Home	517	1,009	1,215	1,729	627				
Congregate DD settings by size and type	4 to 15	1 to 3	42	41	242	342	145	211	205	196	DNF
	4 to 6	4 to 6	76	180	531	475	521	481	507	515	DNF
	1 to 6	7 to 15									
	7 to 15	16+ Nonstate									
	16+ Nonstate	16+ State									
	16+ State	16+ Total									
	16+ Total	16+ Total	698	639	521						
Non-DD Specific	16+	Nursing Facility*						39	28	125	233
		Psychiatric Facility						0	0	0	98
People with IDD in the System	All Types	Waiting list						100	0	0	0
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	816	860	1,294	1,466	8,186	9,822	12,465	17,152	3,920
	ICF/IID	Waiver Expenditures per Person					13,018	18,831	20,324	29,689	33,827
		Waiver Recipients per 100,000					16	35	62	119	187
		ICF/IID Expenditures per Person*					63,985	75,272	89,883	97,655	126,447
		ICF/IID per 100,000*					51	46	46	39	33

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).



## ILLINOIS

## FY 2013 RISP State Profile

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

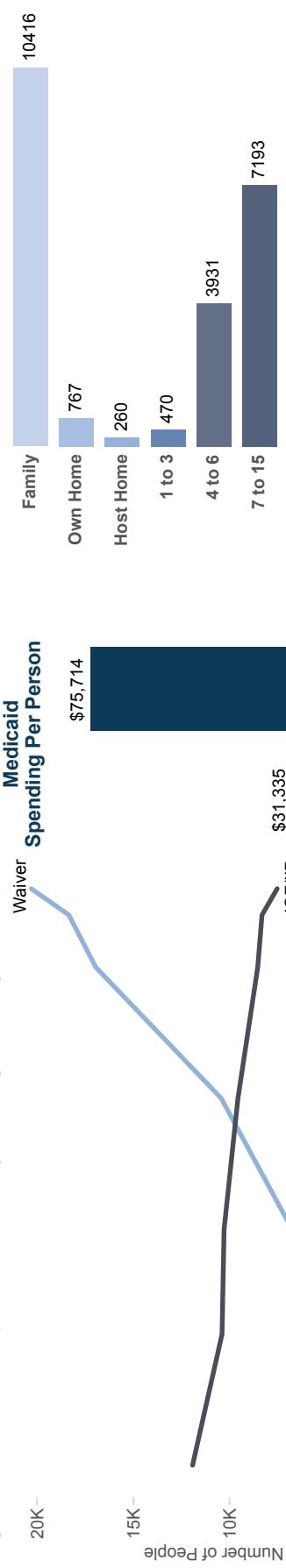


Figure 2: Annual Medicaid Spending Per Person

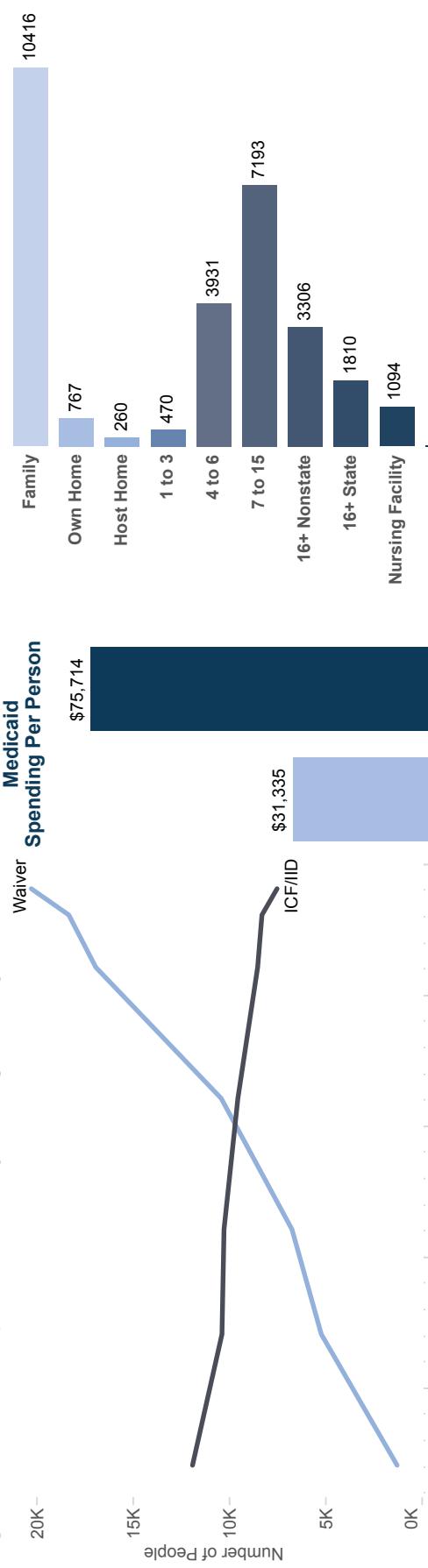


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

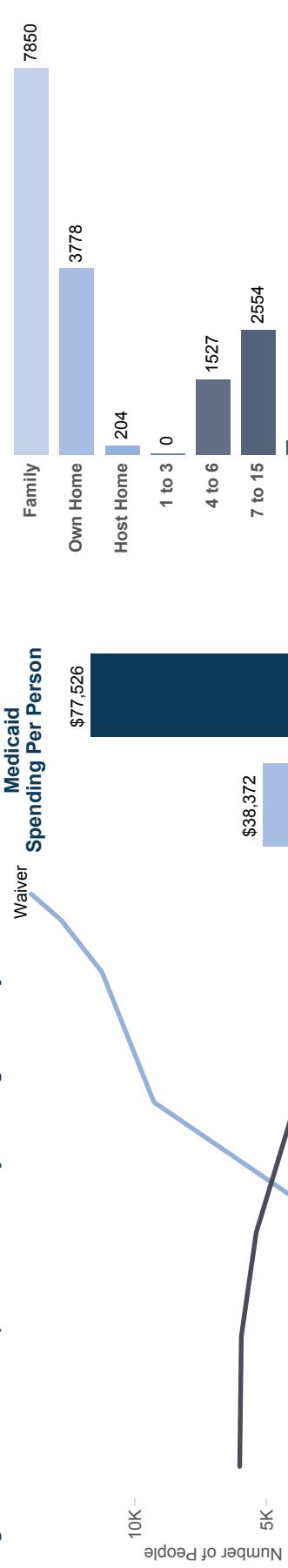
Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home									
		Family									
		Host Home									
		1 to 3									
Congregate DD settings by size and type	4 to 15	4 to 6	69	331	713	897	2,178	3,677	3,716	3,999	4,401
		1 to 6	101	387	1,707	3,824	3,442	5,395	6,463	7,703	7,193
		7 to 15									
		16+ Nonstate									
		16+ State									
		16+ Total	13,228	12,170	10,425	4,340	3,718	3,191	2,763	2,111	1,810
Non-DD Specific	16+	Nursing Facility*									
		Psychiatric Facility									
People with IDD in the System	All Types	Waiting list									
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	13,398	12,888	12,845	16,545	37,032	30,284	31,183	33,496	29,248
	ICF/IID	Waiver Expenditures per Person									
		Waiver Recipients per 100,000									
		ICF/IID Expenditures per Person*									
		ICF/IID per 100,000*									

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

### INDIANA

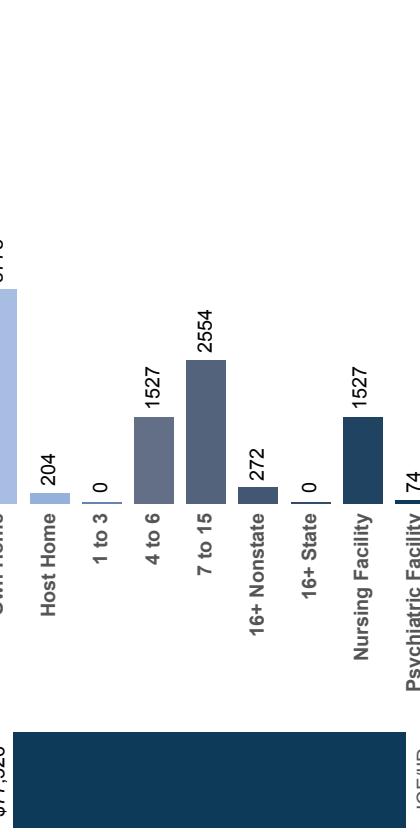
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**



**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

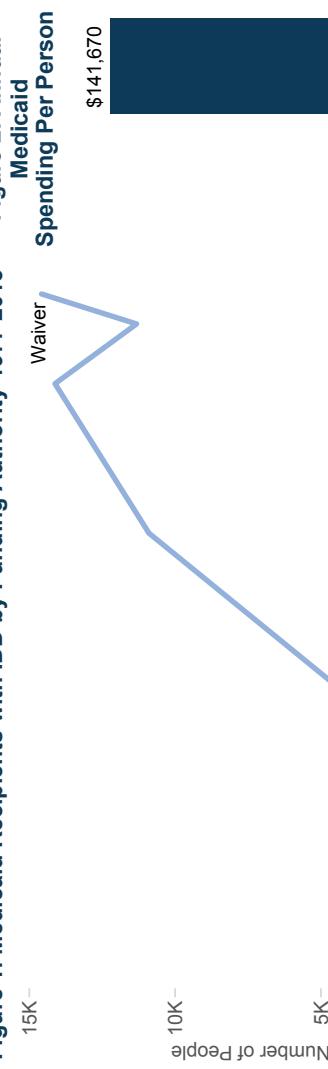
Setting Umbrella	Setting Type	Setting name	Congregate DD settings by size and type						2013
			1977	1982	1987	1991	1996	2000	
Individualized Settings	Individualized Settings	Own Home					1,157	1,447	6,553
		Family					9,169	1,358	4,404
		Host Home					419	490	3,778
		1 to 3					0	0	5,881
		4 to 6	466	487	914	2,015	980	1,037	15,719
		1 to 6						3,254	40,755
		7 to 15	172	243	1,609	2,424	2,820	2,754	45,301
		16+ Nonstate					892	835	321
		16+ State					1,756	1,244	318
		16+ Total	4,218	3,231	2,863		797	415	272
								192	0
Non-DD Specific	16+	Nursing Facility*					2,587	2,057	1,682
		Psychiatric Facility					0	0	1,527
		Waiting list					65	33	5,109
People with IDD in the System	All Types	Estimated Total, Residence Type Known	4,856	3,961	5,386	7,087	16,773	8,718	17,142
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person				0	28,752	42,563	38,372
	ICF/IID	Waiver Recipients per 100,000				0	14	34	212
		ICF/IID Expenditures per Person*				32,930	50,668	47,659	77,683
		ICF/IID per 100,000*				108	102	89	57

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

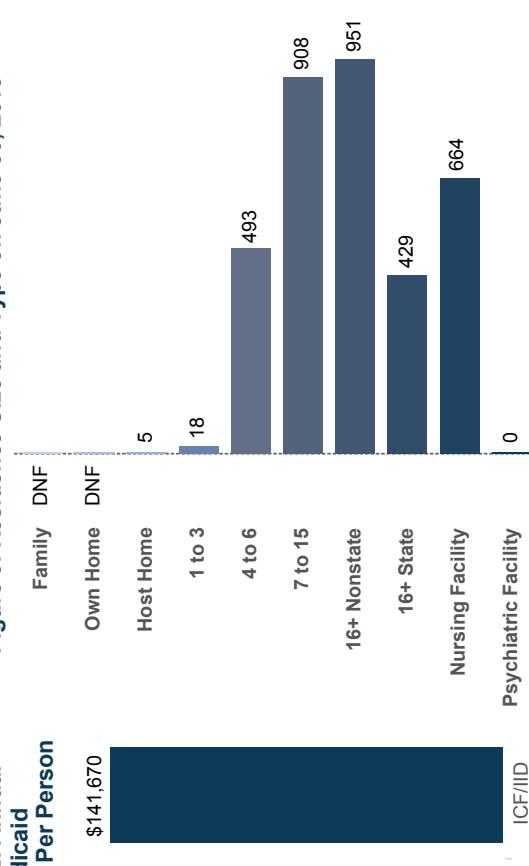
## FY 2013 RISP State Profile

### IOWA

**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities						
			1977	1982	1987	1991	1996	2000	2005
Individualized Settings	Individualized Settings	Own Home					1,135	2,487	4,866
	Family						9,435	2,166	4,145
	Host Home						23	6	3
	1 to 3								5
									0
									18
Congregate DD settings by size and type	4 to 15	4 to 6	94	211	468	1,860	1,629	1,132	694
	1 to 6	1 to 6	296	588	702	1,571	1,994	725	1,060
	7 to 15	7 to 15							798
	16+ Nonstate	16+ Nonstate					1,956	2,551	3,822
	16+ State	16+ State					1,041	672	673
	16+ Total	16+ Total	3,109	3,742	2,183				
									626
									503
									429
Non-DD Specific	16+	Nursing Facility*				1,379	148	150	808
		Psychiatric Facility				6	197	65	619
		Waiting list							664
People with IDD in the System	All Types	Estimated Total, Residence Type Known	3,499	4,541	3,353	6,428	17,439	11,011	12,495
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person				2,842	12,510	19,242	20,258
	ICF/IID	Waiver Recipients per 100,000				1	90	157	369
	ICF/IID	ICF/IID Expenditures per Person*				64,095	87,433	63,161	114,002
	ICF/IID	ICF/IID per 100,000*				76	76	104	74

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

### KANSAS

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

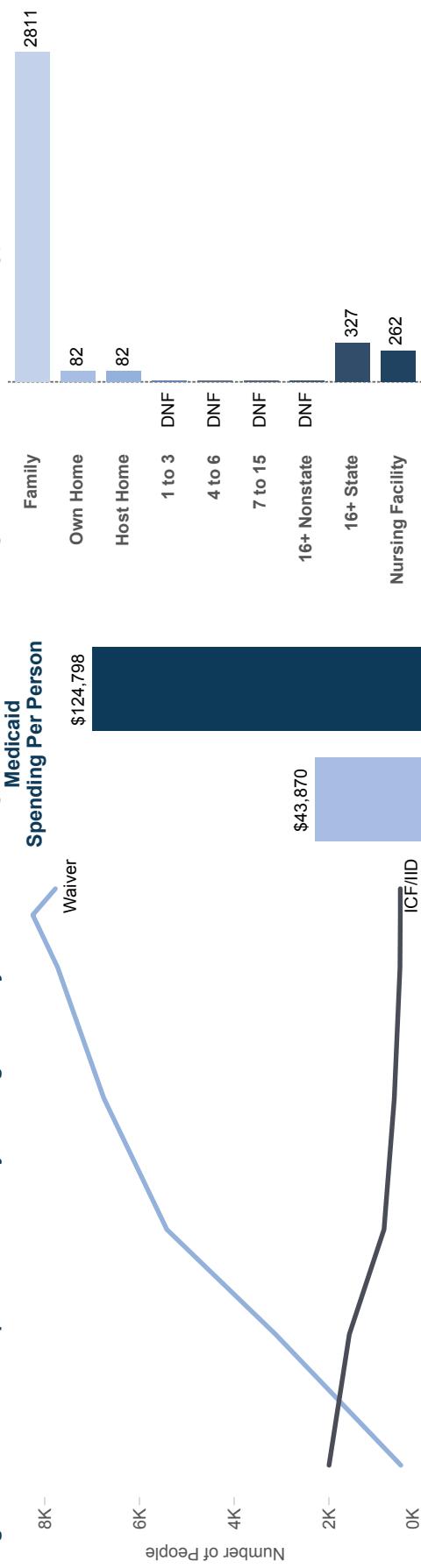


Figure 2: Annual Medicaid Spending Per Person

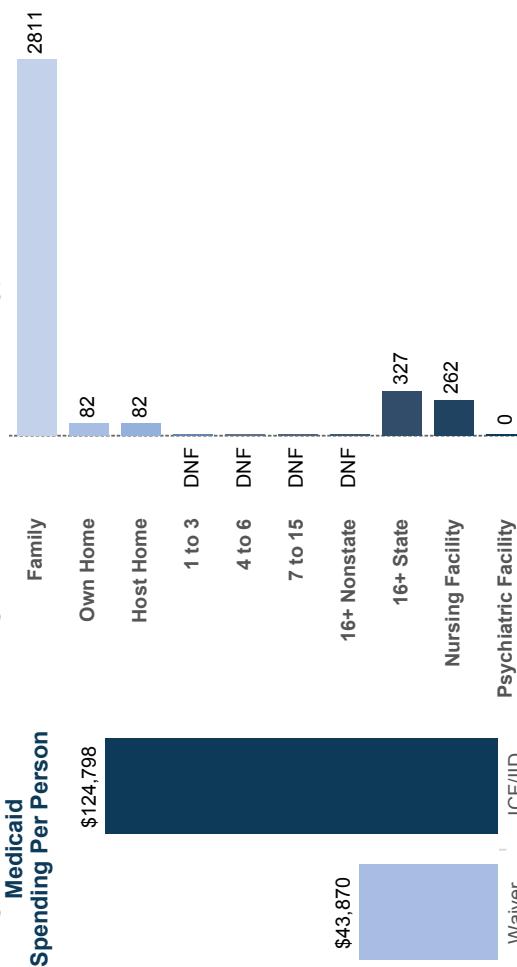


Figure 3: Residence Size and Type on June 30, 2013

Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home						1,005	1,774	2,561	82
		Family						2,901	1,590	2,591	2,811
		Host Home						129	141	227	82
		1 to 3						1,359	1,873	650	DNF
Congregate DD settings by size and type	4 to 15	4 to 6	220	184	613	764	546	1,305	1,275	1,493	DNF
	1 to 6	1 to 6	406	482	555	533	831	229	327	477	DNF
	7 to 15	7 to 15									
	16+ Nonstate	16+ Nonstate									
	16+ State	16+ State									
	16+ Total	16+ Total	2,080	2,209	1,974						
Non-DD Specific	16+	Nursing Facility*				31	0	38	0	432	262
		Psychiatric Facility				4	0	0	0	0	0
People with IDD in the System	All Types	Waiting list				16	206	1,194	1,287	DNF	
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	2,706	2,875	3,142	2,995	DNF	7,518	7,407	8,346	8,743
	ICF/IID	Waiver Expenditures per Person				23,481	22,749	31,119	32,107	36,224	43,870
		Waiver Recipients per 100,000				20	120	202	247	272	269
		ICF/IID Expenditures per Person*				48,846	61,146	78,458	105,345	119,983	124,798
		ICF/IID per 100,000*				81	60	32	23	18	18

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

## KENTUCKY

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013



Figure 2: Annual Medicaid Spending Per Person

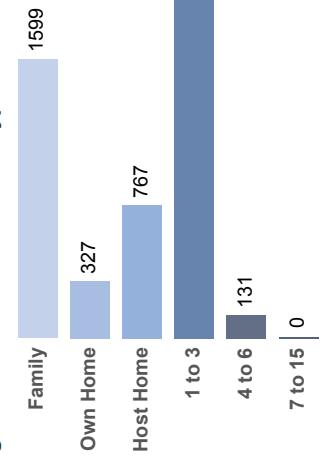


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

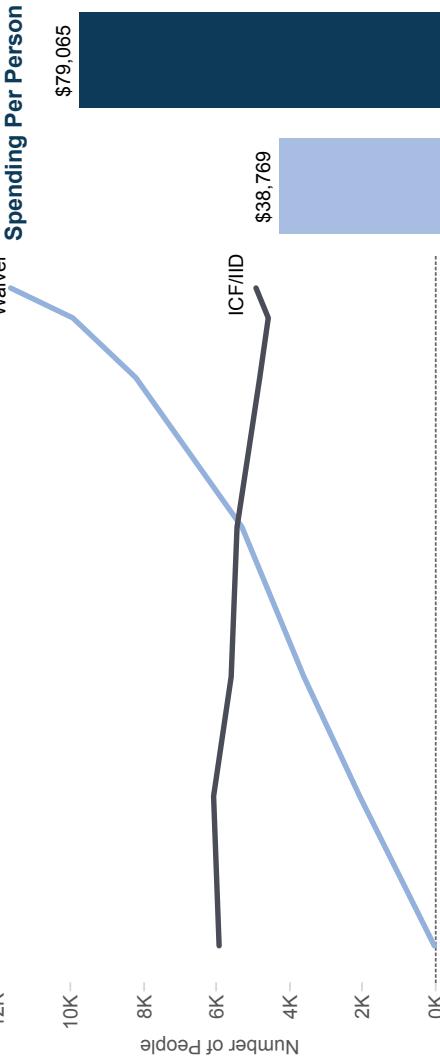
Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home									
		Family									
		Host Home									
		1 to 3									
Congregate DD settings by size and type	4 to 15	4 to 6	44	112	327	747	556	732	1,894	2,275	2,678
		1 to 6	29	63	103	150	234	274	114	24	0
		7 to 15									
		16+ Nonstate									
16+	16+ State	16+ Nonstate									
		16+ State									
		16+ Total	1,585	1,685	1,199						
		Nursing Facility*	217				0	1,640	450	850	191
Non-DD Specific	16+	Psychiatric Facility				5	0	0	0	0	151
		Waiting list									
		Estimated Total, Residence Type Known	1,658	1,860	2,141	7,640	6,910	5,066	5,337	6,065	
		Waiver Expenditures per Person									
People with IDD in the System	All Types	Waiver Recipients per 100,000									
		ICF/IID Expenditures per Person*									
		ICF/IID per 100,000*									

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

### LOUISIANA

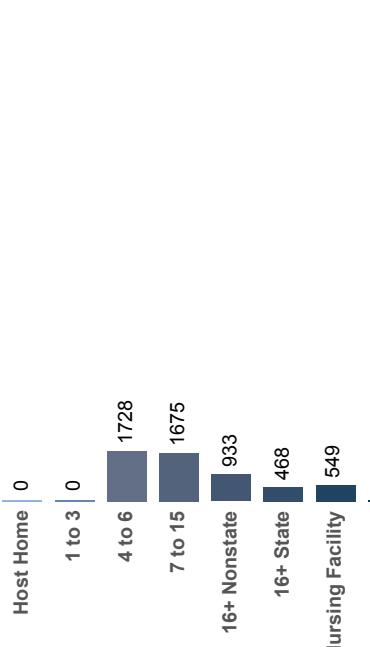
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**



**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	Developmental Disabilities						2013
			1977	1982	1987	1991	1996	2000	
Individualized Settings	Individualized Settings	Own Home					501	1,391	1,891
		Family					10,574	1,894	6,512
		Host Home					181	108	53
		1 to 3					0	37	54
Congregate DD settings by size and type	4 to 15	4 to 6	39	85	914	2,224	2,493	2,096	2,026
		1 to 6	112	185	291	222	1,187	779	1,030
		7 to 15							
		16+ Nonstate							
		16+ State							
		16+ Total	4,298	4,785	4,436	1,252	1,267	1,109	677
Non-DD Specific	16+	Nursing Facility*							
		Psychiatric Facility							
People with IDD in the System	All Types	Waiting list							
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	4,449	5,055	5,641	7,864	18,584	9,013	14,019
	ICF/IID	Waiver Expenditures per Person							
		Waiver Recipients per 100,000							
		ICF/IID Expenditures per Person*							
		ICF/IID per 100,000*							

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).



## MAINE

## FY 2013 RISP State Profile

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

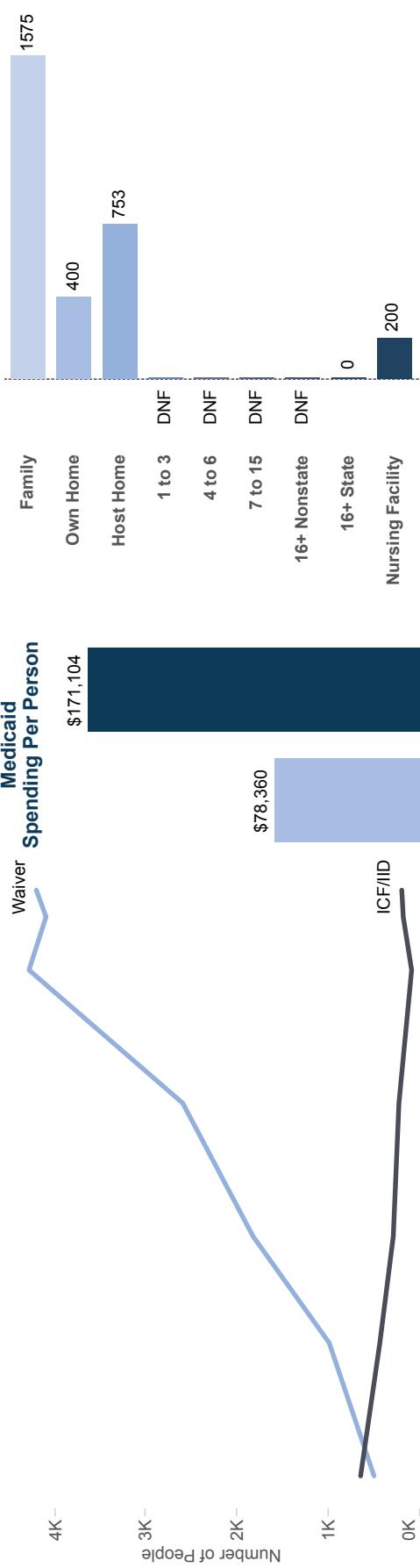


Figure 2: Annual Medicaid Spending Per Person

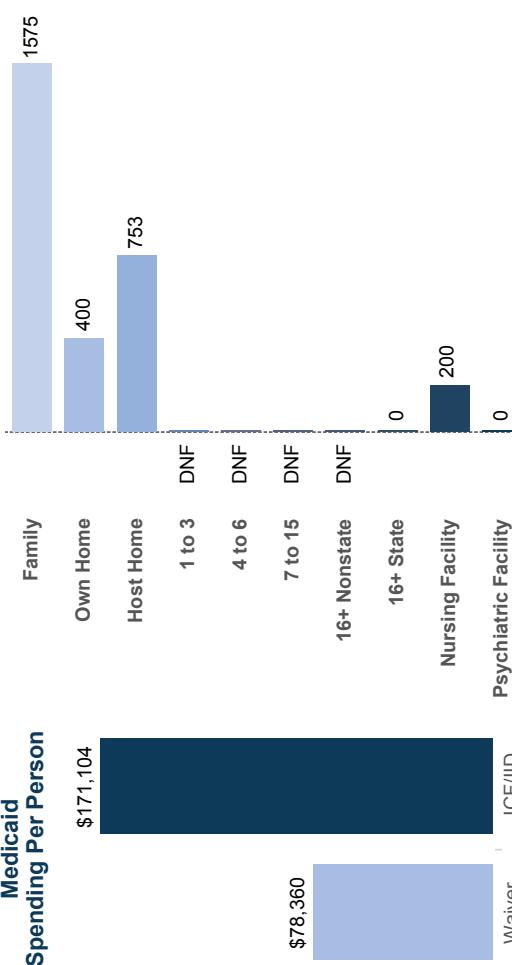
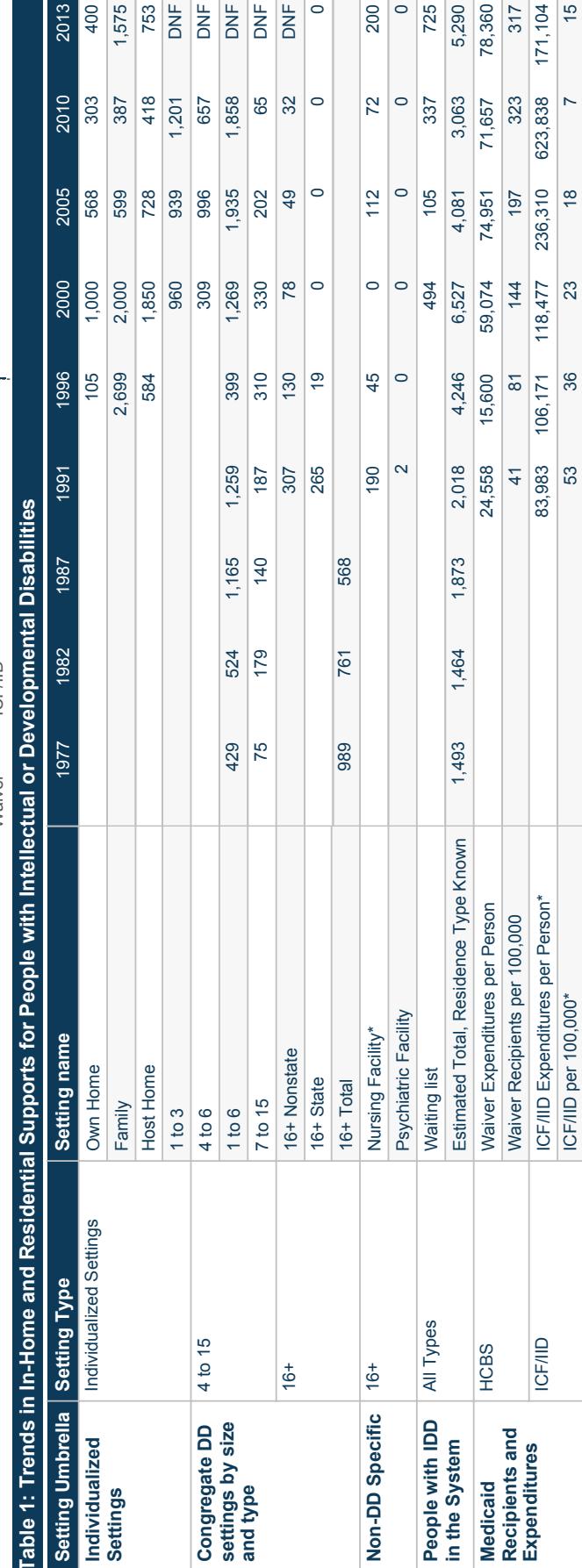


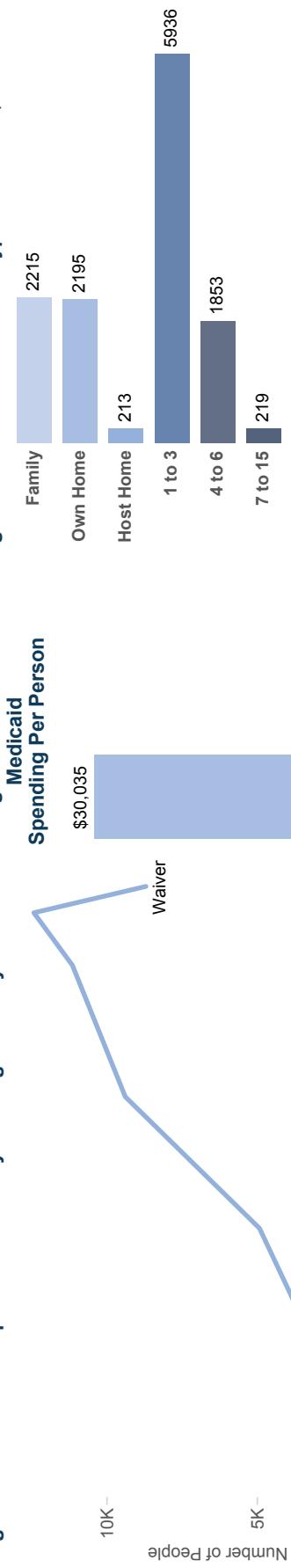
Figure 3: Residence Size and Type on June 30, 2013



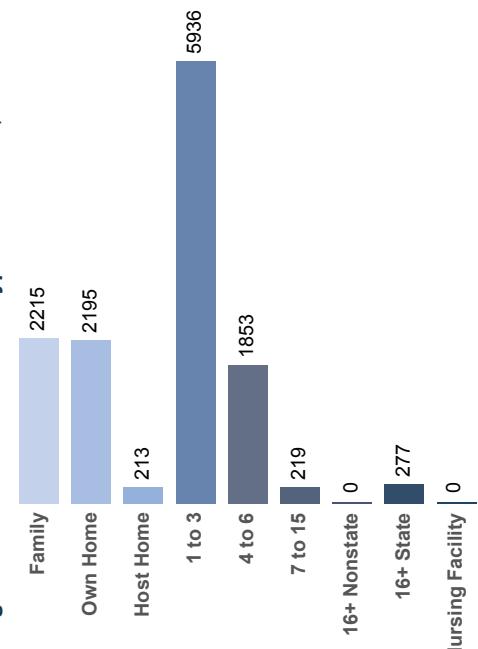
\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**

**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home						290	290	1,509	1,747
		Family						9,256	4,329	2,139	2,228
		Host Home						292	176	251	2,215
		1 to 3							2,285	2,819	3,544
Congregate DD settings by size and type	4 to 15	62	352	2,368	3,325	3,266	3,678	1,393	1,663	1,629	5,936
	4 to 6	71	163	256	0	361	385	313	5,173	5,173	1,853
	1 to 6										7,789
	7 to 15										
16+	16+ Nonstate										
	16+ State										
	16+ Total		3,238	2,731	1,532						
Non-DD Specific	16+	Nursing Facility*						537	336	121	843
		Psychiatric Facility						9	8	0	0
		Waiting list									
People with IDD in the System	All Types	Estimated Total, Residence Type Known	3,371	3,246	4,156	4,484	14,191	9,457	9,086	9,764	12,908
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person			39,722	39,535	59,787	39,383	52,511	30,035	
	ICF/IID	Waiver Recipients per 100,000			22	65	94	169	194	148	
		ICF/IID Expenditures per Person*			58,134	89,130	112,038	171,896	163,291	DNF	
		ICF/IID per 100,000*			22	13	10	7	3	3	

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## MASSACHUSETTS

## FY 2013 RISP State Profile

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

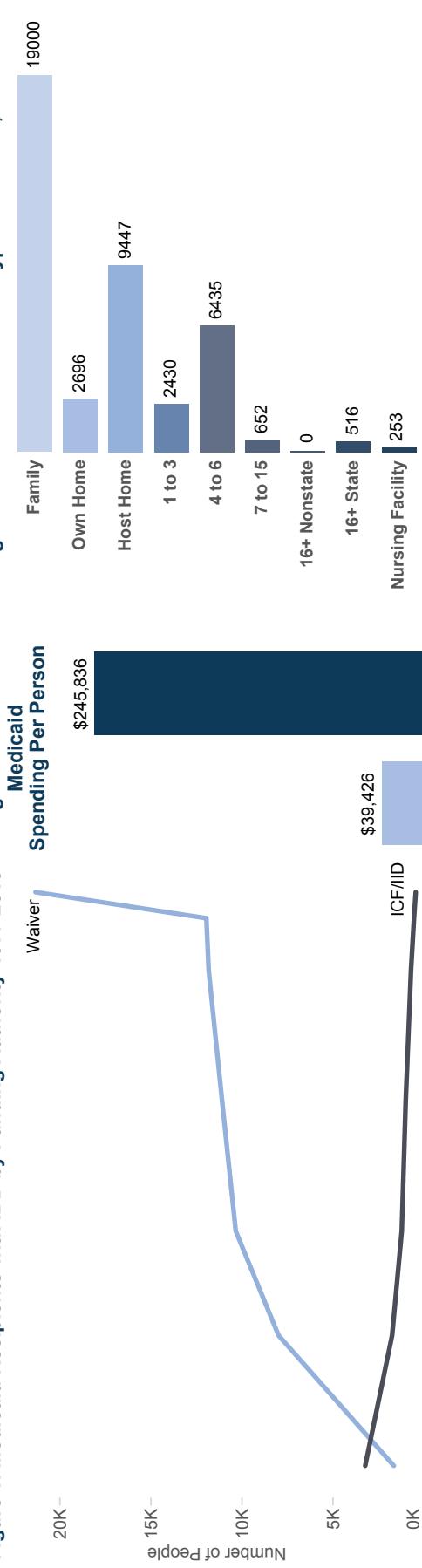


Figure 2: Annual Medicaid Spending Per Person

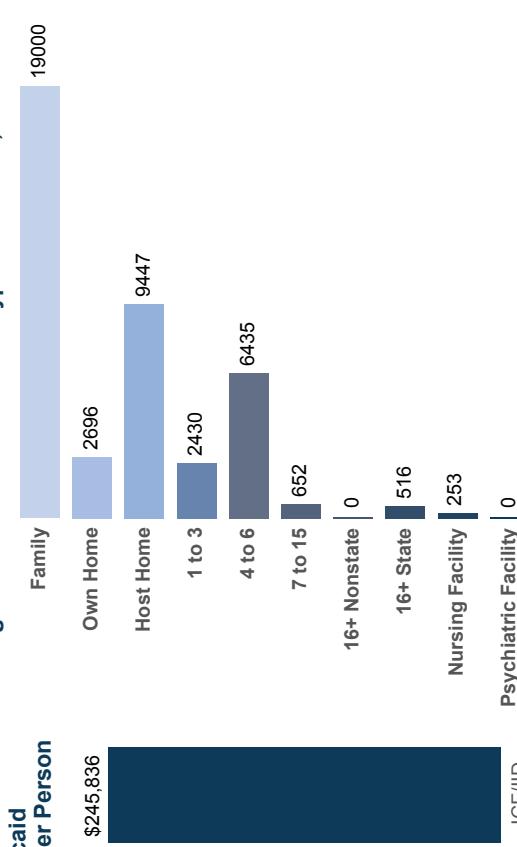


Figure 3: Residence Size and Type on June 30, 2013

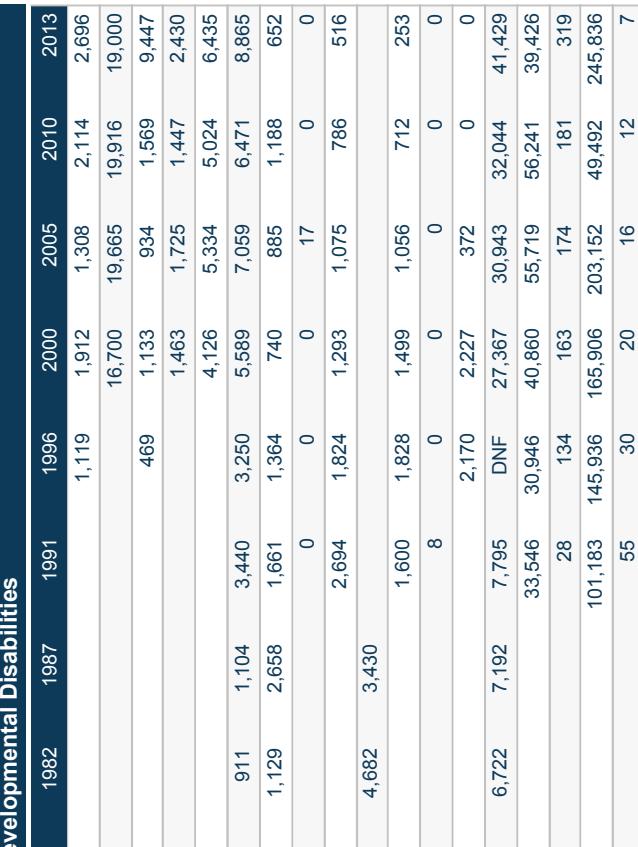


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

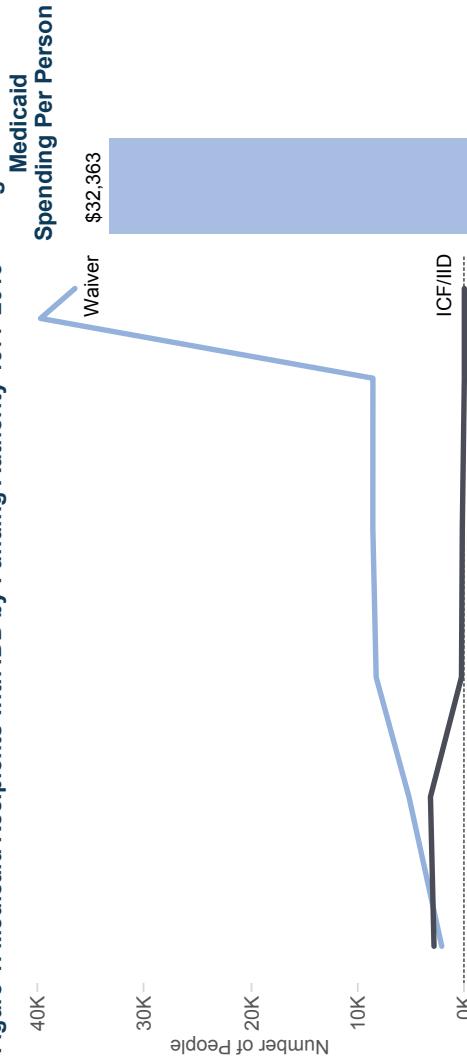
Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home									
		Family									
		Host Home									
		1 to 3									
Congregate DD settings by size and type	4 to 15										
	4 to 6										
	1 to 6										
	7 to 15										
16+	16+ Nonstate										
	16+ State										
	16+ Total		6,429	4,682	3,430						
Non-DD Specific	16+	Nursing Facility*									
		Psychiatric Facility									
People with IDD in the System	All Types	Waiting list									
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	7,723	6,722	7,192	7,795	DNF	27,367	30,943	32,044	41,429
	ICF/IID	Waiver Expenditures per Person									
		Waiver Recipients per 100,000									
		ICF/IID Expenditures per Person*									
		ICF/IID per 100,000*									

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

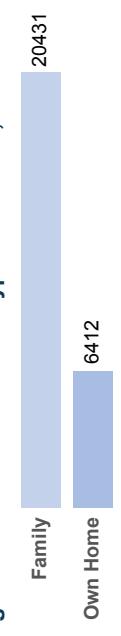
## FY 2013 RISP State Profile

### MICHIGAN

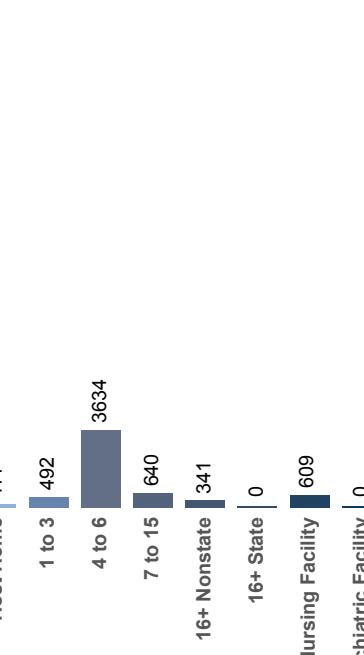
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**



**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					926	1,760	2,612	6,319	6,412
		Family						9,421	19,431	20,431	
		Host Home					1,890	1,196	766	564	177
		1 to 3					0	1,110	492		
Congregate DD settings by size and type	4 to 15	4 to 6	1,306	3,529	4,934	7,513	8,741	6,469	7,439	3,634	
		1 to 6					0	0	0	1,904	640
		7 to 15					253	0	0	0	754
		16+ Nonstate					760	346	269	190	0
		16+ State					748	902	357	509	609
Non-DD Specific	16+	Nursing Facility*					0	0	0	0	0
		Psychiatric Facility									
		Waiting list						0			DNF
People with IDD in the System	All Types	Estimated Total, Residence Type Known	1,306	3,529	4,934	8,526	DNF	DNF	23,718	37,521	32,736
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person		27,632	31,304	38,728	38,448	48,974	32,363		
	ICF/IID	Waiver Recipients per 100,000		23	54	81	85	87	370		
		ICF/IID Expenditures per Person*		116,394	145,936	103,657	109,363	0			
		ICF/IID per 100,000*		30	33	3	2	0	0	0	0

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).

## MINNESOTA

## FY 2013 RISP State Profile

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

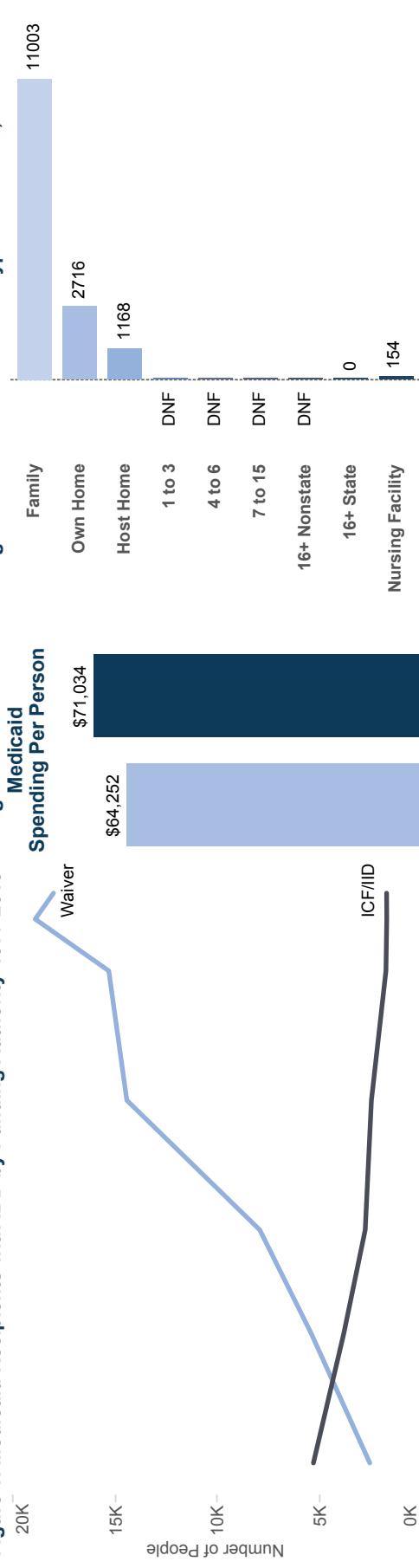


Figure 2: Annual Medicaid Spending Per Person

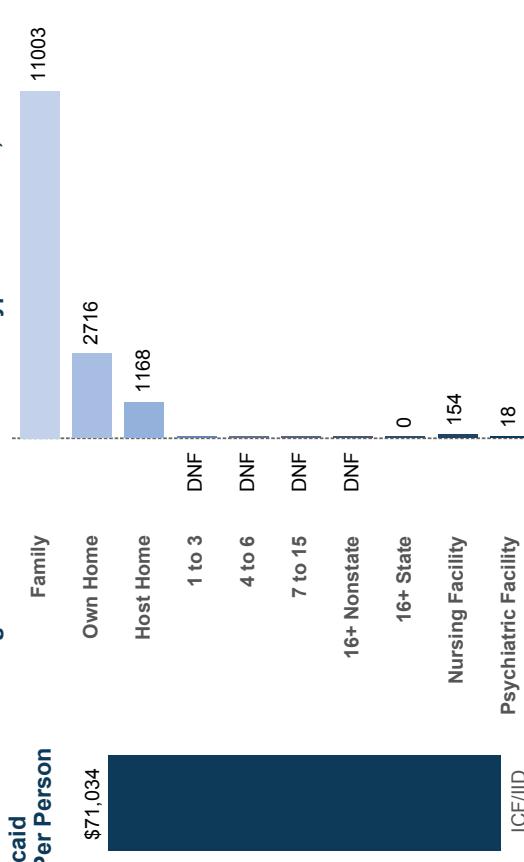


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home									
		Family									
		Host Home									
		1 to 3									
Congregate DD settings by size and type	4 to 15	4 to 6	286	652	2,627	4,504	6,087	6,499	7,742	9,867	DNF
	1 to 6	1 to 6	911	1,805	2,390	1,853	1,674	1,225	1,027	589	DNF
	7 to 15	7 to 15									
	16+	16+ Nonstate									
	16+ State	16+ Total	4,985	4,612	3,772						
Non-DD Specific	16+	Nursing Facility*									
People with IDD in the System	All Types	Psychiatric Facility									
Medicaid Recipients and Expenditures	HCBS	Waiting list									
	ICF/IID	Estimated Total, Residence Type Known	6,182	7,069	8,789	9,909	28,063	20,067	28,437	28,875	31,174
		Waiver Expenditures per Person									
		Waiver Recipients per 100,000									
		ICF/IID Expenditures per Person*									
		ICF/IID per 100,000*									

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

### MISSISSIPPI

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

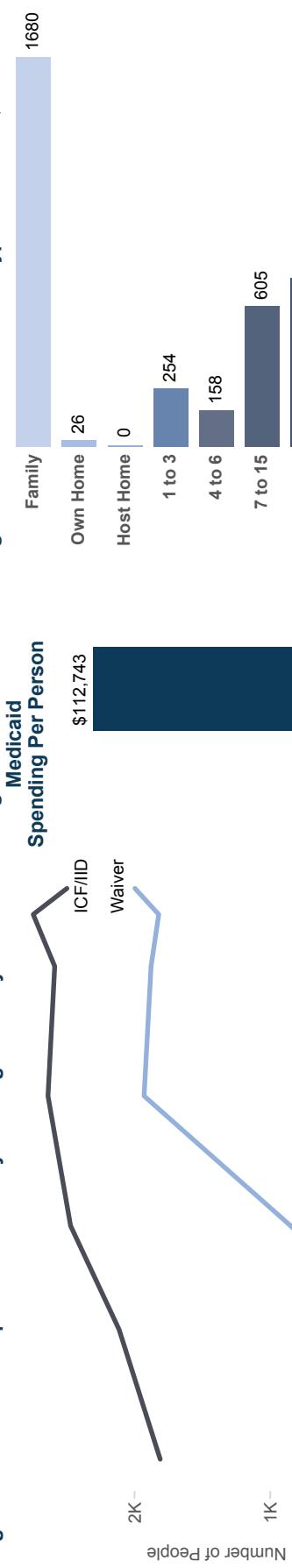


Figure 2: Annual Medicaid Spending Per Person

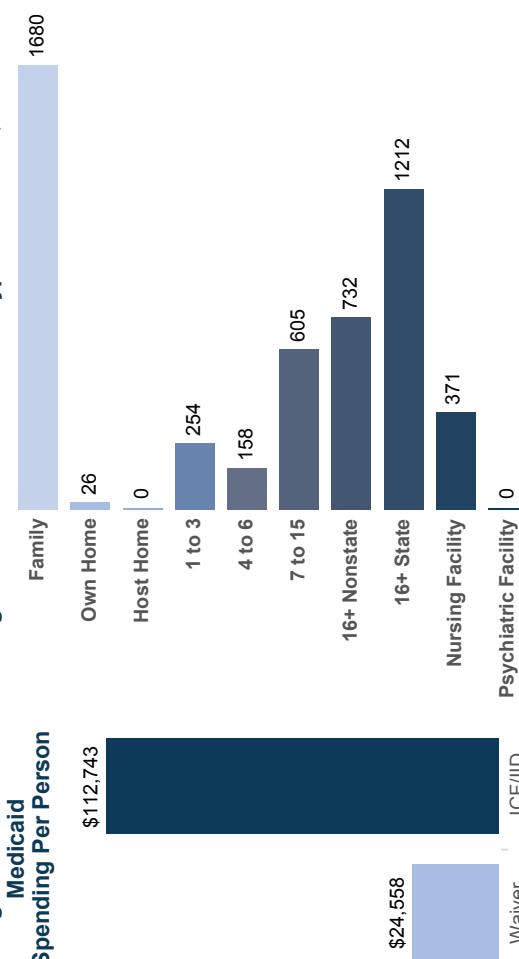


Figure 3: Residence Size and Type on June 30, 2013



Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	Congregate DD settings by size and type						Non-DD Specific	People with IDD in the System	
			1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					121	93	127	60	26
		Family					2,838	157	1,378	1,693	1,680
		Host Home					0	0	0	0	0
		1 to 3					166	437	309	309	254
		4 to 6					141	123	80	80	158
		1 to 6					560	388	388	388	412
		7 to 15					617	718	682	682	605
		16+ Nonstate					585	625	630	669	732
		16+ State					1,496	1,424	1,409	1,367	1,324
		16+ Total	2,055	2,201	2,127	310	346	307	307	307	307
		Nursing Facility*					300	0	321	172	140
		Psychiatric Facility					0	0	0	0	0
		Waiting list					0	0	0	0	0
		Estimated Total, Residence Type Known	2,174	2,478	2,444	2,506	5,646	3,213	4,819	4,859	5,038
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person					0	397	5,202	18,814	18,869
	ICF/IID	Waiver Recipients per 100,000					0	24	47	66	84
		ICF/IID Expenditures per Person*					23,736	48,710	63,611	78,761	103,469
		ICF/IID per 100,000*					70	79	87	91	64
											60

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

## MISSOURI

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

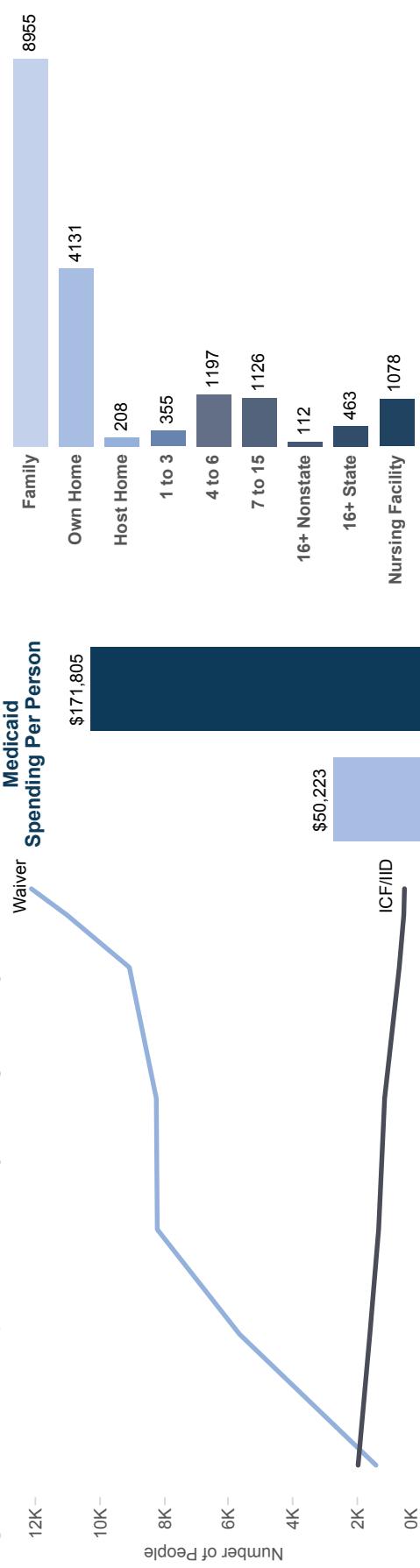


Figure 2: Annual Medicaid Spending Per Person

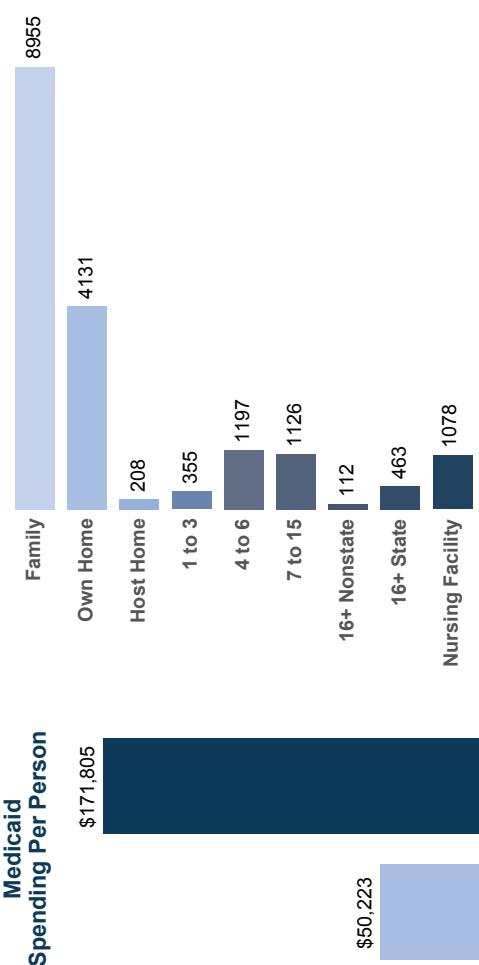


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

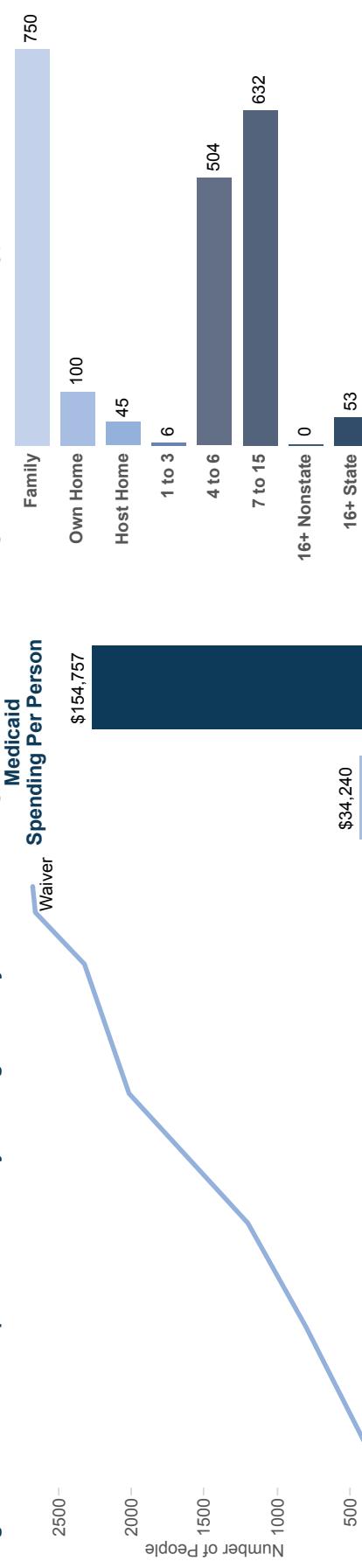
Setting Umbrella	Setting Type	Setting name	Developmental Disabilities						
			1977	1982	1987	1991	1996	2000	2005
Individualized Settings	Individualized Settings	Own Home					2,024	2,270	2,391
		Family					10,907	8,582	7,644
		Host Home					138	85	41
		1 to 3					263	492	422
Congregate DD settings by size and type	4 to 15	4 to 6	599	470	848	1,368	822	1,041	1,313
		1 to 6	1,059	1,180	1,432	1,700	1,315	1,231	1,224
		7 to 15					1,101	725	471
		16+ Nonstate					1,703	1,494	1,278
		16+ State					4,847	4,331	3,671
		16+ Total					1,400	1,125	1,152
Non-DD Specific	16+	Nursing Facility*					10	41	43
		Psychiatric Facility						694	574
People with IDD in the System	All Types	Waiting list						491	206
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	6,505	5,981	5,872	17,425	14,958	13,964	12,888
	ICF/IID	Waiver Expenditures per Person					19,541	24,139	24,142
		Waiver Recipients per 100,000					44	107	147
		ICF/IID Expenditures per Person*					51,212	94,255	72,792
		ICF/IID per 100,000*					39	31	25

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

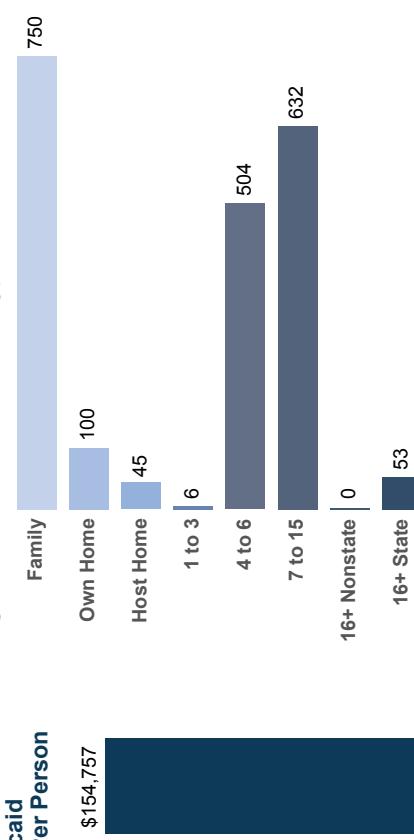
## FY 2013 RISP State Profile

### MONTANA

**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**

**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home						463	100	586	650
		Family						3,155	2,386	2,068	2,400
		Host Home						177	207	181	240
		1 to 3						262	226	200	6
Congregate DD settings by size and type	4 to 15	4 to 6	86	93	352	615	199	711	226	286	380
		1 to 6	339	415	561	523	501	488	398	400	632
		7 to 15									
		16+ Nonstate						232	0	0	0
		16+ State						190	157	130	79
		16+ Total	340	273	254						
		Nursing Facility*						232	169	205	163
		Psychiatric Facility						1	0	1	0
		Waiting list						536	200	560	691
Non-DD Specific	16+	Estimated Total, Residence Type Known	765	781	1,167	1,560	4,652	4,022	3,824	4,345	2,179
People with IDD in the System	All Types	Waiver Expenditures per Person						21,670	25,279	27,829	28,619
Medicaid Recipients and Expenditures	HCBS	Waiver Recipients per 100,000						44	92	134	216
	ICF/IID	ICF/IID Expenditures per Person*						71,236	89,378	103,439	156,333
		ICF/IID per 100,000*						24	14	8	7

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## NEBRASKA

## FY 2013 RISP State Profile

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

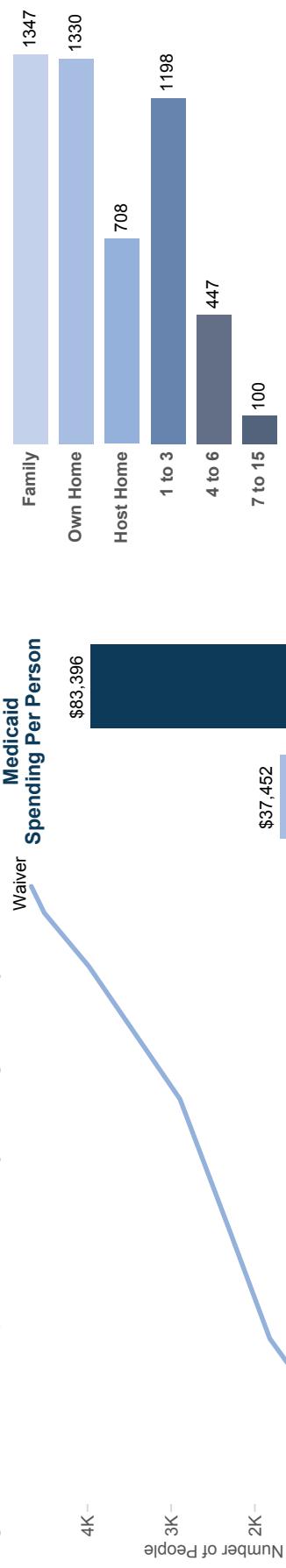


Figure 2: Annual Medicaid Spending Per Person

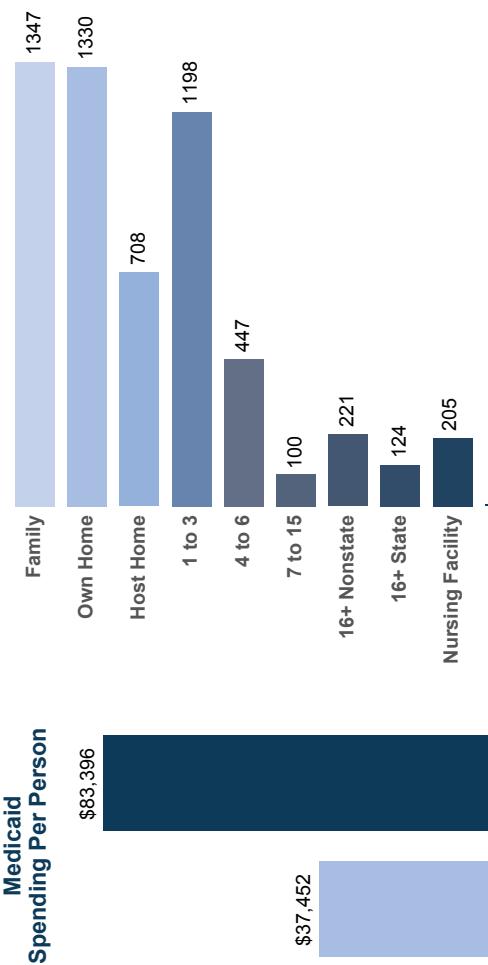
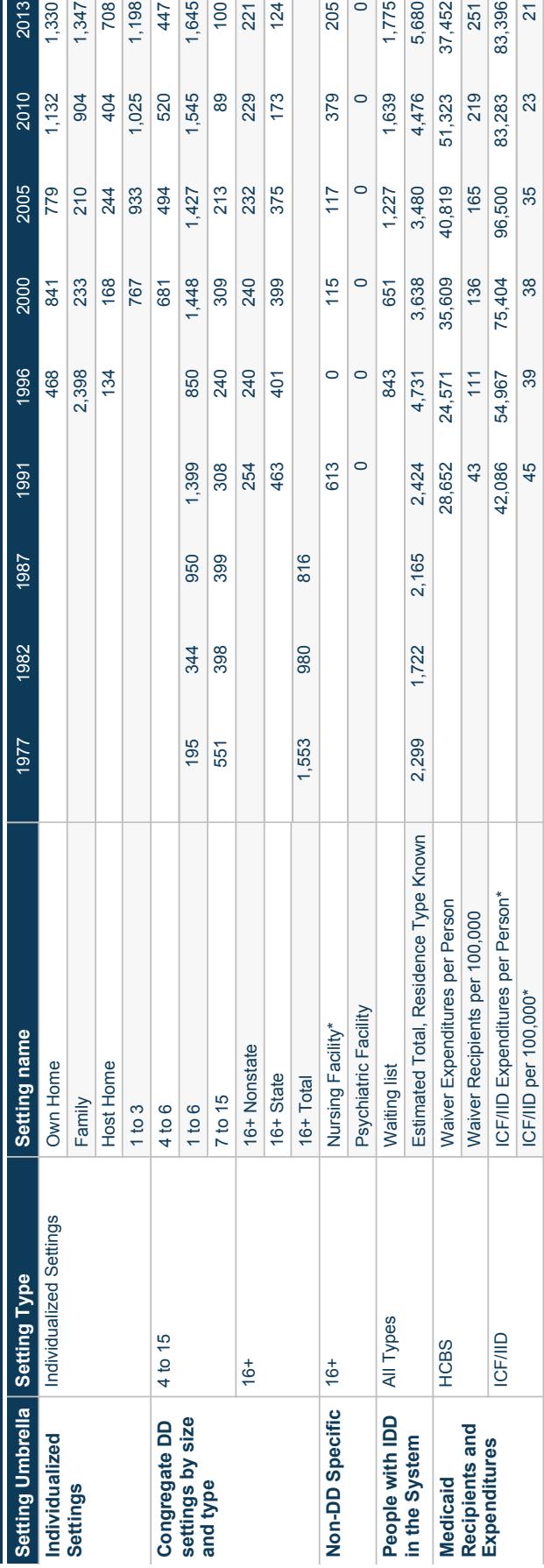


Figure 3: Residence Size and Type on June 30, 2013

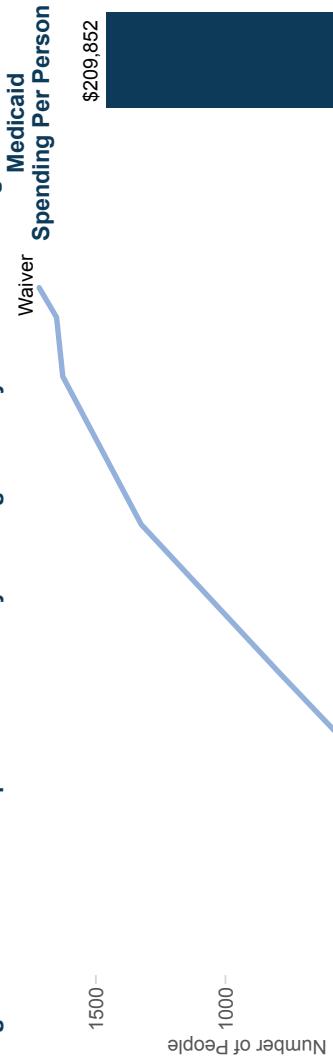


\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

### NEVADA

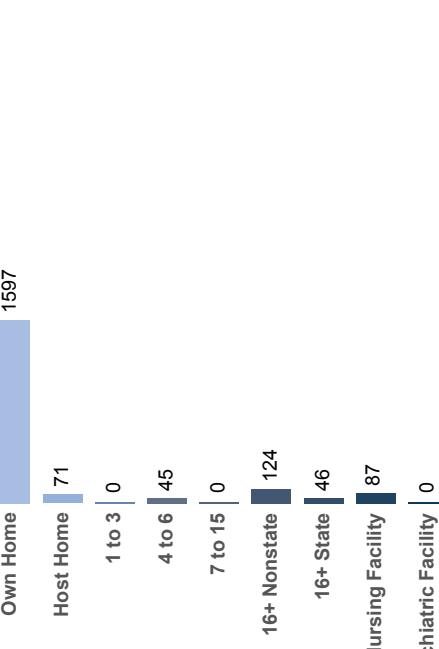
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**



**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					256	707	1,293	1,348	1,597
		Family					964	1,447	2,418	3,621	3,879
		Host Home					77	47	39	70	71
Congregate DD settings by size and type	4 to 15	1 to 3					0	0	0	0	0
	4 to 6	4 to 6	61	116	120	389	143	120	90	36	45
	1 to 6	1 to 6	20	25	138	15	19	39	0	0	0
	7 to 15	7 to 15									
	16+ Nonstate	16+ Nonstate				0	4	0	18	60	124
	16+ State	16+ State				177	154	140	89	47	46
	16+ Total	16+ Total	166	160	175						
Non-DD Specific	16+	Nursing Facility*				31	32	40	31	88	87
		Psychiatric Facility				0	0	0	0	0	0
People with IDD in the System	All Types	Waiting list				115	188	407	109	620	
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	247	301	433	581	1,617	2,500	3,947	5,182	5,849
	ICF/IID	Waiver Expenditures per Person				16,563	12,854	15,403	32,379	44,517	43,985
		Waiver Recipients per 100,000				11	24	40	55	60	62
		ICF/IID Expenditures per Person*				59,019	106,444	113,080	134,379	182,906	209,852
		ICF/IID per 100,000*				17	15	13	8	4	2

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## NEW HAMPSHIRE

## FY 2013 RISP State Profile

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

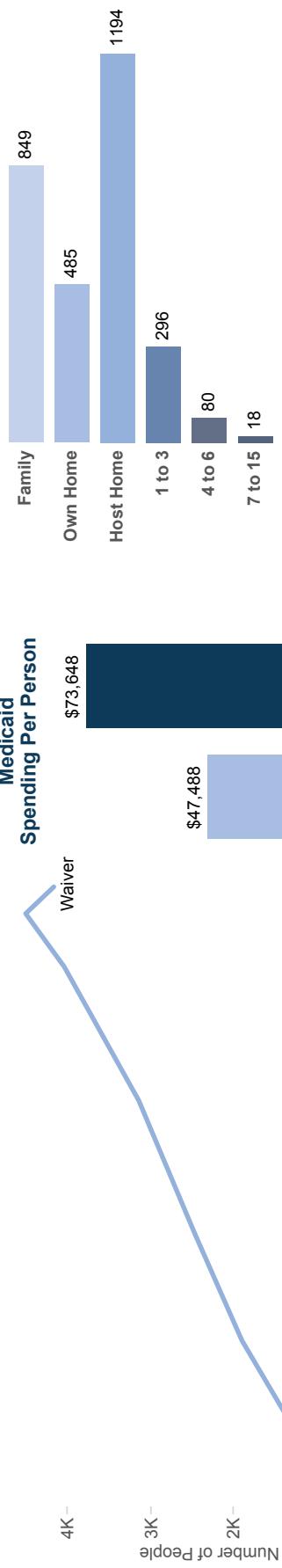


Figure 2: Annual Medicaid Spending Per Person

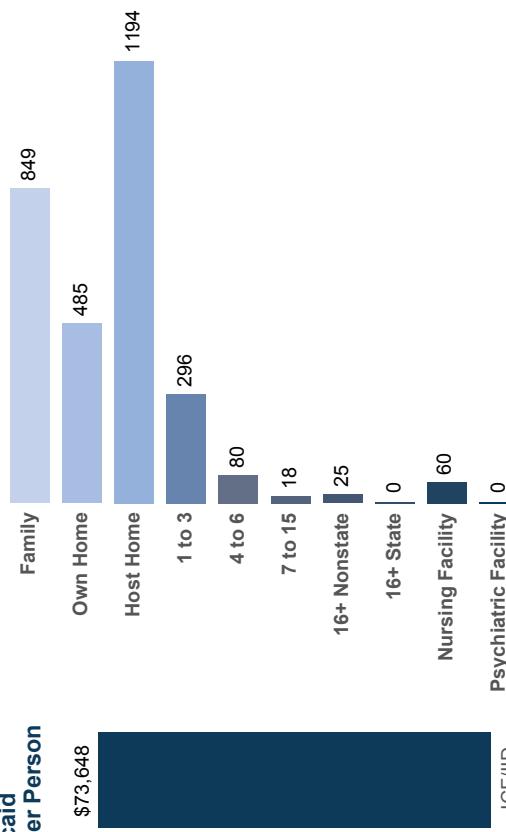


Figure 3: Residence Size and Type on June 30, 2013

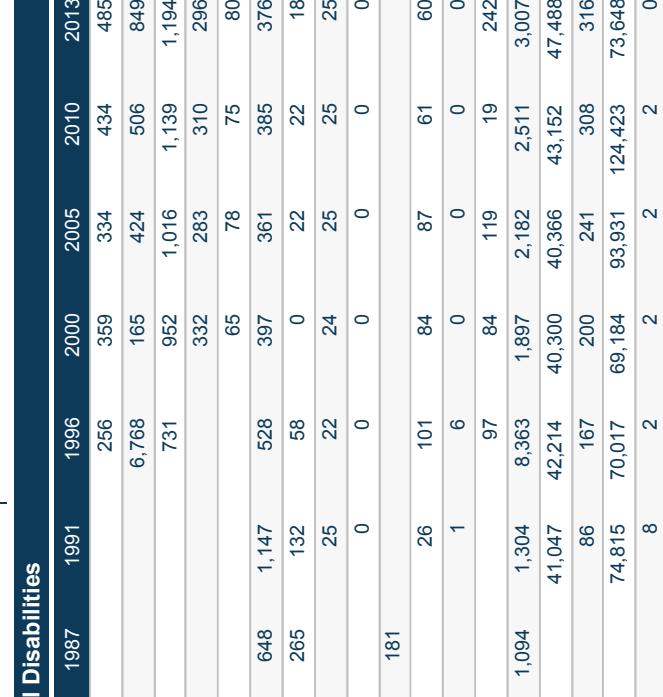


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					256	359	334	434	485
	Family						6,768	165	424	506	849
	Host Home						731	952	1,016	1,139	1,194
	1 to 3						332	283	310	310	296
Congregate DD settings by size and type	4 to 15		62	152	648	1,147	528	397	361	385	376
	1 to 6		81	141	265	132	58	0	22	22	18
	7 to 15						25	22	24	25	25
	16+ Nonstate						0	0	0	0	0
	16+ State										
	16+ Total		694	651	181						
Non-DD Specific	16+	Nursing Facility*			26	101	84	87	61	60	
		Psychiatric Facility			1	6	0	0	0	0	
People with IDD in the System	All Types	Waiting list			97	84	119	119	19	242	
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	837	944	1,094	1,304	8,363	1,897	2,182	2,511	3,007
	ICF/IID	Waiver Expenditures per Person			41,047	42,214	40,300	40,366	43,152	47,488	
	ICF/IID	Waiver Recipients per 100,000			86	167	200	241	308	316	
	ICF/IID	ICF/IID Expenditures per Person*			74,815	70,017	69,184	93,931	124,423	73,648	
	ICF/IID	ICF/IID per 100,000*			8	2	2	2	2	0	

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

## NEW JERSEY

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

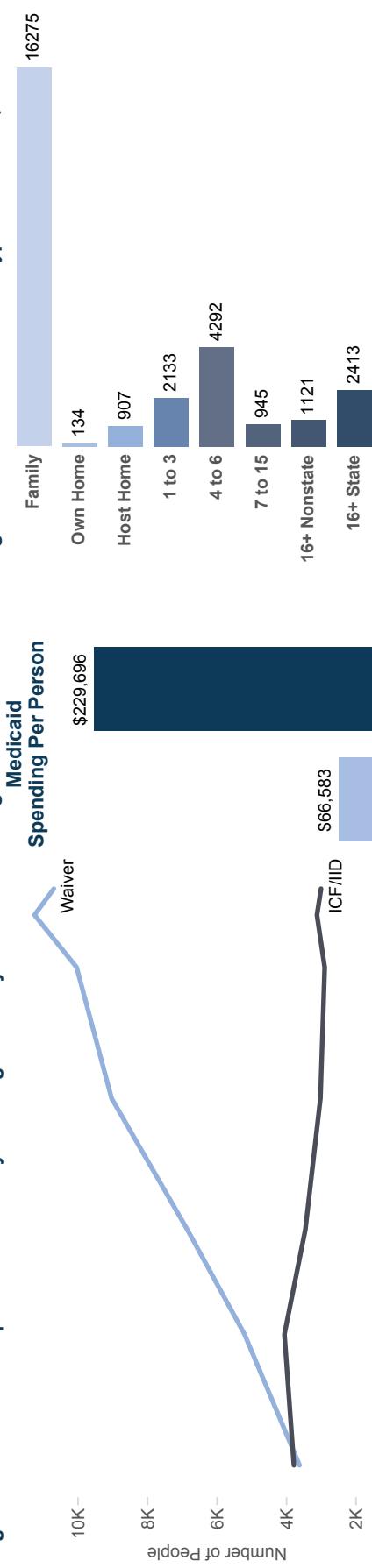


Figure 2: Annual Medicaid Spending Per Person

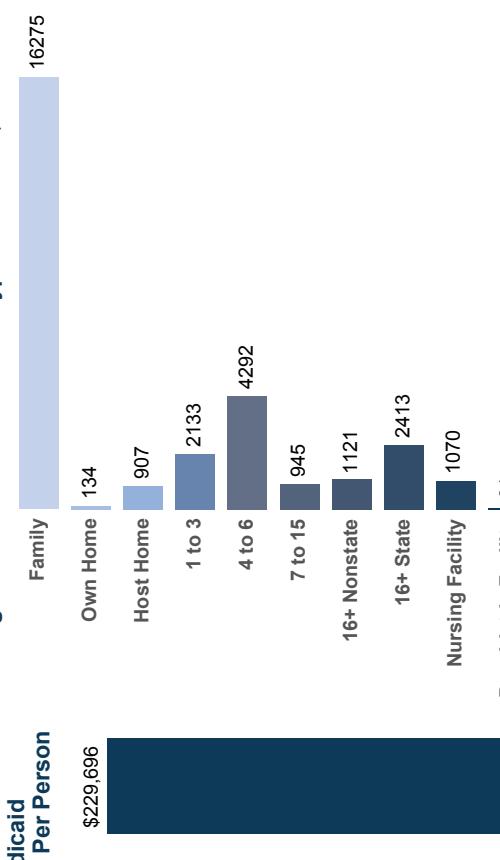


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					382	791	782	728	134
		Family					22,417	16,431	23,095	30,134	16,275
		Host Home					1,745	1,779	1,432	1,126	907
Congregate DD settings by size and type	4 to 15	1 to 3					1,094	1,404	3,598	2,133	
	4 to 6	280	1,076	2,556	3,954	2,378	3,159	4,359	7,927	6,425	
	1 to 6	197	439	462	0	533	842	782	931	945	
	7 to 15										
	16+ Nonstate					0	690	73	713	968	1,121
	16+ State					4,932	4,241	3,514	3,070	2,703	2,413
	16+ Total		8,836	7,216	5,376						
Non-DD Specific	16+	Nursing Facility*				210	371	468	714	1,070	
		Psychiatric Facility				6	691	0	0	61	
People with IDD in the System	All Types	Waiting list				3,944	5,012	3,384		3,932	
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	9,313	8,731	8,394	8,886	32,386	26,589	34,233	44,517	29,351
	ICF/IID	Waiver Expenditures per Person				25,035	29,563	42,973	43,995	55,351	66,583
		Waiver Recipients per 100,000				47	66	82	104	115	121
		ICF/IID Expenditures per Person*				74,922	88,108	109,142	185,243	211,403	229,696
		ICF/IID per 100,000*				49	51	41	35	33	DNF

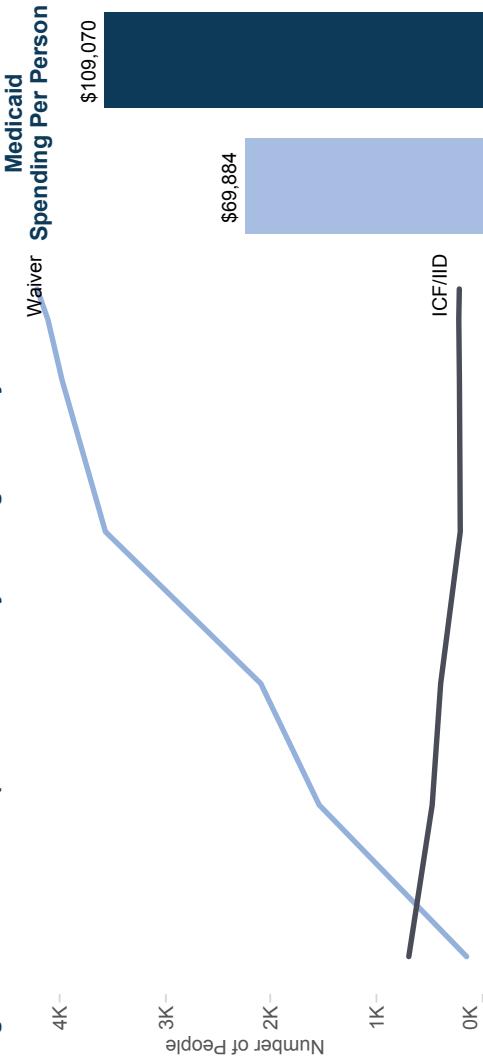
\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

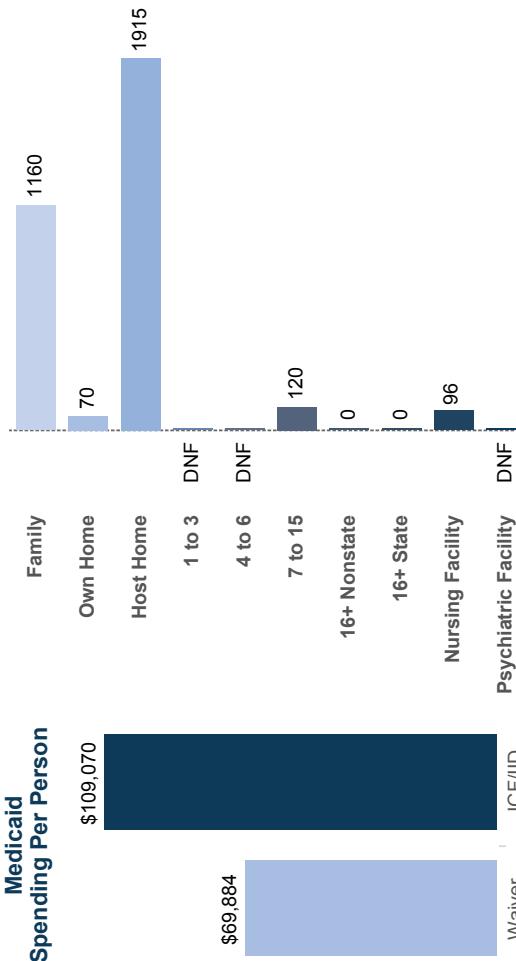
# 2013

## NEW MEXICO

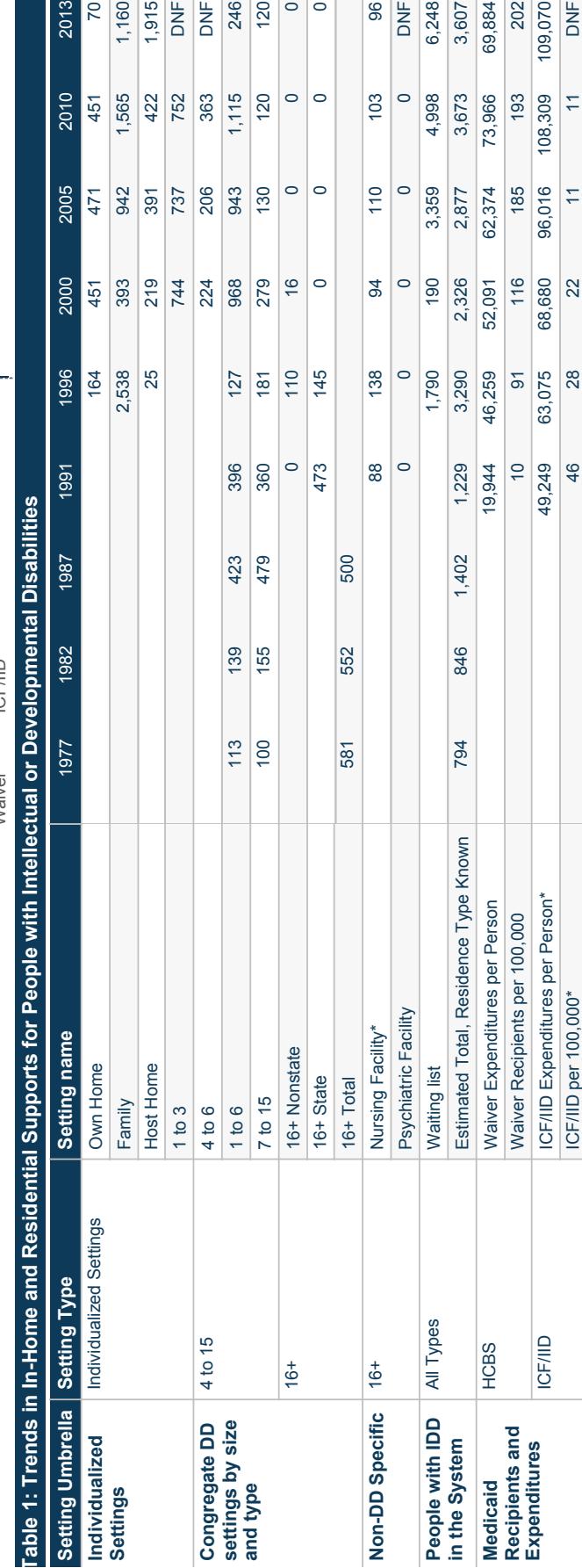
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



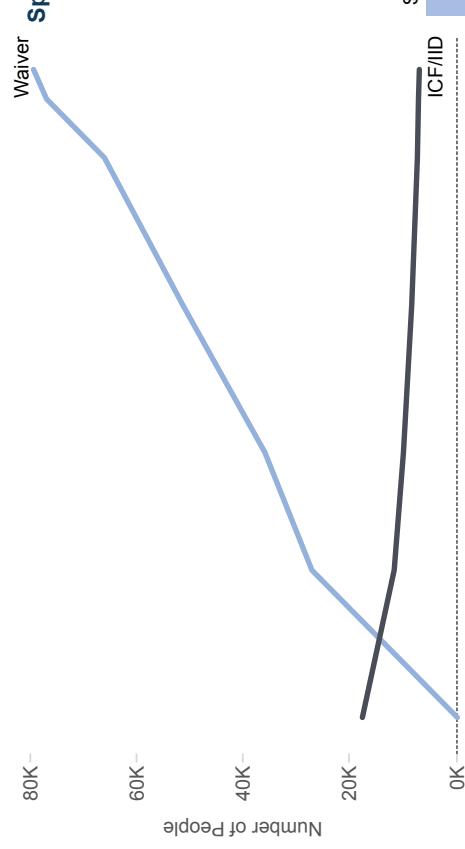
**Figure 3: Residence Size and Type on June 30, 2013**



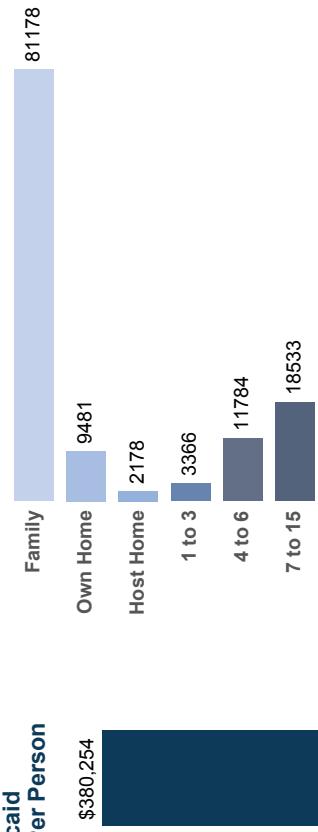
\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

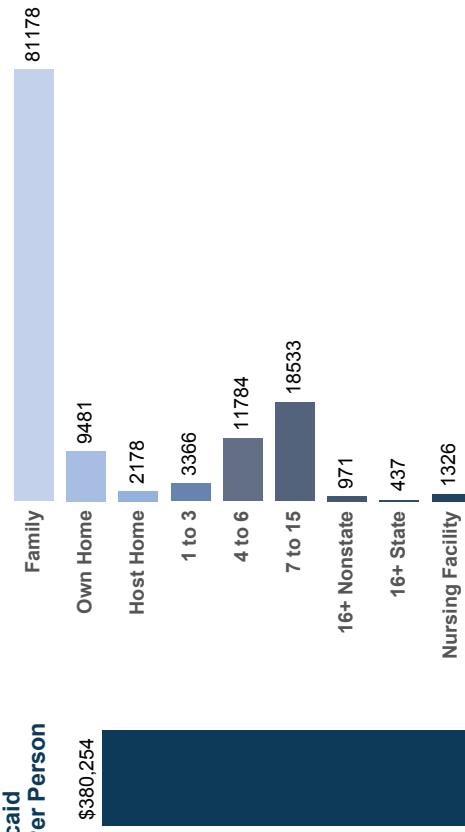
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**



**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					1,063	1,514	7,690	7,911	9,481
		Family					82,219	63,343	79,476	76,814	81,178
		Host Home					4,144	4,287	3,322	2,471	2,178
		1 to 3					3,020	3,234	3,313	3,366	
Congregate DD settings by size and type	4 to 15	4 to 6	3,496	4,271	7,506	6,165	6,754	8,875	12,030	14,703	15,150
	1 to 6	1 to 6	1,817	5,609	8,537	15,751	17,652	18,238	19,039	18,788	18,533
	7 to 15	7 to 15									
	16+ Nonstate	16+ Nonstate					1,641	1,409	1,282	1,123	960
	16+ State	16+ State					7,489	3,399	2,411	2,225	1,981
	16+ Total	16+ Total	21,239	15,437	11,274						
Non-DD Specific	16+	Nursing Facility*				1,550	8,454	1,956	1,215		1,326
		Psychiatric Facility				20	150	0	0		0
People with IDD in the System	All Types	Waiting list				5,397	5,959	5,273	3,864	DNF	
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	26,552	25,317	27,317	31,046	116,640	99,950	124,905	123,628	129,254
	ICF/IID	Waiver Expenditures per Person				0	26,717	46,937	61,363	72,031	67,038
		Waiver Recipients per 100,000				0	150	190	267	342	405
		ICF/IID Expenditures per Person*				92,299	174,361	210,643	317,721	450,043	380,254
		ICF/IID per 100,000*				99	65	53	44	39	36

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).



## NORTH CAROLINA

## FY 2013 RISP State Profile

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

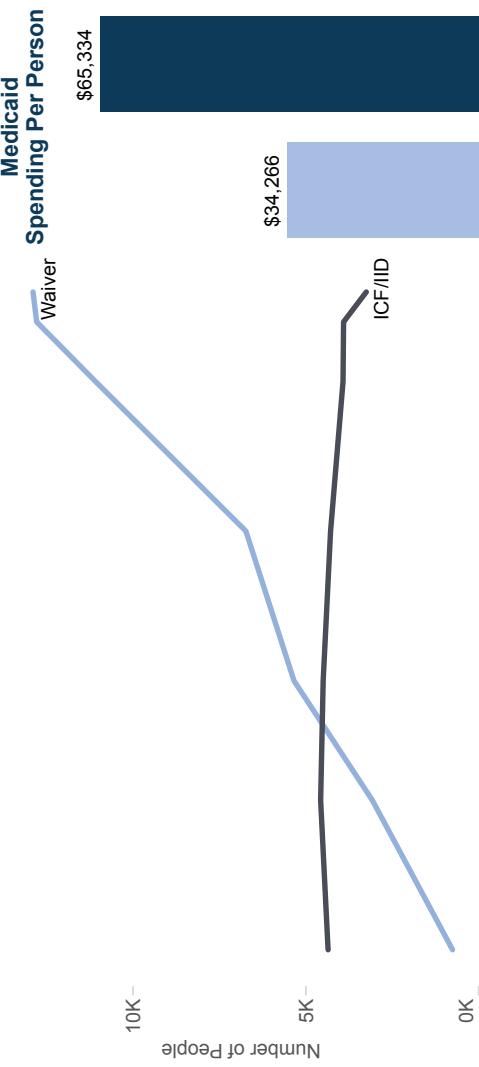


Figure 2: Annual Medicaid Spending Per Person

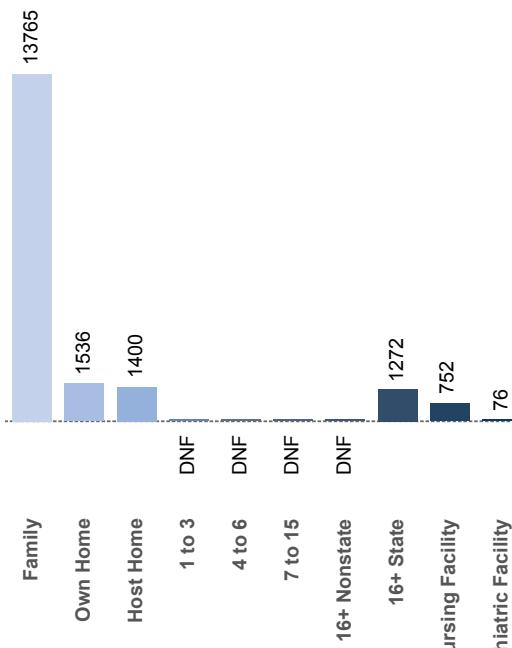


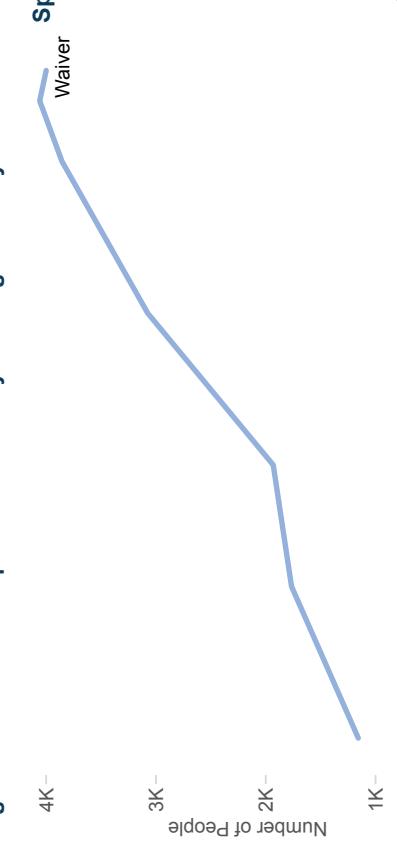
Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home									
		Family									
		Host Home									
		1 to 3									
Congregate DD settings by size and type	4 to 15										
	4 to 6										
	1 to 6										
	7 to 15										
	16+ Nonstate										
	16+ State										
	16+ Total										
	Nursing Facility*										
	Psychiatric Facility										
	Waiting list										
People with IDD in the System	All Types										
Medicaid Recipients and Expenditures	HCBS										
	ICF/IID										

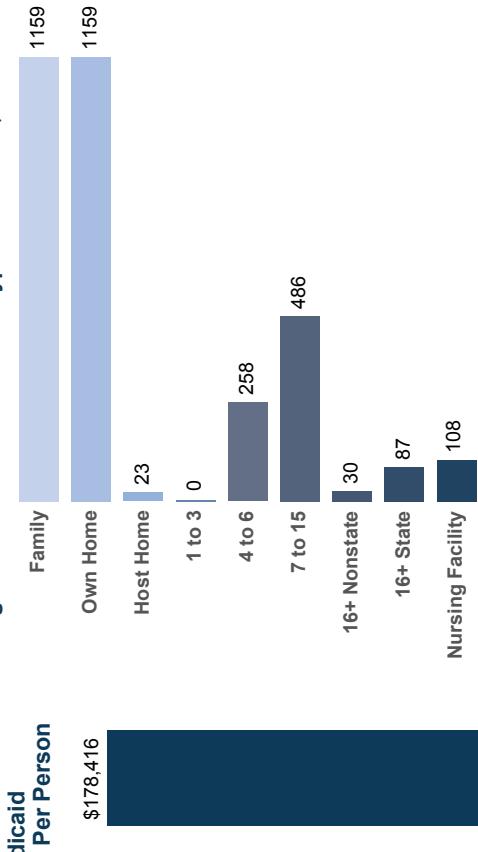
\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

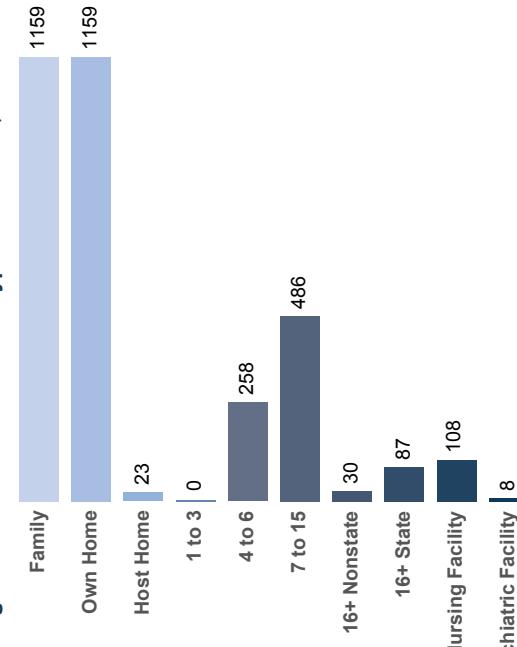
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**



**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					882	966	1,070	1,267	1,159
		Family					2,098	393	569	907	1,159
		Host Home					42	38	27	27	23
		1 to 3					0	0	0	0	0
Congregate DD settings by size and type	4 to 15	4 to 6	23	12	269	965	198	201	192	251	258
	1 to 6	1 to 6	47	146	702	595	503	495	536	487	486
	7 to 15	7 to 15					67	114	114	54	30
16+	16+ Nonstate	16+ Nonstate					211	148	153	140	87
	16+ State	16+ State					1,306	1,076	1,412		
	16+ Total	16+ Total					182	175	105	118	108
Non-DD Specific	16+	Nursing Facility*					1	0	0	0	8
		Psychiatric Facility					0	0	0	0	0
		Waiting list									
People with IDD in the System	All Types	Estimated Total, Residence Type Known	1,376	1,234	2,383	1,838	3,985	2,360	2,588	3,084	3,318
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person				14,046	16,342	21,675	18,683	25,336	35,967
	ICF/IID	Waiver Recipients per 100,000				27	277	302	483	573	553
		ICF/IID Expenditures per Person*				64,077	70,090	79,969	107,014	155,783	178,416
		ICF/IID per 100,000*				100	98	97	96	86	75

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).



## FY 2013 RISP State Profile

## OHIO

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

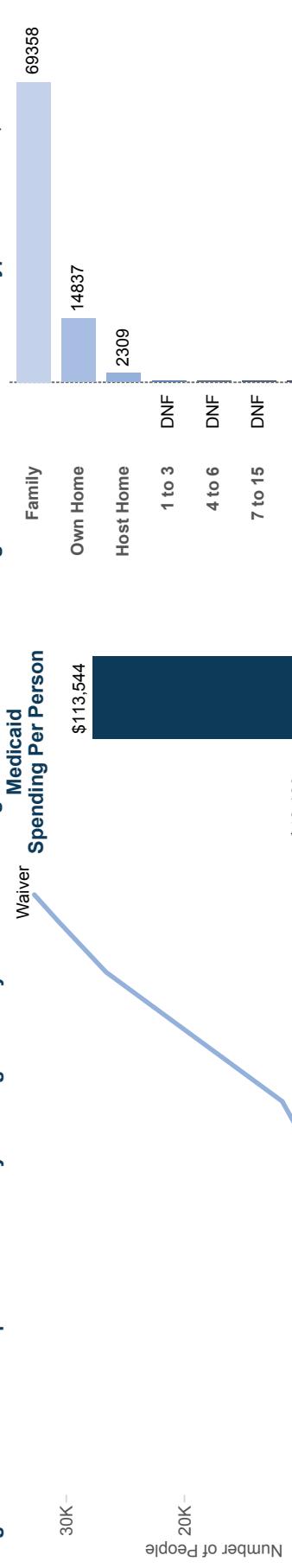


Figure 2: Annual Medicaid Spending Per Person

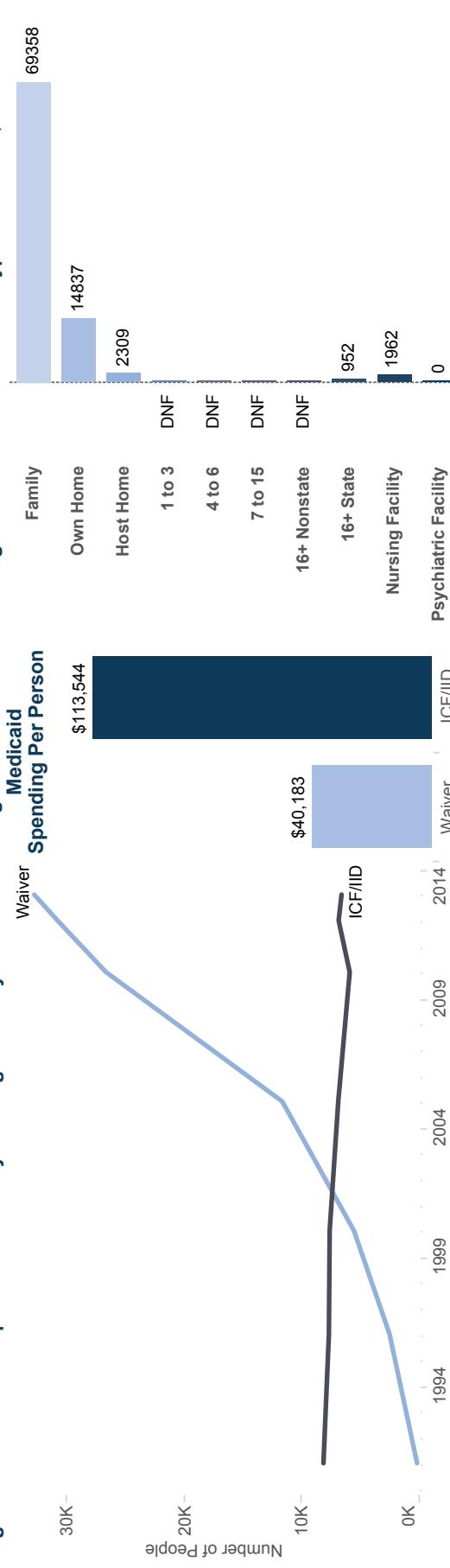


Figure 3: Residence Size and Type on June 30, 2013

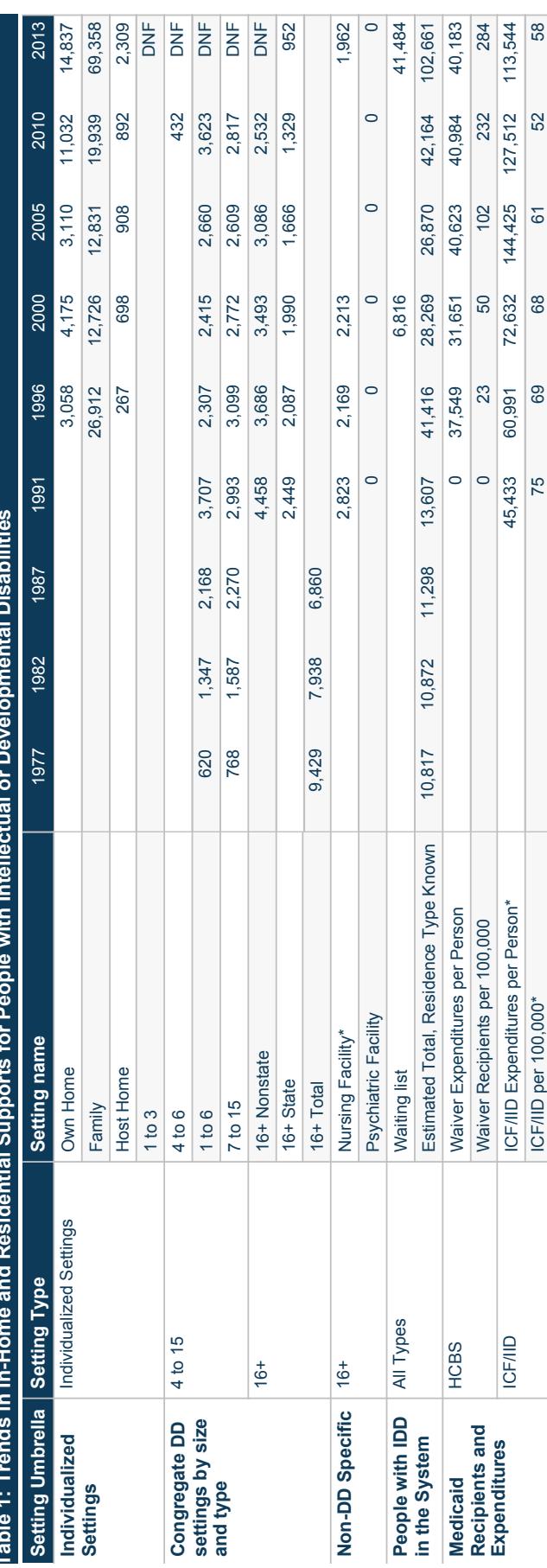


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home									
		Family									
		Host Home									
		1 to 3									
Congregate DD settings by size and type	4 to 15	4 to 6	620	1,347	2,168	3,707	2,307	2,415	2,660	3,623	4,837
		1 to 6	768	1,587	2,270	2,993	3,099	2,772	2,609	2,817	3,558
		7 to 15									
		16+ Nonstate									
		16+ State									
		16+ Total	9,429	7,938	6,860	2,449	2,087	1,990	1,666	1,329	952
Non-DD Specific	16+	Nursing Facility*									
		Psychiatric Facility	0	0	0	0	0	0	0	0	0
People with IDD in the System	All Types	Waiting list									
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	10,817	10,872	11,298	13,607	41,416	28,269	26,870	42,164	102,661
	ICF/IID	Waiver Expenditures per Person	0	0	0	0	37,549	31,651	40,623	40,984	40,183
		Waiver Recipients per 100,000	0	0	0	0	23	50	102	232	284
		ICF/IID Expenditures per Person*	45,433	60,991	72,632	144,425	127,512	113,544	0	0	0
		ICF/IID per 100,000*	75	69	68	61	52	58	0	0	0

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

### OKLAHOMA

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

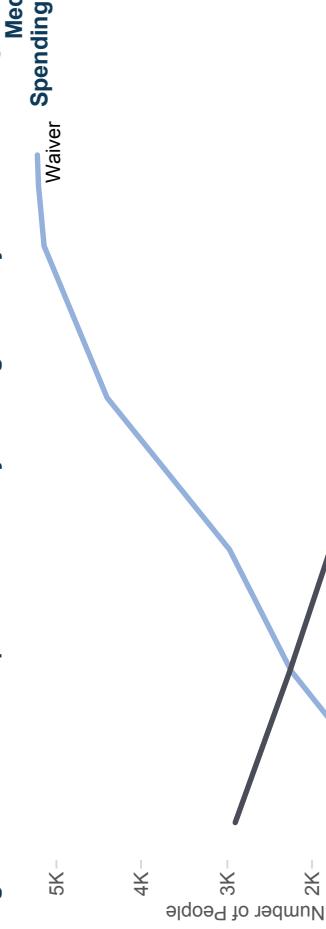


Figure 2: Annual Medicaid Spending Per Person

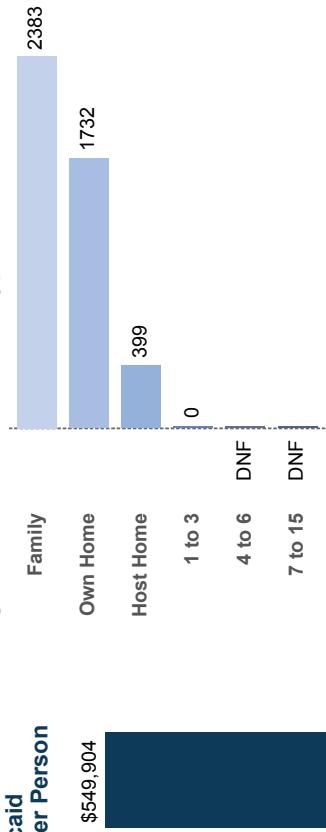


Figure 3: Residence Size and Type on June 30, 2013

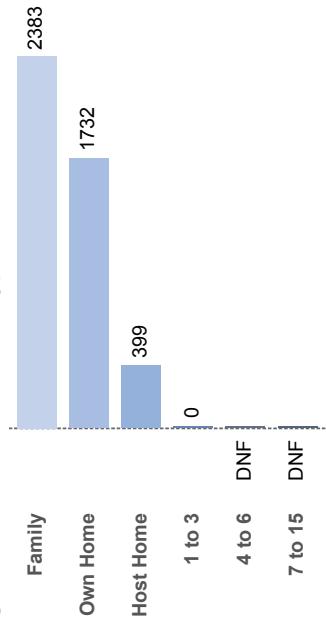


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					813	1,560	2,300	1,636	1,732
		Family					5,239	1,783	4,460	2,516	2,383
		Host Home					236	363	542	429	399
		1 to 3					6	21	0	0	0
Congregate DD settings by size and type	4 to 6		11	6	393	720	474	574	802	740	DNF
	1 to 6		19	86	424	283	263	222	326	461	DNF
	7 to 15										
	16+ Nonstate										
	16+ State										
	16+ Total		3,082	2,920	3,014						
Non-DD Specific	16+	Nursing Facility*				1,850	930	837	805	406	597
		Psychiatric Facility				0	0	0	0	0	DNF
People with IDD in the System	All Types	Waiting list				1,500	2,482	3,853	5,737	7,044	
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	3,112	3,012	3,831	4,855	9,262	6,180	9,721	6,876	7,306
	ICF/IID	Waiver Expenditures per Person				14,002	46,455	49,491	47,916	54,334	53,048
		Waiver Recipients per 100,000				27	69	86	125	138	136
		ICF/IID Expenditures per Person*				38,008	40,458	57,289	73,396	80,516	549,904
		ICF/IID per 100,000*				92	69	52	47	41	5

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).



## FY 2013 RISP State Profile

## OREGON

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

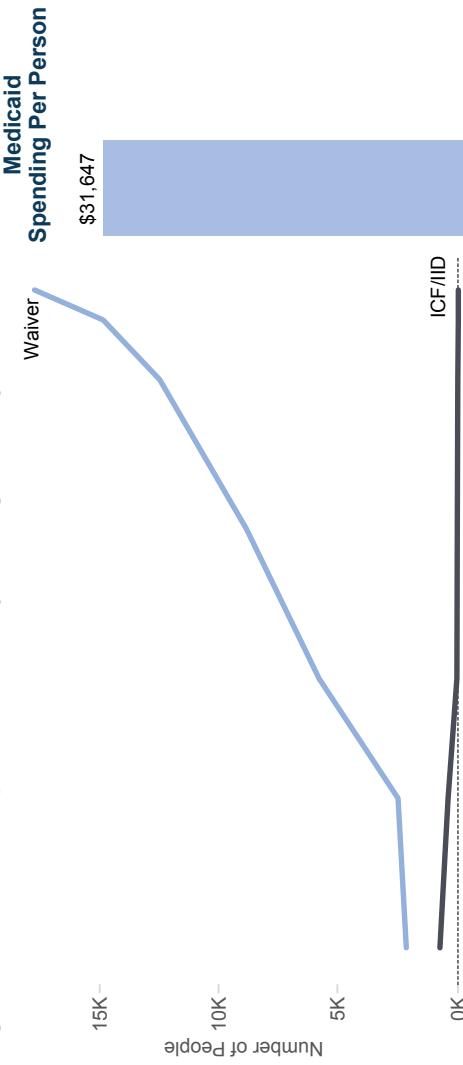


Figure 2: Annual Medicaid Spending Per Person



Figure 3: Residence Size and Type on June 30, 2013

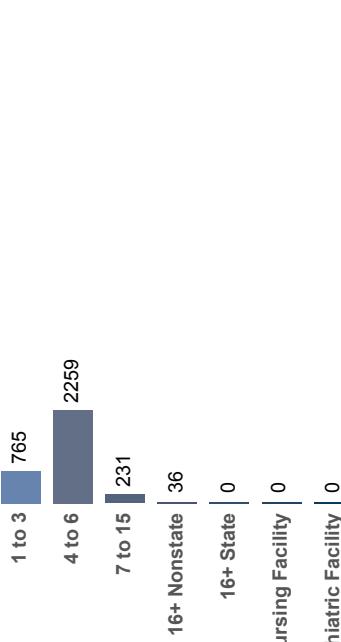


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home									
		Family									
		Host Home									
		1 to 3									
Congregate DD settings by size and type	4 to 15										
	4 to 6		49	11	1,098	2,344	1,404	2,150	2,106	2,020	3,024
	1 to 6		325	490	568	555	561	509	434	216	231
	7 to 15										
	16+ Nonstate										
	16+ State										
	16+ Total		2,233	1,979	1,476						
Non-DD Specific	16+	Nursing Facility*									
		Psychiatric Facility									
People with IDD in the System	All Types	Waiting list									
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	2,607	2,480	3,142	3,778	8,500	8,151	8,815	14,870	17,803
	ICF/IID	Waiver Expenditures per Person									
		Waiver Recipients per 100,000									
		ICF/IID Expenditures per Person*									
		ICF/IID per 100,000*									

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

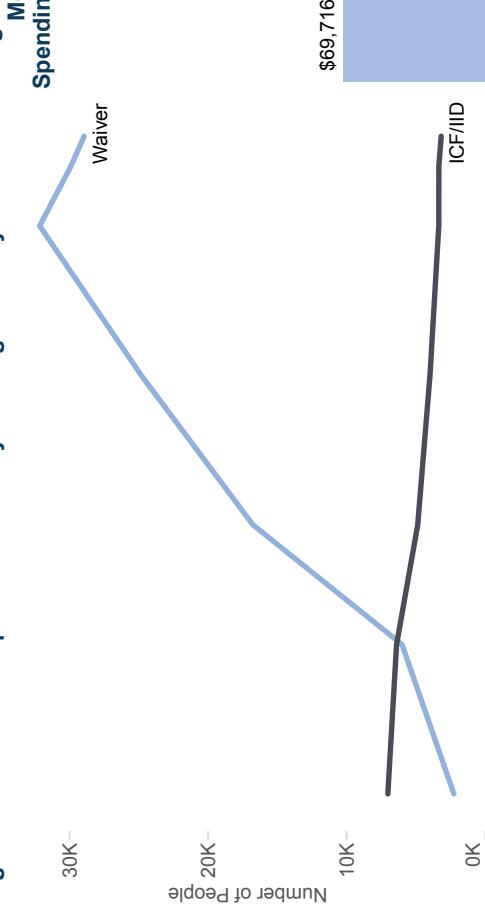


Figure 2: Annual Medicaid Spending Per Person

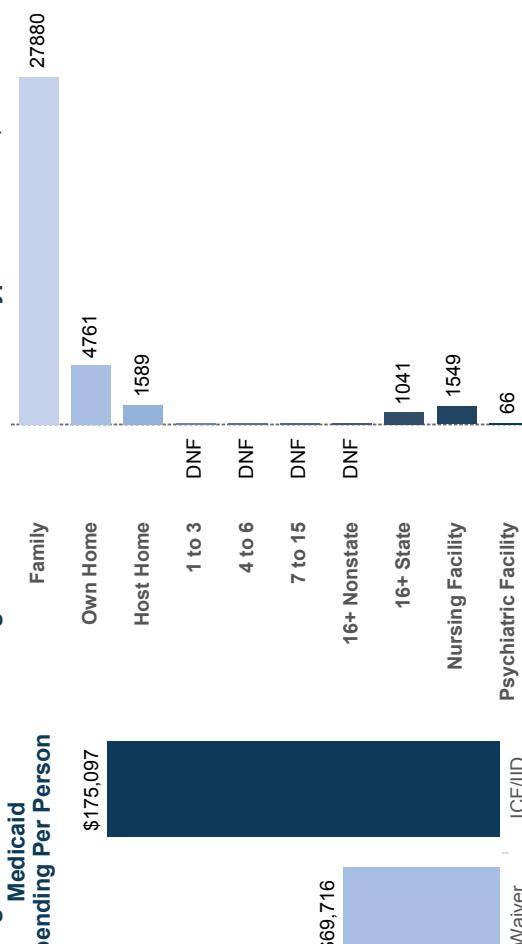


Figure 3: Residence Size and Type on June 30, 2013

Setting Umbrella	Setting Type	Setting name	Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities								
			1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home	1,703	1,761	1,519	5,168	4,761				
		Family	16,773	1,076	13,699	32,417					
		Host Home	1,145	3,600	1,600	1,434	1,589				
		1 to 3		6,611	236	4,715	DNF				
Congregate DD settings by size and type	4 to 15	4 to 6	1,078	2,588	4,774	7,809	6,979	8,446	8,301	9,553	DNF
		1 to 6	1,310	1,075	1,880	813	728	689	1,296	2,014	DNF
		7 to 15									
		16+ Nonstate				2,411	2,385	2,057	1,773	1,804	DNF
		16+ State				3,878	3,164	1,969	1,451	1,189	1,041
		16+ Total	14,318	11,904	8,151						
Non-DD Specific	16+	Nursing Facility*			509	1,544	2,573				1,549
		Psychiatric Facility			0	27	170	0	0	0	66
		Waiting list			9,535	3,442	5,149	1,613	5,814		
People with IDD in the System	All Types	Estimated Total, Residence Type Known	16,706	15,567	14,805	14,911	32,877	19,598	29,639	53,579	54,785
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person	51,479	56,073	40,277	41,809	50,788	69,716			
	ICF/IID	Waiver Recipients per 100,000	20	50	137	200	254	227			
		ICF/IID Expenditures per Person*	65,671	83,596	100,509	142,243	174,983	175,997			
		ICF/IID per 100,000*	59	53	40	33	27	25			

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

FY 2013 RISP State Profile

RHODE ISLAND

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

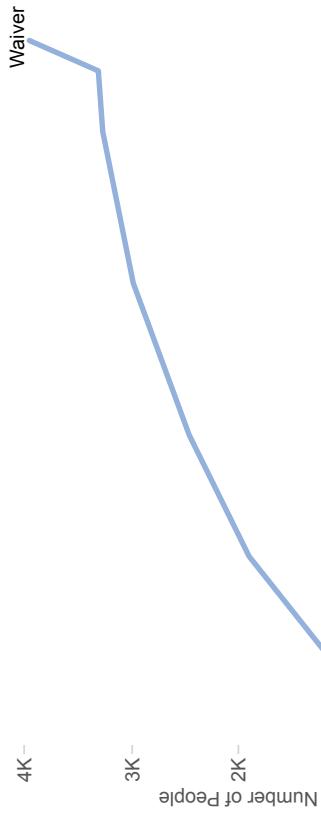


Figure 3: Residence Size and Type on June 30, 2013

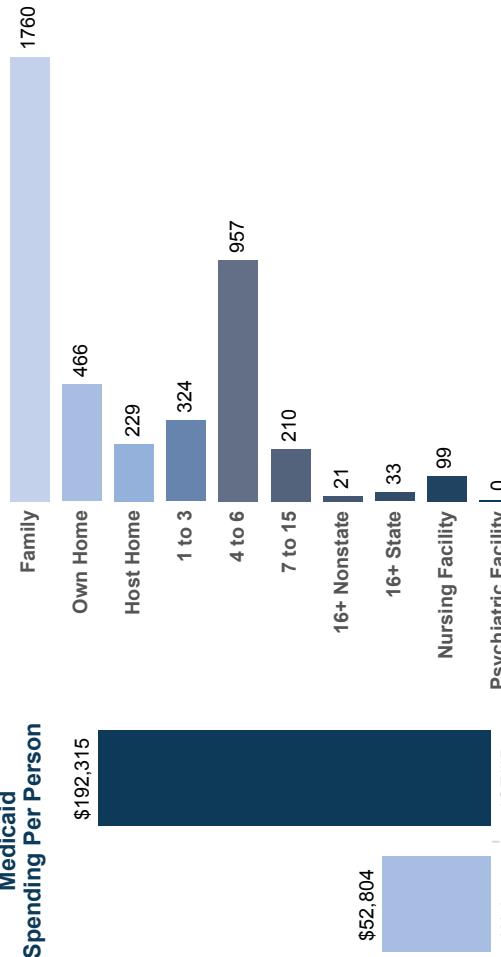
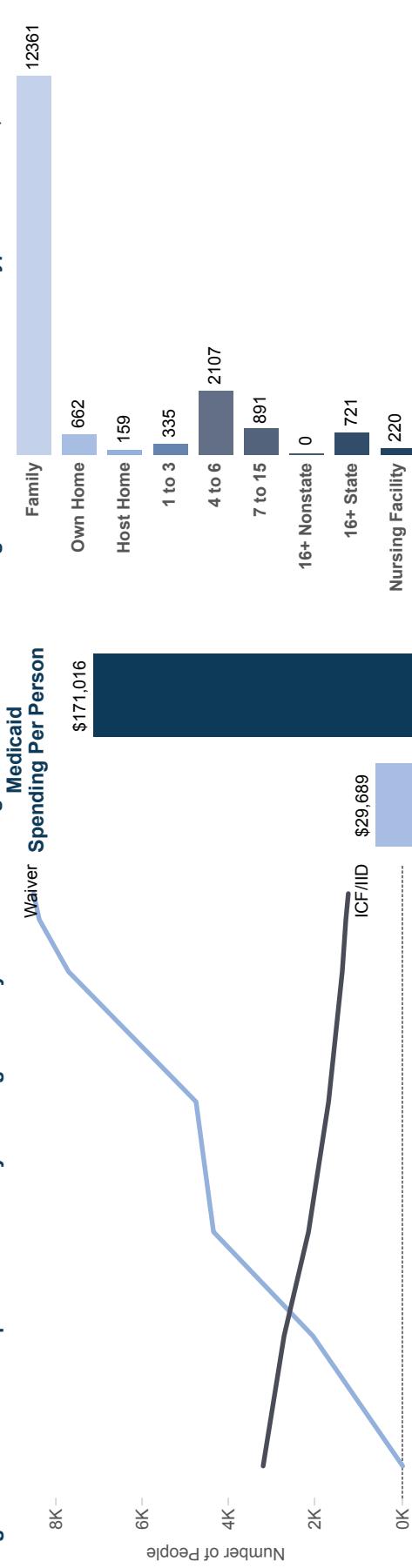


Table 1. Trends in Home and Residential Supports for Persons with Intellectual or Developmental Disabilities

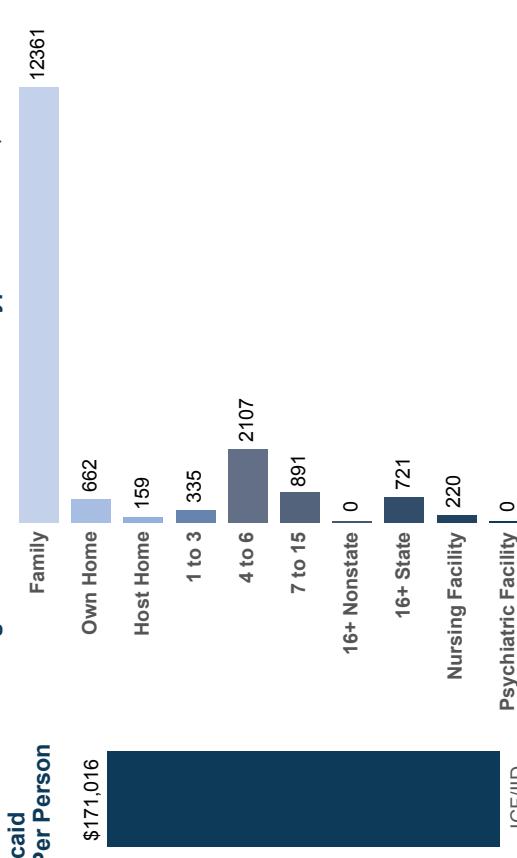
\*\*Data for FY2013 may be from an outside source for these data elements. For (CF)IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

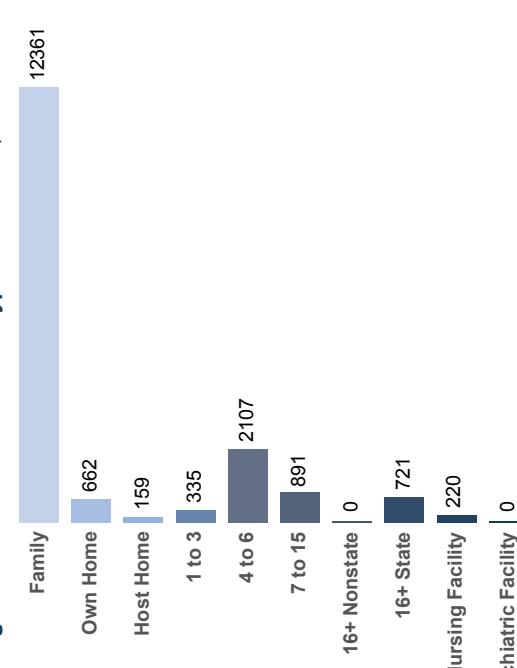
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**



**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home									
		Family									
		Host Home									
		1 to 3									
		4 to 6									
		1 to 6									
		7 to 15									
Congregate DD settings by size and type	4 to 15	9	3	263	927	841	1,604	1,953	2,366	2,442	
16+	16+ Total	135	191	988	973	1,087	1,028	910	897	891	
	16+ Nonstate				92	78	90	0	0	0	
	16+ State				2,199	1,548	1,103	933	767	721	
Non-DD Specific	16+	Nursing Facility*									
		Psychiatric Facility									
		Waiting list									
Estimated Total, Residence Type Known	4,126	3,713	3,861	4,191	14,358	16,847	17,812	17,075	17,456		
Waiver Expenditures per Person				0	15,718	25,423	32,895	29,356	29,689		
Waiver Recipients per 100,000				0	55	109	112	167	179		
ICF/IID Expenditures per Person*	45,519	65,377	79,013	94,295	100,695	101,166	101,166	101,166	101,166		
ICF/IID per 100,000*	91	73	54	40	30	26	26	26	26		

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).



## SOUTH DAKOTA

## FY 2013 RISP State Profile

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

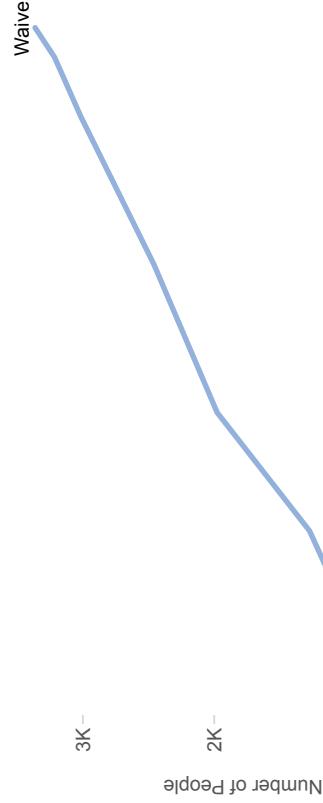


Figure 2: Annual Medicaid Spending Per Person

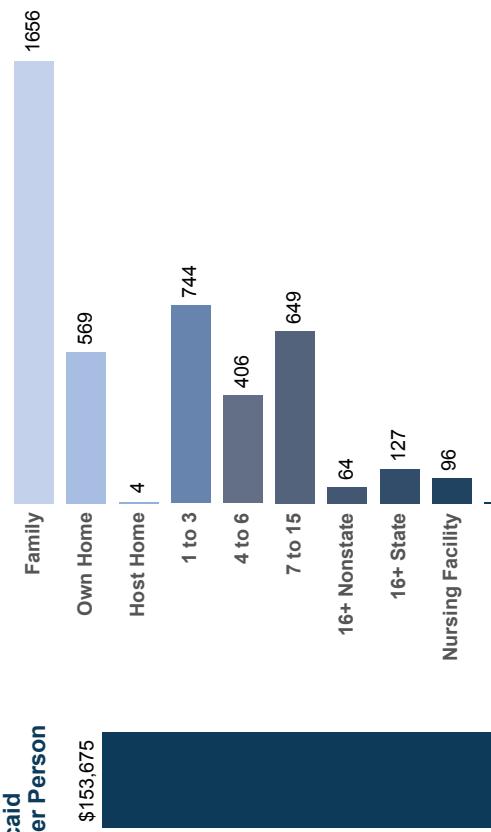


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

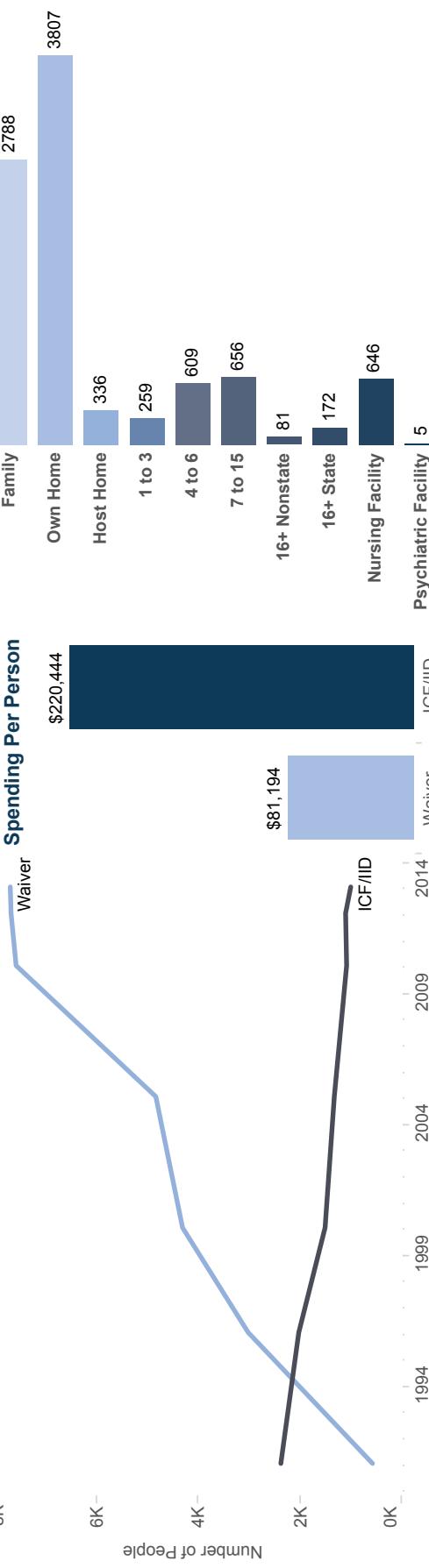
Setting Umbrella	Setting Type	Setting name	Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities						2013
			1977	1982	1987	1991	1996	2000	
Individualized Settings	Individualized Settings	Own Home					658	600	597
		Family					2,052	551	761
		Host Home					23	24	7
		1 to 3					435	568	725
Congregate DD settings by size and type	4 to 15						157	304	514
	4 to 6		10	8	248	555	283	592	872
	1 to 6		242	471	828	739	684	650	586
	7 to 15					0	0	0	416
	16+ Nonstate					378	252	196	64
	16+ State		925	736	485				127
	16+ Total					225	169	187	144
	Nursing Facility*					1	16	15	96
	Psychiatric Facility								0
	Waiting list								0
Non-DD Specific	16+								
People with IDD in the System	All Types								
Medicaid Recipients and Expenditures	HCBS		1,177	1,215	1,561	1,672	3,952	2,613	3,008
	ICF/IID						16,921	26,180	25,093
								29,625	31,893
									31,257
									398
									153,675
									170,305
									126,015
									77,919
									71,760
									48,534

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

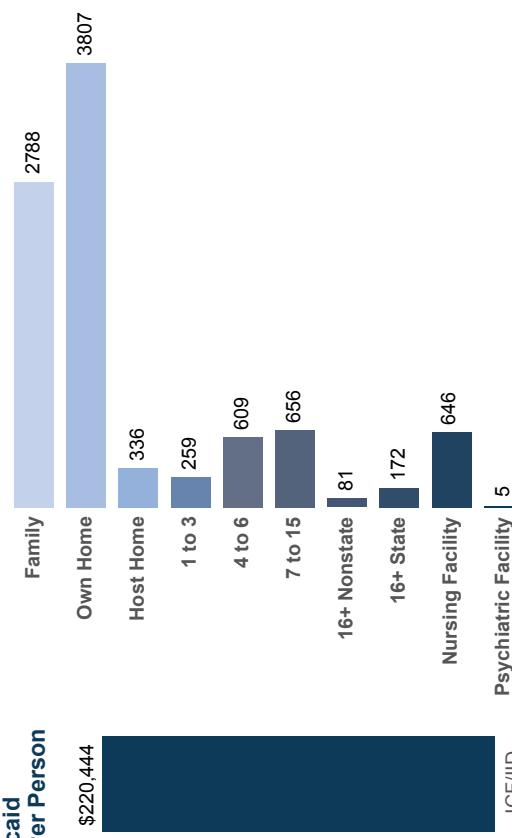
## FY 2013 RISP State Profile

### TENNESSEE

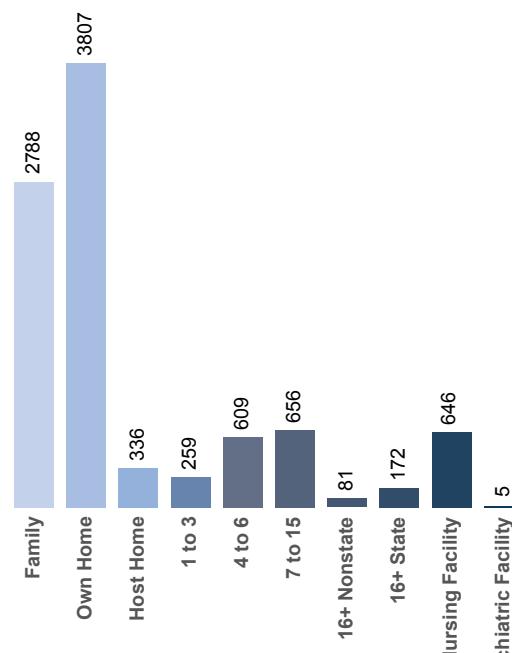
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**



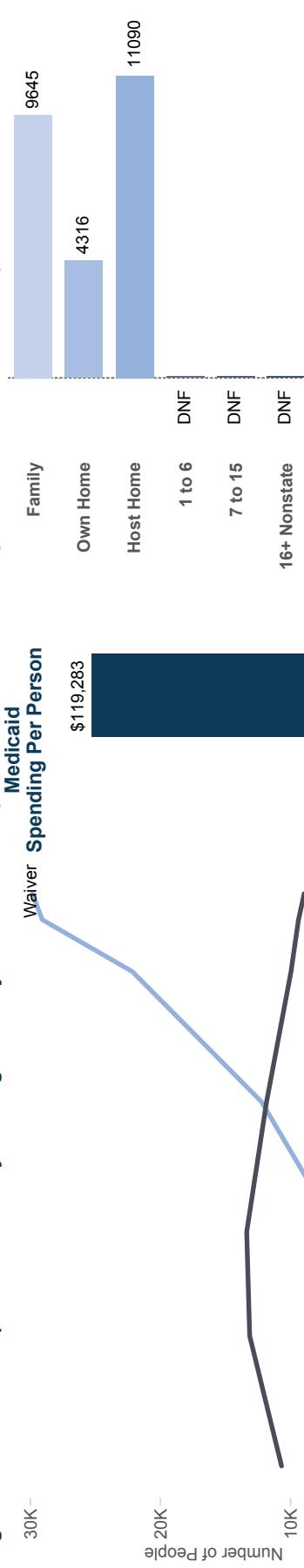
**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					567	1,670	2,420	3,347	3,807
		Family					6,852	3,575	3,363	3,950	2,788
		Host Home					161	279	246	240	317
		1 to 3						28	309	233	259
Congregate DD settings by size and type	4 to 15		210	343	708	654	370	307	371	357	609
	4 to 6		495	729	778	1,401	1,461	1,127	892	649	656
	1 to 6								610	868	
	7 to 15										
	16+ Nonstate										
	16+ State										
	16+ Total		2,500	2,456	2,308						
Non-DD Specific	16+	Nursing Facility*		1,180	883	1,351	892	895	369	646	
		Psychiatric Facility			5	0	0	29	35	5	
		Waiting list					659	910	1,491	1,158	7,165
People with IDD in the System	All Types	Estimated Total, Residence Type Known	3,205	3,528	3,794	4,383	11,061	8,000	8,401	9,401	9,359
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person		19,672	23,645	37,100	73,704	75,936	81,194		
	ICF/IID	Waiver Recipients per 100,000			12	57	84	90	119	118	
		ICF/IID Expenditures per Person*		42,017	99,360	155,340	217,565	207,079	220,444		
		ICF/IID per 100,000*		48	38	27	81	17	16		

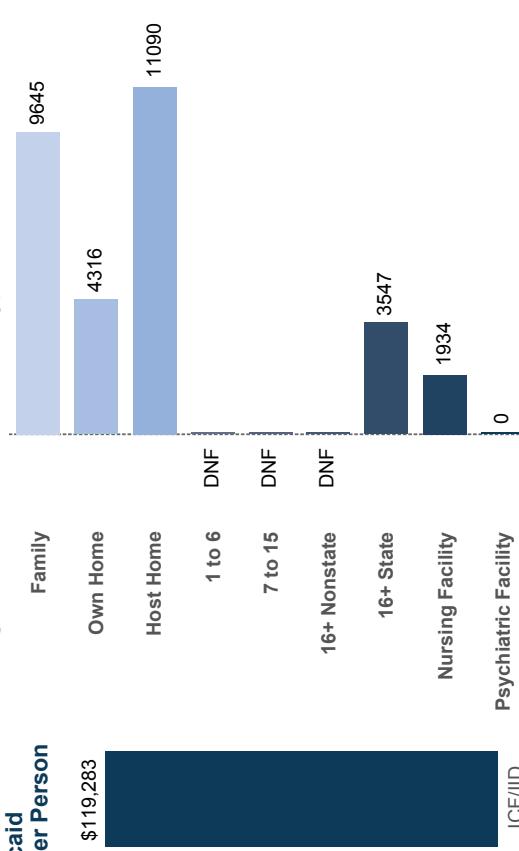
\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

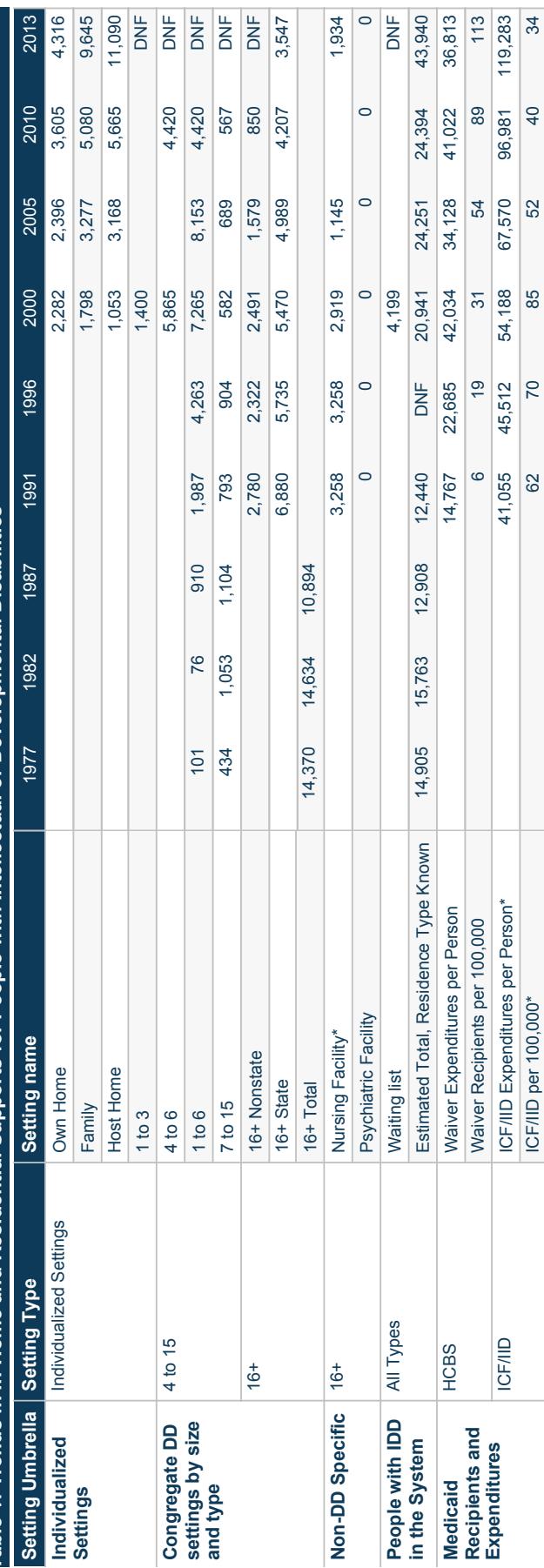
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**



**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

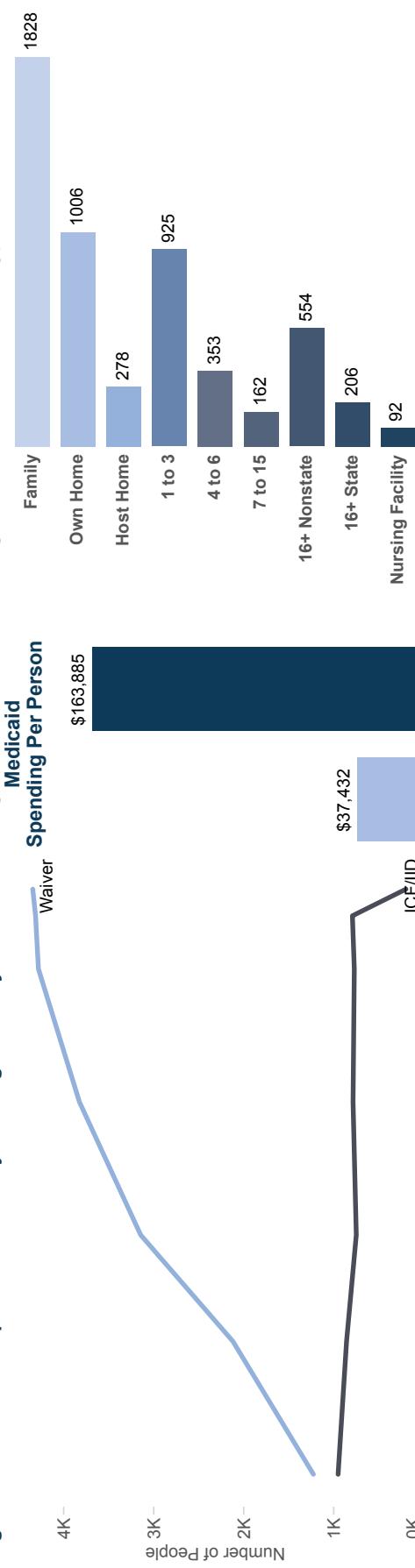
Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home						2,282	2,396	3,605	4,316
	Family							1,798	3,277	5,080	9,645
	Host Home							1,053	3,168	5,665	11,090
	1 to 3							1,400			DNF
Congregate DD settings by size and type	4 to 15		101	76	910	1,987	4,263	7,265	8,153	4,420	DNF
	1 to 6		434	1,053	1,104	793	904	582	689	567	DNF
	7 to 15							2,780	2,322	2,491	1,579
	16+ Nonstate							6,880	5,735	5,470	4,989
	16+ State									4,207	3,547
	16+ Total		14,370	14,634	10,894						
Non-DD Specific	16+	Nursing Facility*			3,258	3,258	2,919	1,145		1,934	
		Psychiatric Facility			0	0	0	0	0	0	0
People with IDD in the System	All Types	Waiting list					4,199				DNF
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	14,905	15,763	12,908	12,440	DNF	20,941	24,251	24,394	43,940
	ICF/IID	Waiver Expenditures per Person				14,767	22,685	42,034	34,128	41,022	36,813
		Waiver Recipients per 100,000				6	19	31	54	89	113
		ICF/IID Expenditures per Person*				41,055	45,512	54,188	67,570	96,981	119,283
		ICF/IID per 100,000*				62	70	85	52	40	34

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

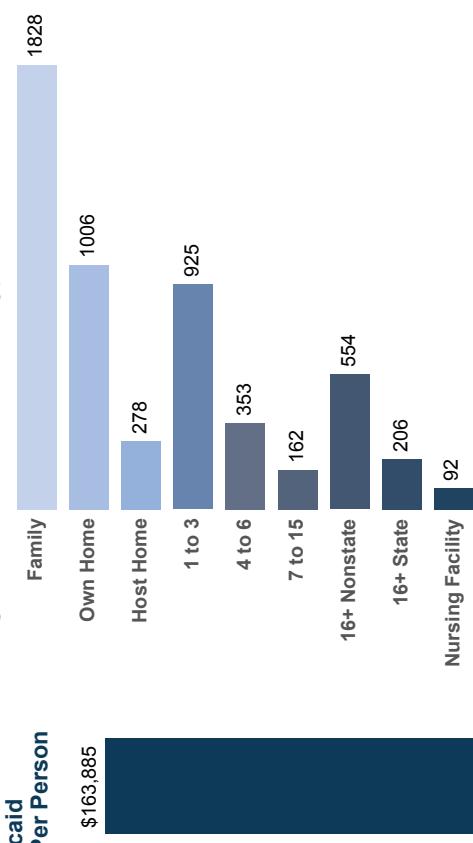
## FY 2013 RISP State Profile

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**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**

**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					434	448	612	885	1,006
		Family					3,367	1,387	1,614	1,843	1,828
		Host Home					83	143	247	263	278
		1 to 3					782	816	893	925	
Congregate DD settings by size and type	4 to 15	4 to 6	68	50	349	782	724	1,022	1,127	1,233	1,278
	1 to 6	95	145	211	340	276	160	160	172	162	
	7 to 15										
	16+ Nonstate						525	543	512	523	554
	16+ State						423	311	236	230	216
	16+ Total		1,217	1,155	1,135						
	Nursing Facility*					283	241	191	248	95	92
	Psychiatric Facility					0	0	0	0	0	0
	Waiting list					950	1,303	244	1,822	1,886	
People with IDD in the System	All Types	Estimated Total, Residence Type Known	1,380	1,350	1,695	2,070	5,738	3,908	4,514	5,135	5,404
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person				16,207	19,186	23,573	26,854	34,643	37,432
	ICF/IID	Waiver Recipients per 100,000				86	107	141	155	155	150
	ICF/IID Expenditures per Person*					74,849	53,982	70,184	72,435	73,959	163,885
	ICF/IID per 100,000*					54	44	34	32	28	7

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

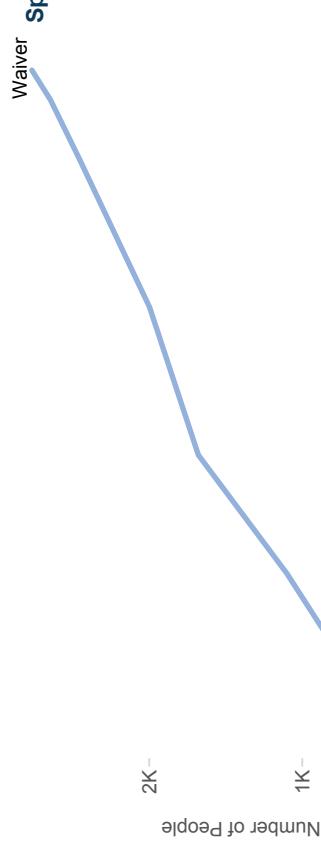


## FY 2013 RISP State Profile

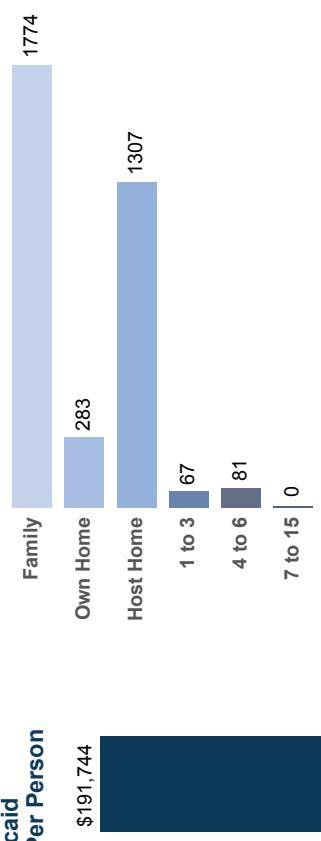
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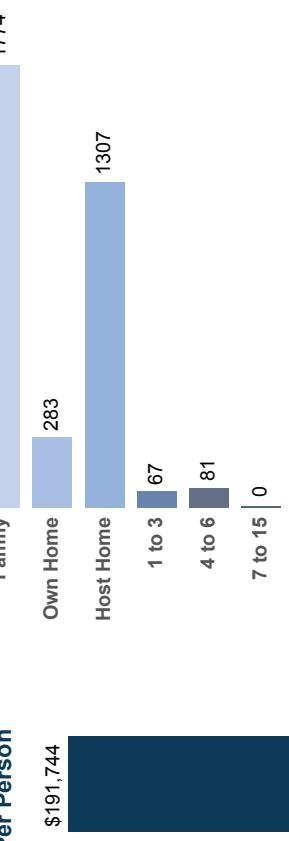
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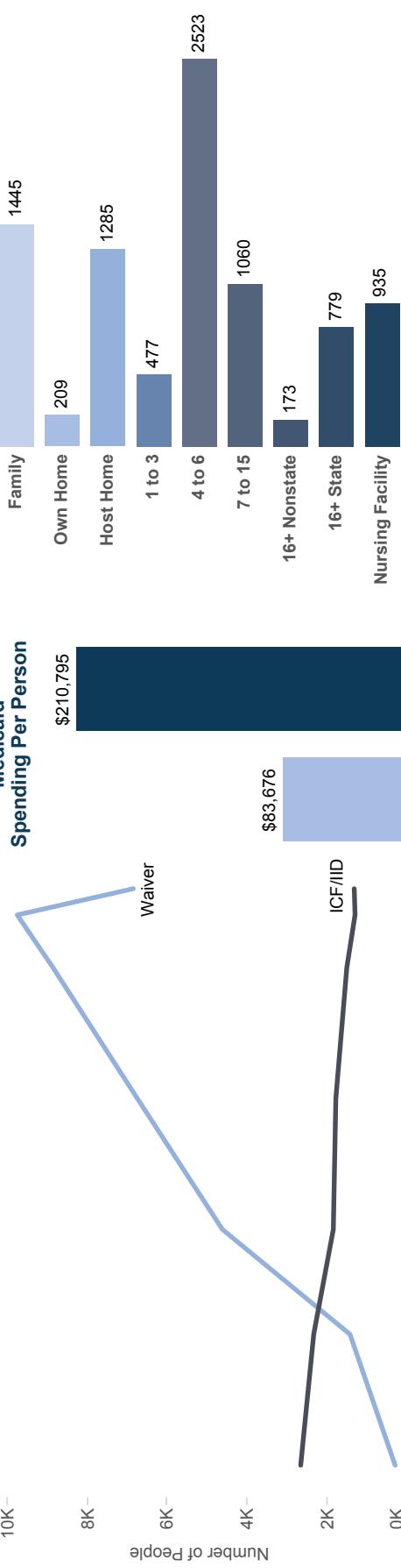
**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					147	147	184	236	283
	Family						1,454	1,003	1,285	1,634	1,774
	Host Home						559	813	979	1,237	1,307
	1 to 3						30	42	56	67	67
Congregate DD settings by size and type	4 to 15						73	73	75	81	81
	1 to 6		262	322	285	504	146	103	115	131	148
	7 to 15		143	120	96	0	0	0	0	0	0
	16+ Nonstate					0	0	0	0	0	0
	16+ State					160	0	0	0	0	0
	16+ Total		517	356	196						
Non-DD Specific	16+	Nursing Facility*				91	66	42	27	28	32
		Psychiatric Facility				1	0	0	0	0	0
People with IDD in the System	All Types	Waiting list				23	7	0	0	0	367
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	922	798	577	664	2,306	2,066	2,563	3,238	3,544
	ICF/IID	Waiver Recipients per 100,000				21,144	40,775	35,638	46,017	54,040	54,388
		ICF/IID Expenditures per Person*				86	190	277	322	393	442
		ICF/IID per 100,000*				92,297	110,397	138,446	157,468	191,744	

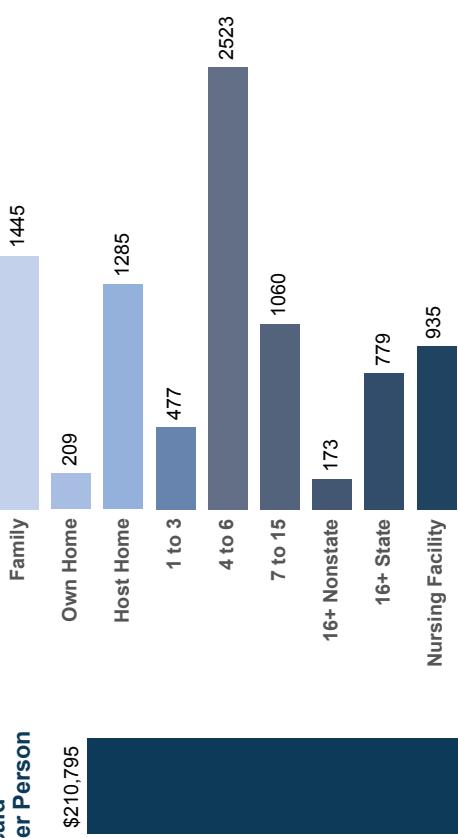
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## FY 2013 RISP State Profile

**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**

Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities		
Setting Umbrella	Setting Type	Setting name
Individualized Settings	Individualized Settings	
	Own Home	
	Family	
	Host Home	
	1 to 3	
Congregate DD settings by size and type	4 to 15	
	4 to 6	
	1 to 6	
	7 to 15	
	16+ Nonstate	
	16+ State	
	16+ Total	
Non-DD Specific	16+	
	Nursing Facility*	
	Psychiatric Facility	
	Waiting list	
People with IDD in the System	All Types	
Medicaid Recipients and Expenditures	HCBS	
	ICF/IID	

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings						42	1,463	1,735	1,656	209
	Own Home						3,810	2,896	3,213	1,071	1,445
	Family						31	430	351	578	1,285
	Host Home									1,093	477
	1 to 3									1,240	2,523
Congregate DD settings by size and type	4 to 15									2,333	3,000
	4 to 6										
	1 to 6										
	7 to 15										
	16+ Nonstate										
	16+ State										
	16+ Total		4,441	3,778	3,078						
Non-DD Specific	16+										
	Nursing Facility*						1,993	0	1,272	762	864
	Psychiatric Facility						9	0	140	148	345
	Waiting list								1,316	2,028	4,395
Estimated Total, Residence Type Known	All Types		4,717	4,220	3,432	3,284	7,183	6,657	DNF	8,695	9,209
Waiver Expenditures per Person	HCBS					810	34,741	31,186	43,142	60,885	83,676
Waiver Recipients per 100,000	Medicaid Recipients and Expenditures					5	22	66	99	111	DNF
ICF/IID Expenditures per Person*	ICF/IID					56,732	65,205	98,041	126,770	176,590	210,795
ICF/IID per 100,000*						43	35	26	24	19	16

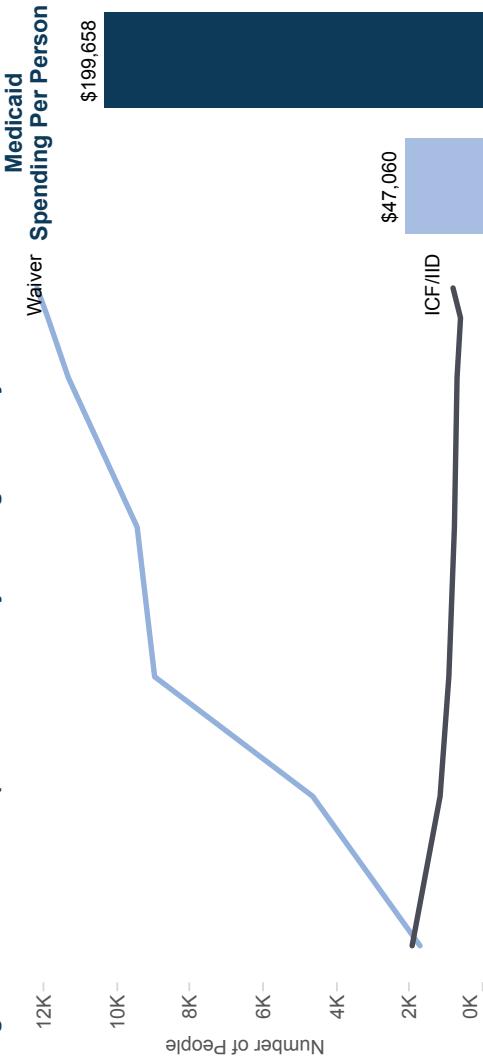
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## FY 2013 RISP State Profile

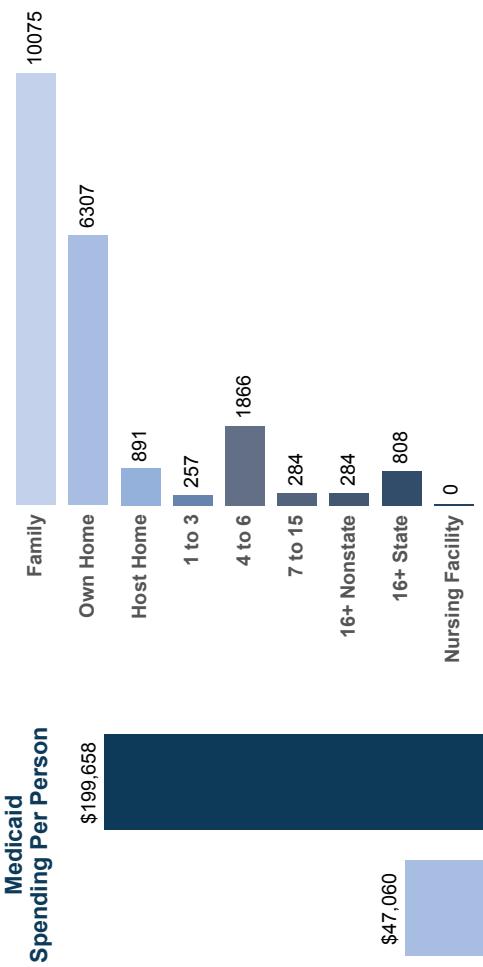
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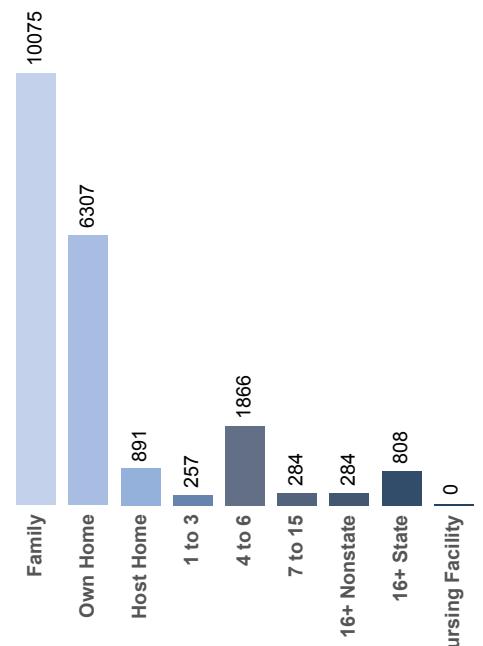
**Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013**



**Figure 2: Annual Medicaid Spending Per Person**



**Figure 3: Residence Size and Type on June 30, 2013**



**Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities**

Setting Umbrella	Setting Type	Setting name	Developmental Disabilities						2013
			1977	1982	1987	1991	1996	2000	
Individualized Settings	Individualized Settings	Own Home					2,417	3,488	3,724
	Family						8,368	7,030	12,994
	Host Home						521	1,812	2,465
	1 to 3							178	42
Congregate DD settings by size and type	4 to 15							131	1,711
	4 to 6								2,015
	1 to 6		102	194	1,881	3,549	213	309	1,753
	7 to 15		347	473	845	402	400	260	307
	16+						471	223	216
	16+ State							240	160
	16+ Total		3,979	3,067	2,823		1,575	1,281	1,128
	Nursing Facility*						500	700	492
	Psychiatric Facility						2	0	0
	Waiting list							125	125
People with IDD in the System	All Types								1,081
Medicaid Recipients and Expenditures	HCBS		4,428	3,734	5,549	6,518	14,714	14,896	20,193
	ICF/IID						17,427	20,954	20,462
								36,706	37,018
									47,060
									37

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be AHCA (2013a), (2013b), or (2013c).

## FY 2013 RISP State Profile

Figure 1: Medicaid Recipients with IDD by Funding Authority 1977-2013

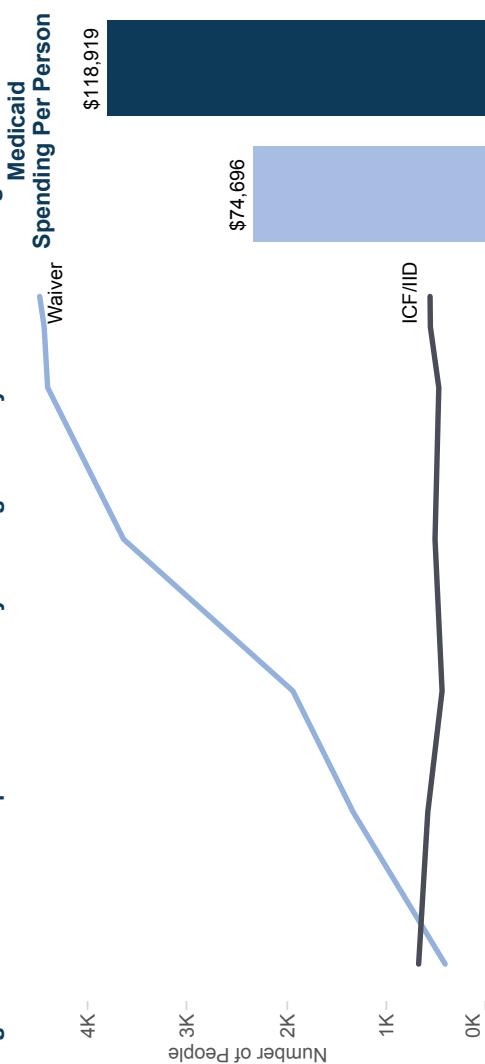


Figure 2: Annual Medicaid Spending Per Person

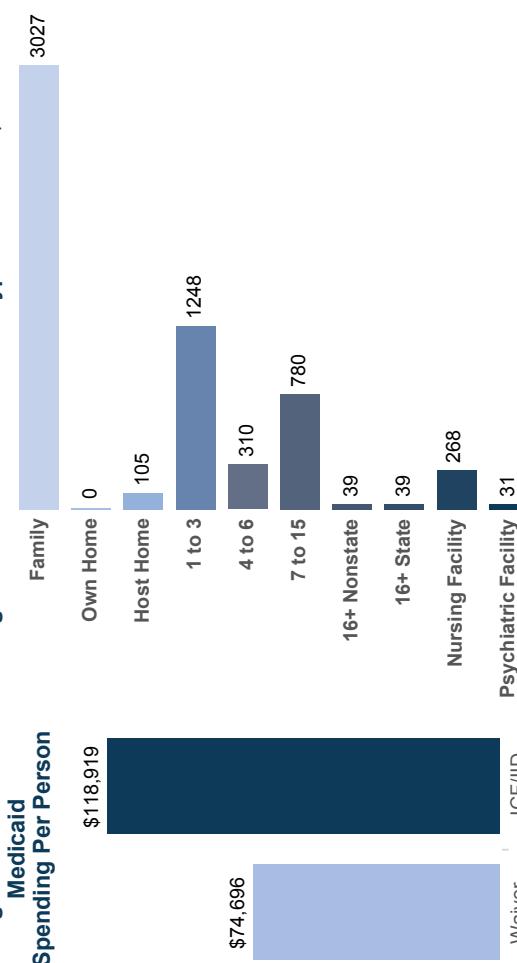


Figure 3: Residence Size and Type on June 30, 2013

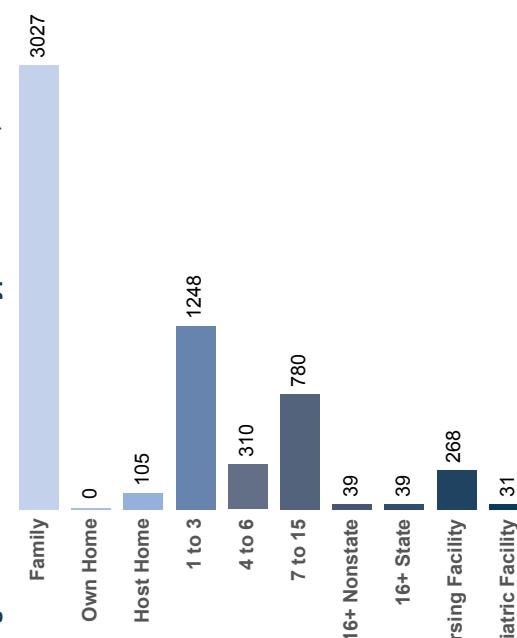


Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					144	203	737	753	0
		Family					2,594	875	2,343	2,846	3,027
		Host Home					519	549	335	165	105
		1 to 3					187	128	218	1,248	
Congregate DD settings by size and type	4 to 15	4 to 6	24	29	352	446	459	474	287	170	171
	1 to 6	1 to 6	32	24	216	409	666	428	555	500	780
	7 to 15	7 to 15					237	99	0	59	47
16+	16+ Nonstate	16+ Nonstate					136	75	0	0	39
	16+ State	16+ State					950	978	523		
Non-DD Specific	16+	16+ Total	211	30	40						268
		Nursing Facility*	2	0	7						31
		Psychiatric Facility					218	210	409	832	
		Waiting list									
People with IDD in the System	All Types	Estimated Total, Residence Type Known	1,006	1,031	1,091	1,228	4,556	2,529	4,327	4,700	5,847
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person	24,310	26,982	45,057	47,540	55,553	74,696			
	ICF/IID	Waiver Recipients per 100,000	23	73	108	201	238				242
		ICF/IID Expenditures per Person*	23,085	30,564	106,055	106,992	131,226	118,919			
		ICF/IID per 100,000*	38	32	25	28	26				30

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).

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## FY 2013 RISP State Profile

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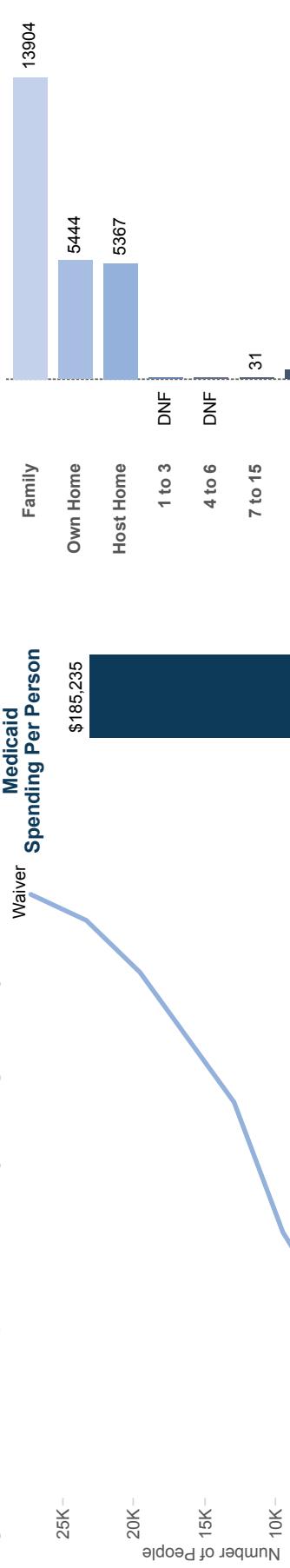


Figure 2: Annual Medicaid Spending Per Person

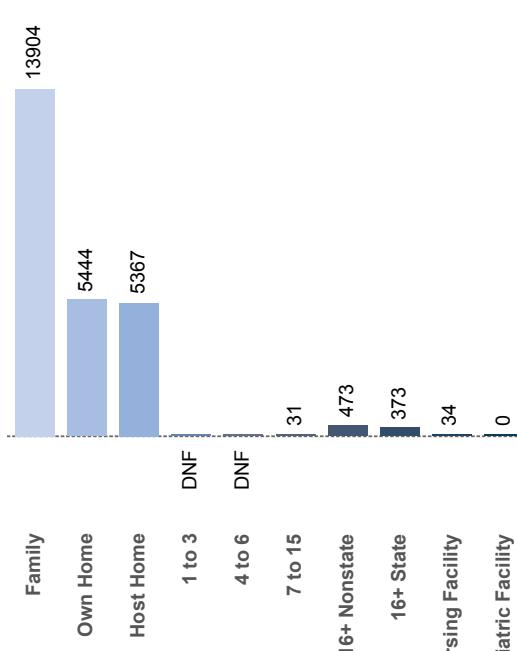


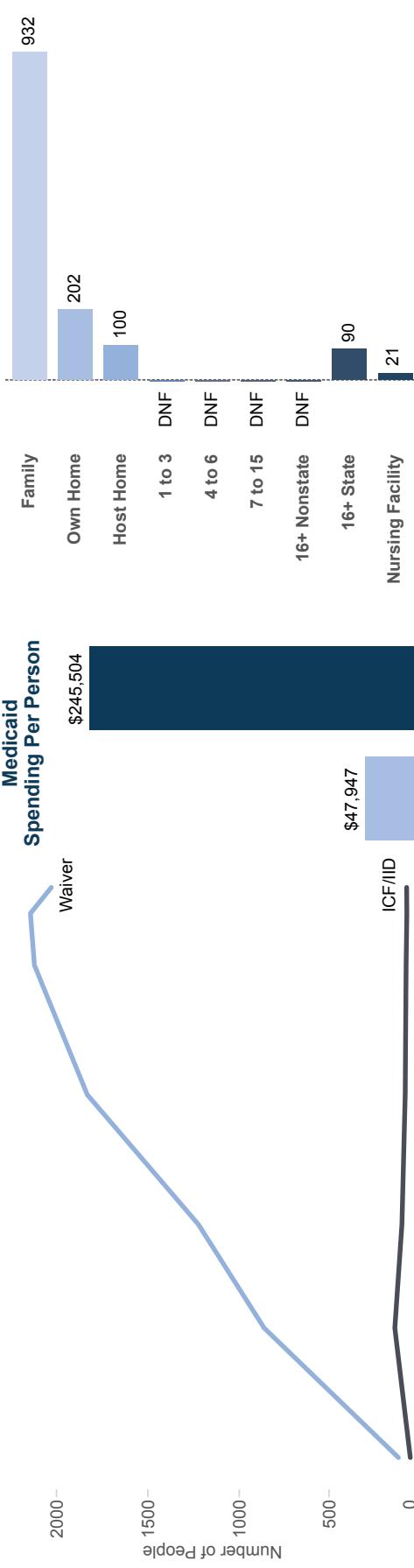
Table 1: Trends in In-Home and Residential Supports for People with Intellectual or Developmental Disabilities

Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home	4,315	5,062	5,677	5,823	5,444				
		Family	30,369	5,633	2,152	7,663	13,904				
		Host Home	1,800	2,578	3,390	1,280	5,367				
		1 to 3			0	0	0				
Congregate DD settings by size and type	4 to 15	194	324	2,404	4,655	1,757	780	1,016	2,858	DNF	
	1 to 6	960	1,282	1,786	1,510	830	807	1,083	2,040	2,602	
	7 to 15				2,438	2,170	1,969	1,174	273	31	
	16+ Nonstate				1,621	1,197	871	581	449	473	
	16+ State										
	16+ Total		4,494	4,079	3,528	995	672	471	89	153	34
	Nursing Facility*					2	0	0	0	0	0
	Psychiatric Facility										
	Waiting list						2,560	2,250	4,783	2,252	
People with IDD in the System	All Types	Estimated Total, Residence Type Known	5,648	5,685	7,718	10,224	42,438	17,700	15,073	20,386	28,228
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person				18,340	20,344	28,596	33,071	35,420	33,504
	ICF/ID	Waiver Recipients per 100,000				33	97	178	235	345	476
	ICF/ID	ICF/ID Expenditures per Person*				41,217	59,285	88,901	108,328	188,655	185,235
	ICF/ID	ICF/ID per 100,000*				83	65	53	33	14	15

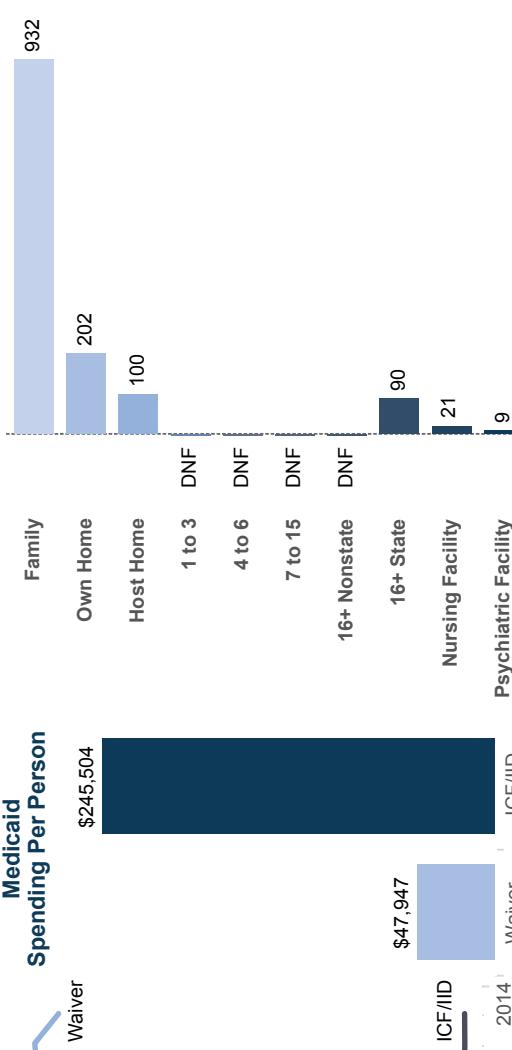
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## FY 2013 RISP State Profile

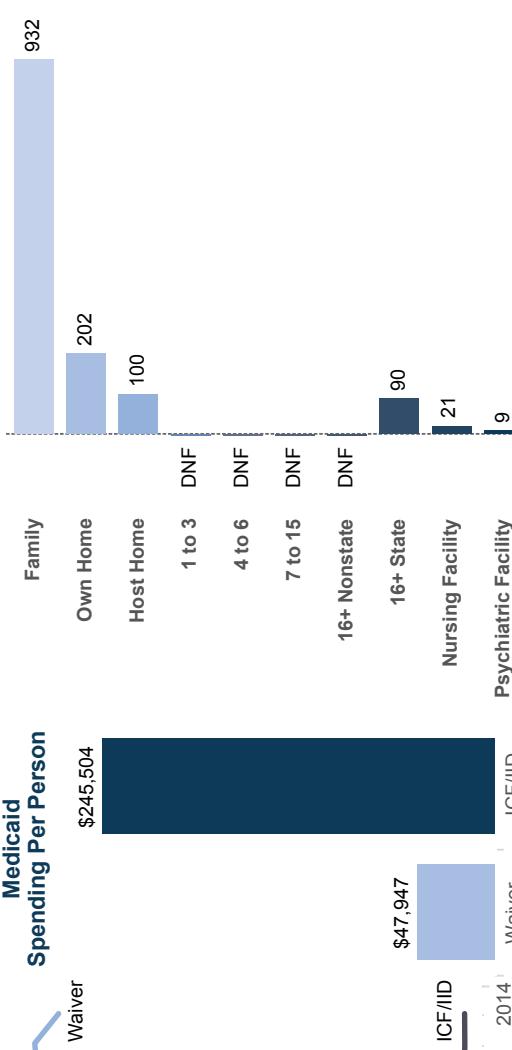
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**Figure 3: Residence Size and Type on June 30, 2013**



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Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					35	292	102	253	202
		Family					1,231	510	748	933	932
		Host Home					32	69	142	84	100
		1 to 3					87	107	276	DNF	
Congregate DD settings by size and type	4 to 15	4 to 6	28	17	68	222	532	350	500	771	DNF
	1 to 6	1 to 6	70	93	200	180	75	67	125	87	DNF
	7 to 15	7 to 15									
	16+ Nonstate	16+ Nonstate									
	16+ State	16+ State									
	16+ Total	16+ Total	584	519	429	49	32	40	45	45	21
Non-DD Specific	16+	Nursing Facility*									
		Psychiatric Facility									
People with IDD in the System	All Types	Waiting list									
Medicaid Recipients and Expenditures	HCBS	Estimated Total, Residence Type Known	682	629	697	692	2,050	1,394	1,737	2,211	2,145
	ICF/IID	Waiver Expenditures per Person									
		Waiver Recipients per 100,000									
		ICF/IID Expenditures per Person*									
		ICF/IID per 100,000*									

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## UNITED STATES

## FY 2013 RISP State Profile

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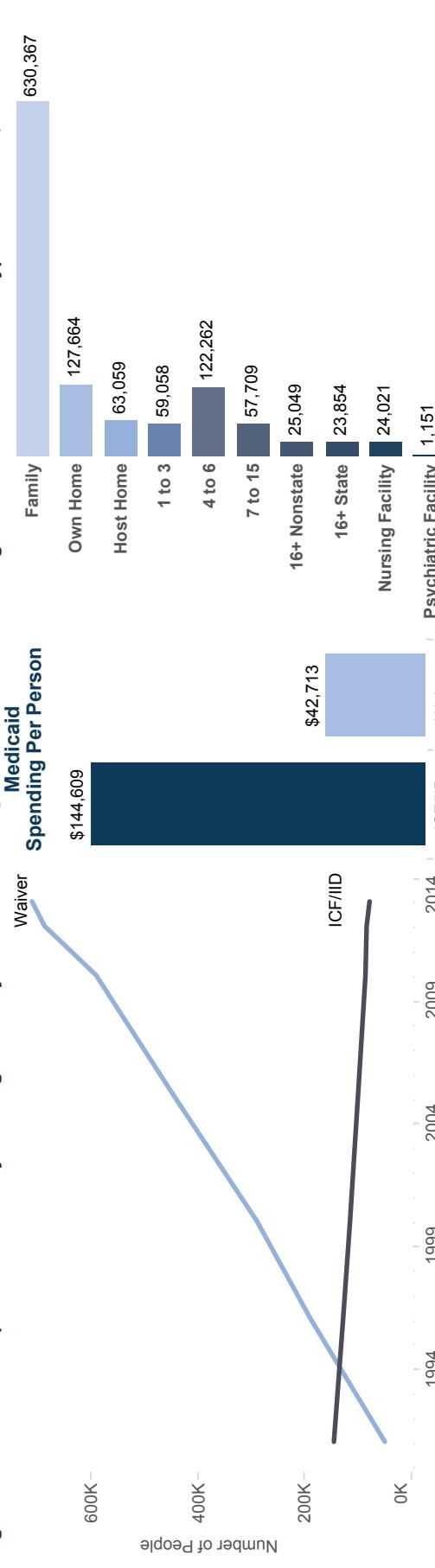


Figure 2: Annual Medicaid Spending Per Person

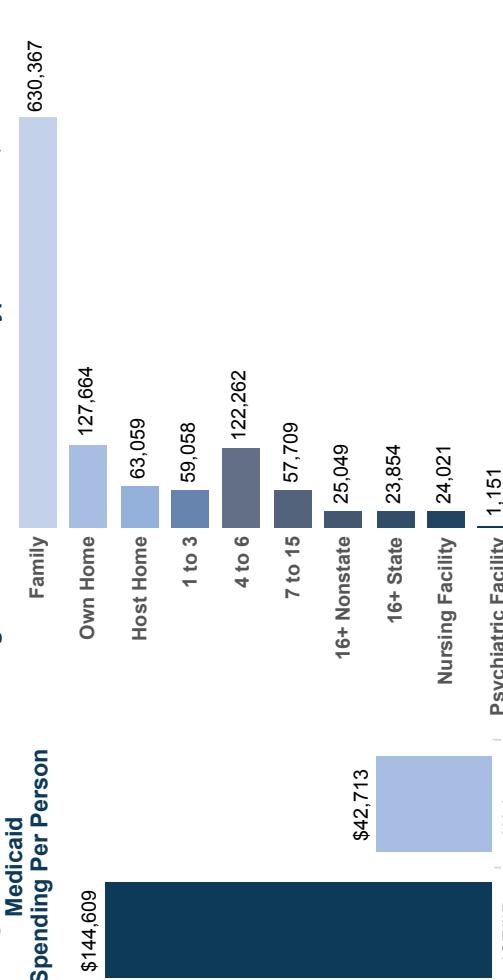


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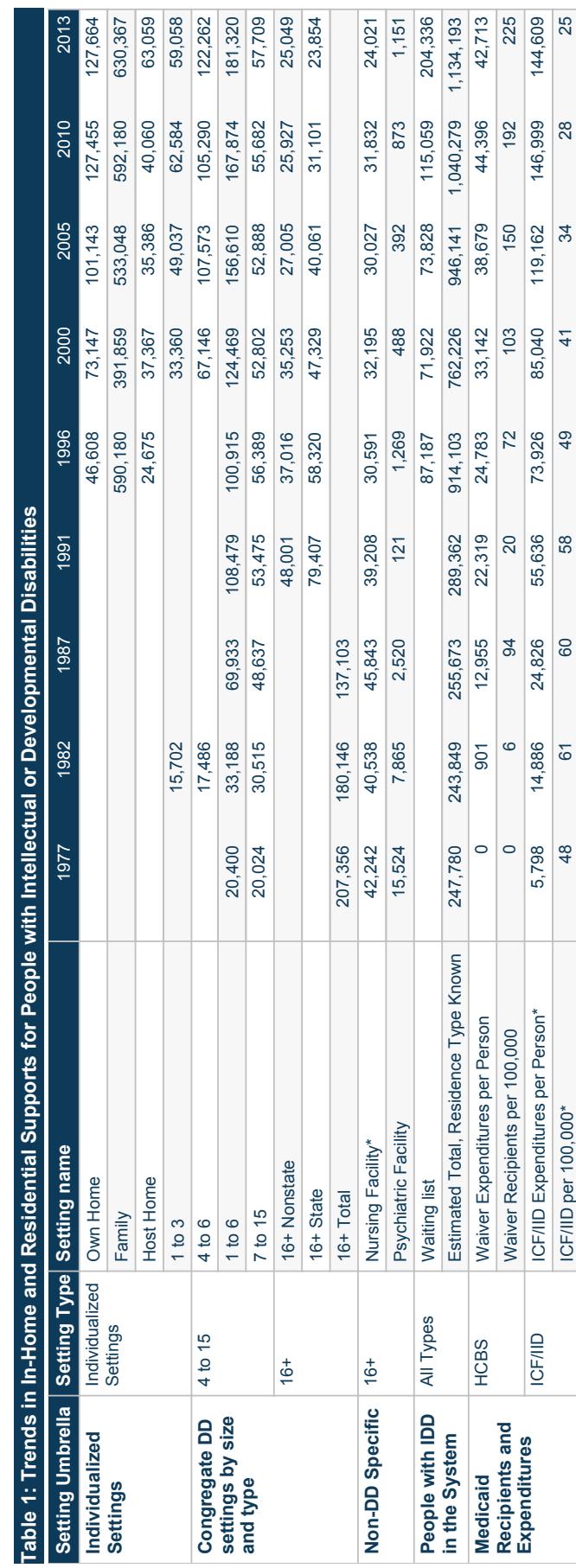


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Setting Umbrella	Setting Type	Setting name	1977	1982	1987	1991	1996	2000	2005	2010	2013
Individualized Settings	Individualized Settings	Own Home					46,608	73,147	101,143	127,455	127,664
	Family						590,180	533,048	592,180	630,367	
	Host Home						24,675	37,367	35,386	40,060	63,059
	1 to 3						15,702	33,360	49,037	62,584	59,058
Congregate DD settings by size and type	4 to 15						17,486	67,146	107,573	105,290	122,262
	4 to 6						20,400	33,188	69,933	108,479	100,915
	1 to 6						20,024	30,515	48,637	53,475	56,389
	7 to 15									52,802	55,682
	16+						16+ Nonstate	48,001	37,016	35,253	27,005
	16+ State									47,329	40,061
	16+ Total						207,356	180,146	137,103		31,101
Non-DD Specific	16+										23,854
	Nursing Facility*						42,242	40,538	45,843	39,208	30,591
	Psychiatric Facility						15,524	7,865	2,520	121	1,269
People with IDD in the System	All Types	Waiting list									
	Estimated Total	Residence Type Known					247,780	243,849	255,673	289,362	914,103
Medicaid Recipients and Expenditures	HCBS	Waiver Expenditures per Person	0	901	12,955	22,319	24,783	33,142	38,679	44,396	42,713
	ICF/IID	Waiver Recipients per 100,000	0	6	94	20	72	103	150	192	225
	ICF/IID	ICF/IID Expenditures per Person*	5,798	14,886	24,826	55,636	73,926	85,040	119,162	146,999	144,609
	ICF/IID	ICF/IID per 100,000*	48	61	60	58	49	41	34	28	25

\*Data for FY2013 may be from an outside source for these data elements. For ICF/IID expenditures and number of people, the source would be Eiken et al (2015). For Nursing Facilities, the source would be AHCA (2013a), (2013b), or (2013c).



**SECTION SEVEN**

## **Appendix**

**FY 2013**



## SECTION 7: APPENDIX

### REFERENCES

(42 CFR 435.1010 (b)(2)) Downloaded from: <http://www.gpo.gov/fdsys/granule/CFR-2010-title42-vol4/CFR-2010-title42-vol4-sec435-1010/content-detail.html>.

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In addition to these sources, this report includes historical data collected and first reported in previous year's RISP reports. Reports from 1988 through 2012 can be viewed or downloaded at <https://risp.umn.edu/publications>.

**FY 2013 (July 1, 2012 – June 30, 2013) Survey**  
**Supporting Individuals and Families Information Systems Project (FISP)**  
**Residential Information Systems Projects (RISP)**

December 2014 Update

This survey is part of the FISP/RISP longitudinal data collection program of the University of Minnesota's Research and Training Center on Community Living funded as an Administration on Intellectual or Developmental Disabilities (AIDD) Data Project of National Significance (PNS). The information provided through this survey serves as the basis for your state's representation FISP and RISP national reports, thus accurate responses are important to ensure that your state's system is accurately portrayed.

FY 2013 Surveys are due on November 15, 2014. Late surveys may not be included in our FY 2013 project report. FY 2014 surveys will include the same core questions and are due March 15, 2015 but can be submitted as soon as they are complete.

**General Instructions:** This survey focuses on people with Intellectual or Developmental Disabilities (IDD) who are on the caseloads of your state IDD agency. The survey population includes all people with IDD receiving Medicaid or state-funded long-term supports or services (LTSS) as well as people with IDD who are known to the state IDD agency but who do not currently receive funded services. Questions on this survey reference the status on June 30, 2013 or the time period from July 1, 2012 through June 30, 2013. If you provide an answer that is based on a report from any other time period please specify the time period or date you used.

Most questions on this survey have been asked for many years. Question about age were added to the surveys beginning in FY 2012 to gather data for the Supporting Individuals and Families Information Systems Project. Expanded questions about funding authorities were added this year to better capture the shifting utilization of Medicaid and State funding authorities to support people with IDD. Some questions ask about Medicaid funded long-term supports and services for people with IDD that may be managed by another department such as your state's Medicaid agency. Please consult with them as needed to respond to those questions.

Please provide as much information as you can. Partial information is preferred to no information for each set of questions. If you are not able to answer a question please indicate by marking the question DNF "Did not furnish". Your assigned FISP/RISP project team member is available by phone or email to answer your questions throughout the year and will contact you by phone or email during the editing process if we find missing or possibly incorrect information, or notice a change in a trend that has not been explained in your comments.

The FISP and RISP projects make national estimates by estimating a value for each item marked DNF. We strongly prefer to get estimates from the states rather than by extrapolating them or estimating them using another process. Historical trends are described in our annual report for many items. If you are unable to furnish a data point, the charts and graphs developed for your state may not be a fully accurate summary.

Please add comments as needed to explain any unusual changes from FY 2012 to FY 2013. Relevant comments will be published with state summaries and in other FISP or RISP reports. If you notice that data from previous years is inaccurate please let your staff team member know so we can update the data base and use updated data for subsequent reports we generate.

Thank you for your ongoing support of these Administration on Intellectual and Developmental Disabilities Projects of National Significance.

**Sherri Larson and the FISP RISP Team**

**FY 2013 (July 1, 2012 – June 30, 2013) Survey**  
**Supporting Individuals and Families Information Systems Project (FISP)**  
**Residential Information Systems Projects (RISP)**

December 2014 Update

## Background

**State:** \_\_\_\_\_

### *A. Number of People with IDD*

On June 30, 2013, how many people with IDD were on the caseload of the state IDD Agency including those who receive no services or who are waiting for services? Please provide an unduplicated count.

- \_\_\_\_ 1. Ages 21 years or younger on June 30, 2013
- \_\_\_\_ 2. Ages 22 years or older on June 30, 2013
- \_\_\_\_ 3. Total all ages

### *B. Funding Authority for Long-Term Supports and Services (LTSS) for people with IDD*

Please respond yes or no to each item.

Does your state use this funding authority to provide LTSS to people with IDD?	Yes	No
<b>A. Medicaid Waiver Authorities</b>		
1. 1115 Demonstration waivers		
2. 1915(a) (b) and (b/c) Managed care with long-term support and services		
3. 1915 (c) Home and Community Based services Waivers		
<b>B. Medicaid State Plan Services</b>		
4. ICF/IID		
5. 1915(i) State plan Home and Community Based Waiver Services		
6. 1915(k) Community First Choice		
7. Targeted Case Management		
<b>C. Non-Medicaid</b>		
8. State-funded LTSS for people with IDD (e.g., family support program)		

Medicaid Waiver authorities include 1115 Demonstration Waivers; 1915 (a) (b) (b/c) Managed care with long-term supports and services; and 1915 (c) Home and Community Based Waivers.

“Other Medicaid State Plan” funding authorities include 1915(j) and (k) and Targeted Case Management.

**Background Section** Respondent Name: \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_

### *Part 1 State-Operated Facilities*

#### **Section 1A. State Operated IDD *facilities* with 15 or fewer residents on June 30, 2013**

<b>Facility Size</b> (People with IDD)	1. Total State Operated IDD <b>facilities /homes</b>	2. Number of <b>Facilities</b> by Funding Authority		
		Medicaid Waiver	ICF/IID	Other
a.1-6 people				
b.1-3 people				
c. 4-6 people				
d. 7 to 15 people				
e. Total 15 or fewer residents				

\*Medicaid Waiver authorities include 1115 Demonstration Waivers; 1915 (a) (b) (b/c) Managed care with long-term supports and services; and 1915 (c) Home and Community Based Waivers. Mark 0 if there were no facilities of a certain size or funded by a certain funding authority. Write DNF in the cell if you are unable to provide a count. Use an “e” to designate estimated numbers.

**FY 2013 (July 1, 2012 – June 30, 2013) Survey**  
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**Section 1B. People with IDD living in State Operated IDD facilities with 15 or fewer residents on June 30, 2013**

Facility Size (People with IDD)	3. Total <b>People</b> in State Operated IDD facilities/ homes	4. Number of <b>People with IDD</b> by Funding Authority		
		Medicaid Waiver	ICF/IID	Other
a.1 to 6 residents				
b.1 to 3 residents				
c. 4 to 6 residents				
d. 7 to 15 residents				
e. Total 15 or fewer residents				

\*Medicaid Waiver authorities include 1115 Demonstration Waivers; 1915 (a) (b) (b/c) Managed care with long-term supports and services; and 1915 (c) Home and Community Based Waivers.

Mark 0 if there were no people with IDD living in state operated facilities of a specific size funded by a specific funding authority. Write DNF in the cell if you are unable to provide a count. Use an "e" to designate estimated numbers.

**Section 1C State Operated IDD facilities and facilities with IDD units with 16 or more people with IDD living in them on June 30, 2013**

State Operated IDD facilities/units with 16 or more residents	Funding Authority			Total
	Medicaid Waiver	ICF/IID	State-funded IDD facility	
1. Number of <b>settings</b> (Campuses with multiple units or buildings of any size housing a combined 16 or more people with IDD should be counted as a single facility)				
2. <b>People</b> with IDD on June 30, 2014				
3. ADMISSIONS/READMISSIONS –people who moved into the facility during FY 2014 excluding people admitted from another state IDD facility with 16 or more residents				
4. DISCHARGES – people who moved out of the facility during FY 2014 excluding transfers to other large state facilities.				
5. DEATHS – people who died while a resident of the facility between July 1, 2013 and June 30, 2014				
6. Average daily residents FY 2014				
7. Short-term respite or crisis admissions (90 days or less)				
8. PER DIEM (average daily cost of care per resident)				

\*Medicaid Waiver authorities include 1115 Demonstration Waivers; 1915 (a) (b) (b/c) Managed care with long-term supports and services; and 1915 (c) Home and Community Based Waivers.

Use an "e" to designate estimated numbers; "DNF" to designate data you are not able to furnish; "0" if there are no settings funded by the funding authority. Use N/A for question 8 if there are no state facilities in a given funding authority.

Part 1 Respondent Name: \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Comments (If you used a date other than June 30, 2013, please indicate the item and the date used):

**FY 2013 (July 1, 2012 – June 30, 2013) Survey**  
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*Part 2 Nonstate Residences of People with Intellectual or Developmental Disabilities (IDD) Receiving Publicly-Funded Services on June 30, 2014*

<b>Type of Nonstate Residence:</b> Exclude respite care placements, nursing homes and psychiatric facilities here. Count only people with IDD receiving at least one publically funded service (including targeted case management)	2A. Number of Nonstate residential settings with this many residents						2B. Number of people with IDD in Nonstate residential settings of these sizes						2C. Number of Medicaid Waiver* recipients in Nonstate settings by type			
	1-3	4-6	1-6 Total	7-15	16+	Total Settings*	1-3	4-6	1-6 Total	7-15	16+	Total People*	1-6 Total	7-15	16+	Total People*
<b>Type I. Nonstate Intermediate Care Facilities for Individuals with Intellectual Disabilities.</b>																
<b>Please do not report ICFs-IDD, nursing homes or psychiatric facilities in Types II through VI.</b>																
Type II. A residence owned, rented or managed by the residential services provider, or the provider's agent, to provide housing for persons with IDD in which staff provide care, instruction, supervision, and other support for residents with IDD.																
Type III. A home owned or rented by an individual or family in which they live and provide care for one or more unrelated persons with IDD (e.g., host family/family foster care).																
Type IV. A home owned or rented by one or more persons with IDD as the person(s)' own home in which personal assistance, instruction, supervision and other support is provided as needed.																
Type V. A residence of person(s) with IDD which is also the home of related family members in which the person(s) with IDD and/or their family members receive supportive services (e.g., respite care, homemaker services, personal assistance).																
Type VI. Other residential types (please specify). Please record 0 if you do not have other Nonstate residential service options for people with IDD.																

\*Totals should equal the sum of 1-6, 7-15, and 16+. Please provide the totals even if you are unable to provide all of the size breakdowns. Size refers to the number of people with IDD living together. Use an "e" to designate estimated numbers; "DNF" to designate data you are not able to furnish; "0" for none. Please do not make entries in the blacked out cells.

Data date (if other than June 30, 2013): \_\_\_\_\_

Comments: \_\_\_\_\_

### Part 3 Age and Expenditures by Funding Authority for long-term supports and services for persons with IDD

Note: We will compute average annual expenditure per person for each funding authority and age group based responses to 3A and 3B.

*Section 3A On June 30, 2013, how many people with IDD received long-term supports and services by age and funding authority?*

Recipient Age	Number of People by Funding Authority				
	Medicaid Waiver	ICF/IID	Other Medicaid State Plan	State IDD Agency	No LTSS funding
a. 21 years or younger					
b. 22 years and older					

*\*Medicaid Waiver authorities include 1115 Demonstration Waivers; 1915 (a) (b) (b/c) Managed care with long-term supports and services; and 1915 (c) Home and Community Based Waivers. Other Medicaid State Plan funding authorities include 1915(j) and (k) and Targeted Case Management. Use an "e" to designate estimated numbers; "DNF" to designate data you are not able to furnish; "0" for none.*

*Section 3B Combined FY 2013 (July 1, 2012 through June 30, 2013) long-term support and services Federal and State Expenditures for people with IDD by age and funding authority.*

Recipient Age	Total Federal and State Expenditures by Funding Authority			
	Medicaid Waiver	ICF/IID	Other Medicaid State Plan	State IDD Agency
a. 21 years or younger	\$	\$	\$	\$
b. 22 years and older	\$	\$	\$	\$

*\*Medicaid Waiver authorities include 1115 Demonstration Waivers; 1915 (a) (b) (b/c) Managed care with long-term supports and services; and 1915 (c) Home and Community Based Waivers. Other Medicaid State Plan funding authorities include 1915(j) and (k) and Targeted Case Management. Use an "e" to designate estimated numbers; "DNF" to designate data you are not able to furnish; "0" for none.*

Data date (if other than June 30, 2013): \_\_\_\_\_ Comments: \_\_\_\_\_

# 2013

## Section 3C Medicaid Waiver Recipients and Expenditures for People with IDD by Age and Living Arrangement (Family Home versus all other Medicaid Waiver funded settings)

Age and Residence Type	Medicaid Waiver Recipients*	Combined FY 2013 Federal and State Medicaid Waiver Expenditures
<b>People 21 years or younger</b>		
1. Total		\$
2. Number living in the home of a <b>family member</b>		\$
3. Number living in any <b>other setting</b>		\$
<b>People 22 years and older</b>		
4. Total		\$
5. Number living in the home of a <b>family member</b>		\$
6. Number living in any <b>other setting</b>		\$

\*Medicaid Waiver authorities include 1115 Demonstration Waivers; 1915 (a) (b) (b/c) Managed care with long-term supports and services; and 1915 (c) Home and Community Based Waivers.

Use an "e" to designate estimated numbers; "DNF" to designate data you are not able to furnish; "0" for none.

**Home of a family member** = nonstate Type V (family home);

**Other** settings include nonstate Types II (group home), III (host/foster), IV (own home), and VI (other); and state Medicaid Waiver funded settings

Part 3 Respondent Name: \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Data Date if other than June 30, 2013: \_\_\_\_\_

Comments:

*Part 4. Waiting List*

1. How many people with IDD were waiting for Medicaid Waiver funded services on June 30, 2013? \_\_\_\_\_
2. Of the people waiting, how many were receiving Targeted Case Management services? \_\_\_\_\_
3. How many people with IDD were waiting to live in a setting other than the home of a family member on June 30, 2013? \_\_\_\_\_  
**Do not** include people with IDD who were living in an ICF/IID or other non-family setting on June 30, 2013.

Part 4 Respondent Name: \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Data Date if other than June 30, 2013: \_\_\_\_\_

Comments:

*Part 5. Nursing homes and Psychiatric Facilities*

**State-Operated**

1. How many people with IDD lived in State-Operated Psychiatric Facilities on June 30, 2013? \_\_\_\_\_
2. How many people with IDD lived in State-Operated Nursing Homes on June 30, 2013? \_\_\_\_\_

**Nonstate**

1. How many people with IDD lived in Nonstate Psychiatric Facilities on June 30, 2013? \_\_\_\_\_
2. How many people with IDD lived in Nonstate Nursing Homes on June 30, 2013? \_\_\_\_\_

Part 5 Respondent Name: \_\_\_\_\_ Phone: \_\_\_\_\_ Email: \_\_\_\_\_

Data Date if other than June 30, 2013: \_\_\_\_\_

Comments:

## RISP AND FISP ANNUAL DATA COLLECTION OPERATIONAL DEFINITIONS FY 2013 AND 2014 SURVEYS

The Residential Information Systems Project and the Supporting Individuals and Families Information Systems Project are funded by the US Department of Health and Human Service's Administration on Community Living as Projects of National Significance. Annual surveys administered in conjunction with the National Association of State Intellectual and Developmental Disability Directors (NASDDDS) that gather and report on long term supports and services for people with intellectual or developmental disabilities. The RISP annual reports are widely used by at both the state and national levels by advocates, policy makers, news media and others.

### General Instructions

This document provides detailed operational definitions for items on the current RISP survey. The annual RISP/ FISP survey is completed by a person designated by state Director of Intellectual and Developmental Services.

The RISP and FISP programs also conduct annual surveys of large state IDD facilities still in operation. In odd numbered fiscal years a short survey is fielded to track census and closure plans. In even numbered years, a more detailed survey is fielded asking about the characteristics and service needs of people living in facilities, services offered, and staffing patterns. Most of those surveys are completed by a person designated by the facility director, but some state IDD directors respond to those surveys on behalf of all of the facilities in their state.

Each state has an assigned FISP/RISP project team member who is available by phone or email to answer your questions throughout the year and will contact you by phone or email during the editing process if we find missing or possibly incorrect information, or notice a change in a trend that has not been explained in your comments. The name and contact information for these team members can be viewed at the new RISP website: <https://risp.umn.edu/>.

**Sample Frame:** This survey focuses on people with Intellectual or Developmental Disabilities (IDD) who are on the caseloads of your state IDD agency.

- The sample frame includes
  - » People with IDD receiving Medicaid long-term supports or services (LTSS), (such as Medicaid Waiver, ICF/IID or state plan 1915i and 1915j funded supports)
  - » People receiving services through a program of the state IDD agency, and
  - » People with IDD on the caseloads of the state IDD agency who receive Targeted Case Management, state plan personal care, private duty nursing or other state plan long-term supports and services.

- Most questions focus on people with IDD who receive at least one service or support through or under the auspices of the state IDD agency (the service population)
- The sample frame does not include people with IDD not on the caseload of the state IDD agency such as people receiving only educational services, child welfare services, employment service such as through vocational rehabilitation agencies or income supports unless they are also on the caseloads of your state IDD agency. It does include people receiving Medicaid funded day habilitation and training services.

**Time Frame:** Most questions reference the status on the last day of the fiscal year (e.g., June 30, 2013). Expenditures data reference the full fiscal year (e.g., July 1, 2012 through June 30, 2013). If you provide a response to any item from any other time period please specify the time period or date you used.

**Survey Updates:** Most questions have been asked for many years. The project maintains annual historical data about state operated IDD facilities since 1950. Question about age were added beginning in FY 2012 as part of the Supporting Individuals and Families Information Systems Project. Expanded questions about funding authorities were added for FY 2013 to better capture the shifting utilization of Medicaid and State funding authorities to support people with IDD. Some questions ask about Medicaid funded long-term supports and services for people with IDD that may be managed by another department such as your state's Medicaid agency.

### Abbreviations and Acronyms

<b>FISP</b>	Supporting Individuals and Families Information Systems Project (University of MN)
<b>FY</b>	Fiscal Year (e.g., FY 2012 refers to July 1, 2011 to June 30, 2012)
<b>HCBS</b>	
<b>Waiver</b>	Medicaid Home and Community Based Services Waiver – one of several specific Medicaid Waiver funding authorities
<b>HCBS</b>	Home and Community-based Services (Medicaid)
<b>ICF/IID</b>	Intermediate Care Facility for Individuals with Intellectual Disabilities (formerly ICF/MR)
<b>IDD</b>	Intellectual and Developmental Disabilities
<b>LTSS</b>	Long-term supports and services
<b>RISP</b>	Residential Information Systems Project (University of MN)
<b>RTC</b>	Research and Training Center on Community Living (University of MN)

## Definitions

**Related conditions:** Some states define eligibility for IDD services to include people with a related condition that results in the need for the same type, intensity and duration of support as needed by a person with intellectual disabilities. Common related conditions include autism, cerebral palsy, Down Syndrome, Spina Bifida, Hydrocephalus and epilepsy. Several states offer different programs to people with certain related conditions such as autism spectrum disorder. States choose whether to include the diagnosis of any of the listed conditions or other similar conditions such as Fragile X syndrome as one basis for eligibility for IDD services.

**State IDD Agency Caseload:** people with IDD who are known to and whose status is tracked by state IDD agencies. This includes all people with IDD receiving Medicaid funded long-term supports and services under any funding authority (including a Medicaid Waiver, ICF/IID, or other Medicaid State Plan services). It includes people with IDD who meet the state's definition of having an intellectual or developmental disabilities who receive supports funded solely by or operated under the authority of the state IDD agency, receive only case management, employment or day habilitation supports or who do not currently receive any funded supports.

**HCBS Waiver-funded supports:** supports provided to a person with IDD funded by one or more Medicaid Home and Community-based Services Waiver authority.

**Intellectual or Developmental Disabilities:** The state's definition of who is eligible for IDD services is used for the RISP and FISP surveys.

According to the American Association on Intellectual and Developmental Disabilities Intellectual disability is a disability characterized by significant limitations both in intellectual functioning (reasoning, learning, problem solving) and in adaptive behavior, which covers a range of everyday social and practical skills. This disability originates before the age of 18. The term intellectual disability covers the same population of individuals who were diagnosed previously with mental retardation in number, kind, level, type, duration of disability, and the need of people with this disability for individualized services and supports. Furthermore, every individual who is or was eligible for a diagnosis of mental retardation is eligible for a diagnosis of intellectual disability.

According to Congress under the Developmental Disabilities Assistance and Bill of Rights Act of 2000 "developmental disability" is a severe, chronic disability of an individual that:

- (i)** is attributable to a mental or physical impairment or combination of mental and physical impairments;
- (ii)** is manifested before the individual attains age 22;
- (iii)** is likely to continue indefinitely;
- (iv)** results in substantial functional limitations in 3 or more of the following areas of major life activity:

- (I)** Self-care.
- (II)** Receptive and expressive language.
- (III)** Learning.
- (IV)** Mobility.
- (V)** Self-direction.
- (VI)** Capacity for independent living.
- (VII)** Economic self-sufficiency; and
- (v)** reflects the individual's need for a combination and sequence of special, interdisciplinary, or generic services, individualized supports, or other forms of assistance that are of lifelong or extended duration and are individually planned and coordinated."

[http://www.acf.hhs.gov/programs/afdc/idd\\_history/index.aspx](http://www.acf.hhs.gov/programs/afdc/idd_history/index.aspx)

**Nursing home:** A state or nonstate Medicaid-funded institutional setting offering skilled nursing or medical care and related services; rehabilitation supports needed due to injury, disability, or illness; and/or long-term care including health-related care and services (above the level of room and board) not available in the community, needed regularly due to a mental or physical condition.

**Psychiatric Facilities:** state residential facilities designed for persons with a primary diagnosis of a psychiatric disabilities, (for example a mental health facility) in which one or more residents with a primary or dual diagnosis of IDD lives.

**Other state-operated settings:** state-operated facilities or units within facilities that are specifically designated to serve people with IDD that are funded with resources other than the ICF/IID or the Medicaid HCBS Waiver programs.

**Other nonstate-operated residence:** nonstate settings in which a person with IDD lives but that is not designated as a facility for persons with IDD (e.g., board care facilities, group homes serving other populations, provider owned housing with supports facility, or assisted living facilities).



**Long-term supports and services:** institutional or community-based supports provided to assist an individual

with ongoing health or other support needs related to their disability (see table below).

## Long-term supports and services

Service Category	Brief description	Example Services
<b>Family Caregiver Support</b>	Services provided to help the family provide supports to the individual	Home delivered meals, home health aide, home-maker/chore, caregiver counseling, care giver training
<b>Respite</b>	Temporary relief from/for the family caregiver	Respite (in home, out of home), individual support (day or night)
<b>Personal Care Supports</b>	Direct one-to-one services to the individual provided in or out of home to provide instrumental support, community integration or skill training	Companion services, personal care/assistance
<b>In-home Services</b>	Services to direct skills development and training to the individual living in the home of a family member or the person's own home.	Home-based habilitation
<b>Case Management</b>	Services to assist an individual or family identify the supports they need, establish eligibility for funded supports, access needed supports, and monitor the extent to which available supports meet the needs of the individual	Case Management, Service Coordination
<b>Residential Services</b>	Services provided to a person with IDD who lives in a setting other than the home of a family member while receiving funded supports.	Residential Habilitation, Group Home, Semi-Independent Living Services, Supported living services, Shared Living, Corporate foster care, Host home, Family foster care
<b>Day Services</b>	Services provided throughout the day to support the individual in community-based activities (i.e., supported employment, day programs, education)	Job development, supported employment (individual, group, competitive), prevocational services, day habilitation, early start programs
<b>Behavior Supports</b>	Supports to prevent or reduce behavior related issues or mitigate crisis needs. Includes services provided by professional staff, as well as preemptive solutions.	Mental health assessment, crisis intervention, behavioral support, counseling, assertive community treatment
<b>Medical Supports</b>	Long-term supports for individuals with medical complications. Includes clinical services, such as OT, PT, and speech therapies as well as in home nursing services.	OT, PT, speech and language therapies, skilled and private nursing, clinic services
<b>Participant Directed Supports</b>	Assistance to individuals/families who self-direct services. Such assistance may include the development of the person centered plan, managing individual budgets, recruiting workers and accessing generic services and supports.	Financial management services, participant training, goods and services, other, interpreter
<b>Transportation</b>	Supports to transport an individual to a community-based activity, including day services, employment services, or other community-based activities.	Community transportation services, non-medical transportation
<b>Environmental Modifications and Technology</b>	Services to accommodate physical disabilities	Personal emergency response systems, home modifications (such as ramps, bathroom modifications), vehicle modifications or repairs, other adaptive equipment, augmentative communication devices,

**Operating Entities.** The RISP and FISP surveys categorize all services to people with IDD as either being operated by state IDD agencies or by nonstate entities.

- State-operated: staffed by state employees or operated by a state agency.
- Nonstate-operated: long-term supports or services provided to people with IDD by staff who are not state employees. Organizations providing nonstate-operated LTSS may be for profit or not-for-profit or they may be a nonstate governmental entity such as a county.

**Setting Size.** The RISP and FISP surveys categorize the places in which people with IDD live by size according to the number of people with IDD who live in the setting or on

the campus. Size categories include 1 to 3 people, 4 to 6 people, 7 to 15 people, and 16 or more people. Residential settings that are clustered together on a single campus or at a single address such as a large state operated IDD facility are counted according to the total number of people with IDD living on the campus or at the address.

**Setting Type.** Places where people with IDD live are broadly classified into two categories (individualized settings, and congregate settings).

- Individualized settings: settings where three or fewer people with IDD live together while receiving federal- or state-funded long-term supports and services. People in individualized settings may live in a home they own or

rent, the home of a family member, the home of a host or foster family, or in a small group home operated by a service-providing organization.

- Congregate settings: settings where four or more people with IDD live together while receiving federal- or state-funded long-term supports and services. Congregate settings include all ICF/IID settings, nursing homes, psychiatric facilities, and other group settings serving four or more people with IDD.

**Residential Settings** are classified by the entity that owns or leases the home in which the person lives. Categories include the person's own home, the home of a family member, host home or foster family setting, IDD group homes (including ICF/IIDs), and other types of group facilities.

#### **Type I Intermediate Care Facilities for individuals with Intellectual Disability (ICF/IID):**

A specific type of group home operated under the authority of an optional institutional Medicaid State-Plan benefit that enables states to provide comprehensive and individualized health care and rehabilitation services to individuals to promote their functional status and independence.

**Type II Group Home:** A residence of any size owned, rented or managed by the residential services provider, or the provider's agent, to provide housing for persons with IDD in which staff provide care, instruction, supervision, and other support for residents with IDD. Under the February 2014 Medicaid HCBS Waiver Rule, all people receiving home and community based services must have legal protections such as a lease or rental agreement when living in settings owned or operated by a provider organizations. However if the person is renting or leasing a home owned or operated by a provider of residential or in-home services regardless of the number of people living together, the setting is classified as a group home.

**Type III Host home/Foster Family:** A home owned or rented by an individual or family service provider in which the provider lives and provide care for one or more unrelated persons with IDD.

**Type IV Own home:** A home owned or rented by one or more persons with IDD as the person(s)' own home in which personal assistance, instruction, supervision and other support is provided as needed. In settings classified as Own Home, the service recipient is able to remain in the home if the provider of services changes whereas in provider owned or operated facilities, the person has to move to another setting to discontinue services provided by the entity that owns or operates the facility.

**Type V Family Home:** A residence of person(s) with IDD which is also the home of related family members in which the person(s) with IDD and/or their family members receive supportive services (e.g. respite care, homemaker services, personal assistance).

#### **Type IV Other Nonstate Setting:**

#### **Special designators for state respondents all sections**

- "e" Estimate – The exact number is not available.

- » The number recorded is the best estimate of the correct count.
- » If you do not have an estimate for the designated Fiscal Year, but do have a value for the previous fiscal year please use the data from the previous year and note the date for the value reported.
- "DNF" Data not furnished
  - » If the exact number is not known, and the estimate or report used in the previous year is not likely to accurately reflect the actual number please note this as DNF.
  - » Use this designation only when absolutely necessary because the United States Estimated totals require us to impute a value for missing data.
- "Date" If your data source is from a data other than the one specified, please note the data for which the data were provided.
- "N/A" Not applicable – Noted only when reporting Per Diem for state operated services if a size or funding authority is not used by the state.
- Note: (Respondent)
  - » If you use a definition that differs from the one specified, please describe what you provided.
  - » Please add comments as needed to explain any unusual changes from FY 2012 to FY 2013. Relevant comments will be published with state summaries and in other FISP or RISP reports.
  - » If you notice that data from previous years is inaccurate please let your staff team member know so we can update the data base and use updated data for subsequent reports we generate.

**Please enter a response to each question.** We distinguish between an answer of 0 and an item that was not answered at all. If you do not provide a particular type or size of service people enter 0 on the form. If you are not able to answer a question please indicate by marking the question DNF "Did not furnish". We will call states back about any item that has no response at all.

**Partial or missing data:** Please provide as much information as you can. Partial information is preferred to no information. The FISP and RISP projects make national estimates by estimating a value for each item marked DNF. We strongly prefer to get estimates from the states rather than by extrapolating them or estimating them using another process. Historical trends are described in our annual report for many items. If you are unable to furnish a data point, the charts and graphs developed for your state may not be a fully accurate summary.

#### **Background Section**

For item A please include all people with IDD who are on the caseloads of the state IDD agency.

- These people may be getting no services, may be receiving Medicaid state plan long term supports and services such as personal care or private duty nursing,

targeted case management, state IDD Agency program funding, or other Medicaid funded supports (through ICF/IID, Waiver, State Plan).

- We are asking for the administrative prevalence of IDD in your state.
- The sample frame does not include people with IDD not on the caseload of the state IDD agency such as people receiving only educational services, child welfare services, employment service such as through vocational rehabilitation agencies or income supports unless they are also on the caseloads of your state IDD agency.
- People who receive Medicaid funded day training or habilitation supports should be included.

## Age

For the FY 2013 survey:

Ages 21 years or younger on June 30, 2013 (includes people born after July 1, 1991)

Ages 22 years or older on June 30, 2013 (includes people born on or before June 30, 1991)

For the FY 2014 survey:

Ages 21 years or younger on June 30, 2013 (includes people born after July 1, 1992)

Ages 22 years or older on June 30, 2013 (includes people born on or before June 30, 1992)

## Funding Authorities (B)

Please select yes for all funding authorities used to support people with IDD in your state

The survey asks about utilization and expenditures for people with IDD under four broad categories of funding authorities:

- Medicaid Waiver Authorities (including 1115 Demonstration, 1915(a) (b) and (b/c) Managed care with long-term support and services and 1915 (c) Home and Community Based services Waivers)
- ICF/IID – Intermediate Care Facilities for Individuals with Intellectual Disabilities
- Other Medicaid State Plan services (including 1915(i) State plan Home and Community Based Waiver Services; 1915(k) Community First Choice; and Targeted Case Management)
- Non-Medicaid – State-funded LTSS for people with IDD (e.g., family support program) operated state IDD agencies.

## Medicaid Waiver Authorities

Information about Federal Medicaid Authorities comes from the *Guide to Federal Medicaid Authorities Used in Restructuring Medicaid Health Care Delivery or Payment* <http://www.medicaid.gov>. Additional analyses by NASDDDS.

Under the Social Security Act, there are certain provisions that give the Secretary of Health and Human Services the authority to waive otherwise applicable provisions of the statute. These provisions broadly refer to Medicaid waivers, though they can vary in their purpose and scope. Within a given state, an individual may be enrolled in one or more waiver programs.

Unless otherwise specified please include all 1115 Demonstration Waivers, 1915 (a)(b) (b/c) and (c) through which services for people with IDD are funded when asked about "Medicaid Waiver Authorities".

**1115 Demonstration Waivers** Section 1115 of the Social Security Act gives the Secretary of Health and Human Services authority to approve experimental, pilot, or demonstration projects that promote the objectives of the Medicaid and CHIP programs. The purpose of these demonstrations, which give States additional flexibility to design and improve their programs, is to demonstrate and evaluate policy approaches such as:

- Expanding eligibility to individuals who are not otherwise Medicaid or CHIP eligible
- Providing services not typically covered by Medicaid
- Using innovative service delivery systems that improve care, increase efficiency, and reduce costs

**1915(a)** States can implement a voluntary managed care program simply by executing a contract with companies that the state has procured using a competitive procurement process. CMS must approve the state's contract in order to make payment. A few states are utilizing 1915(a) authority for the delivery of institutional and community-based long-term services and supports.

**1915(b)** States can also implement a managed care delivery system using waiver authority under 1915(b). Under a 1915(b) waiver participating states may require people who are dually eligible for Medicaid and Medicare, American Indians, and children with special health care needs to enroll in a managed care delivery system. 1915(b) waivers are typically used to allow the use of a managed care delivery system for traditional Medicaid State Plan services. Some 1915(b) waivers allow for the provision of community-based services to eligible individuals by using savings that the state has garnered through the introduction of managed care (1915(b)(3) services). In addition, states may allow contracted managed care entities to provide HCBS as cost-effective alternatives to other services, such as institutional services. When States use managed care for the delivery of State Plan and HCBS to eligible individuals, the 1915(b) waiver is usually operated concurrently with a 1915(c) HCBS waiver or other HCBS authority.

**1915(b)/(c)** States can provide traditional long-term care benefits (like home health, personal care, and institutional services), as well as non-traditional home and community-based "1915(c)-like" services (like homemaker services, adult day health services, and respite care) using a managed care delivery system, rather than fee-for-service. They accomplish this goal by operating a 1915(c) waiver

concurrently with 1915(b) waiver (or any of the Federal managed care authorities). The managed care delivery system authority is used to either mandate enrollment into a managed care arrangement which provides HCBS services or simply to limit the number or types of providers which deliver HCBS services.

**1915(c)** 1915(c) is also known as the Home and Community Based (HCBS) waiver program. States can offer a variety of services under an HCBS Waiver to individuals needing an institutional level of care. Services include but are not limited to: case management (i.e. supports and service coordination), homemaker, home health aide, personal care, adult day health services, habilitation (both day and residential), and respite care. States can also propose “other” types of services that may assist in diverting and/or transitioning individuals from institutional settings into their homes and community. 1915(c) waivers can target specific populations, and each waiver includes a specified set of covered services

### Medicaid State Plan Services

State Plan refers to the full array of Medicaid Services available under a number of provisions of the Social Security Act. The majority of these services are identified in 1905(a) of the Act, but other provisions that have been added to the State Plan include: 1915(i), 1915(j) and 1915(k).

For this survey, state plan services are divided into two groups: ICF/IID and “Other Medicaid State Plan Services”. Other Medicaid State Plan Services for this survey include 1915(i) and 1915(k) and Targeted Case Management.

**ICF/IID – Intermediate Care Facilities for Individuals with Intellectual Disabilities** ICF/IID is an optional institutional Medicaid benefit that enables States to provide comprehensive and individualized health care and rehabilitation services to individuals to promote their functional status and independence. Although it is an optional benefit, all States offer it, if only as an alternative to home and community-based services waivers for individuals at the ICF/IID level of care.

### Other Medicaid State Plan

**1915(i)** States can offer a variety of services under a State Plan Home and Community-Based Services (HCBS) benefit. People must meet State-defined targeting and needs-based criteria. States may offer the same array of services that are available under 1915(c) such as respite, case management, supported employment, environmental modifications, and others. States may not limit the number of eligible individuals who receive 1915(i) services.

**1915(k)** 1915(k) is the “Community First Choice Option” and permits States to provide home and community-based attendant services to Medicaid enrollees with disabilities under their State Plan. Community-based attendant services must include services and supports to assist in accomplishing activities of daily living, instrumental activities of daily living, and health-related tasks through hands-on assistance, supervision, and/or cueing. Additionally,

the following services may be provided at the State’s option: Transition costs such as rent and utility deposits, first month’s rent and utilities, purchasing bedding, basic kitchen supplies, and other necessities required for transition from an institution; and the provision of services that increase independence or substitute for human assistance to the extent that expenditures would have been made for the human assistance, such as non-medical transportation services or purchasing a microwave.

**Targeted Case Management** Authorized by section 6052 of the Deficit Reduction Act of 2005. Case management consists of services which help beneficiaries gain access to needed medical, social, educational, and other services. “Targeted” case management services are those aimed specifically at special groups of enrollees such as those with developmental disabilities or chronic mental illness. Case management services are comprehensive and coordinated, and will include an assessment of an eligible individual; development of a specific care plan; referral to services; and monitoring and follow-up activities. It also includes contact with family members that are for the purpose of helping a Medicaid-eligible individual access services can be covered by Medicaid. (CMS Fact Sheet November 30, 2007 Medicaid Definition of Covered Case Management Services Clarified. Downloaded October 13, 2014 from [https://www.cms.gov/Regulations-and-Guidance/Legislation/DeficitReductionAct/downloads/CM\\_Fact\\_Sheet.pdf](https://www.cms.gov/Regulations-and-Guidance/Legislation/DeficitReductionAct/downloads/CM_Fact_Sheet.pdf))

### State Plan LTSS Not included in FY 2013 and FY 2014 RISP Survey of State IDD Agencies

CMS has identified the following state plan services as being community-based LTSS. The extent to which these authorities are utilized within a state for the provision of community-based state plan LTSS for individuals with IDD varies.

- State plan home health
- State plan personal care services
- State plan optional rehabilitation services
- The Program of All-Inclusive Care for the Elderly (PACE)
- Home and community care services defined under Section 1929(a)
- Private duty nursing authorized under Section 1905 (a) (8) (provided in home and community-based settings only)
- Affordable Care Act, Section 2703, State Option to Provide Health Homes for Enrollees with Chronic Conditions

### Medicaid Waiver Authorities not included in the FY 2013 and FY 2014 RISP Survey of State IDD Agencies

**1915(j)** self-directed personal assistance services (PAS), which are personal care and related services provided under the Medicaid State plan and/or section 1915(c) waivers the State already has in place. Participation in self-directed PAS is voluntary and participants set their own provider qualifications and train their PAS providers

Participants determine how much they pay for a service, support or item.

## Funding Authorities that are beyond the scope of this survey

- Federal Vocational rehabilitation
- Education pre K-12
- State programs not operated under the IDD agency such as child welfare, income maintenance.

## Parts 1 and 2

When reporting on facilities with 6 or fewer residents

- Please provide separate reports for facilities with 1-3 residents versus those with 4 to 6 residents whenever possible.
- If it is not possible to distinguish between settings of 1-3 residents and those with 4-6 residents, please note data not furnished for the 1-3 and 4-6 columns, and report the total in the 1-6 column.

## Part 1. State-Operated Facilities

- State-operated: staffed by state employees or operated by a state agency.
  - » Do not include people who stay in residential facilities for the purpose of respite only.
  - » Do not include people who are admitted for short term stays of 90 days or less or for assessment purposes except in the item asking specifically about short term admissions.
- Setting types
  - » Large IDD facilities and other large facilities with IDD units (16+ residents live on the campus).
    - Multiple units with or without separate licenses located on a single institution campus are considered one facility
    - Include ICF/IID units designed or licensed specifically for people with IDD that are located on the grounds of a state operated nursing home or psychiatric facility with 16 or more residents
  - » IDD facilities with 15 or fewer residents.
    - Only include IDD facilities not located on the grounds or campus of a large state facility.
    - No more than 15 people live at this address/in this facility/on the campus
- Funding Authorities: Classify each state operated setting according to the how services in that setting are funded
  - » Medicaid Waiver Authorities (including 1115 Demonstration, 1915(a)(b) and (b/c) Managed care with long-term support and services and 1915 (c) Home and Community Based services Waivers)
  - » ICF/IID – Intermediate Care Facilities for Individuals with Intellectual Disabilities
  - » State funded (non-Medicaid) residential facilities housing one or more people with IDD.

- Include state operated facilities with 15 or fewer residents in which people with IDD live and receive services under the auspices of the state IDD agency such as transition or half-way houses, board and care, assisted living facilities that do not have a designated IDD unit, and state operated housing with services.
- Do not include people living in a nursing home or psychiatric facility here in Part 1 – report those in section 5

## Section 1A State Operated IDD facilities with 15 or fewer residents

- The number of Medicaid Waiver plus ICF/IID plus state-funded facilities of each size should sum to the total number of state operated facilities of that size.
- Mark 0 if there were no facilities of a certain size or funded by a certain funding authority.

## Section 1B People with IDD living in State-Operated IDD facilities with 15 or fewer residents

- Number of people with IDD living in the state operated IDD facilities reported in Section 1A.
- We will use the number of people together with the matching number of facilities of a specific size and funding authority to compute the average number of people per facility
- Mark 0 if there were no people with IDD living in state operated facilities of a specific size funded by a specific funding authority.
- Write DNF if you are unable to provide a count.
- Use an "e" to designate estimated numbers.

## Section 1C State-Operated IDD facilities with 16 or more people with IDD

- SETTINGS number of different campuses serving 16 or more people with IDD. Campuses with multiple units or buildings of any size housing a combined 16 or more people with IDD should be counted as a single facility.
- RESIDENTS with IDD at the end of Fiscal Year 20xx (6/30/20xx).
- ADMISSIONS/READMISSIONS - The number of residents with IDD admitted during Fiscal Year 20xx (7/1/20xx to 6/30/20xx),
  - » Include admissions or readmissions from a hospital, nursing home or other long-term care setting.
  - » Exclude transfers between large state operated IDD facilities
  - » Exclude people admitted only for respite care, assessment or other short term services lasting 90 days or less
- DISCHARGES - the number of residents with IDD who were released from state facilities during Fiscal Year 20xx (7/1/20xx to 6/30/20xx).
  - » Include people released or discharged to a hospital, nursing home or other long-term care setting

- » Exclude transfers to other large state operated IDD facilities
- » Exclude people admitted only for respite care, assessment or other short term services lasting 90 days or less
- DEATHS - the number of residents with IDD who died while on the rolls during Fiscal Year 20xx (7/1/20xx to 6/30/20xx).
- » Include any residents who died prior to being discharged from the facility even if their death occurred during a temporary stay in a hospice, hospital, nursing home or other facility.
- AVERAGE DAILY RESIDENTS with IDD in Fiscal Year 20xx.
  - » This is an aggregate average. It should include all people with IDD living in all large state IDD facilities or specialized IDD units with 16 or more residents during the year.
  - » If you operate 2 or more facilities of the same size and type, add the average number of residents from each of the facilities to provide a single total
  - » Please use a running average if you have it.
  - » If you do not provide a response, this will be computed as the average of the residents with IDD in the facility at the beginning of the year (as reported on your FY 2012 survey) and the residents with IDD in the facility at the end of the year as reported above
- SHORT-TERM RESPITE OR CRISIS ADMISSIONS
  - » Report the total number of admissions for respite care plus the total number of admissions for crisis services that were for stays of 90 days or less.
  - » People with multiple respite or crisis services stays during a year should be counted for each stay.
- PER DIEM (average daily cost of care per resident) in Fiscal Year 20xx
  - » If a facility has more than one per diem rate, provide the average per diem paid across all residents with IDD.

## Part 2. Non-State Living Arrangements for People with IDD on the State IDD Agency's Caseload on June 30, 2013/June 30, 2014 by Size and Type

- "Non-state" living arrangements include all living arrangements for people with IDD on your state IDD agency caseload that were not reported in Part 1 of the survey.
- Include people with IDD who receive long-term support services living in homes of their own or in the home of a family member.
- Include people with IDD on the caseload of the state IDD agency who lived in an ICF/IID, received Medicaid Waiver services through an 1115 demonstration waiver, 1915 (a) (b) (b/c) or any 1915(c) waiver, a Medicaid state plan benefit under 1915(i), 1915 (k), or targeted case management, or received services through a state LTSS program operated by the State IDD agency.
- Only include people who receive at least one long-term support or service (including targeted case management).
- Total number of settings by type should equal the sum of settings with 1 to 6 people, 7 to 15 people and 16 or more people.
- Total number of people by type should equal the sum of people living in settings with 1 to 6 people, 7 to 15 people and 16 or more people.
- Please provide the total number of settings of each type and the total number of people with IDD in each setting type even if you are unable to provide a breakdown showing the size of places in which people lived.
- Setting type
  - » Type I. Nonstate ICFs-IDD – all ICF-IDD settings except those staffed by state employees (reported in Part 1).
    - Multiple units on a campus or at a single address should be counted as a one facility
  - » Type II. A residence **owned, rented or managed by the residential services provider, or the provider's agent**, to provide housing for persons with IDD in which staff provide care, instruction, supervision, and other support for residents with IDD.
    - Includes organizations operated by a public entity other than the state (county, municipality) unless the employees are considered "state" employees
    - It is a Type II facility unless it meets the criteria for another setting type
  - » Type III. A home owned or rented by an individual or family in which they live and provide care for one or more unrelated persons with IDD (e.g., host family/family foster care).
  - » Type IV. A home owned or rented by one or more persons with IDD as the person(s)' own home in which personal assistance, instruction, supervision and other support is provided as needed.
    - A person with IDD holds title or lease in his or her own name; or is named on the lease.
    - It is a Type IV setting only if
      - » each unit/apartment or house has separately keyed entrance doors
      - » each unit has a different mailbox number or separate address
      - » The person with IDD could continue to live in the home but discontinue services from a particular provider or substitute services from an alternative provider
      - » The person with IDD decides which people if any will live in his/her home (with legal guardian assistance as needed)
  - » Type V. A residence of **person(s) with IDD** which is also **the home of related family members** in which the person(s) with IDD and/or their family members live

- Include people receiving supportive services such as respite care, homemaker services, personal assistance, personal care assistance, behavioral supports, community inclusion support, certified nursing assistant care, in-home nursing, parent training or education.
- Include people on the caseload of the state IDD agency even if they are waiting to receive services or are not currently receiving supportive services.
- » Type VI. Other non-state residential types
  - Unless the state specifically reports having people in these settings, we will assume them to be 0 setting and 0 people.
  - Do not include people living in nursing homes or psychiatric facilities (those are counted in Part 5)
  - Include people with IDD who receive one or more long-term support or service under the auspices of the state IDD agency who are not counted in any of the other categories.
  - Only count each person one time. Do not count them as living in the home of a family member and in one of the other types of settings. Report the place the person is living on June 30 of the Fiscal Year.
  - Include people with IDD on the caseload of the state IDD agency whose living arrangement is unknown in the Type VI category.

**Part 2C.** number of people with IDD who live in each type of nonstate setting (other than ICF-IDD) who received Medicaid Waiver services through an 1115 demonstration waiver, 1915 (a) (b) (b/c) or any 1915(c) waiver.

- The number of Medicaid Waiver recipients may be the same as or less than the total number of people living in a setting type but should not be more than the total number living in a setting type.
- The sum of Medicaid Waiver recipients across setting types II through VI should equal the total number of Medicaid Waiver recipients in nonstate settings.

### Part 3. Age and Expenditures

- Please use recipient and expenditure data from the same date in this section because we will compute average annual expenditure per person for each funding authority and age group based responses to 3A and 3B. If you are using a date other than June 30 of the fiscal year, please specify the date you used.
- Include people living in any state or nonstate setting listed in Parts 1 and 2 of the survey.
- Please report number of participants of each type as of June 30 of the fiscal year. Please report total expenditures for the fiscal year for all recipients of a type. Please use the notes section for each data element to explain variations.

The following funding authorities are used throughout Part 3

- Medicaid Waiver Authorities** (including 1115 Demonstration, 1915(a) (b) and (b/c) Managed care with long-term support and services and 1915 (c) Home and Community Based services Waivers). All of the services including day services that are on the Waiver menu should be considered LTSS.
- ICF/IID** – Intermediate Care Facilities for Individuals with Intellectual Disabilities
- Other **Medicaid State Plan** services (including 1915(i) State plan Home and Community Based Waiver Services; 1915(k) Community First Choice; and Targeted Case Management)
- Non-Medicaid – State-funded LTSS** for people with IDD (e.g., family support program) operated state IDD agencies.
- No LTSS funding** – This category includes people with IDD who are on the caseloads of the state IDD agency who were not receiving long-term supports and services funded by the listed funding authorities as of June 30 of the fiscal year. The intent is to capture anyone with IDD that is on the rolls of a state IDD agency who does not get funding through any of the listed funding authorities.

### Section 3A Age of People with IDD on State IDD Agency Caseloads on June 30, 2013

Use an "e" to designate estimated numbers; "DNF" to designate data you are not able to furnish; "0" for none.

- Please report the total number of people with IDD on the state IDD agency caseload by age group for each funding authority.
- If expenditures for a specific person are reported in more than one of the funding authorities, the person should be included in the count for each of the funding authorities in use on June 30.
- Please report an **unduplicated total** people with IDD on the state IDD agency caseload by age group. In some states people with IDD can receive long-term supports and services funded through multiple funding authorities at the same time (for example, receiving supports through a Medicaid Waiver while also receiving LTSS funded by a Medicaid State Plan or state-funded program).

### Section 3B Total State and Federal Expenditures for People with IDD by Age and Funding Authority

- State and Federal Expenditures for the FY – total amount of money (including both the state portion and the federal match) expended to provide Home and Community Based Waiver Services to people with intellectual or developmental disabilities.
- The number of people receiving supports under each funding authority should match the sum of people with IDD in state-operated settings plus the number of people in non-state settings of the same type (e.g., the number of people with IDD in state ICF/IID plus nonstate ICF/IID settings from Parts 1 and 2 should sum to the same number as the sum of people ages 21 years or

younger plus people ages 22 years or older in Part 3A)

### Section 3C Medicaid Waiver Recipients and Expenditures for People with IDD by Age and Living Arrangement (Family Home versus all other HCBS Waiver funded settings)

- Section 3C asks for information about the subset of people with IDD on the caseloads of state IDD agencies who were receiving supports under one of the Medicaid Waiver Authorities (including 1115 Demonstration, 1915(a) (b) and (b/c) Managed care with long-term support and services and 1915 (c) Home and Community Based services Waivers)
- To respond to Section 3C you will need a break down of recipient and expenditures by age and living arrangement.
- Living arrangements in Section 3C collapse all living arrangements into two categories
  - » People with IDD receiving supports funded by a Medicaid Waiver Authority living in the home of a family member (reported in Type V in Section 2)
  - » All other people with IDD receiving supports funded by a Medicaid Waiver Authority (including those in Medicaid Waiver funded state-operated settings plus those in non-state setting types II, III, IV, V, and VI whose supports were funded by a Medicaid Waiver Authority)
- Please double check your math

### Part 4. Waiting List

The waiting list question has changed for FY 2013 and FY 2014. Previously we asked for the number of people waiting to live in a setting other than the home of a family member who were living in the home of a family member on June 30 and who had requested services to begin within 12 months.

- This section asks first about people with IDD who were eligible for and waiting for services funded by a Medicaid Waiver Authority (including 1115 Demonstration, 1915(a) (b) and (b/c) Managed care with long-term support and services and 1915 (c) Home and Community Based services Waivers).
  - » People waiting for Medicaid Waiver funded supports may be receiving other supports funded by Medicaid State Plan or state only funding while they wait for services
  - » Do not include people with IDD living in an ICF/IDD facility or in another non-family setting on June 30.
- The second question in this section asks for the subset of people with IDD reported in the first question who were receiving Targeted Case Management State Plan services while waiting for services under a Medicaid Waiver Authority.
- The final waiting list question asks for the subset of people with IDD reported in the first question who requested funding for services to be delivered in a setting other than the home of a family member.

- » Count those living with in a family home or own home who are looking to move to a non-family setting. Do not count people who are in a non-family setting who wish to move.

### Part 5. Nursing homes and Psychiatric Facilities

- Do not include people reported in Part 1 or 2 as living in a special unit for people with IDD within a nursing home or psychiatric facility.
- Do include people with IDD who have a PASSAR screening.

### Administrative notes

#### Special designators

- "l" imputed. If you do not provide a value for an item we will use a set of decision rules to estimate a value for the purpose of developing US estimates. In most instances, DNF will be noted for your state for the data element in paper and online reports. We may publish the imputed value designated as such for certain summary tables.
- "o" Other source. Missing data were replaced with values from a source other than the state IDD agency (Note the specific source when this is used).

#### Completion status (auto generated by the system)

- "No data" have been provided for the section
- "Partial data" have been provided
- "Complete data" have been provided for all items in the section

#### Approval status (Manually changed by project staff)

- **Not approved** – data have been submitted for one or more item in the section but project staff have not reviewed the data for accuracy and completeness.
- **Locked** – data are in the process of being verified by project staff. States may request changes but those changes have to be entered by project staff.
- **Verified** – data in the section have been reviewed for arithmetic errors, completeness, accuracy and consistency with other data elements and against the prior year.
- **Published** – data have been translated into tables for the report and those tables have been reviewed for arithmetic errors, completeness, accuracy and consistency with other data elements, against trends over time, and with US estimated Totals and reports from other states and are ready to be released for public use.

#### Notes

- Open ended comment box for each item, section and subsection to record explanations provided by the state during proofing, or with more detail than in the record for individual data elements.
- Please use the comment sections to explain discrepancies between different sections or subsections of the survey and changes over time.

